

# 1 Review 1

## 2 GENERAL COMMENT

3 The paper presents MIRS predictions of soil total C and N concentrations (TC, TN) in six regions of  
4 Central Africa separately, using the AfSIS Sub-Saharan library with no Central African soils (Strategy  
5 1), possibly completed with the samples from the five other regions (Strategy 2), possibly completed  
6 with spiking samples from the same region (Strategy 3). This is done with the Memory-based learning  
7 (MBL) regression procedure, which uses spectral calibration neighbors for building a PLS regression  
8 for each target sample individually.

9 This is very interesting, but the paper suffers several drawbacks. Some methodological aspects are  
10 not presented (selection of the number of latent variables in global calibrations developed for  
11 optimizing spectral pretreatment; window size for calculating spectral similarity; possible cut-off value  
12 for spectral similarity; minimum and maximum number of latent variables for calculating weighted  
13 average predictions) or not discussed (pretreatment selection on X residues instead of Y residues, as  
14 usually; forcing spiking samples into neighborhoods; why not testing a strategy without AfSIS dataset,  
15 to evaluate its usefulness), some terms are not introduced/defined (hold-out and validation sets;  
16 ME<sub>pred</sub>; notion of accurate prediction), and some points are unclear (what were Central African  
17 samples out of the six core regions used for? why were AfSIS sentinel sites divided into hold-out and  
18 validation sets?). Some results are misinterpreted (using RMSE for comparing predictions between  
19 regions with different distributions of TC or TN; differences between strategies), others are not  
20 presented in the text (effect of the number of spiking samples) or not discussed (negative effects of  
21 Strategy 2 in several cases), and conclusions often seem too optimistic ("accurate predictions" etc.  
22 while error represented  $\geq 30\%$  of observed mean in most cases).

23 For these reasons, I recommend moderate revision.

24 We thank the reviewer for the detailed comments and constructive criticism. We fully agree that there  
25 is some methodological information missing, which we will add accordingly or discuss in the  
26 corresponding comments below. We also acknowledge that some terms need further explanation and  
27 the structure of the presentation needs to be more clear. We will therefore only present the six regions  
28 we actually worked with in our manuscript and will add a table for the entire spectral library in the  
29 annex. We also agree that there were some misinterpretations that arose from considering only  
30 RMSE<sub>pred</sub>. To analyse the predictions between the regions, we will use the RPIQ<sub>pred</sub> instead, and only  
31 when comparing strategies within the same region, we use the RMSE<sub>pred</sub>. Additionally, we propose to  
32 replace Table 3 by a figure (see below), which visually depicts each distribution of total carbon and  
33 total nitrogen contents, including a boxplot, showing the interquartile ranges. Furthermore, we discuss  
34 the partially negative effect of strategy 2 compared to 1 in detail and also report and discuss the effect

35 of spiking in detail. Overall, we are convinced that we will be able to implement these changes as  
36 discussed below and it will improve the readability and quality of the manuscript.

37 Please note that in the answers we provide here we do not distinguish between the comments made  
38 by the reviewer in capital letters and comments made in lowercase letters.

#### 39 SPECIFIC COMMENTS

40 The title is short, which may be an advantage, but I wonder if it is informative enough; moreover the  
41 genericity of the work is not highlighted (i.e. using a large spectral library for predictions in poorly  
42 documented areas).

43 The actual title is a general title meant for a broad audience interested in quantitative soil  
44 assessments, who may not necessarily be experts in spectroscopy. However, we agree that the title  
45 should be more specific and will change it accordingly:

46 **A new soil infrared library for central Africa and a geographical prediction analysis.**

47 L8-9. What was done with the six core regions, and what the three levels of extrapolation consisted of,  
48 should probably be specified a little bit. Moreover, specifying the size of AfSIS SSL would be useful.

49 We agree with the reviewer that the strategies in the abstract lacked some details described in the  
50 abstract and will implement the following changes starting from line 7 (see below):

51 *“For the analysis, we used six regions from the CSSL, which we predicted using an existing*  
52 *continental SSL (African Soil Information Service, AfSIS; n = 1902) that does not include central*  
53 *African soils. We explored three different strategies, at decreasing degree of geographic*  
54 *extrapolation, to predict total carbon (TC) and total nitrogen (TN) contents of the six selected regions*  
55 *using models built with (1) the AfSIS SSL only, (2) AfSIS SSL combined with the five remaining*  
56 *regions, and (3) a combination of AfSIS SSL, the remaining five regions, and selected samples from*  
57 *the target region.”*

58 L13-14. Improvement was not clear for TC, from RMSE=0.38-0.86% to 0.41-0.89%. more details?  
59 split up into regions? Moreover, I wonder if such prediction errors allow considering the approach as  
60 particularly useful (i.e. is information ACCURATE ENOUGH?). Note that RMSE is not particularly  
61 informative as long as distribution has not been specified (e.g. RMSE=3 is small if mean=30 and  
62 SD=10, but high if mean=10 and SD=5), so adding RPIQ would be useful.

63 We agree with the reviewer that the presentation of these ranges does not show improvements. This  
64 is now clarified in the abstract (see above); we will change the abstract as proposed above and will  
65 suggest that readers assess the cost-benefit of investing in new sampling versus gaining accuracy.

66 L38. Cost is one reason, there are probably others.

67 The reviewer is correct, there are numerous other reasons for missing soil data in central Africa,  
68 including but not limited to accessibility to sampling areas, infrastructure, and political instability. We  
69 will include these other factors in the revision.

70 L52-53. The notion of "positive predictive transfer" is unclear for me.

71 We thank the reviewer for the comment and we agree that this notion was not clearly formulated. With  
72 "positive predictive transfer" we describe the information transferred from a large infrared library for a  
73 new calibration of a local set as described by Padarian et al. (2019). The calibration of a new local set  
74 using a large-scale spectral library can be complex in soil science, especially when the local set  
75 covers a different geographical domain than the library. Soil spectral libraries become particularly  
76 useful when a large amount of their relevant information can be extracted in a way that it improves  
77 prediction accuracy (positive transfer) and minimizes the number of additional costly local reference  
78 measurements for quantifying soil properties in the local set (accuracy-cost trade-off). To avoid  
79 technical jargon we will rephrase the paragraph L48-L55 and move it to L60, where it fits better into  
80 the context:

