



## The impact of microplastic weathering on interactions with the soil environment: a review.

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10 **Abstract.** Recent studies have reported the influence of microplastic on soil quality  
parameters. Mass concentrations of plastic particles as found in highly contaminated soils  
were shown to weaken the soil structure by reducing the proportion of water stable  
aggregates (WSA). In addition, parts of the edaphon are adversely affected by mainly the  
15 <100 µm microplastic fraction. The specific interaction of soil microplastic with other  
particulate organic matter (POM) and the mineral phase during the formation of soil  
aggregates as well as the adverse effects of especially the small-sized fraction, which has low  
weight but high specific surface area, justify a focus on surface properties of the soil  
microplastic and their alteration during the plastic life cycle. Exposed to UV radiation, juvenile  
20 plastic undergoes photochemical weathering with embrittlement and the formation of surface  
charge. When plastic particles enter the soil environment, a second step takes place, that  
includes biogeochemical weathering with enzymes, biotic and abiotic acids, oxidants as well  
as bioturbation and feeding of the soil fauna. This work integrates recent findings on the  
effects of microplastic on soil structure and biota, the genesis of its surface characteristics  
25 and discusses how to reproduce them to conduct laboratory experiments with close-to-nature  
designer microplastic.



## 1 Our legacy of microplastic

The mass production of plastic articles of daily use started in the early 1950<sup>th</sup> (Thompson et al., 2009). Until today, a broad variety of plastics and derivatives has entered the markets  
30 leading to an all-time industrial output of 8300 Mt and an annual production of 380 Mt in 2015  
as well as an alarming release into the environment (Geyer et al., 2017). Widespread studies  
could show that today ecosystems such as inland and coastal waters, sediments, the open  
and deep seas, soils and even the atmosphere of remote areas are contaminated with  
microscopic plastic fragments (Cole et al., 2011; Woodall et al., 2014; Wu et al., 2018; Büks  
35 and Kaupenjohann, 2020; Trainic et al., 2020).

When plastic resources are dumped or dissipated into the terrestrial environment, recycling  
becomes difficult leading to accumulation, since the material is comminuted but hardly  
degraded. Only roughly estimated is the today's amount of microplastic introduced into soils.  
Inputs occur through specific entry pathways like littering and dispersion from landfills, the  
40 application of wastewater, contaminated surface water, sewage sludge, composts, digestates,  
mulching foils and coated fertilizers, road dust as well as atmospheric deposition (Eerkes-  
Medrano et al., 2015; Huerta Lwanga et al., 2017a; Weithmann et al., 2018; Corradini et al.,  
2019; Dierkes et al., 2019; He et al., 2019; Edo et al., 2020; Huang et al., 2020; Katsumi et  
al., 2021; Szewc et al., 2021). Estimations of the amount of microplastic brought into soils by  
45 the agricultural application of sewage sludge range from 0.3 to 20 mg kg<sup>-1</sup> dry soil (Nizzetto et  
al., 2016; Büks et al., 2020b). Field campaigns found a predominance of small-sized  
microplastic <250 µm, common average concentrations of about 1 mg kg<sup>-1</sup> dry soil and values  
multiple orders of magnitude above in highly contaminated areas (Büks and Kaupenjohann,  
2020). Material composition of plastic residues is strongly determined by locality, adjacent  
50 land use as well as the set of contamination pathways and appears to comprise mainly the  
most produced plastic types polyethylene (PE), polypropylene (PP), polyvinyl chloride (PVC),  
polyethylene terephthalate (PET), polyurethane (PU) and polystyrene (PS) (Büks and  
Kaupenjohann, 2020).

Recent studies pointed out, that the microplastic introduced into soils has the potential to  
55 influence soil physiochemical and biological characteristics. However, the physiochemistry of  
soil is largely a physiochemistry of surfaces, and most of the underlying laboratory  
experiments used unweathered microplastic. These particles with juvenile surface  
characteristics are not supposed to be fully representative for plastic found in the  
environment, which underwent photooxidative and also complex biogeochemical alteration  
60 and, thus, interact differently with soil organic matter (SOM), the mineral phase and soil biota.  
To the best of our knowledge, there is no study using a pre-treatment of experimental soil  
microplastic to strictly imitate this natural weathering pathway. The aim of this work is to  
collect data on the effect of microplastic surface characteristics on soil structure and soil life  
and integrate our knowledge about the photooxidative and biogeochemical phase of



65 weathering in order to better reproduce surface characteristics of environmental microplastic  
in future laboratory and field experiments.

## 2 Search pattern

70 The Web of Science Core Collection database was searched for studies focusing upon the  
effect of microplastic on soil structure published until July 2021. A pattern of search terms was  
established, combining common terms related to soil structure (aggregate stability, aggregate  
structure, macroaggregate\*, microaggregate\*, water stable aggregates OR WSA, water  
holding capacity OR WHC, saturated hydraulic conductivity OR SHC, bulk density,  
compactibility and penetration resistance) with plastic type (plastic, microplastic, nanoplastic  
75 as well as the most produced plastics polyethylene OR PE, polyethylene terephthalate OR  
PET, polypropylene OR PP, polystyrene OR PS, polyvinyl chloride OR PVC, polyurethane OR  
PU and the common textile materials polyamide OR PA, polyacrylic acid OR PAA and  
polyester OR PES). The pattern was applied to the database taking into account title, abstract  
text and a restriction to entries containing the word “soil”. Studies not related to plastic  
80 pollution in soils, studies on biodegradation of intact plastic mulch foils as well as studies with  
use of only macroscopic objects >5 mm were excluded. Further plastic types occurring within  
the studies were also included into the review. The effect of microplastic on the soil fauna as  
well as the present diversity of different methods to weather microplastic surfaces in soil  
biological studies were discussed based on data collected in a recent comprehensive review  
85 (Büks et al., 2020a).

