

# Reusing Fe water treatment residual as a soil amendment to improve physical function and flood resilience

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**Abstract.** Soil degradation is a global challenge that is intrinsically linked to climate change and food security. Soil degradation has many causes, but all degraded soils suffer from poor soil structure. The increasing global production of water treatment residual (WTR), an organo-mineral waste product from clean water treatment, means that the sustainable reuse of this waste provides a potential timely opportunity, as research has shown that WTR application to soil can improve soil health. Recycling or reuse of WTR to land is commonplace across the world, but is subject to limitations based on the chemical properties of the material. Very little work has focused on the physical impacts of WTR application and its potential to rebuild soil structure, particularly improving its ability to hold water and resist the effects of flooding. This paper presents novel research in which the use of Fe-WTR and Fe-WTR/compost [1:1] co-amendment has shown to be beneficial for a soil's water retention, permeability, volume change, and strength properties, all critical in soil health. Application rates of WTR were 10% - 30% by dry mass. Compared with the control sandy loam soil, co-amended samples have 5.7 times the hydraulic conductivity (570% improvement), 54% higher shear strength and 25% greater saturated water content. Single WTR amended soil had 26 times the saturated hydraulic conductivity (2600% improvement), 129% higher shear strength and 13.7% greater saturated water content. Data indicates that Fe-WTR can be added as a single amendment to significantly improve soil physical characteristics where shear strength and hydraulic conductivity are the most important factors in application. Although the co-application of Fe-WTR with compost provides a lesser improvement in shear strength and hydraulic conductivity compared with single WTR amendment, the co-amendment has the best water retention properties and provides supplementary organic content, which is beneficial for environmental applications where the soil health (i.e. ability to sustain ecosystem functions and support plants) is critical.

## 1. Introduction

25 Soil is a living system (Lal, 2016) and requires influxes of both organic and inorganic materials to maintain and improve soil health, which can be defined as the ability of soils to deliver critical ecosystem services such as plant growth, water storage and carbon storage. A soil's water holding capacity, permeability, volume change and shear strength are fundamentally important for the delivery of these ecosystem services, as they control the flux of air and water and turnover of soil organic carbon that feeds the soil microbiome. The enhancement of soil water retention has long been addressed using organic amendments such as manure, biochar or compost owing to their beneficial impact on soil structure (Rahman et al., 2017; Zhou et al., 2019 and 2020), and correspondingly nations are aiming to increase soil organic carbon by 0.4% every year as agreed in the 4 per mille initiative (Paris Climate Change Agreement, 2015). Much research has considered the best organic materials to add to soil and it is generally agreed that 'active carbon', that can feed the soil microbiome allowing it to grow, is important to build soil organic carbon. The water holding capacity and structural stability of a soil is not just dependent on organic matter, but on particle size distribution and soil structure, which is largely governed by the

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inorganic mineral component of the soil. In addition, there is growing evidence that it is inorganic minerals which present the best opportunity to stabilise soil structure (Peng et al., 2007), stabilise carbon and help us achieve net zero (Tipping and Rowe 2019).

40 It is with these rationales that the addition of an organic and inorganic rich waste material to soil provides significant potential to improve soil function. The drive to re-use waste materials has been vastly accelerated owing to building pressure and initiatives to re-use and recycle waste as well as initiatives to improve soils (Hazbavi and Sadeghi, 2016; Krause et al., 2016), This provides a timely opportunity to promote the use of waste sludges from the clean water treatment industry for soil amendment. The worldwide supply of clean drinking water is an ever-growing demand, with up to 30% of the world  
45 still yet to have access to potable, treated water (Cotruvo, 2017; Turner et al., 2019) and global demand increasing 1% per year (UNESCO, 2019). Treatment of source water is needed to remove harmful contaminants, pathogens, organisms and suspended solids, and usually involves the addition of iron (Fe) or aluminium (Al) salts to coagulate and flocculate these undesired particles for removal (Keely et al., 2014). The waste product of this initial process in the treatment of drinking  
50 water is commonly referred to as water treatment residual, sludge or cake (henceforth referred to as WTR). Although properties of the sludge are determined by many local factors, WTR typically contains predominately Al or Fe salts/oxides (60%) and organic matter (40%) and are currently classified as a non-hazardous waste 'sludges from water clarification' (European Waste Code 190902, within 11. Common Sludges). From the point of view of rebuilding degraded soils, this waste provides a prime source of inorganic and organic matter since WTR contains both.

55 The potential chemical/biological changes of the soil (due to WTR addition) that affect functions such as plant growth are covered elsewhere (e.g. Zhao et al, 2018) so this paper focusses on the physical properties. Despite a wealth of studies investigating plant growth and associated chemical/biological effects of WTR amendment, there is very little explicit data on the physical advantages and disadvantages of amendment using Al WTR and none to our knowledge on Fe WTRs, thus meriting its investigation. The potential for WTR's use in environmental remediation or as a soil conditioner to improve  
60 soil function has been highlighted because of the high content of organic matter (8-40%) in addition to Al or Fe salts used (Makris and Harris, 2005). Al/Fe salts and organic matter are able to beneficially modify soil characteristics due to their effect on aggregation and cementation between soil particles, effect on bulk density (due to low density of organic matter) and water retention characteristics. In particular, Fe-oxides are known to be good at stabilising carbon in marine systems (Lalonde et al 2021), and thus their potential role in carbon stabilisation and soil structure is therefore also very interesting  
65 (Tipping & Rowe, 2019). As such, we present an investigation on the physical properties (water retention properties, hydraulic conductivity and shear strength) of a sandy loam soil amended with both Fe-WTR and a Fe-WTR/compost mix. These physical characteristics can be used as a proxy for soil health because of their impact on soil structure and soil function (Neal et al., 2020) and must be assessed to assess the influence of a particular amendment. As discussed in detail by Kerr et al. (2016), by observing these physical characteristics together one can fully assess the changes to a soil's health due to  
70 amendment and understand its capacity to withstand events such as flooding. Singular physical measures commonly used to indicate soil function, such as saturated water content (mass of water/mass of solids when all voids filled are with water), are not sufficient to provide real indication of how a soil would respond to a flood event or prolonged periods of wet weather, as structural properties such as shear strength will also have significant influence on the resilience of a soil. Owing to the nature of the amendments used in this research, using gravimetric water content (GWC) alone is a problematic reference  
75 parameter as inorganic and organic materials added to soil have high initial water contents (like WTR and compost) and