81 *"One of the main aims of establishing large-scale SSLs is to minimize the need for future wet*  
82 *chemical analyses (e.g., Nocita et al., 2014; Stevens et al., 2013; Shi et al., 2014; Viscarra Rossel et*  
83 *al., 2016). However, these libraries often span vast geographical areas that include different soil types*  
84 *and climate zones, which comprise complex soil organic C forms and mineral compositions. Due to*  
85 *this heterogeneity, predictions rendered by global linear regression models are often unfeasible for*  
86 *new local soil property assessments at a regional, field or plot-scale, especially when the new set*  
87 *covers another geographical domain than the library. Pandiran et al. (2019) could considerably*  
88 *improve prediction accuracies for a new local set when using a compositionally related subset from a*  
89 *large-scale SSL together with a small number of local reference analyses. The cost-accuracy trade-off*  
90 *can be met when the accuracy of the library-based prediction is similar to the one made when*  
91 *applying a local but more costly calibration strategy. Several data-driven methods have proven to be*  
92 *successful to overcome this issue, for example RS-LOCAL (Lobsey et al., 2017) and memory-based*  
93 *learning (a.k.a local learning e.g. Ramirez-Lopez et al., 2013; Shenk et al., 1997; Naes 1990). In*  
94 *addition, other promising approaches have also been proposed, although they require more research*  
95 *(e.g. deep learning (Ng et al. 2019), fuzzy rule-based systems (Tsakiridis et al. 2019))."*

96 Padarian, J., Minasny, B., McBratney, A.B.: Transfer learning to localise a continental soil vis-NIR  
97 calibration model, *Geoderma*, 340, 279-288, <https://doi.org/10.1016/j.geoderma.2019.01.009>, 2019.

98 L64-67. LOCAL and Locally weighted PLSR should probably be cited, as they also aim at selecting  
99 spectral calibration neighbors, and were used earlier in soil spectroscopy.

100 We agree with the reviewer and propose the following changes, together with the next comment (L64-  
101 70) (see below).

102 L64-70. In my opinion, approach complexity should be considered: some approaches are rather  
103 simple (e.g. spiking) thus widely usable, while others are complex thus usable only by experts (e.g.  
104 the fuzzy rule-based system proposed by Tsakiridis et al. 2019).

105 We will rephrase the paragraph as following and add two references to the reference list:

106 *“Several data-driven methods have proven to be successful in overcoming this issue, for example RS-*  
107 *LOCAL (Lobsey et al., 2017) and memory-based learning (a.k.a local learning e.g. Ramirez-Lopez et*  
108 *al., 2013; Shenk et al., 1997; Naes 1990). In addition, other promising approaches have also been*  
109 *proposed, although they require more research (e.g. deep learning (Ng et al. 2019), fuzzy rule-based*  
110 *systems (Tsakiridis et al. 2019)).”*

111 Naes, T., Isaksson, T., & Kowalski, B.: Locally weighted regression and scatter correction for near-  
112 infrared reflectance data. *Analytical Chemistry*, 62, 664–673, <https://doi.org/10.1021/ac00206a003>,  
113 1990.

114 Tsakiridis, N., Theocharis, J., Panagos, P., & Zalidis, G.: An evolutionary fuzzy rule-based system  
115 applied to the prediction of soil organic carbon from soil spectral libraries. *Applied Soft Computing*, 81,  
116 1-18, <https://doi.org/10.1016/j.asoc.2019.105504>, 2019.

117 Ng, W., Minasny, B., Montazerolghaem, M., Padarian, J., Ferguson, R., Bailey, S., McBratney, A.B.:  
118 Convolutional neural network for simultaneous prediction of several soil properties using visible/near-  
119 infrared, mid-infrared, and their combined spectra, *Geoderma*, 352, 251-267,  
120 <https://doi.org/10.1016/j.geoderma.2019.06.016>, 2019.

121 L86. "covers a large geographic area" is questionable as the sample population is clustered, and a  
122 wide area is not represented (i.e. between Kinshasa, Tshopo and Katanga).

123 The reviewer is correct! The sampling locations did not cover the entire area and the term is  
124 potentially misleading. We will address this comment in line 86 accordingly:

125 *“The sample locations are clustered in eight regions distributed over a large geographical area of*  
126 *central Africa, from a latitude of ...”*

127 L99. The way samples were dried should be specified, moreover they had probably been 2-mm  
128 sieved previously.

129 We thank the reviewer for requesting this information. The samples were all sieved through a 2 mm  
130 mesh and either air dried or oven-dried at temperatures of 50 °C, 60 °C or 105 °C, all of them suitable  
131 for total carbon and nitrogen analyses. After sieving and drying, soil samples were ground to a powder  
132 (< 50 µm) using a ball mill. We will include these details in the revised manuscript

133 Tab.2. I've not understood how samples from Equateur, Bas-Uélé, North Kivu and Kongo-Central  
134 were used (they are not mentioned in Strategy 2, L204-205).

135 The regions Equateur, Bas-Uélé, North Kivu and Kongo-Central were excluded for the further  
136 analyses because they did not have enough samples to allow for reliable analysis (< 80 samples per  
137 region). With this table, we intended to present the entire infrared library we created. However, we  
138 fully understand that this is confusing here and we will remove these regions from this table but  
139 present the full library (including these four regions: Équateur, Bas-Uélé, North Kivu and Kongo-  
140 Central) in a supplementary table in the appendix.

141 L106-107. Does this suggest charcoals were considered organic, or negligible?

142 This is a legitimate question, since slash-and-burn is commonly used to clear fields in central Africa  
143 which adds charcoal to the topsoils. For our soil analyses, visible pieces of charcoal were removed,  
144 which could clearly influence TC measurements in certain samples. This detail will be added in the  
145 methods.

146 L112. SPECIFYING PARTICLE SIZE WOULD BE USEFUL (< 0.2 mm? < 0.1 mm?).

147 All samples were grinded to a powder (<50 µm) using a ball mill, which is sufficiently accurate for soil  
148 spectral diagnostics. Diess et al. (2020) report sufficiently accurate model estimates when grinding  
149 below 0.5mm, and Guillou et al. (2015) even report no significant differences at particle size  
150 thresholds of 1.0mm, 0.5mm and 0.25mm thresholds. We will add this information to the method  
151 section.

152 Deiss, L., Culman, S. W., & Demyan, M. S.: Grinding and spectra replication often improves mid-  
153 DRIFTS predictions of soil properties, *Soil Science Society of America Journal*, 84, 914–929.  
154 <https://doi.org/10.1002/saj2.20021>, 2020.

155  
156 Guillou, F. L., Wetterlind, W., Viscarra Rossel, R. A., Hicks, W., Grundy, M., & Tuomi, S.: How does  
157 grinding affect the mid-infrared spectra of soil and their multivariate calibrations to texture and organic  
158 carbon? *Soil Research*, 53, 913-921, <https://doi.org/10.1071/SR15019>, 2015.

159 L113, L125. Spectral range and resolution should probably be specified.

160 We thank the reviewer for bringing this to our attention. We fully agree and will change the sentences  
161 accordingly:

162 *All samples were measured with a VERTEX70 Fourier Transform-IR (FT-IR) spectrometer with a High*  
163 *Throughput Screening Extension (HTS-XT) (Bruker Optics GmbH, Germany) in order to measure their*  
164 *MIR reflectance spectra. Spectra were acquired in a resolution of 2 cm<sup>-1</sup> within a range of 7500 cm<sup>-1</sup>*  
165 *to 600 cm<sup>-1</sup>, which corresponds to a wavelength range of 1333 nm to 16667 nm. A gold coated*  
166 *reflectance standard (Infragold NIR-MIR Reflectance Coating, Labsphere) was used as a background*  
167 *material for all measured soils in order to normalize the sample spectra. Reflectance was transformed*  
168 *into absorbance using log(1/reflectance) prior to further processing and subsequent modeling.*

169 L125. Spectra were collected on AfsIS and CSSL samples with different spectrometers, so the  
170 question of compatibility should be addressed (e.g. was there standardization?).