## 3 Interference with soil structure

90 Studies of the past few years demonstrated, that high concentrations of microplastic particles  
could alter soil structural characteristics by influencing aggregate formation dynamics  
(Table 1). Shape, size and type of microplastic as well as soil environmental conditions are  
thereby found to be variables of this effect. De Souza Machado et al. (2018) demonstrated,  
that by application of 0.5-20 g of juvenile plastic per kg dry soil larger fragments (160-  
1200 µm) appeared to be bound only loosely within re-aggregated soil samples, whereas  
microbeads (15-20 µm) and fibers were more integrated into rebuilding macroaggregate  
95 structure. This different occlusion dynamics has come along with a not consistently clear  
pattern of reduced bulk density, increased water holding capacity (WHC) due to  
decompaction as well as fewer water stable aggregates (WSA). The reduction of WSA is  
confirmed by some studies incubating fibers and microbeads within a similar particle size  
range for up to 70 days (de Souza Machado et al., 2019; Liang et al., 2019) and a  
100 comprehensive examination of soil structure compromised by different types, shapes and



concentrations of soil microplastic (Lozano et al., 2021a). In addition, Boots et al. (2019) demonstrated reduced mean weight diameter of WSA in 30 days mesocosm experiments with plant and earthworm populations after application of juvenile mid-sized high-density polyethylene (HD-PE, 0.5-316  $\mu\text{m}$ ) and polylactic acid (PLA, 0.6-363  $\mu\text{m}$ ) particles as well as acrylic and nylon fibers. However, that data contrast with similar experimental set-ups, that showed no or even positive effects of juvenile fibers on WSA and WHC after up to 80 days of pot incubation (Lehmann et al., 2019; Zhang et al., 2019a; Lozano et al., 2021b; Qi et al., 2021). In addition, Liang et al. (2021) found WSA in a sandy loam soil unaffected by microplastic input, unless the test soil was amended with fresh plant material (0.8 wt%). The amendment caused increased aggregate formation, but also reduction of WSA by about a quarter compared to the control samples without microplastic.

**Table 1:** Effect of different microplastics on soil structural parameters. The abbreviations used in this table are as follows: frag – fragments, conc – concentration, incub – incubation time, POM – addition of particulate organic matter, %WSA – water stable aggregates, WSA – mean weight diameter of water stable aggregates, BD – bulk density, WHC – water holding capacity or field capacity, SHC – saturated hydraulic conductivity. Polymers: BP – bioplastic, PA – polyamide, PAA – polyacrylic acid, PE – polyethylene, PES – polyester, PET – polyethylene terephthalate, PC – polycarbonate, PS – polystyrene, PP – polypropylene. NA denotes that information was not available.

soil texture	plastic type	plastic shape	particle size ( $\mu\text{m}$ )	pre-aging	conc. ( $\text{mg kg}^{-1}$ dw)	incub. [d]	effect ( $\text{mg kg}^{-1}$ dw)	reference
sandy clay loam	PE	NA	102.6 (0.48–316)	no	1000	30	↓ WSA diameter	Boots et al. (2019)
	PLA	NA	65.6 (0.6–363)	no	1000	30	↓ WSA diameter	
	mixed	fibers	<2000 to >7000	no	10	30	↓ WSA diameter	
loamy sand	PA	beads	15–20	no	2500–20000	–35	↓ BD (>5000)	de Souza Machado et al. (2018)
	PAA	fibers	3756 (1260–9100)	no	500–4000	–35	↓ BD (>500) ↓ WHC (<1000) ↓ %WSA (>0)	
	PE	frag.	643 (160–1200)	no	2500–20000	–35	↓ BD (>2500) ↑ %WSA (>5000)	
	PES	fibers	5000 (1540–6300)	no	500–4000	–35	↓ BD (>500) ↓ WHC (<1000) ↑ WHC (>2000) ↓ %WSA (>1000)	
loamy sand	PA	beads	15–20	no	20000	–70	↓ %WSA	de Souza Machado et al. (2019)
	PE	frag.	643 (mostly >800)	no	20000	–70	↓ BD	
	PES	fibers	5000 (1540–6300)	no	2000	–70	↓ BD ↓ %WSA	
	PET	frag.	mostly 222–258	no	20000	–70	↓ BD	
	PS	frag.	mostly 547–555	no	20000	–70	↓ BD	
	PP	frag.	mostly 647–754	no	20000	–70	↓ BD	
loamy sand	PES	fibers	–5000	no	1000	63	no effect	Lehmann et al. (2019)
sandy loam	PAA	fibers	370–3140	no	4000	42	↓ %WSA	Liang et al. (2019)
sandy loam	PA	fibers	–5000 x 26	no	3000	42	↓ %WSA (POM)	Liang et al. (2021)
	PES	fibers	–5000 x 30	no	3000	42	↓ %WSA (POM)	
sandy loam	PES	fibers	–5000 x 8	no	3000	42	↓ %WSA (POM)	Lozano et al. (2021a)
	PA	fibers	<5000	no	1000–4000	42	↓ %WSA	
	PC	frag.	<5000	no	1000–4000	42	↓ %WSA	
	PE	films	<5000	no	1000–4000	42	↓ %WSA (>2000)	
	PE	foams	<5000	no	1000–4000	42	↓ %WSA (1000 to <4000)	
	PES	fibers	<5000	no	1000–4000	42	↓ %WSA	
	PET	frag.	<5000	no	1000–4000	42	↓ %WSA (1000 to <4000)	
	PET	films	<5000	no	1000–4000	42	↓ %WSA	