**Commented [HK3]:** Addressing comments from Reviewer #2 about rationalising our use of Fe WTR and pros/cons of its use. These are also discussed in section 1.2  
Reviewer #1, comment 2. We have removed the idea of a holistic approach and instead stated why we do not have a discussion about the chemical/and or biological characteristics. We have not investigated these properties and there is little literature to refer to with reference to WTR. Thus we cannot compare Al and Fe at this time.

low specific gravities, thus amended soil will naturally have an initially higher maximum gravimetric content (as shown by Moodley et al., 2004). Similarly, volumetric water content (VWC) is calculated using the ratio of volume of water to wet soil volume, or by using the gravimetric water content and dry density. As individual measurements, neither convey any information on the increase in volume of the specimen and therefore potential capacity to hold more water, particularly under flooded conditions (Boivin et al., 2009; Sollins and Greg, 2017). The term ‘flood holding capacity’ is used here to characterise the performance of amended soils, based on the water retention properties (gravimetric and volumetric water content and hydraulic conductivity) in addition to the volume change characteristics of soil under climatic cycles and shear strength properties (Kerr et al., 2016). As such, an amendment that provides soil with the best flood holding capacity will be one that has a high capacity to hold and transmit water, together with a stable soil structure indicated by volume change and good shear strength.

### 1.1 Water treatment residual characteristics

Many recent review publications such as Ippolito et al., (2011), Dassanyake et al., (2015), Mokonyama et al., (2017), Odimegwu et al., (2018) and Zhao et al., (2018) have discussed the wide range of global WTR properties, current disposal and re-use/recycling options for WTR and thus are not detailed here. Turner et al., (2019) provide the most recent and extensive review of potential re-use of WTR, however owing to its prevalence, much of the focus is still on AI-WTR. WTR is generally considered to be an organo-mineral and the water content, mechanical and chemical properties of the sludge are entirely dependent on the procedures employed at each water treatment works (WTRs) and the nature of the local water source. Water treatment works use Fe, Al salts, polyelectrolyte (PolyDADMAC) or in some cases a combination of the two in coagulation and flocculate stages of treatment, the choice and dosage of which are dependent on the source water. Unprocessed WTR contains a fine solid fraction of between 2 and 4% (Dassanyake et al., 2015). This solid fraction comprises predominantly metal salt coagulant, organic constituents and other particulates from the catchment. Owing to the high volume of waste produced, water treatment works use various methods to dewater the sludge retrieved from settling beds to between 17% and 35% solids in order to make its transport and disposal more economically viable, whereby the extent of dewatering is governed by the cost benefit (Li et al., 2016).

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[Table 1]

Finlay (2015) provides a summary of characteristics of nine WTRs from the NE UK (Table 1), which suggests that the nutritional elements of WTR are similar to soil but generally lower than other typical amendments such as biosolids and composts. Importantly the levels of N and P vary throughout the seasons, which may be important when considering rate of land application. UK figures are representative of WTR chemical attribute ranges from 62 other regions across the globe (Shen et al., 2019) such as the USA (Elliot and Dempsey, 1991; Makris et al., 2005; Agyin-Birikorang et al., 2007; Ippolito et al., 2009; Nagar et al., 2009;), Ireland (Babatunde et al., 2009), Czech Republic (Kyncl, 2008), Egypt (Mahdy et al., 2009), Australia (Oliver et al., 2011), South Africa (Titshall and Hughes, 2005), Belgium (Chiang et al., 2012) and Japan (Keeley et al., 2014).

### 1.2 Use of WTR to improve physical soil characteristics

There are a considerable number of potential alternative applications for the re-use or recycling of WTR that have been extensively covered in other publications and thus are not discussed here (Ippolito et al., 2011; Zhao et al., 2018; Turner et al., 2019). It is common practice for water companies to spread WTR to land as a disposal method, with examples including

Northumbrian Water [England] and Sydney Water [NSW, Australia], which dispose 100% of their WTR via land spreading (pers comms, NWL 2019; Turner et al., 2019). The existing research on land application of WTRs has focussed on the chemical implications of its application, with most investigating the effect of Al-WTR amendments on crop growth owing to the potential toxicity of Al, the presence of other potentially toxic elements (PTEs) and phosphorous immobilisation (Moodley et al., 2004). To date there are far fewer reports on the use of Fe-WTR in plant trials as Al is prevalent and its chemical attributes are of greater concern. Notwithstanding, Zhao et al., (2018) provide an extensive review of plant trials using both Fe and Al WTR as a soil amendment and found no standard plant response to WTR addition. For example, Oladeji et al., (2007) and Oladeji et al., (2008) reported alternate plant responses despite otherwise identical parameters at an application rate of 10- 25 g/kg by oven-dry mass. Similarly Ippolito et al., (2009) investigated the long-term effect (15 years) of Al-WTR and biosolid co-amendment at up to 21Mg/ha (no incorporation into the soil profile) and found minimal disruption of soil chemistry, microbial diversity or plant nutrient levels. Ippolito et al., (2009) suggest that the single application of Al-WTR would pose little threat to plant or soil biology and provide long term solutions for soils with excess. P. Mukherjee et al., (2014) provide another example of 'long-term' application of Al-WTR to amend soil (0.5% wt/wt) and found little change in soil physiochemical proprieties and greenhouse gas emissions compared with the control soil over two years, although they did report increases in hydraulic conductivity (7.1- 7.5 uSm/s) and penetration resistance increase of 87%.