171 The reviewer raises an important point regarding the compatibility of data from two different spectral  
172 libraries. Luckily, the two instruments were both FT-IR spectrometers from BRUKER which use the  
173 same settings and the same internal standards. The scanning methods of the CSSL were adapted to  
174 the ICRAF standard operating procedures. For these reasons, no instrument standardization was  
175 necessary and all spectra between the libraries can be compared one to one. This information will be  
176 added to the methods section of the revised manuscript.

177 L132. A reference dealing specifically with soils would probably be more appropriate.

178 We agree that a more soil specific reference would help to point out the importance of the effect of  
179 pre-processing and we therefore suggest the two following publications:

180 Seybold, C.A., Ferguson, R., Wysocki, D., Bailey, S., Anderson, J., Nester, B., Schoeneberger, P.,  
181 Wills, S., Libohova, Z., Hoover, D. and Thomas, P.: Application of Mid-Infrared Spectroscopy in Soil  
182 Survey. Soil Science Society of America Journal, 83, 1746-1759,  
183 <https://doi.org/10.2136/sssaj2019.06.0205>, 2019.

184 Sila, A. M., Shepherd, K. D., and Pokhariyal, G. P.: Evaluating the utility of mid-infrared spectral  
185 subspaces for predicting soil properties, Chemometrics and Intelligent Laboratory Systems, 153, 92–  
186 105, <https://doi.org/10.1016/j.chemolab.2016.02.013>, 2016.

187 L140. p is not defined. Actually P is a  $d \times l$  matrix, not a  $d \times p$  matrix.

188 We thank the reviewer for spotting this typo! “ $d \times l$  matrix” is correct and we will change it as  
189 suggested by the reviewer.

190 L145-161. The error E depends on the NUMBER OF LATENT VARIABLES (l). HOW WAS THIS  
191 PARAMETER DEFINED? Moreover, the EXPECTED BENEFIT OF THIS APPROACH (i.e. computing  
192 Xcssl residues) for optimizing spectral pretreatment SHOULD BE PRESENTED, when compared with  
193 examining RMSE associated with every pretreatment (i.e. computing Ycssl residues, as commonly  
194 done).

195 We fully acknowledge that this was not clearly explained in the text and will address these issues. We  
196 explain that the analysis of spectral reconstruction error is indeed commonly used in spectroscopy for  
197 outlier identification. This error is also known as the Q-statistic and it indicates how well a given new  
198 sample conforms to the PLS model. Since the response values in the prediction set are unknown, we  
199 can use the Q-statistic as a proxy for the response errors. In the revised version, we will explain that  
200 we assume that if a given set of pre-processing steps lead to large Q-values, then it is expected that it  
201 will also lead to large errors in the prediction of the response values. We will also add references to  
202 support this assumption. In the new version of the manuscript, we will mention that for this analysis  
203 we fixed the number of PLS factors to 20, as projected variables beyond this dimension did not

204 capture a considerable amount of the original spectral variance. For example, PLS variable 21  
205 amounted for less than 0.01% of the original variance in all the cases.

206 L165."spectral matrices which can be properly represented by a PLS model" is unclear. Moreover, the  
207 assumption that SIMILAR PRETREATMENTS OPTIMIZED GLOBAL AND LOCAL CALIBRATION  
208 SHOULD BE DISCUSSED (e.g. according to literature).

209 The ideas behind this sentence will be clarified with the description of the Q-statistic (see previous  
210 reply L145-161) and the advantages of its use for pre-processing optimization. We indicate now that  
211 according to Wise and Roginsky (2015), large  $Q_c$  values are proxies to large prediction errors and  
212 therefore Q-statistic can be used to judge the suitability of a set of pre-processing steps.

213 Wise, B. M., & Roginski, R. T.: A calibration model maintenance roadmap. IFAC-PapersOnLine, 48,  
214 260-265, <https://doi.org/10.1016/j.ifacol.2015.08.191>, 2015.

215 L170. The problem with multiplicative scatter correction is that the transformed spectrum depends on  
216 the spectrum population it belongs to, so changes when this population changes.

217 We thank the reviewer for raising this concern but do not see this as a problem. Multiplicative scatter  
218 correction (MSC) aligns or rotates a given spectrum towards a reference one which is fixed. This  
219 reference spectrum can be seen as a parameter of the MSC transformation. By doing this,  
220 multiplicative and additive shifts between spectra are removed. Although, in many applications the  
221 average spectrum of the calibration set is used as the reference one, in theory any spectrum can be  
222 used (See Rinnan et al., 2009). Therefore, MSC is not necessarily affected by changes in the spectral  
223 population. The reference spectrum parameter of a defined MSC step should not be modified as long  
224 as it guarantees successful removal of the multiplicative and additive scattering effects across the  
225 spectra.

226 Rinnan, Å., Van Den Berg, F., & Engelsen, S. B.: Review of the most common pre-processing  
227 techniques for near-infrared spectra, TrAC Trends in Analytical Chemistry, 28, 1201-1222.  
228 <https://doi.org/10.1016/j.trac.2009.07.007>, 2009.

229 L195. Why 20 spiking samples per regional set, not 10 or 30?

230 We agree with the reviewer, that the selection of the number of spiking samples has not been  
231 adequately described in the manuscript. Generally, the number of spiking samples should be  
232 minimized to reduce costs for laboratory reference analyses. We set the maximum number of spiking  
233 samples to 20, which can already mean quite a high financial investment but we feel that it is worth  
234 these costs given the reduction of geographical extrapolation and the effect of using spatially close  
235 samples on the predictive performance. We tested one to 20 spiking samples and compared the  
236 prediction accuracy, which was on average best with 20 spiking samples (Figure 5). We will add more  
237 details about the spiking effect in the results and discussion sections of the manuscript.

238 L197. The way k-means works could (should?) be briefly presented.

239 Since this method is widely used and well documented in pedometrics and chemometrics for sampling  
240 calibration datasets, we considered that it was sufficient to refer the reader to other studies, where k-  
241 means sampling is explained. However, we agree that it is useful when we explain it in a sentence  
242 and will change it as following:

243 “... for each complete regional set, 20 samples were selected using the k-means sampling algorithm.  
244 This sampling strategy is implemented in the R package *prospectr* (Stevens and Ramirez-Lopez,  
245 2020) and selects one sample per cluster calculated with a k-means algorithm on a principal  
246 component analysis of the pre-processed spectra (Næs, 1987).