	PP	fibers	<5000	no	1000–4000	42	↓ %WSA (all except 3000)	
	PP	films	<5000	no	1000–4000	42	↓ %WSA (<2000, >3000)	
	PP	frag.	<5000	no	1000–4000	42	↓ %WSA (1000 to <4000)	
	PS	foams	<5000	no	1000–4000	42	↓ %WSA (2000 and 4000)	
	PU	foams	<5000	no	1000–4000	42	↓ %WSA (3000)	
sandy loam	PES	fibers	~1280 x 30	no	4000	~80	↑ %WSA	Lozano et al. (2021b)
silty sand	BP	films	5000x5000	no	5000–20000	45	↓ BD (>5000) ↑ WHC (>5000) ↑ SHC (>5000)	Qi et al. (2021)
		frag.	mostly 250–500	no	5000–20000	46	↑ WHC (>5000) ↑ SHC (>10000)	
	PE	films	5000x5000	no	5000–20000	43	↓ BD (>5000) ↓ WHC (>10000) ↑ SHC (10000)	
		frag.	mostly 250–500	no	5000–20000	44	↓ BD (>5000) ↓ WHC (>10000) ↑ SHC (>5000)	
clayey loam (pot)	PES	fibers	NA	no	1000–3000	47	↑ WSA diameter ↑ pore space >30 μm ↓ pore space <30 μm	Zhang et al. (2019a)
clayey loam (field)	PES	fibers	NA	no	1000–3000	48	↓ WSA diameter (slightly) ↑ pore space >30 μm (>1000) ↓ pore space <30 μm (>1000)	

115 In conclusion, the majority of data shows a negative effect of soil microplastic on WSA and  
 lead to the assumption that severe contamination of soils with microplastic can cause a loss  
 of soil structure and enhance erodibility. Some exceptions from these observations can be  
 explained by the respective soil environment. The increase of WSA mean weight diameter  
 with application of microplastic as observed by Zhang et al. (2019a) is assumed to be caused  
 by the extremely high clay content of the test soil (40%), which leads to aggregate formation  
 120 dynamics without major interference by the polymer particles. In contrast, soils with very low  
 clay content (1%) have minimum aggregation dynamics and, thus, show no influence of  
 microplastic addition on the formation of WSA (Qi et al., 2021). When aggregate formation is  
 accelerated through the introduction of strong aggregation agents such as the amendment  
 with fresh organic matter or earthworms, addition of microplastic cause significantly reduced  
 125 formation of WSA (Boots et al., 2019; Liang et al., 2021).

In contrast to WSA, soil hydrological studies on water holding capacity (WHC), saturated  
 hydraulic conductivity (SHC) and pore space distribution are sparse. Bulk density is overall  
 reduced by addition of the less dense polymers, but without clear relation to WSA nor WHC.  
 The higher WHC in some samples (de Souza Machado et al., 2018a; Qi et al., 2019) might be  
 130 caused by an increase of mesopore space (Zhan et al., 2019a), but clear statements cannot  
 be derived, yet, and more research on soil water balance characteristics after application of  
 microplastic is needed.

Overall data imply that microplastic type, shape and concentration as well as environmental  
 parameters such as vegetation, soil microbiome, and soil texture have influence on the  
 135 dimension of WSA loss (e.g. Lozano et al., 2021a; Boots et al., 2019; Zhang et al., 2019a;



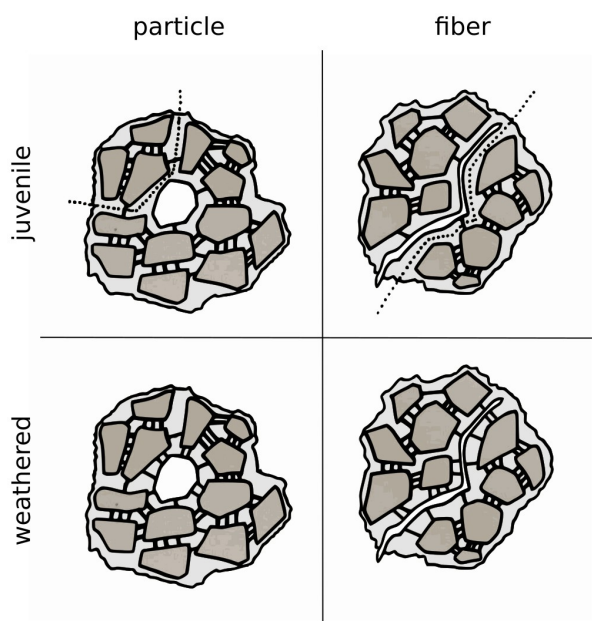
Lehmann et al., 2019), but the underlying mechanisms are still not clear. The explanatory power of the data is restricted: All studies worked with very high microplastic concentrations of 500-20000 mg kg<sup>-1</sup> dry soil, which is 2 to 4 orders of magnitude above the concentrations in many soils and can only be found next to roads and on industrial sites (Dierkes et al., 2019; Fuller and Gautam, 2016; Büks and Kaupenjohann, 2020). Furthermore, the studies exclusively used juvenile polymers, that have surface characteristics very different from weathered plastics. Aged microplastic in environmental samples may have different influence on soil aggregate formation and, thus, on parameters such as structural stability, carbon storage and water balance, which are strongly linked to soil fertility.

145 The above data, however, are helpful to hypothesize on the role of microplastic in aggregate formation. The different negative effects on soil structure can be explained by the fact that, in contrast to natural POM or clay minerals, the surface of unweathered microplastic is nearly uncharged (Table 2). Thus, the spatial integration of large amounts of microplastic fragments into soil structure lessens the cohesion of soil aggregates compared to POM particles at the same place (Fig. 1). It can be hypothesized that this effect is enhanced with fibers, which provide a linear pattern of flaws, whereas small spheric particles have punctual influence. A laminar pattern as provided by films would even more act as a non-reactive barrier between natural soil particles with surface charge. This could explain, why larger and laminar particles are rather excluded from soil aggregates and have less influence on aggregation, while small fragments and especially fibers were occluded (de Souza Machado et al., 2018). Even though most studies conducted short-term experiments within ≤48 days, prolonged incubation times could have lead to an initial alteration of juvenile plastic, a stronger integration into aggregates and can explain less decompromized soil structure in some experiments (de Souza Machado et al., 2019; Lehmann et al., 2019; Lozano et al., 2021b). Long-term incubations with lower polymer concentrations, that allow to study changing surface characteristics of soil microplastic and the effect on soil structure under common environmental conditions, are still lacking to the best of our knowledge.