There is a scarcity in research on physical changes in soil resulting from WTR addition, with physical characteristics only noted as secondary findings to the chemical/biological research. To date only a few studies, highlighted below, have explicitly explored the effect of Al-WTR specifically on water retention (relationship between water content and suction), permeability (hydraulic conductivity) or shear strength, and none to the authors' knowledge that have investigated Fe-WTR. The specific material shear strength characteristics of WTR have been investigated extensively for their beneficial use in construction materials (Hegazy et al., 2012; Rodrigues and Holanda, 2015; Gomes et al., 2019; Liu et al., 2020; Huang & Wang, 2013), however Rengasamy et al., (1980) is the only published study investigating the effect of WTR amendment on shear strength, despite this being a critical parameter for the resilience and stability of soils under saturation. El-Swaify and Emerson (1975) found that Al and Fe hydroxides in WTR act as cementing agents between soil particles, which imparts fundamental changes to important soil physical parameters, by reducing swelling and increasing aggregate stability (Elliot and Dempsey, 1991). Rengasamy et al., (1980) reported a 18%-85% increase in water holding capacity with extremely low rates of Al-WTR application [20Mg/ha = 0.002% wt/wt] whilst also reporting increased aggregation. Elliot and Demsey (1991) identified potential for sludges to significantly alter soil aggregation, permeability and modify water retention properties based on the high proportion of organic matter in the material. More recently, studies have also noted these improvements using Al-WTRs, but these physical parameters have not been the focus of the study (Basta, 2000; Dayton and Basta, 2001). Moodley et al., (2004) specifically investigated the water retention and hydraulic conductivity of soil amended with up to 10.6% wt/wt amendment [1280Mg/ha] air-dried WTR and found increased saturated water content at highest application rate and a shift in the water retention curves was observed due to addition of fine particles, but the readily available water (-10kPa to -100kPa) was unchanged. Three years after the start of the trial, all soil water retention curves (SWRCs) were almost identical to the control which may indicate that these changes are of short term benefit. Moodley et al., (2004) also observed a 9-10 fold increase in hydraulic conductivity with WTR addition due to the creation of preferential pathways (large pores and surface channels). WTR's improvement of hydrological conductivity was attributed to high stability and limited swell of WTR aggregates, which reduces pore blockages shown in control soils. The

155 addition of highly porous WTR reduced the bulk density of the soil, an effect strongly correlated with the proportion of amendment. Moodley et al., (2004) summarise that despite increased water retention and conductivity at the highest rate of application, there is no additional benefit for crops as plant available water remains unchanged.

160 Most recently, Kerr et al., (2016) used air-dried Fe-WTR alone and with a compost co-amendment to change the physical properties of a sandy loam soil. WTR was applied at a rate of between 30% and 50% (wt/wt dry mass), which are in the extreme upper limits of realistic field application. The most important finding of this study was that at saturation, the 30% co-amendment held almost as much water [0.4 g/g] as the single compost amendment at the same rate of application [0.43 g/g]. Compared with the saturated water content of the control soil [0.32 g/g], this is an important improvement. This provides significant rationale for exploring co-amendment of WTR and compost as an alternative to singular organic amendments typically used to improve water holding capacity and associated benefits in soil structure. Soils amended with just WTR did not see improvement in saturated water content of the soil compared with the control, although it did improve the rate of water ingress by up to 42% in the first 24 hours compared with the control soil. Fall cone penetrometer (BS 1377-2:1990) testing indicated that the addition of WTR had considerable potential to increase the undrained shear strength of specimens but owing to large error in results, the effect was inconclusive.

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## 2. The use of Fe-WTR and compost to improve flood holding capacity

170 To investigate the physical effects of Fe-WTR amendment on a sandy loam, remoulded amended soil specimens were tested for water retention (gravimetric and volumetric water content at saturation), saturated hydraulic conductivity, shear strength and soil shrinkage behaviour (volume change). Four amendment types were tested: [1] control soil, [2] Fe- WTR (as raw and air-dried) [3] compost and [4] co-amendment using WTR and compost. Each amendment type was tested at application rates of 10% and 30% wt/wt by dry mass.

### 2.1 Materials

175 Well characterised topsoil was retrieved from a local farm (Nafferton Farm, NZ065656), Fe-WTR obtained from Mosswood water treatment works (Northumbrian Water Ltd) was added as an amendment to soil as both as the raw, dewatered material retrieved from the water treatment plant, subsequently named WTR<sub>w</sub> [~20% total dissolved solids], and an air-dried version of the same material [0.2 g/g gravimetric water content), named henceforth as WTR<sub>d</sub>. WTRs exhibit a wide range of physiochemical properties determined by local factors, and therefore extensive characterisation of the Mosswood WTR (Kerr, 2019) was carried out prior to soil testing. Commercially available compost was used as received from the supplier (PAS100 compost, 100% recycled green compost product derived from surplus garden trimmings and local authority recycling contracts). Remoulded soil specimens were compacted dynamically to a density of 1.75g/cm<sup>3</sup> at a water content of 0.175 g/g. As per Kerr et al., (2016), soils containing single amendments of compost and Fe-WTR were tested in addition to the co-amendment, to investigate the individual effect of the amendment on the control soil. The application rate of WTR was chosen based on the maximum spreading thresholds of current UK legislation of 3000 tonnes/ha/year, which equates to ~50% amendment (%wt/wt by dry mass) with the assumptions made in section 1.4 that incorporation depth is 0.2m and dry density of the soil is 1.2 g/cm<sup>3</sup>. Realistic typical deployment of 100 tonnes/ha equates to just 0.04% amendment %wt/wt by dry mass (NWL 2019, pers comms).

## 2.2 Material properties

190 Table 2 summarises the material properties of soil, WTRd, WTRw and compost, characterised using British Standards  
BS1377. WTRs are typically characterised as inorganic clay of low to medium plasticity (Huang & Wang, 2013). Particle  
size distribution testing was not achievable for WTRw due to issues of zone settling for fine particles in the hydrometer, as  
found in Xia (1994) because of issues with effective flocculant dispersal. Previous research has determined that for most  
WTRs, 95% of particles pass 0.075mm and thus are clay sized. The small pycnometer method was used to characterise the  
195 particle density of WTRd and compost. Following Weindorf and Wittie (2003) and O'Kelly (2016), hexane replaced water  
in the determination of compost particle density to facilitate submersion of very low-density material. Both compost and  
WTRd were de-aired within the flask using a vacuum pump for a period of three days before particle density. This method  
was adopted following Basim (1999) in their extensive characterisation of WTR and organic soils, as heating the contents  
for air removal would result in the loss of volatile solids and organic fractions.