247 L199. The strategies considered are: AfSIS alone; AfSIS +other Gi; AfSIS +other Gi +Ki. Other  
248 strategies would have been interesting: only using other Gi, or other Gi + Ki, to EVALUATE THE  
249 USEFULNESS OF AfSIS (which would be very interesting); AfSIS +Ki, to evaluate the usefulness of  
250 other Gi; Ki only, to evaluate the usefulness of AfSIS and other Gi. But this would require much  
251 additional work!

252 Our aim was to propose strategies that could leverage the use of the AfSIS spectral library to  
253 accurately predict soil properties in regions which are poorly covered by it. Therefore, we only  
254 evaluated modeling approaches that involved the use of this library. There is clear evidence that very  
255 accurate soil predictions can be achieved by using models built only with samples originating from the  
256 same region or area where these predictions are required. This is because large non-linear  
257 complexity is avoided in local-scale models (See e.g., Tziolas et al., 2019). Despite this, we consider  
258 that this implies that every undersampled region will require a representative calibration sample set  
259 which might be expensive or impractical. In this respect, the evaluation of models using only other Gi,  
260 or only other Gi + Ki was not considered as they do not really solve the problem of using a large  
261 spectral library in poorly sampled areas.

262 Tziolas, N., Tsakiridis, N., Ben-Dor, E., Theocharis, J., & Zalidis, G.: A memory-based learning  
263 approach utilizing combined spectral sources and geographical proximity for improved VIS-NIR-SWIR  
264 soil properties estimation, *Geoderma*, 340, 11-24, <https://doi.org/10.1016/j.geoderma.2018.12.044>,  
265 2019.

266 L217-219. HOW WAS w DEFINED? Moreover, WHAT p STANDS FOR IS NOT CLEAR: it has not  
267 been defined, but according to L140, was apparently used in place of l (number of latent variables);  
268 but I'm not sure this makes sense here. Furthermore, I'm not sure to understand what k=1 means. I  
269 also note that d has already been used (number of wavelengths; L139). So CLARIFICATION IS  
270 REQUIRED. We might also wonder why evaluate dissimilarity (1-S) and not similarity (S), when the  
271 objective is to select calibration samples *similar* to the target sample (cf. L311). Furthermore, I  
272 WONDER IF A SIMILARITY/DISSIMILARITY CUT-OFF VALUE WAS DEFINED, below/above which  
273 spectra were not considered neighbors (i.e. no prediction for target samples with too few neighbors);  
274 and if yes, how this cut-off value was defined.



275 We are thankful that the reviewer noticed the use of letters for multiple variables, which is misleading.  
276 Again, the reviewer is correct, spotting the mistake in L140, which leads to confusion in L215-219.  
277 Correcting this as suggested above, this issue should be resolved here.

278 The window size ( $w$ ) was optimized based on a spectral nearest-neighbor search within the AfSIS  
279 library. For every sample in the AfSIS library, its closest sample (in the spectral space) was identified.  
280 The samples were compared against their closest samples in terms of TC and TN and the root mean  
281 squared differences (RMSD) were computed according to the following equations:

$$282 \quad j(i) = NN(Xc_i, Xc^{-i})$$

283 and

$$284 \quad RMSD = \sqrt{\frac{1}{2m} \sum_{i=1}^m \sum_{h=1}^2 (y_{c_{i,h}} - y_{c_{j(i),h}})^2}$$

285 where  $Xc_i$  is the spectra of the AfSIS library,  $NN(Xc_i, Xc^{-i})$  represents a function to obtain the index of  
286 the nearest neighbor observation of the  $i$ th sample found in  $Xc$  (excluding the  $i$ th sample),  $y_{c_{i,h}}$  is the  
287 value of the  $i$ -th observation for the  $h$ -th property variable (either TC or TN). A total of 10 window sizes  
288 were evaluated (from 31 up to 121 in steps of 10). According to the RMSDs obtained, the optimal  $w$   
289 was 71.

290 Concerning the comment about using the concept of similarity or dissimilarity, we believe that is not  
291 actually relevant. It is clear that similarity or dissimilarity measures can be both used to identify similar  
292 samples. Many examples of the use of correlation dissimilarity for nearest neighbor identification can  
293 be found in the NIR spectroscopy literature (See for example Wadoux et al., 2021; Khosravi et al.,  
294 2020; Gholizadeh et al., 2018; Zhu et al., 2011).

295 Gholizadeh, A., Saberioon, M., Carmon, N., Boruvka, L., & Ben-Dor, E.: Examining the performance  
296 of PARACUDA-II data-mining engine versus selected techniques to model soil carbon from  
297 reflectance spectra. *Remote Sensing*, 10, 1172, <https://doi.org/10.3390/rs10081172>, 2018.

298 Khosravi, V., Ardejani, F. D., Aryafar, A., Yousefi, S., & Karami, S.: Prediction of copper content in  
299 waste dump of Sarcheshmeh copper mine using visible and near-infrared reflectance spectroscopy.  
300 *Environmental Earth Sciences*, 79, 1-13, <https://doi.org/10.1007/s12665-020-8901-0>, 2020.

301 Wadoux, A., Malone, B., Minasny, B., Fajardo, M., McBratney, A.B. (Eds.): *Soil Spectral Inference*  
302 *with R: Analysing Digital Soil Spectra using the R Programming Environment*, Springer Nature, Cham,  
303 Switzerland, 2021.

304 Zhu, Z., Corona, F., Lendasse, A., Baratti, R., & Romagnoli, J. A.: Local linear regression for soft-  
305 sensor design with application to an industrial deethanizer, *IFAC Proceedings Volumes*, 44, 2839-  
306 2844, <https://doi.org/10.3182/20110828-6-IT-1002.02357>, 2011.

307 L220-225. According to Shenk et al. (1997), the weighted average is calculated over a range of latent  
308 variables, i.e. from a MINIMUM TO A MAXIMUM NUMBER OF LATENT VARIABLES CONSIDERED,  
309 AND THESE PARAMETERS HAVE TO BE SPECIFIED. Moreover, both  $s_{1:j}$  and  $g_j$  are calculated for  
310 the  $j$ th latent variable, so writing " $s_{1:j}$ " instead of " $s_j$ " is unclear. Furthermore, Shenk et al. (1997) did  
311 not call this approach "Weighted averaged PLS"; but why not...

312 As correctly pointed out by the reviewer, details about the WA-PLS are missing in the current version  
313 of the manuscript. We will add the missing information to the text. The weighted average was  
314 calculated using a range of latent variables from 5 to 30 in increments of 1, which we will add to the  
315 manuscript accordingly.