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**Figure 1:** Simplified cross sections of a soil aggregate with occluded plastic particles and fibers in a juvenile and weathered state. Both mineral particles and particulate organic matter (POM) are represented by dark grey fragments, plastic items by white color. The number of interconnecting lines symbolizes the degree of physiochemical interaction, that keeps soil particles together. Dotted lines exemplarily stand for potential structural flaws resulting from a lack of bindings between juvenile plastic items and the surrounding soil matrix. The two cross sections below show a hypothesized stronger occlusion of weathered plastic particles.

#### 4 Effects on soil biota

165 Plastic fragments in soils are also found to cause adverse effects on the edaphon and thereby  
affect soil functions related to soil structure, mass transport and metabolization. A review of 79  
experiments, most of them conducted under laboratory conditions, was able to show that  
microplastic is ingested by the soil fauna in most cases (Büks et al., 2020a). The plastic  
further causes an alteration of the microbiome, digestive dysfunction, reduced body size and  
170 reproduction, oxidative stress as well as inflammatory diseases in a variety of soil organisms.  
More recent experiments underline these observations (Kwak and An, 2021), but also show  
the contribution of extractable functional additives to the adverse effects (Kim et al., 2020).  
Although these results are restricted by the applied type, shape, degree of weathering,  
additives and concentrations of test microplastic, which often deviates from the characteristics



of aged environmental plastic fragments, small particle sizes are found to have by far the strongest effect on faunal health. Microplastic particles  $<100\ \mu\text{m}$ , which provide a small fraction of mass, but high specific surface, caused adverse effects at concentrations of about  
180  $10\ \text{mg kg}^{-1}$  dry soil, whereas particle mixes with larger mean diameters mostly needed  $1000\ \text{mg kg}^{-1}$  dry soil to attain similar effects (Büks et al., 2020b).

It is thereby possible, that even in trials with particle mixes of larger mean diameters the adverse effects are mainly caused by the small-sized fraction. In laboratory experiments, artificial microplastic is usually produced by extensive cryo-milling of polymer films (Büks et  
185 al., 2020a). Due to hindrance of further mechanical comminution in this process, the  $<100\ \mu\text{m}$  fraction appears to be  $<1\ \text{wt}\%$  beside coarser particles, as shown by Büks et al. (2021). It seems reasonable that laboratory experiments with only small-sized microplastic need lower mass concentrations to harm soil biota compared to experiments with microplastic of coarser diameter, since mainly the  $<100\ \mu\text{m}$  fraction causes the adverse effect. However, in natural  
190 soils items of  $<100\ \mu\text{m}$  in diameter represent a larger mass fraction, that can amount to more than half of the soil microplastic in specific cases (Büks and Kaupenjohann, 2020).

Due to its smaller size, this fraction has a higher accessibility to the gastro-intestinal tract of soil animals and may accumulate in inner organs. Its higher surface to volume ratio facilitates increased release of additives compared to a similar mass of larger items, which could partly  
195 explain the negative effects of polymer particles in laboratory experiments with juvenile plastic (Büks et al., 2020a; Kim et al., 2020). In consequence, future experiments on the susceptibility of soil biota should focus on small-sized particles with aged surface characteristics to better distinguish between the genuine effect of environmental microplastic and secondary adverse effects caused by pristine concentrations of additives.

200 Beside the effects on soil structure and fauna, there are first indications that PE and PP microplastic affects soil metabolism by the alteration of microbial enzyme and respiration activities (e.g. Huang et al., 2019; Ng et al., 2020; Yi et al., 2020). These data are sparse and not yet attributed to specific surface characteristics.

Furthermore, the adsorption of persistent organic pollutants (POP) and heavy metals to soil  
205 microplastic have been shown in several studies (e.g. Tourinho et al., 2019; Verla et al., 2019; Yu et al., 2020). However, there is an ongoing discussion, whether the sorption capacity of microplastic can contribute substantially to its adverse effects on soil organisms or have minor influence as a reservoir of toxic substances in face of the ubiquity and high amounts of natural POM and mineral surfaces in soils or even in aquatic systems (Koelmans et al., 2016; Verla et  
210 al., 2019).





## 5 Quantification of microplastic surfaces

215 Together, impacts on soil aggregation, fauna, microbial biofilms and chemical adsorption  
underline that the specific surface area is a promising candidate parameter for the prediction  
of microplastic effects in soil. To date, the focus of microplastic quantification is on item  
counting and masses (Bläsing and Amelung, 2018), while surface measurements were  
applied by only a few authors. In different studies, BET analyses with N<sub>2</sub> were performed to  
220 determine the specific surface area of aquatic microplastic samples and their alteration  
through weathering (e.g. Wang and Wang, 2018; Zhang et al., 2018a; Fotopoulou and  
Karapanagioti, 2012). Suchlike measurements in terrestrial environments are complicated as,  
very similar to particle sizing, the quantification of microplastic surfaces requires a complete,  
selective and non-destructive separation from the soil mineral matrix and elimination of POM,  
225 that combines oxidative pre-treatment, mechanical agitation, density fractionation, oxidative  
post-treatment and a method of surface determination (Kaiser and Berhe, 2014; Büks and  
Kaupenjohann, 2020; Büks et al., 2021). This might be a reason, that BET measurements as  
well as porosimetric methods were not yet adapted and applied to this task.