200 [Table 2]

## 2.3 Sample preparation and testing

Preliminary data (not presented) indicated that specimens produced using dynamic compactive effort had considerable  
differences in density, depending on their amendment proportions and water content, and as such made comparison of water  
retention characteristics between different amendments very difficult to assess. The dry density [mass of solids (g)/volume  
205 of specimen ( $\text{cm}^3$ )] and gravimetric water content [mass of water/mass of dry solids] were used as controlling factors in  
specimen preparation to provide more comparable data between different amendments. By comparing the performance of  
amendments based on dry mass per unit area, the density as a controlling factor on water retention is removed and an  
assessment can be made on the retention properties of the material. The drawback of this method is that compost must  
undergo greater compressive efforts to achieve the required dry density owing to compost's low particle density ( $1.675$   
210  $\text{g}/\text{cm}^3$ ) and thereby reduces the macro porosity through which it achieves good water holding capabilities, although Peng et  
al., (2012) found that soil volume change characteristics were independent of soil compaction intensity. This is not as  
problematic for soil and WTR as their particle densities are more similar ( $2.65 \text{ g}/\text{cm}^3$  and  $2.11 \text{ g}/\text{cm}^3$  respectively). The  
compost within specimens was able to swell and regain structure once wetting was initiated, thus reducing the initial effect  
of compaction during preparation.

215 Cylindrical specimens  $38 \times 76 \text{ mm}$  were compacted using a static press to a dry density of  $1.75 \text{ g}/\text{cm}^3$  at a water content of  
 $0.175 \text{ g}/\text{g}$ . WTRd was prepared by air-drying and sieved to  $6.3 \text{ mm}$  before addition to soil. WTRw was added to soil as a  
dewatered sludge (20% solids) and mixed with soil before the amended material was dried to  $0.175 \text{ g}/\text{g}$ . As such, both WTRd  
and WTRw are air-dried forms of WTR, but amendment using WTRw produces an amendment mixture with greater  
220 distribution of fines owing to the preparation procedure. Once extruded, the sides of the specimens were coated with liquid  
latex and open ends of the specimen were wrapped in fine material to reduce the loss of fines. Amendment proportions were  
as follows and were mixed based on the dry mass of each component material. 100% soil (control), 30% single amendment  
of WTRw, WTRd, compost, or 30% co-amendment (1:1), 10% single amendment of WTRw, WTRd 10% compost or 10%  
co-amendment (1:1). Twelve replicates were produced for water retention testing, and three replicates for triaxial testing  
225 (shear strength and hydraulic conductivity). Specimens were subjected to climatic cycles as per Kerr et al., (2016). Water  
ingressed through the base of the sample, and once mass negligibly increased, the specimens were flooded for a period of

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72 hours [Fig. 1]. The duration of wetting was 7 - 14 days depending on the amendment type. After the 72-hour period of flooding, specimens were dried for two weeks before the second wetting was initiated. As such the water content of specimens was variable after drying. The mass and specimen volume were measured using a standard mass balance  $\pm 0.01$ g and digital callipers, through two wetting, flooding and drying cycles. Specimens were tested in triaxial apparatus four weeks after preparation, but had not undergone any climatic sequences before testing. The saturated hydraulic conductivity of specimens was tested during consolidated undrained triaxial testing (BS 1377), once the sample had been consolidated. Three specimens of each amendment were subsequently sheared each at the specified cell pressure (25 kPa, 50 kPa and 100 kPa).

As soils that have been compacted or remoulded have been found to reach equilibrium after three to five wetting/drying cycles (Tripathy et al., 2002), analysis of all data was conducted on the second wetting and drying cycle to investigate the initial effects of WTR amendment. Further climatic cycles were not achieved due to deterioration of specimens. Numerical testing for statistical significance was conducted using Mann Whitney test assuming non-parametric data. [Figure 1]

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### 3 Results and Discussion

In general, there were no statistical differences between amendment using WTRd or WTRw despite numerical differences in the averaged values of these amendments. This may reflect heterogeneity between specimens amended with WTRd or WTRw rather than a fundamental difference in material properties as a result of the addition of WTR in a raw or air-dried format. Each physical parameter is discussed below, and conclusions on the cumulative effect on the 'flood holding capacity' and soil health are subsequently made in section 3.5.

#### 3.1 Water content relationships

All soils with amendments yielded statistically significant ( $p < 0.05$ ) higher gravimetric and volumetric water content at saturation compared with the control soil (with the exception of 10% WTRd co-amendment). Fig. 2 shows that specimens with the highest ratio of amendment had the highest water retention at saturation; the 10% amended soils had gravimetric water contents (GWC) of between 5.4% and 17.8% greater than the control (corresponding volumetric water content (VWC) between 11.3% and 34.4%). All 30% amended soils showed increases of between 11.2% and 32.4% GWC (12.8% and 43.6% VWC) compared with the control.

[Figure 2]

The key findings for water retention are that all single amendments of WTR significantly increased the gravimetric and volumetric water content at saturation compared with the control soil. The differences in GWC/VWC were as follows; 10WTRd [increase of 11.7/21.9%], 10WTRw [increase of 9.5/11.8%], 30WTRd [increase of 22.3/23.5%], 30WTRw [increase of 11.2/12.8%]. Compost only amendments have higher GWC and VWC at saturation compared with single WTR or co-amended specimens at the same ratio, which is an expected finding owing to compost's well known water retention properties (Kay 1998). The use of co-amendment improves both the GWC/VWC compared with the control by 24.7GWC%/43.6VWC% at 30% amendment, and 10.9GWC%/11.3VWC% at 10% amendment. These findings are important for the potential of amendment to increase flood holding capacity, as it is clear that both co-amendment and single amendments of WTR increases the water held at saturation compared with the control soil thus increasing the amount of water held in the soil before the initiation of surface run off. Although the addition of a single compost amendment produces

265 the best improvement of water retention at saturation, these results show that the addition of WTR does not hinder the ability  
of organic amendments to retain water when combined as a co-amendment.

### 3.2 Soil volume change

270 Soils with high organic content have more pronounced hysteresis than inorganic soils, which are stiffer in comparison (Peng  
and Horn, 2007), however Elliot and Dempsey (1991) suggest that WTRs reduce swelling and increase aggregate stability  
owing to their chemical attributes. These effects are shown in Fig. 3, which shows that amendments with high proportion  
of organic content swell to a greater degree than the WTR amended soils.