316 To compute the weights we use the exact same method as described by Shenk et al. (1997, see page  
317 227 of their paper). In the equation used to compute the weights,  $s_{1:j}$  represent the root mean square  
318 of the spectral residuals of the query spectrum. The reconstruction is done by multiplying the scores  
319 of the projected query spectrum by the (transposed) loading matrix of the PLS model built from its  
320 neighbor samples. In this multiplication the first  $j$  rows of the scores and loading matrices are used.  
321 Using  $s_j$  instead of  $s_{1:j}$ , would wrongly indicate that only the  $j$ th row of the scores is multiplied by the  
322  $j$ th transposed row of the loadings. Furthermore, in the equation we also use the term  $g_j$  to refer to the  
323 root mean square of the regression coefficients corresponding to the  $j$ th PLS component. In this case  
324 we do not use the subscript  $1:j$  as we are using only the  $j$ th row of the matrix of regression coefficients  
325 (instead of the first  $j$  rows). We will extend the explanation of this notation for a new version of the  
326 manuscript.

327 Indeed Shenk et al., (1997) do not explicitly call this regression method "weighted averaged PLS".  
328 Although, what this method does is to compute a "weighted average of the individual model predicted  
329 values with from the minimum to the maximum number of factors" as explained by Shenk and  
330 Westerhaus (1998) in the following patent filing: <https://patents.google.com/patent/US5798526A/en>.  
331 Therefore, we do not see the term "weighted averaged PLS" as incorrect in our manuscript.

332 L230-232. Hold-out and validation sets have not been introduced, so this part is not very clear (e.g.  
333 why dividing regional AfSIS sub-libraries into hold-out and validation sets? L256 and Tab.3 these sub-  
334 libraries were not separated).

335 We thank the reviewer for spotting this point of confusion. We will clarify this issue as following:

336

337 *The grouping factor was used for the optimization of the nearest neighbor search, i.e. the nearest*  
338 *neighbor cross-validation (see L226) to avoid overfitting: keeping the nearest neighbor out, the model*  
339 *was trained with the remaining neighbors which were not from the same region as the hold-out*  
340 *neighbor (region corresponds to the sentinel sites within the AfSIS SSL).*

341

342 This will be changed accordingly to avoid a misunderstanding as shown in this comment.

343 L233. I understand the minimum requested number of neighbors was 150, and the maximum possible  
344 number of neighbors was 500. WHAT IF A TARGET SAMPLE HAD LESS THAN 150 NEIGHBORS?

345 This is an important question of the reviewer. Of course, a sample could have less than 150 neighbors  
346 in the used spectral library. We tested the minimum number of available neighbors prior training the  
347 final model. We agree that the minimum number of neighbors should have been adjusted downwards  
348 if there would not have been enough neighbors, which was luckily not the case. We will explain this  
349 more in detail in the manuscript.

350 L236. FORCING SPIKING SAMPLES INTO THE NEIGHBORHOOD of every target sample is  
351 questionable, and the discussion should address this point.

352 Unfortunately the reviewer does not provide an explanation on why forcing spiking samples into the  
353 neighborhood is questionable.

354 Spectral Neighbor identification is a mathematical attempt to select soil observations that share similar  
355 compositional characteristics with the observation that requires a prediction. MIR spectra partially  
356 reflect the compositional characteristics of the samples. We assume that soils originating from the  
357 same geographical region might be governed by very similar soil formation processes. This is a  
358 concept of spatial autocorrelation which is widely used (Fortin et al. 2016). Furthermore, it is widely  
359 accepted that the best spectral models (most accurate) that can be built for a given area are those  
360 that are calibrated with samples from the same area (see also comment L199). For these reasons, we  
361 assume that forcing samples of a given area to belong to the neighborhoods of samples from the  
362 same area guarantees that samples originating from similar soil formation processes are included in  
363 the models. Therefore, our approach is not arbitrary as it is expected that these samples improve  
364 prediction accuracy.

365 Fortin, M.-J., Dale, M.R. and Ver Hoef, J.M.: Spatial Analysis in Ecology. In Wiley StatsRef: Statistics  
366 Reference Online (eds N. Balakrishnan, T. Colton, B. Everitt, W. Piegorisch, F. Ruggeri and J.L.  
367 Teugels). <https://doi.org/10.1002/9781118445112.stat07766.pub2>, 2016.

368 Fig.3. Beside orange and green circles, many grey circles were also outside AfSIS black circles, and it  
369 would be useful to mention where they originated from.

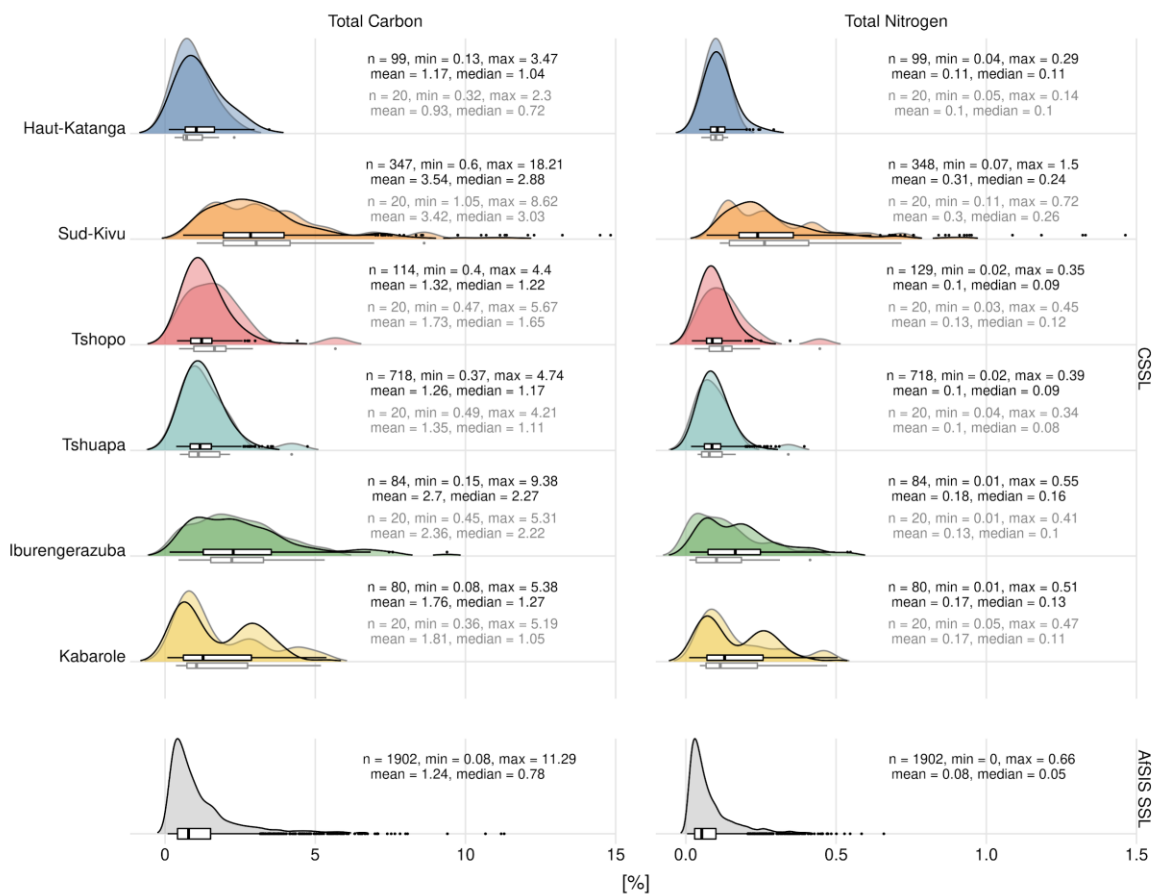
370 The transparency of the black AfSIS symbols is misleading. They seem gray, while the remaining  
371 samples are black, where the density is high. We will increase the transparency and change the style  
372 of the symbols, so that it becomes clear which points belong to the AfSIS library.