Existing quantifications with other techniques showed a surface area of 12.6±52.8 mm<sup>2</sup> kg<sup>-1</sup>  
dry soil (Zhang et al., 2020). In contrast, macrofragments >5 mm, that were picked from soil  
230 samples, were found to additionally provide a much higher surface up to 21900±16700  
mm<sup>2</sup> kg<sup>-1</sup> dry soil, which indicates an enormous potential of future soil microplastic supply  
(Ramos et al., 2015; Zhang et al., 2020). However, these works with camera-microscopic  
identification and counting are highly limited due to visual detection and the general exclusion  
of fragments <50 µm. This implies an unknown underestimation of fractions with small particle  
235 size and, thus, a large specific surface area. For that reason, an optimized design of surface  
analyses with preceding complete and selective separation of microplastic from soil matrices  
is crucial for any kind of analyses of soil microplastic surface characteristics. To date, the  
development of such a procedure seems possible, since metal filters with a mesh aperture of  
5 µm and strategies for selective sample preparation become available (Büks and  
240 Kaupenjohann, 2020).

## 6 Weathering of plastic in the environment: The photooxidative and the biogeochemical phase

245 Provided that we do not only want to analyze the environmental soil microplastic, but also  
simulate processes in the laboratory, experiments require the use of artificial plastic samples.  
Hence, we have to take into account the alteration of microplastic surface characteristics due  
to weathering processes. Most studies on the impact of microplastic on soil structure and  
fauna used juvenile plastic, that has strong hydrophobic and uniform surfaces unlike material  
that received natural or artificial aging (e.g. de Souza Machado et al., 2018; de Souza



250 Machado et al., 2019; Lehmann et al., 2019; Liang et al., 2019; Zhang et al., 2019a; Büks et al., 2020a). How does plastic aging in soils actually look like?

In a microscopic perspective, the surfaces of juvenile plastic items are normally smooth and uniformly structured with nearly no surface charge (Fotopoulou and Karapanagioti, 2012; Fotopoulou and Karapanagioti, 2015). When exposed to sunlight, which is mainly the case in the “use and dispose” phase of the product life cycle, the weathering of plastic is largely driven by photooxidation. The incoming solar photons need to hit flaws (chromophores) within the polymer structure with wavelengths in the UV and blue spectrum to initiate photooxidative decay (Pickett, 2018). These reactions on impurities or structural groups like -NH- or aromatic rings along the polymer chain generate radicals, which cause chain scissions and reactions with nearby polymers and O<sub>2</sub> resulting in crosslinks and a wide spectrum of carbonyl groups that increase surface charge (ter Halle et al., 2017; Dong et al., 2020). From the point of view of the macroscopic observer, the plastic becomes less hydrophobic, stiff, brittle and more prone to comminution. Further additives such as inks, plasticizers, flame retardants, UV absorbers and HALS (hindered amine light stabilizers) are degraded in parts also by longer wavelengths of the UV-vis spectrum. The underlying reaction rates, except for the initial radical formation, increase with temperature and are also accelerated with advancing decay of chemical UV protection. This phase of weathering is well researched and reviewed (e.g. Kokott, 1989; Pickett, 2018), but it is not the final chapter.

When plastic is then exposed to the soil, the composition of weathering parameters changes significantly (Table 2). The plastic is now faced to new mechanical stresses such as (bio)turbation and largely moist conditions that provide for biogeochemical attacks. One of these factors is the diverse and active soil fauna, that has been shown to ingest, digest and excrete plastic particles that fit to their gastrointestinal tract (Büks et al., 2020). Some taxa like woodlice, termites, mealworms and earthworms were additionally found to comminute plastic by gnawing and, hence, actively produce microplastic (e.g. Lenz et al., 2012; Zhang et al., 2018b; Büks et al., 2020). In winter, when the mechanical treatment by biota is reduced, freeze-thaw-cycles might be an additional factor of comminution. Studies on the effect of alternating freezing and thawing on the structure of plastic surfaces are sparse and only focus on composite materials that include non-plastic components (Wang et al., 2007; Adhikary et al., 2009; Zhou et al., 2014). However, water, that has already entered the cracks of weathered plastic with reduced hydrophobicity, most likely contributes to the comminution of the brittle material by freezing and expansion.

While moisture evaporates quickly on sun-exposed, heated plastic surfaces and is then not an important factor of weathering (Pickett, 2018), in soils it is the ubiquitous condition for microbial life, extracellular metabolic processes and the release of chemical agents that react with the plastic. The microbial colonization and biofilm formation on surfaces of microplastic particles has been shown in studies on various aquatic ecosystems (e.g. Zettler et al., 2013; McCormick et al., 2014; Oberbeckmann et al., 2015; Dussud et al., 2018; Jiang et al., 2018).



290 Much scarcer in number, recent studies on soil ecosystems found surfaces of differently  
originated microplastics inhabited by soil microbial communities, whose composition differs  
widely from the soil matrix (Chai et al., 2020; Zhang et al., 2019b). This leads to a soil  
microbial community altered due to microplastics application (Ng et al., 2020; Wang et al.,  
2020). The population is thereby not only determined by the physiochemical properties of the  
surrounding soil, but also by the type of plastic (Chai et al., 2020; Wiedner and Polifka, 2020;  
295 Yi et al., 2020 ). In contrast, Yan et al. (2020) showed, that community composition as well as  
P, NO<sub>3</sub><sup>-</sup> and NH<sub>4</sub><sup>+</sup> status of soils could be rather influenced by additives than polymer.

The degree of weathering also might be a control factor for biofilm cover, but to the best of our  
knowledge, there are no studies on how biofilm development on plastic is affected by the  
alteration of specific surface characteristics. Biofilm attachment as well as enzymatic  
300 degradation are, however, supposed to be hindered by high hydrophobicity, low specific  
surface area and smooth surface topography of plastic particles. Thus, advancing  
photooxidative alteration of surfaces and brittle fracture might increase the formation of a  
mature biofilm and the degradation of the plastic (Wei and Zimmermann, 2017).