[Figure 3]

275 In Fig. 3, the relationship between dry density and water content at saturation for amended soils indicates that soils with the  
greatest organic content undergo the greatest swelling during wetting. Specimens were initially prepared at  $1.75\text{g/cm}^3$ , and  
thus the change in dry density is an indicator of the ability of the material to swell upon wetting. Fig. 3 also shows that the  
water retention at saturation appears to be loosely correlated to the dry density of the specimen at saturation, as a lower  
density indicates a greater proportion of pore space. The control soil had the highest dry density [ $1.41\text{g/cm}^3$ ], lowest GWC  
[0.314] and VWC [0.444], and in contrast the 30% compost amendment had the lowest dry density [ $1.17\text{g/cm}^3$ ] and highest  
water content [0.417GWC/0.492VWC]. The correlation between dry density and maximum gravimetric water content is  
much stronger than the trend shown for volumetric water content. As discussed in section 1, volumetric water content as a  
280 measure doesn't convey specimen volume change. For example, the 10% compost specimen has a low volumetric water  
content compared with other 10% amendments, but this is because the soil has increased in volume and decreased in dry  
density, and therefore the ratio of volume of soil to volume of water has reduced.

285 Further investigation is needed to characterise volume change characteristics, particularly testing over more climatic cycles  
to reach equilibrium is required to evaluate the true effect of amendments on the swelling and shrinkage properties of the  
soil. Amended samples undergo a large degree of expansion when wetted, but the shrinkage (data not presented) is greatly  
reduced compared with the control soil, indicating that the structure amended specimens is more stable than the control soil.  
This is important for the application of amendments in areas where large volume change is undesirable, but less so for flood  
resilience. The relationship between the parameters of dry density, water content and volume change for specimens with  
the addition of highly compressible material (compost) needs greater exploration, as the preparation conditions, i.e. the use  
290 of dry density as a control, clearly have a fundamental impact on the performance of the material in terms of the water  
retention and volume change.

### 3.3 Hydraulic conductivity

295 Fig. 4 shows the variation in saturated hydraulic conductivity ( $k^{\text{sat}}$ ) at three different confining stress levels (25 kPa, 50 kPa  
and 100 kPa), using a pressure difference of 15 kPa to generate flow. In general the  $k^{\text{sat}}$  of specimens is lower at greater  
confining pressure, as increasing the confining stress causes a reduction in porosity, making water flow more difficult.  
Single amendments of WTR increase the  $k^{\text{sat}}$  to the greatest extent [5.7-26.3 fold increase]. It is clear that at 25 kPa all  
amendments improve the  $k^{\text{sat}}$  compared with soil alone, with the exception of 30% compost, as shown in Fig 7 [right].  
Compost amendments provide little improvement in  $k^{\text{sat}}$  at 25 kPa and at higher cell pressure the presence of organic matter  
causes a reduction in  $k^{\text{sat}}$ . This behaviour is typical of any organic containing soils, however in this case any soil type which  
300 is 30% compost is, per volume, mostly compost with disconnected mineral matter and so the compost dominates the

305 soil. The reduction in permeability of the highly organic amendment under load is similar to the characteristics shown in peat soils (with conductivity around  $10^{-9}$ ). We know that compost has a high water retention, and despite organic amended specimens having the highest water content at saturation, we can assume that the permeability is lowest in this case because the pathways through organic matter are much more tortuous than granular soil, despite the large pore space provided by the organic matter. [The ability of the co-amendments to improve  $k^{\text{sat}}$  is dependent on the amendment ratio, where higher amendment proportions show the greatest improvement. The control soil has a  $k^{\text{sat}}$  of  $6.73 \times 10^{-7}$  m/s at 25kPa, which is classed as moderate but typical of sand, silt and clay mixtures. WTR amended soils have very high  $k^{\text{sat}}$  in comparison with the control soil at 25 kPa; 30%WTRd has the highest  $k^{\text{sat}}$  [ $1.77 \times 10^{-5}$  m/s], which is typical of clean sands, followed by 30% WTRw [ $7.2 \times 10^{-6}$  m/s], 20% WTRw [ $5.3 \times 10^{-6}$  m/s], 10% WTRw [ $4.1 \times 10^{-6}$  m/s], 30% coWTRw [ $3.9 \times 10^{-6}$  m/s] and 20% WTRd [ $3.8 \times 10^{-6}$  m/s]. The value obtained for 10% WTRd was  $6.5 \times 10^{-7}$  m/s indicating that this result may be an error. The  $k^{\text{sat}}$  of WTR amended specimens is therefore 5.7 to 26.3 times greater than the control soil (570%-2600% improvement). WTRd amendment contributes irregularly shaped particles with a broad range of particle size to the control soil, whereas WTRw amendment adds a lower proportion of small irregularly shaped particles and a large proportion of very fine material [ $<75\mu\text{m}$ ]. Both of these additions improve the soil structure, reduce the bulk density of the amended specimen and may increase pore space as a result of irregular particle shape. As one WTR type does not consistently result in an improved conductivity over the other, this difference may also be due to heterogeneity of specimens rather than fundamental difference in material properties. These results indicate that the addition of WTRw and WTRd has a significant impact on the  $k^{\text{sat}}$  of the soil, and compost addition dampens this effect. The high  $k^{\text{sat}}$  of amended soils indicates that a soil would be able to transmit water through the soil profile rapidly and avoid water pooling at the surface during high intensity events, which is particularly important for reducing erosion at the soil surface.

320 [Figure 4]

### 3.4 Shear strength

325 Amended soil specimens were assessed for their strength in confined undrained triaxial testing to determine any changes in strength due to the addition of WTR or a WTR/compost co-amendment whilst in a saturated state. This was an important measurement to understand how well a soil will cope with shear stresses when flooded. It is clear from Fig. 5(a) that at low confining pressure (25kPa) the amendments with WTR alone (30WTR) show the greatest improvement compared with the control soil. Soils amended with 30% compost (30C), and co-amendments with 15% compost and 15% WTR (30coWTR) show an improvement in strength compared with the unamended soil (100S) when tested at 25 kPa. It can be seen from the stress paths in Fig 5(b) that the paths for the amended soils shift to the left compared with the un-amended soil (100S),? indicating more pore water pressure development. This might be expected for the compost amendments, due to the more compressible nature of the compost. It is interesting to see this is also true for the WTR alone. It seems that the amendment with the wet WTR (30WTRw) shows the greatest improvement (Fig. 5(a)), but the sharp kink in the stress path in Fig. 5(b) might suggest that the test using dry WTR (30WTRd) developed a localised shear surface during testing, that might have restricted its ability to gain in strength. Therefore, the difference between 30WTRw and 30WTRd could be due to heterogeneity rather than a fundamental difference in behaviour.