373 L265-267. CRITERIA FOR "GOOD PREDICTIVE RESULTS" HAVE NOT BEEN SPECIFIED. Actually  
374 many results were not so good, especially for TN, especially with Strategy 1 (e.g. RMSE for TC and  
375 TN was  $\geq 50\%$  of observed mean for 2-3 regions with Strategy 1, and  $\geq 30\%$  of the mean for 4-5  
376 regions with Strategy 2). And ACCORDING TO RPIQ, PREDICTIONS FOR SOUTH KIVU AND  
377 IBURENGERAZUBA WERE OFTEN AMONG THE BEST ONES, so the reasons for considering they  
378 "showed the lowest accuracy levels" should be revised, or at least explained.

379 The reviewer is correct, we have not introduced criteria to define a good or accurate prediction which  
 380 we will add. However, the required prediction accuracy depends on the field of application. We will  
 381 work on a method to assess prediction accuracy for a hypothetical new sample set.

382 As the reviewer points out,  $RMSE_{pred}$  is useful to estimate prediction accuracy within the same region  
 383 but not to make comparisons between regions since ranges for TC and TN differ between the regions,  
 384 especially for Iburengerazuba and South Kivu with forest soils with high TC and TN contents. We  
 385 agree that it does not make sense to classify the statistical performance of the South Kivu and  
 386 Iburengerazuba regions as poor. Indeed, when looking at the  $RPIQ_{pred}$  values, they performed well.  
 387 This is due to the large interquartile (IQ) range of these regions compared to Tsuapa and Tshopo,  
 388 which exhibited considerably smaller IQ ranges. We thank the reviewer for this careful attention to  
 389 these statistical descriptions and will modify the results and discussion accordingly. Moreover, we will  
 390 replace Table 3 with the proposed plot (see below), which clearly shows the distribution of TC and TN  
 391 in each region including their IQ ranges in the boxplots. They cray coloured line and text indicate the  
 392 spiking sets ( $K_i$ ), the black coloured lines and text represent the six regional sets ( $G_i$ ) after removal of  
 393 each  $K_i$  and the AfSIS SSL data set  $A$ . These details to the figure will be added to the caption.

394



395

396 L271-272.  $RMSE_{pred}$  is useful for comparing strategies for a given region, but CANNOT BE THE  
 397 FIRST PARAMETER CONSIDERED FOR COMPARING PREDICTION ACCURACY BETWEEN

398 REGIONS WHERE DISTRIBUTIONS OF TC OR TN WERE DIFFERENT.  $R^2$  describes  
399 proportionality, not similarity; so, though understood by a wide audience, should be used with care.  
400 Comparison between regions should firstly be based on RPIQ, which showed good results for  
401 Kabarole, Iburengerazuba and (for TC) South Kivu and poor results for the other regions, especially  
402 Tshopo for TC and Haut-Katanga for TN.

403 Yes we agree and as we detailed in the response above, we will modify the results and discussion  
404 such that we only use  $RMSE_{pred}$  to compare the same regions across strategies and  $RPIQ_{pred}$  and  
405  $R^2_{pred}$  to compare regions within a given strategy. We suggest the following changes:

406 *“The best prediction accuracies for TC were achieved for the regions South Kivu, Iburengerazuba and*  
407 *Kabarole, where  $RPIQ_{pred}$  values were between 2.43–3.95, while Tshopo, Tshuapa and Haut-Katanga*  
408 *performed less good with  $RPIQ_{pred} \leq 1.84$ . For TN, Iburengerazuba and Kabarole performed well*  
409 *with  $RPIQ_{pred}$  2.14 and 2.86, respectively. However, the four other regions Haut-Katanga, South Kivu,*  
410 *Tshopo and Tshuapa exposed smaller  $RPIQ_{pred} \leq 1.37$ . “*

411 L277-279. The fact that CENTRAL AFRICAN SAMPLES WERE POORLY REPRESENTED BY AfSIS  
412 SHOULD ALSO BE MENTIONED AS POSSIBLE REASON.

413 We agree with the reviewer that this should be highlighted at this point and we will add this  
414 accordingly. We also suggest to put Figure A1 (continental map) in the main text and move Figure 1  
415 to the appendix.

416 L282-283. Again,  $RMSE_{pred}$  should not be used for comparisons between regions.

417 We agree with the reviewer and will change it as described more in detail in L271–272. We suggest  
418 the following changes:

419 *“The predictive performance in strategy 2 exhibited errors ( $RMSE_{pred}$ ) ranging between 0.41–0.89 %*  
420 *and 0.03–0.12 % for TC and TN, respectively (Table 4). The most accurate predictions for TC were as*  
421 *in strategy 1 obtained for the regions Iburengerazuba, Kabarole and South Kivu ( $RPIQ_{pred} > 2.36$ ), but*  
422  *$RPIQ_{pred}$  value of Haut-Katanga was remarkably higher than in strategy 1 (2.30 vs 1.62). Predictive*  
423 *performance for TC of Tshopo and Tshuapa were still below an  $RPIQ_{pred}$  of 2.*

424 *For TN, similarly to strategy 1, prediction accuracy was good for Iburengerazuba and Kabarole. For*  
425 *the regions Haut-Katanga, South Kivu, Tshopo and Tshuapa the  $RPIQ_{pred}$  values were higher than in*  
426 *strategy 1, but they were still below 2. “*

427 L284-286.  $RMSE_{pred}$  for TC increased in three regions from Strategy 1 to 2, strongly sometimes,  
428 which is counter-intuitive so should be underlined, and POSSIBLE REASONS SHOULD BE  
429 PROPOSED (as was done for better TN predictions with Strategy 2 than 1).

430 The reviewer is correct in that  $RMSE_{pred}$  increased for 3 regions, however it only increased by 0.03%  
431 in two of the cases. So, in total, from Strategy 1 to 2 the  $RMSE_{pred}$  decreased substantially in 3

432 regions, barely changed in 2, and increased in one, which in our opinion signals an overall  
433 improvement in performance. At the moment, it appears that the inclusion of the additional CSSL  
434 regions reduced the accuracy of the Kabarole region but it is unclear why the model did not fall back  
435 on the same prediction subset as Strategy 1. This will be investigated and corrected in the revised  
436 manuscript.