Yet, we know that most bacteria have a net negative zeta potential (Tuson and Weibel, 2013).  
305 Fotopoulou and Karapanagioti (2012) estimated the point of zero charge of beached  
microplastic to be at pH 6.1, causing a negative charge in environments with higher pH values  
due to the deprotonation of functional groups. Similar, plastic in soils with lower pH has a  
positive surface charge and, thus, promotes the initial electrostatic attachment of cells. This  
adhesive effect, however, is lessened in fluids with increasing ionic strength such as in soil  
310 solution (Tuson and Weibel, 2013). Furthermore, both juvenile and weathered plastics adsorb  
polar, non-polar and amphiphile organic molecules, some of them produced by the microbial  
community, and develop a preconditioning film, that also affects surface charge. Also fungi  
can use secreted hydrophobins to alter the hydrophobicity of a surface without its chemical  
alteration and adsorb even on hydrophobic particles (Wessels, 1996). In consequence, the  
315 type of outward-looking functional groups of the preconditioning film depends on the adsorbed  
molecules and might “overwrite” the physiochemical properties of the plastic surface with  
progressing development. The same cloaking mechanism can be expected during the  
development of a mature biofilm. For this reason, it seems more difficult than expected to  
estimate surface properties of colonized plastic particles from the degree of weathering.

320 A biofilm, in turn, causes the alteration of the plastic surface. Not only a viscous matrix, that  
protects bacteria against mechanical stress, predators, desiccation and irradiation, it is also  
an extracellular reaction space that facilitates the concentration and metabolization of  
nutrients and the recycling of dead cell material (Flemming and Wingender, 2010). For this  
purpose, manifold extracellular enzymes are produced by the biofilm community to  
325 decompose food sources or modify the biofilm matrix in face of e.g. oxygen or nutrient  
gradients (Flemming and Wingender, 2010). Among these are esterases, proteases and  
amidases that target on substrates like polysaccharides, proteins, extracellular DNA, lipids



and urea, but also allow cometabolism of artificial polymers such as diverse polyesters, ester-based PU and PET (Shimao, 2001; Wei and Zimmermann, 2017; Danso et al., 2019). Yoon et al. (2012) showed an unexpected degradation of PE by a bacterial alkan hydroxylase, and, beyond this, Yoshida et al. (2016) found the specific targeting of PET with a bacterial PETase. Polymers that have C-C backbones and no hydrolysable functional groups such as juvenile PE, PP, PS and PVC are assumed to be very slowly to hardly biodegradable by this groups of enzymes even in harsh environments. In contrast, unspecific lignin-degrading enzymes such as laccases, manganese peroxidases, hydroquinone peroxidases and lignin peroxidases produced by actinomycetes, other bacteria as well as fungi, were shown to depolymerize even plastics such as PE, PS and PA, that were considered recalcitrant (Bhardwaj et al., 2013; Wei and Zimmermann, 2017).

In most of these cases, the observed decay gives no full evidence for polymer degradation. It cannot be excluded that the measured weight loss during decomposition is caused by the degradation of additives, because many studies worked with commercial polymers, that have concealed compositions (Danso et al., 2019). However, beside the direct proof of enzymatic degradation pathways there are numerous references on the metabolization of (bio-)plastic samples by bacterial and fungal strains (e.g. Bhardwaj et al., 2013; Kale et al., 2015; Raziya-fathima et al., 2016; Roohi et al., 2017). In contrast, for PP and PVC neither degrading enzymes nor observed decay were reported (Danso et al., 2019). The practical side is, that enzymes, that have not been shown to target on plastics, are applied to purify extracted soil microplastic by degrading biofilms, that stabilize soil structure, or co-extracted organic matter (Büks and Kaupenjohann, 2016; Löder et al., 2017).

The microbial decay of microplastic does not only take place at plastic-biofilm interfaces within the soil pore space, but also within the soil fauna. Equipped with a diversity of masticatory organs, the edaphon does not only take part in the comminution of plastic objects as shown for woodlice, termites, meal-worms and earthworms (Büks et al., 2020a). It is also a multitude of small, mobile bioreactors, that incubate soil particles including microplastic within a habitat of high microbial diversity – their gastrointestinal tract – and distribute them throughout the soil by excretion. A well known example for this multifaced functionality is the earthworm. There are also indications that the mealworm microbiome is able to degrade PE and PS to an eminent degree beyond the proportion of additives, but with yet no information on the underlying reactions (e.g. Brandon et al., 2018). In contrast, one-time short-term exposition to gastro-intestinal enzymes might not be sufficient for such results. A sequential treatment of juvenile PE, PP, PVC, PET and PS to artificial human mouth, stomach and intestine exudates with amylase, protease and lipase for in total 155 minutes showed no significant alteration of size and shape of the microplastic particles, whereas the chemical alteration of surface properties was not measured (Stock et al., 2020). The gastro-intestinal passage, however, is a complex mixture of degrading factors and is run through several times when plastic has entered the soil ecosystem.



370 Beside the soil biome, soil pH and oxidants are expected to directly influence the  
 belowground alteration of plastic surfaces. While there is – to the best of our knowledge – no  
 systematic examination of the effect of soil born acids, bases or oxidizing agents within  
 natural ranges of concentration and time of exposure, the treatment of plastic fragments with  
 concentrated reagents caused damaging effects from color leaching and expansion to total  
 dissolution (Enders et al., 2017). However, pre- and post-treatment with oxidants such as  
 H<sub>2</sub>O<sub>2</sub> are common parts of the extraction of microplastic from soil samples with density  
 375 fractionation (Büks and Kaupenjohann, 2020). The agent is thereby used to degrade organic  
 matter that stabilizes soil aggregates in advance to the extraction of occluded microplastic or  
 eliminates co-extracted particulate organic matter (POM) after the separation. A negative  
 effect on plastic surfaces has not been ruled out in numerous applying studies.