335 [Figure 5]

At the higher stress level of 100kPa (Fig 5(c)), the amendment of compost alone (30C) shows no improvement compared with the un-amended soil. However, the co-amendments with 15% compost and 15% WTR (30coWTR) do show significant improvements in strength. Again, the greatest improvements are for the WTR alone (30WTR). There is no major difference

Commented [HK9]: Reviewer #1, comment 5. We have now noted our suggestions of why 30% compost behaves differently to other amendments.

340 whether the WTR is wet or dry. Again, the stress paths in Fig. 5(d) show that all the amended soils develop much greater  
pore water pressure than the un-amended soil (100S), indicating a more compressible response. This increase in strength  
with the addition of WTR may be due to physical changes in soil structure owing to the irregular shape of WTR particles,  
or through chemical contributions of the flocculant and coagulant aids that make up WTR. Further investigation is needed  
to understand the strength mechanics of amended soil, particularly after specimens have been subjected to climatic cycles.

345

### 3.5 Soil health and flood holding capacity

The previous sections have discussed in detail the effect of different amendments to individual physical soil parameters,  
but a whole picture assessment must be made to determine to what extent these changes are beneficial to the overall soil  
health and for particular events such as flooding. To assess the effect on soil health, we must establish what determines a  
beneficial change. If considering the soil health with respect to events such as flooding, the increase in bulk volume and  
reduction in density of soil upon wetting is of critical importance as it determines the extent to which soil can accommodate  
water. In addition, the soil must be able to transmit this water quickly through the profile to reduce phenomenon such as  
runoff and slaking at the soil surface, both of which block downward flow of water into the soil profile. Finally the soil  
must retain structural stability while in a highly wetted state. Thus a successful amendment to soil in this respect is one that  
has a high capacity to hold and transmit water, allows swelling with limited shrinkage during drying phases and retains  
strength when saturated. Although compost amendments increase the saturated water content and reduce bulk density of  
the soil to which they are applied, this amendment does not give the soil the ability to effectively transmit water in WTR,  
nor does it improve the shear strength and thus structural stability of the soil. In contrast, WTR amendments have only  
marginal effects on the water retention properties but provide a vastly improved hydraulic conductivity and shear strength  
in comparison with both the control soil and compost amended soils. In this instance, the co-amendment of WTR and  
compost provides the best compromise between these physical parameters, where compost addition provides beneficial  
water retention and low bulk density characteristics, and WTR provides key properties of conductivity and strength.

360 Although we have assessed the changes in soil health based on its response to flooding, this research shows that highly  
WTR amended soil would be well suited to applications where shear strength and permeability are key features, such as  
retaining structures or non-vegetated areas such as groundworks near structures or as a subsurface to urbanised areas.

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## 4. Conclusions

The amendment of a sandy loam soil with either Fe-WTR or with Fe-WTR and compost co-amendment has significant  
impacts on a soil's flood holding capacity, which as a definition incorporates the parameters of water retention, hydraulic  
conductivity, volume change and shear strength. This view incorporating each critical physical parameter of how an  
amendment affects the properties of a soil is essential if the amendment is going to be used effectively to improve critical  
services of the soil. The following generalisations can be made on the changes in physical soil parameters; the single  
addition of WTR results in an increase in water content and reduction in dry density at saturation, increases the saturated  
hydraulic conductivity and shear strength of the soil compared with the control. The use of compost as a single amendment  
yielded the best improvements in water retention properties, however the negative effects of this amendment on saturated  
hydraulic conductivity and shear strength means that it does not impart the properties required for a flood resistant soil.  
However, the addition of compost and WTR as a co-amendment combines the beneficial properties of both materials,

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**Commented [HK10]:** This section addresses Reviewer #1, comment 6 – we are discussing all the physical properties in reference to their effect on soil health/flood holding capacity.

380 resulting in higher water content at saturation compared with both the control soil and WTR alone, whilst also increasing saturated conductivity, increasing the volume change (reduction in dry density) and the shear strength of soil (albeit to a lesser extent than WTR amendment). As such, the co-amendment offers an advantage over the use of single amendments of WTR or compost as each have their own drawbacks of application (concerns on PTEs and P immobilisation of WTR, and lack of shear strength and hydraulic conductivity of compost). Data presented suggests that recycling WTR can provide a route with which to address critical Sustainable Development Goals (SDGs) to rebuild and regenerate soils whilst encouraging a circular economy. Finding an optimal amendment balance for amending soil means that with increasing clear water production, water treatment companies are able to fulfil their requirement to recycle waste while benefitting degraded soils. Further research is needed to establish the optimal amendment ratios to provide the most beneficial improvement to physical soil parameters for ecosystem functions, particularly the flood holding capacity of an amended soil. This includes monitoring the water retention and volume change of specimens over numerous climatic cycles, an assessment of unsaturated hydraulic conductivity, and an assessment of shear strength of amended soils after several climatic cycles. An understanding of the soil water retention curve would aid in further discussion of these changes and allow us to fully understand WTR's effect.

390 *Data availability* Full data sets are available from FigShare. DOIs available upon request.

*Author Contribution* Research was conceptualised and conducted by H. Kerr under the supervision of K. Johnson as part of an EPSRC funded PhD. D. Toll was a secondary supervisor, aided in investigation of triaxial testing, concept and review of data and writing for publication.