437 L287. Better TN predictions with strategy 2 than 1 "was due", not "might be due".

438 Thank you, this will be modified accordingly.

439 L290. RPIQ for TC "tended to be the same" except for Kabarole; but actually RPIQ decreased in  
440 South Kivu and Tshuapa, not much, but this is counter-intuitive.

441 As detailed above, we will modify the discussion of these results in the text to explain the observed  
442 patterns.

443 L292. South Kivu was not an exception, as TN prediction was also improved.

444 Thank you for this correction. We will modify the text accordingly.

445 Fig.5. THESE RESULTS SHOULD BE PRESENTED in the text, and an optimal number of spiking  
446 samples could be proposed for each region.

447 We thank the reviewer for requesting that these results be included in the text and will add them to the  
448 revised version.

449 L309, L317, L391. "Accurately predicted/model" "highly accurate predictions" are OVEROPTIMISTIC,  
450 e.g. when  $RPIQ < 2$  or  $RMSE > \text{mean}/2$ .

451 We thank the reviewer for this suggestion and will tone down the language to "reasonably accurate".

452 L317-318. The point is that for TC, Strategy 2 reduced  $RMSE_{\text{pred}}$  in only 3 out of the 6 regions  
453 considered; so "improved prediction accuracy" is questionable. And POOREST PREDICTION WITH  
454 STRATEGY 2 than 1 FOR 3 REGIONS SHOULD BE DISCUSSED.

455 We again thank the reviewer for pointing out this idiosyncrasy and as detailed in the responses above  
456 will modify the results and discussion to detail these prediction results.

457 L322-325. There is STRONG MISINTERPRETATION, as in these two regions, TC (and TN in  
458 Iburengerazuba) was accurately predicted ( $RPIQ > 2.3$ ).

459 As detailed above, these discussion points surrounding the prediction results will be modified.

460 L338. These results have not fully presented in the results section.

461 We thank the reviewer for pointing this out and will detail the spiking results in the results section.

462 L339. Three regions are cited, not two. Moreover, Strategy 3 yielded highest RPIQ whatever the  
463 region for both TC and TN; and the improvement was strong sometimes, with 10 spiking samples only  
464 (Kabarole and Iburengerazuba).

465 We thank the reviewer for pointing out this mistake. The text should read “three regions” and we will  
466 remove the word “somewhat” to reflect the strong improvement. We will further modify this section to  
467 say that Strategy 3 had a positive effect on all regions but an even stronger effect on the three regions  
468 we originally listed.

469 L343-344. For TN in South Kivu, RPIQ increased from 1.1 to 1.6 from Strategy 1 to Strategy 2, so  
470 prediction was noticeably improved.

471 We thank the reviewer for clarifying this point and will modify the text to say how the prediction  
472 noticeably improved.

473 L345. "RMSE remained relatively high", but TC and TN were much higher than elsewhere!  
474 Considering RMSE without considering TC and TN distributions leads to misinterpretation.

475 Indeed, the reviewer is correct. We will contextualize the  $RMSE_{pred}$  with the higher TC and TN and  
476 instead focus on the  $RPIQ_{pred}$  as a more reliable indicator given the different distributions. We also  
477 use the new graph to show this distribution of TC and TN for each region more precisely (see above).

478 L345. "slightly" does not seem appropriate: e.g. for Iburengerazuba RPIQ increased from 2.8 to 3.6  
479 for TC and from 3.2 to 4.5 for TN.

480 We agree with the reviewer that “slightly” is not the correct word and will change it to “substantially”.

481 L349. As said above, the effect of spiking was strong sometimes (Iburengerazuba and Karabole).

482 We thank the reviewer for pointing this out and will modify the text accordingly.

#### 483 TECHNICAL CORRECTIONS

484 L6. 1800 soils or 1800 soil samples?

485 Soil samples. We will clarify this in the text.

486 L7. "wider" is not clear for me in "Congo Basin and wider African Great Lakes region".

487 We will remove the word “wider” from this sentence. Moreover, we will correct “African Great Lakes  
488 region” to Albertine Rift, which is a more precise name for the region.

489 L10. % is not a SI unit and may cause confusion for comparisons or changes (e.g. TC increased by  
490 5%), so G KG-1 WOULD BE MUCH PREFERABLE.

491 We thank the reviewer for this comment. We will convert the % unit into the SI unit  $g\ kg^{-1}$  as  
492 suggested by the reviewer.

493 L59. sol vs. soil.

494 Thank you for pointing out this typo.

495 L77. Predicting a region is confusing.

496 The reviewer is correct, this sentence does not make sense. We will specify accordingly in the  
497 updated version of the manuscript:

498 "... (2) To establish a workflow to accurately predict soils from variable locations within six selected  
499 geographical regions of the CSSL ...

500 L84. The sentence should be checked (e.g. layers vs. layer).

501 Thanks for spotting this typo, we will correct the word to the plural form.

502 Tab.1. Université catholique de Louvain and IITA/ICRAF are not references. Moreover, for the last  
503 reference, 2021a,b would be more appropriate than 2021b,a (this is detail).

504 We thank the reviewer for pointing out this detail. Indeed Université catholique de Louvain, IITA and  
505 ICRAF are not references. We will remove them and add an additional column named "Data  
506 Contributor".

507 L103. Total Al, Fe, Ca etc., or some particular fractions?

508 Total contents of cations have been analysed using aqua regia extractions. We agree with the  
509 reviewer that this should be specified and will add information about the methods for analysing pH,  
510 texture and cations.

511 L115. In general absorbance =  $\log(1/\text{reflectance})$ , not  $1/\text{reflectance}$ .

512 The reviewer is of course correct about this and it will be corrected accordingly.

513 L118. I note the manufacture place is mentioned here, which should probably be systematic.

514 This is correct, we thank the reviewer for seeing this detail. We will remove the place to be consistent  
515 through the entire manuscript.

516 L134. Actually PLS has most often been defined as Partial least squares.

517 The reviewer is correct, PLS is an abbreviation, used for Partial Least Squares. We also defined the  
518 term accordingly in the manuscript (L56). With the sentence the reviewer brings up, we do not want to  
519 give PLS another meaning. We rather want to explain that the Partial Least Squares method can also  
520 be described as a projection of latent structures, which has by accident the equal letters and the same  
521 order.