**Table 2:** Development of surface characteristics during the three phases of aging (juvenile, photooxidative and biogeochemical phase). Data of biogeochemical weathering are only known from aquatic systems. (?) marks assumptions based on biogeochemical processes found in soils. Some references are: <sup>1</sup>Fotopoulou and Karapanagioti (2012), <sup>2</sup>Fotopoulou and Karapanagioti (2015), <sup>3</sup>ter Halle et al. (2017), <sup>4</sup>Dong et al. (2020), <sup>5</sup>Pickett (2018), <sup>6</sup>Andrady et al. (1993).

characteristic	juvenile phase	photooxidative phase	biogeochemical phase
topography	smooth <sup>1,2,4</sup>	rough <sup>5</sup>	rough <sup>1,2,4</sup>
surface charge, carbonyl index	no <sup>1,2,3,4</sup>	yes <sup>6</sup>	increasing <sup>1,2,3,4,(?)</sup>
crystallinity, crosslinks, chain scissions	low <sup>3</sup>	high <sup>5</sup>	increasing <sup>3,4,(?)</sup>
biofilm cover	low	low	growing or mature <sup>2,5,(?)</sup>
aging factors	no	UV radiation <sup>5</sup> blue/violet spectrum <sup>5</sup> frequent leaching <sup>5</sup>	enzymes <sup>(?)</sup> organic acids <sup>(?)</sup> inorganic acids <sup>(?)</sup> bases <sup>(?)</sup> oxidants <sup>(?)</sup> bioturbation <sup>(?)</sup> feeding by the edaphon <sup>(?)</sup> frequent leaching <sup>(?)</sup> freeze-thaw-cycles <sup>(?)</sup>

380 In conclusion, soil provides a variety of biogeochemical factors that cause continuous  
 weathering of plastics. The majority of soil microplastic is therefore assumed to be  
 biogeochemically weathered to a certain degree. The rate of this process, however, and its  
 extent are still unknown, and some of the above fast alteration processes contrast with  
 observations of very slow decomposition in soils (Bläsing and Amelung, 2018).



385 As soil environmental microplastic is actually altered, laboratory experiments require the  
application of plastic particles with similar surface characteristics. The particles have to be  
produced instead of collected, since the extraction from natural soils is unsuitable in many  
cases to provide the required microplastic, e.g. if pure plastic types, a defined degree of  
weathering or large amounts are needed. A number of studies in the last decades showed  
390 significant differences between weathered and juvenile plastics and also between plastics that  
have been subject to photooxidative weathering under natural and artificial conditions with  
certain sources of radiation (e.g. Howard and Gilroy, 1969; Real et al., 2005; Friedrich, 2018;  
Dong et al., 2020). To the best of our knowledge, there is a lack of studies that compare the  
results of photooxidative close to nature techniques with those of belowground weathering.  
395 Do similar characteristics arise from these two types of aging, or do we have to speak of three  
stages of weathering, the juvenile, the photooxidative and biogeochemical phase, that have to  
be taken into account in future soil microplastic experiments? And do current techniques of  
artificial weathering have the potential to alter microplastic similar to soil conditions?

#### 400 **7 Artificial weathering for laboratory and field experiments**

A large group of treatments used for accelerated weathering of plastic surfaces originates  
from early materials science and industrial processes and includes an imitation of solar  
radiation by an UV or full-spectrum lamp, controlled temperatures and artificial irrigation with  
at least one of these factors enhanced compared to natural conditions (Pickett, 2018).  
405 Treatments of several weeks cause severe weathering leading to enhanced crystallinity,  
density and cracked surfaces (Gulmine et al., 2003). Whereas formerly used carbon arc  
lamps are outdated because they emit a spectrum unlike natural sunlight (Howard and Gilroy,  
1969), many industrial weathering protocols advice xenon arc lamps with borosilicate filters,  
that adjust the emitted spectrum tighter to the natural UV spectrum (DIN EN ISO 4892-2), or  
410 fluorescent UV lamps (DIN EN ISO 4892-3). The performance of these lamps can be  
enhanced by use of modern daylight filters, a steady temperature of 38°C, relative air  
humidity of 25 to 50 % and regular washing of the sample surfaces by deionized artificial rain  
(Pickett, 2018). The equivalent incubation time corresponding to a certain period of natural  
weathering can be roughly estimated following Pickett (2018), but strongly depends on the  
415 type of plastic. Standardized methods following DIN EN ISO 4892-2/3 are designed for  
studies on materials exposed to sun and weather, but are also currently applied in soil  
science approaches (BMBF initiative “Plastik in der Umwelt”, e.g. Büks et al., 2021).

Beside the use of UV, the gamma irradiation is reported to imitate the carbonyl stretch in PE  
samples similar to a long-term exposition to UV-B radiation (Johansen et al., 2019).  
420 Furthermore, Zhou et al. (2020) could demonstrate that discharged plasma oxidation (DPO) is  
likewise suitable to increase surface area, crystallinity and carbonyl indices of plastic particles  
within hours. However, plastic buried in soils is exposed to biogeochemical factors of



weathering different from the initial superficial exposition when entering the dimmed world of soil fauna, microorganisms, enzymes, organic acids, root exudates and frequent leaching.