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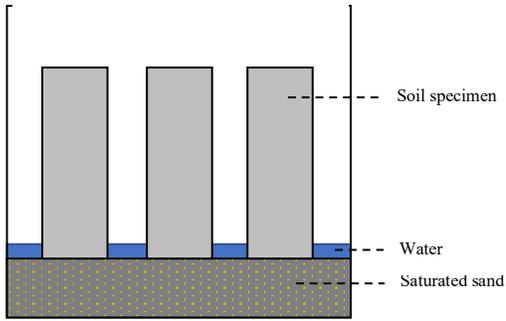
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*Figures*

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**Figure 1: Soil specimens with latex coating, situated on saturated silica during for the primary wetting sequence.**

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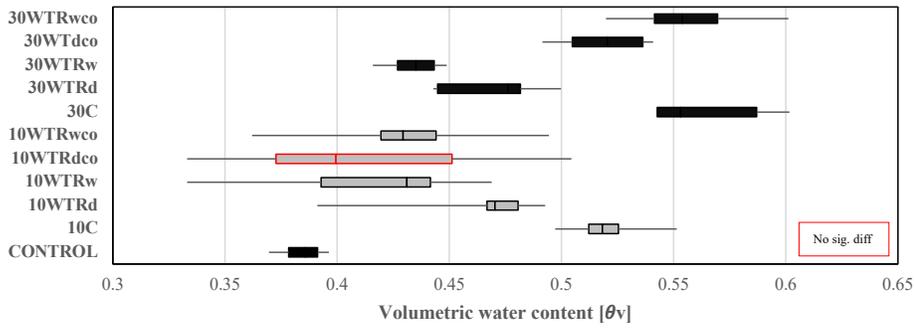
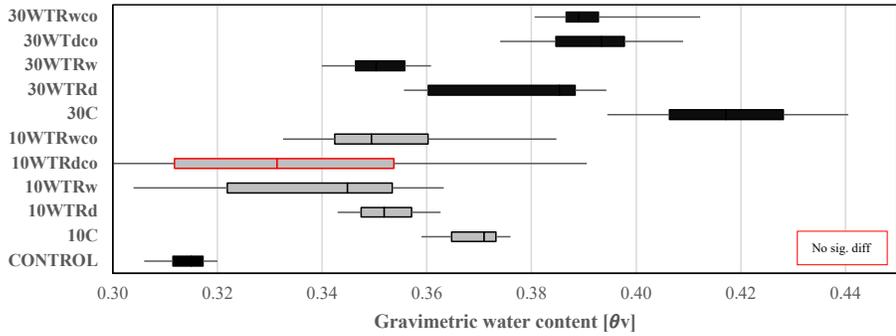


Figure 2: Box plot of maximum gravimetric water content ( $\theta_g$ ) and volumetric water content ( $\theta_v$ ) of specimens at saturation after second flooding event.

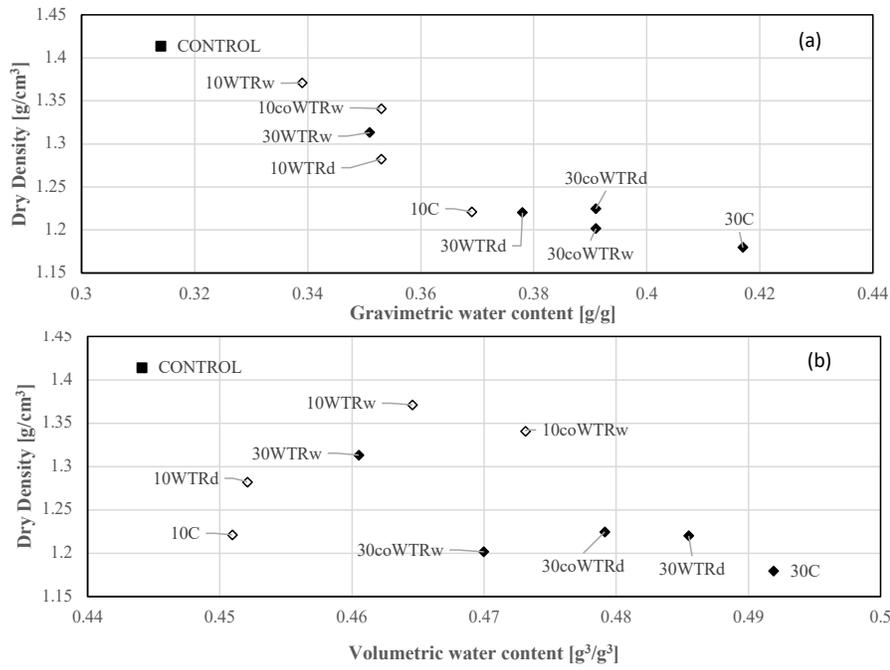


Figure 3: (a) Relationship between dry density and saturated gravimetric water content, (b) relationship between dry density and saturated volumetric water content

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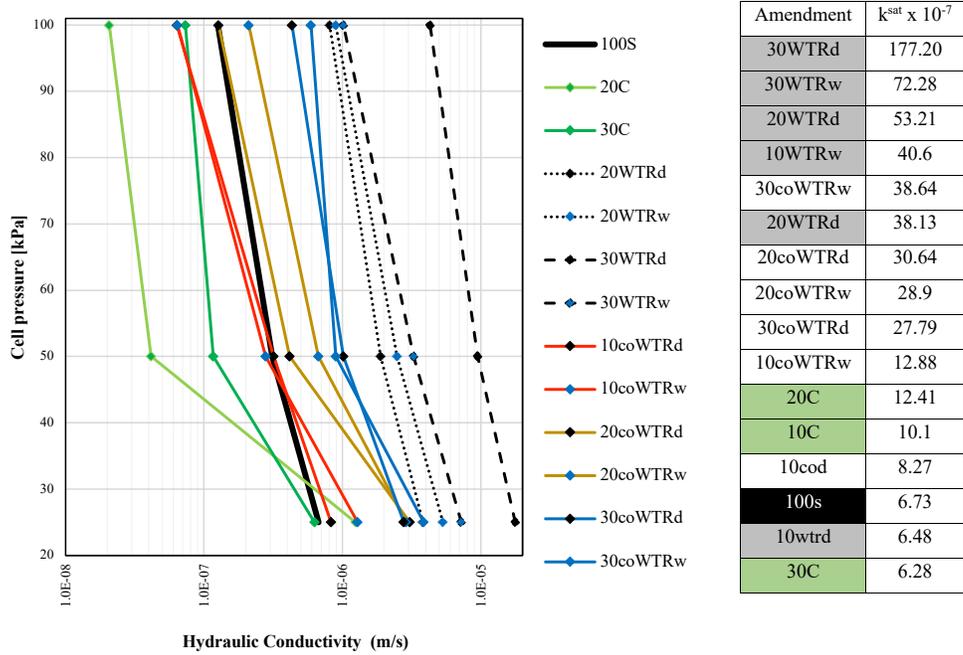


Figure 4: [left] Saturated hydraulic conductivity of samples conducted in a triaxial cell at pressures of 25 kPa, 50 kPa and 100 kPa, on a log scale. Samples 10C, 10WTRd and 10WTRw were only tested at 25 kPa and do not feature on the graph; their k values were  $1 \times 10^{-6}$ ,  $6.48 \times 10^{-7}$  and  $4.06 \times 10^{-6}$  m/s respectively.  $n = 1$  for all samples. [right] ranked conductivity values for all specimens at 25 kPa.