522 L207. The sentence should be checked.



523 We agree with the reviewer and will make the sentence clearer.

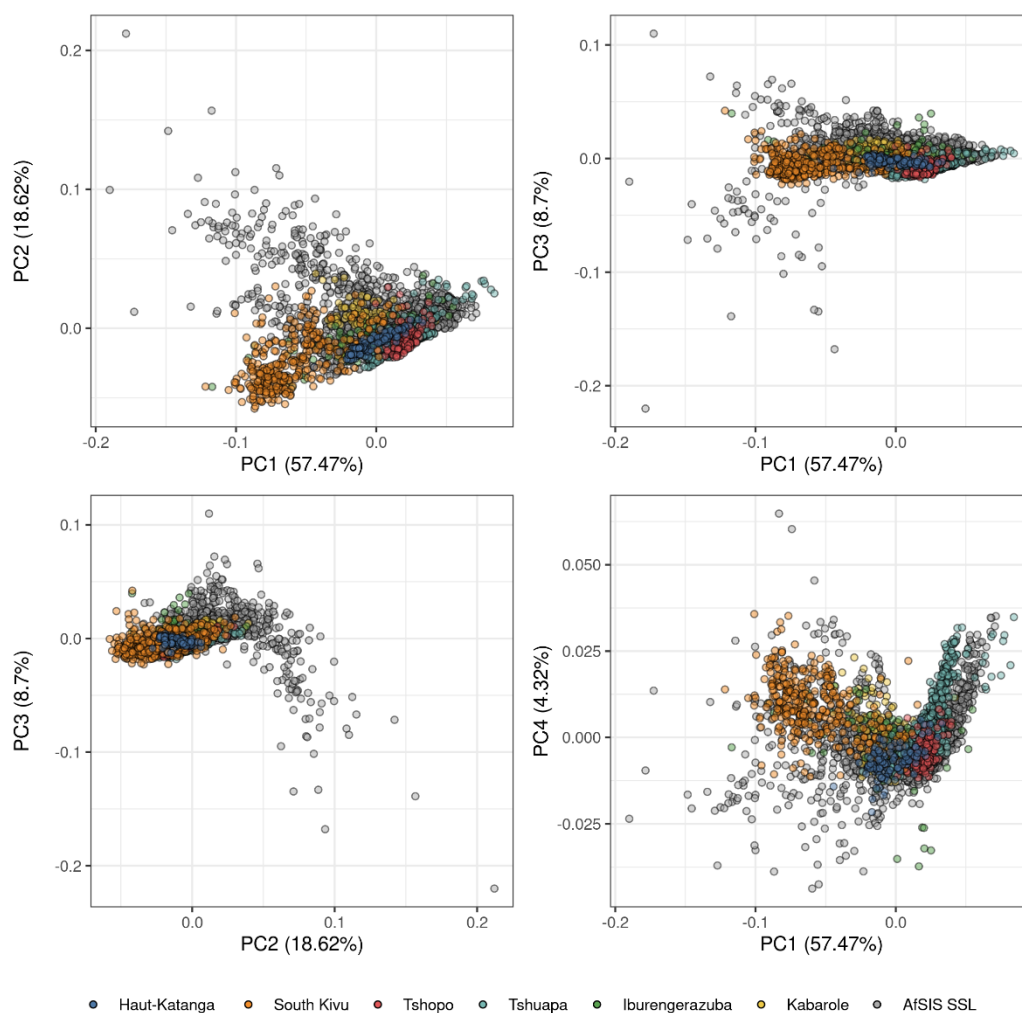
524 “– Strategy 3: This time, strategy 2 was repeated, but in this case, extrapolation was avoided by using  
525 the spiking samples from the same geographical region as the region to be predicted;”

526 L234, L243. Equation 8? Equations have not been numbered.

527 We thank the reviewer for spotting this mistake. We will remove “Equation 8” from the text.

528 Fig.3 is not very readable; projections on PC1-PC2 and PC1-PC3 would probably be more suitable.

529 We agree with the reviewer, that the 3D plot is not appropriate. We will therefore plot the three  
530 different components as suggested by the reviewer.



531

532 Tab.4. What MEpred stands for should be specified.

533 This is correct, we did not specify ME and will add this information to the methods section.

534 L275. Tshopo, not Tschopp. Four regions are cited, not three.

535 We thank the reviewer for clarifying this, and will change it accordingly.

536 L426-427, L432-433, L436, L439, L445, etc. Are two DOIs or two URLs necessary? I note that non-  
537 DOI URLs do not always work ("error 404", "page not found", etc.).

538 We thank the reviewer for checking the DOIs and URLs in the reference list. We will check them  
539 carefully.

540 L442, L445, L508, L540, L564, L567, L570, L573, L584-585, L615, L617-618. Same (or almost same)  
541 DOI mentioned twice.

542 We will also check these DOIs and remove the ones, which are not necessary. We thank the reviewer  
543 for spotting this issue.

544 L448, L469, L473, L485, L512, L599. DOI should be added.

545 Missing DOIs will be added to these references.

546 L482. What ISMEJ is should be specified.

547 We will specify this abbreviation, which is "Multidisciplinary Journal of Microbial Ecology".

548 L487, L498, L530, L590, L591, L593, L611. The references do not seem complete.

549 We thank the reviewer for this comment and will add missing information to these references.

550 L501. European Commission Edn? Soil Atlas Series?

551 We thank the reviewer for spotting this typo and will correct the reference as requested in the  
552 corresponding document:

553 Jones, A., Breuning-Madsen, H., Brossard, M., Dampha, A., Deckers, J., Dewitte, O., Gallali, T.,  
554 Hallett, S., Jones, R., Kilasara, M., Le Roux, P., Micheli, E., Montanarella, L., Spaargaren, O.,  
555 Thiombiano, L., Van Ranst, E., Yemefack, M., Zougmore R., (Eds.): Soil Atlas of Africa, European  
556 Commission, Publications Office of the European Union, Luxembourg. 176 pp, 2013.

557 L530. The publisher should be specified.

558 The publisher is Geoderma and we will add it accordingly, we thank the reviewer for spotting this  
559 issue:

560 Mujinya, B. B., Mees, F., Boeckx, P., Bodé, S., Baert, G., Erens, H., Delefortrie, S., Verdoodt, A.,  
561 Ngongo, M., and Van Ranst, E.: The origin of carbonates in termite mounds of the Lubumbashi area,  
562 D.R. Congo, *Geoderma*, 165, 95-105, <https://doi.org/10.1016/j.geoderma.2011.07.009>, 2011.

563 613. This reference does not seem at the right place (Vagen et al. after Vollset et al.).

564 Following the Danish/Norwegian alphabet, "å" follows "z". Therefore "Vågen et. al." is at the correct  
565 alphabetic position after "Vollset et al."

566 L615. The end of the reference should be checked.

567 The reviewer is correct, the end of this reference includes some unnecessary information, which we  
568 will remove.

569 L622. I.W.G.?

570 I.W.G stands for IUSS Working Group WRB. We will correct this in the reference and replace it by the  
571 more recent version:

572 IUSS Working Group WRB: World Reference Base for Soil Resources 2014, update 2015

573 International soil classification system for naming soils and creating legends for soil maps, World Soil  
574 Resources Reports No. 106, Food and Agriculture Organization of the United Nations, Rome, Italy,  
575 pp. 193, ISBN978-92-5-108369-7, 2015.