425 The integration of biogeochemical factors into pre-weathering of artificial microplastic is considered only in a few studies (Table 3), alas fragmentary, heterogeneous and often directly applied to juvenile plastic. In experiments with soil organisms only a few authors pre-weathered the applied microplastic (Büks et al., 2020a). Tsunoda et al. (2010) heated plastic items within a water bath at 90 °C for 3 weeks and abraded the surface prior to feeding experiments with termites. This treatment was aimed to make the surface more accessible for gnawing and might also extract soluble additives from the juvenile plastic. In another experiment, the formation of biofilms on microplastic surfaces was provoked by four weeks of incubation in seawater to make the material more attractive as a food source for the lugworm *Arenicola marina* (Gebhardt and Forster, 2018), an approach that can be likewise applied with soil solution. With the intention to clean up artificial microplastic from soluble substances and fine particles, juvenile plastics were also treated with organic solvents such as methanol (Wang et al., 2019), ethanol (Rodrigues-Seijo et al. 2018; Rodrigues-Seijo et al., 2019) or pentane plus octane (Huerta Lwanga et al., 2016; Huerta Lwanga et al., 2017b; Yang et al., 2019). If the plastic type is prone to the solvents, the surface is roughened by the dissolution of oligomers and, thus, increased. However, these techniques are not assumed to increase carbonyl groups and surface charge. Thus, they do not change the interaction with the soil matrix and the soil fauna, and were never tested on the similarity with natural weathering.

**Table 3:** Approaches of surface (pre-)weathering in recent experiments with soil microplastic. The abbreviations used in this table are as follows: UV – ultraviolet, TBBPA – tetrabromobisphenol A, FE – feeding experiment. Polymers: BD – biodegradable plastics, OP – oxodegradable plastics, PA – polyamide, PE – polyethylene, PO – polyolefins, PP – polypropylene, PVC – polyvinyl chloride, TCE – thermoplastic copolyester elastomers. NA denotes that information was not available.

aging factor	applied plastic type	aging time (d)	resulting characteristics	experimental focus	reference
UV radiation (climate chamber)	diverse	variable	photooxidative aging	diverse	DIN EN ISO 4892-2, DIN EN ISO 4892-3
gamma irradiation ( <sup>60</sup> Co source)	PE, PP	NA	photooxidative aging	cation adsorption	Johansen et al. (2019)
discharged plasma oxidation (DPO)	PVC	0.02	photooxidative aging	TBBPA adsorption of and toxicity to algae	Zhou et al. (2020)
water bath (90°C) + abrasion	PO, PA, PE, TCE	21	extraction of additives, increased accessibility for feeding organisms	feeding experiment with termites	Tsunoda et al. (2010)
incubation in seawater	PA, PS	28	surface biofilm formation	FE lugworms	Gebhardt and Forster (2018)
incubation in aquatic systems	PE, PP	19	surface biofilm formation	cation adsorption	Johansen et al. (2019)
methanol treatment	PE, PS	NA	extract soluble additives	FE earthworms	Wang et al. (2019)
ethanol treatment	PE	NA	extract soluble additives	FE earthworms	Rodrigues-Seijo et al. (2018)
pentane + octane treatment	PE	NA	extract soluble additives	FE earthworms	Rodrigues-Seijo et al. (2019)
		NA	extract soluble additives	FE earthworms	Huerta Lwanga et al. (2016)
		NA	extract soluble additives	FE earthworms	Huerta Lwanga et al. (2017b)
plastic nursing (soil)	BD, OD, PE	~150	belowground weathering	mulch foil degradation experiment	Yang et al. (2019) Martin-Closas et al. (2016)



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plastic nursing (soil, compost)	BD, PE	14-365	belowground weathering	feeding experiment with earthworms	Zhang et al. (2018b)
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445 Some authors avoided artificial weathering and instead applied natural aging over shorter  
periods of time, which can be used as a kind of “plastic nursing”. Mulching films were aged  
between two weeks and 12 month by regular exposure in horticultures or buried into soils or  
composts (e.g. Martin-Closas et al., 2016; Zhang et al., 2018b). This treatment changes the  
physiochemical characteristics of plastics similar to environmental short-term weathering  
belowground and is suitable for aging large amounts of plastic, but might be very costly in  
450 terms of time when the production of strongly weathered microplastic is needed.

In conclusion, most studies either used a pre-weathering approach originated from materials  
science that only allows for aboveground alteration, or single surface editings on juvenile  
plastic that are aimed to simulate leaching, roughening and superficial biofilm formation, but  
still lack systematic justification. To the best of our knowledge, a full chain of aging – leaching  
455 of additives, photooxidative and biogeochemical aging – was never designed, tested or  
applied. The quality of future experiments with artificial microplastic will benefit from a  
standardized protocol that reproduces all stages of natural weathering.

## 8 Perspectives for future experiments

460 For decades, global soils received microplastic that is dispersed and occluded into the soil  
structure by biological and physiochemical processes. The particles underlie mechanical and  
biogeochemical alteration leading to a continual comminution and aging. This creates a  
growing fraction of weathered small-sized microplastic with low mass concentration ( $\text{mg kg}^{-1}$   
dry soil), but high number of items and large surface area ( $\text{mm}^2 \text{kg}^{-1}$  dry soil), which is  
465 assumed to cause adverse effects on soil faunal health and influences soil structure more  
than larger particles. However, most studies on soil structure and soil biota – important  
attributes of soil health – worked with juvenile polymers, that have surface characteristics very  
different from aged plastic. Future research projects should therefore direct their attention to  
the measurement and reproduction of environmental soil microplastic surface characteristics  
470 in field and laboratory experiments. Based on the broad variety of degrading agents in soils  
and the fast formation of preconditioning films and biofilm cover on microplastic surfaces, the  
artificial alteration of soil microplastic surfaces is not sufficiently imitated by UV weathering,  
but requires an imitation of aging processes in soil. A standardized method of aging, that  
reproduces all phases of environmental weathering, will help us to precisely characterize the  
475 actual effects on microplastic on soil ecosystems. This method should include the  
photooxidative aging within climate chambers or nature, leaching of additives as well as  
biogeochemical weathering and surface biofilm formation.





### **Data availability**

All of the data are published within this paper and in the Supplement.

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### **Author contributions**

FB developed the review concept, collected data and prepared the paper. MK supervised the study by participating in structural discussions on the idea and concept of the paper and the final corrections.

485

### **Competing interests**

The authors declare that they have no conflict of interest.



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