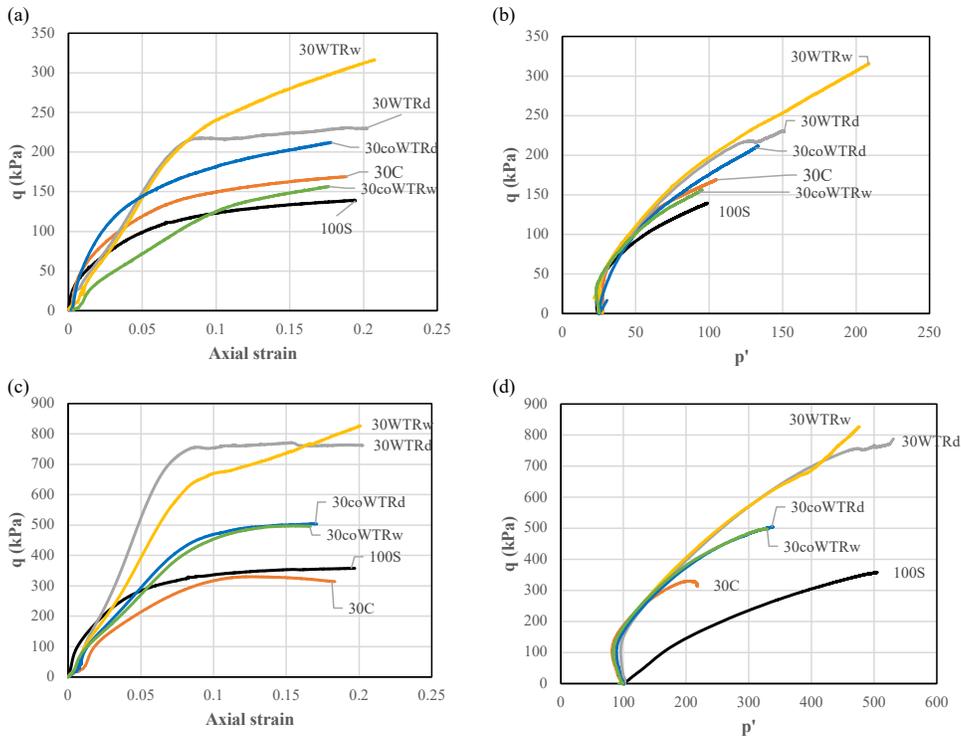


Fig. 5(a) and (b) show the stress-strain response and stress paths for undrained triaxial tests carried out a confining stress of 25 kPa, and (c) and (d) shows the same information for tests carried out a confining stress of 100 kPa.

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| Parameter         | Range     | Soil/Biosolids typical | Parameter          | Range    | Soil/Biosolids typical |
|-------------------|-----------|------------------------|--------------------|----------|------------------------|
| <i>Dry solids</i> | 2%-28%    | -                      | <i>Mn* (mg/kg)</i> | 370-5100 | 1300/200               |
| <i>pH</i>         | 4.09-8.6  | -                      | <i>Total N %</i>   | 0.51-1.1 | 0.5/4                  |
| <i>EC (us/cm)</i> | 30-405    | -                      | <i>C:N</i>         | 15.5-39  | 10                     |
| <i>Fe*</i>        | 0.8%-41%  | 4/1.5%                 | <i>P* (mg/kg)</i>  | 4-1528   | 1000/25000             |
| <i>Al*</i>        | 0.21%-21% | 7.1/0.5%               | <i>K* (mg/kg)</i>  | 170-3900 | 640/3000               |
| <i>LOI550</i>     | 36%-70%   | 5/70%                  | <i>Mg* (mg/kg)</i> | 170-2900 | ~/2000                 |
| <i>Total C</i>    | 13%-26%   | 3/40%                  |                    |          |                        |

**Table 1: Summary of WTR characteristics NE UK (Finlay 2015) \*pseudo total metals measured by aqua regia digestion. Total and inorganic carbon measured by Thermo TOC1200. Due to <0.1% inorganic C, Total C represents organic C content of WTRs.**

| Property   | Nafferton Farm soil   | Fe- WTR   | Compost   |
|--|---|---|---|
| Particle size distribution<br>Wet sieve analysis<br>BS1377   | Sandy loam<br>61.8% sand, 25.1% silt,<br>13.1% clay                       | 1nm-1 µm fractions<br>95% passing 74µm sieve<br>(Basim, 1999).  | n/a   |
| Gravimetric<br>water content [g/g]<br>BS812                  | 0.16 g/g  | WTRw: 4.94 g/g (17% dry<br>solids)<br>WTRd: 0.18 g/g  | 0.55g/g   |
| Particle density<br><i>Small pycnometer method</i><br>BS1377 | 2.65 g/cm <sup>3</sup> +  | 2.11 ±0.81g/cm <sup>3</sup>   | 1.675 ±0.33g/cm <sup>3</sup>  |
| Pre-treatment  | Sieved to 6.3 m   | WTRd: Air dried and sieved<br>to 6.3 mm (dry)<br>WTRw: No treatment   | Large fragments<br>removed.   |
| Chemical<br>properties                                       | pH 7.5 <sup>+</sup><br>LOI550 3.96% <sup>+</sup><br>TOC 2.3% <sup>+</sup> | pH 4.7 ±0.5* [ISO 10390,2005]<br>EC 239+168* [ISO 10390,2005]<br>Fe 31%*<br>LOI550 48±2.7%* [BS1377]<br>TOC 27.9%* [ECS 4010] | pH 8.1 <sup>+</sup><br>LOI 13.9% <sup>+</sup><br>TOC 14% <sup>+</sup> |

**Table 2: Material properties and preparation. Data annotated\* are sourced from Finlay (2015). ICP-MS Pseudo-total metal concentration for Fe was carried out by NWSS labs [24]. Data annotated <sup>+</sup> were obtained by DETS (2018).**