



- 1 Combining colour parameters and geochemical tracers to improve sediment source discrimination
- 2 in a mining catchment (New Caledonia, South Pacific Islands)
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# 14 Abstract

15	Over the last century, human activities have induced significant land-cover changes that have
16	accelerated soil erosion processes around the world. In New Caledonia, a French island located in the
17	south-west Pacific Ocean, open-cast nickel mining has raised many concerns regarding its impact on
18	riverine systems (i.e. hyper-sedimentation, overburden) and the island's ecosystems (i.e. flooding,
19	lagoon siltation, water pollution).

20 A sediment tracing study has been conducted to quantify the contribution of mining versus 21 non-mining sub-catchments in one of the first areas exploited for nickel mining, the Thio River 22 catchment (397 km<sup>2</sup>). Sediment deposited during two cyclonic events (i.e. 2015 and 2017) was 23 collected following a tributary design approach. Source (n= 24) and river sediment (n= 19) samples 24 were analyzed by X-ray fluorescence and spectroscopy in the visible spectra (i.e. 365-735 nm). Four 25 fingerprinting approaches based on (1) colour parameters, (2) geochemical properties, (3) colour 26 parameters coupled with geochemical properties and (4) the entire visible spectrum were tested to 27 estimate sediment source contributions.

28 The results demonstrated that the individual sediment tracing methods based on spectroscopy measurements (i.e. (1) and (4)) did not provide sufficient discrimination between sources. However, 29 30 the inclusion of colour properties in addition to geochemical parameters (3) provided the highest 31 discrimination between sources (i.e. 92.6 % of source variance explained). Although with a slightly 32 lower discrimination potential (i.e. 83.1 % of variance explained in sources), the geochemical 33 approach (2) provided similar results to those obtained with the colour coupled with geochemical 34 approach (3). In addition, mixed linear models associated with these two approaches have been 35 experimentally validated with artificial mixture samples. The results obtained with model (3) showed 36 that mining source contributions strongly dominated the sediments inputs with a mean contribution 37 of 68 % (SD 25 %) for the 2015 flood event and 88 % (SD 8%) for the 2017 flood event. These results 38 suggest that catchment management should focus on the contributions of mining tributaries to





- reduce sediment inputs in the river systems. Therefore, the use of these approaches based on geochemical properties individually (2) and coupled to colour parameters (3) could be extended to other mining catchments of New Caledonia but also to other similar nickel mining catchments around the world (e.g. Australia, Brazil, Dominican Republic, Cuba) to estimate sediment source apportionment.
- 44
- 45 Keywords: Nickel mining Sediment source fingerprinting Soil erosion Modeling
- 46





### 47 Introduction

At the dawn of a fourth industrial revolution, demand for metalliferous minerals continues to increase and impact the world market (Prior et al., 2013;Highley et al., 2004). Currently, open-cast mining generates more than three-quarters of the world's metal ores. However, the extraction of these minerals is associated with deleterious impacts on the environment. In particular, these mines are responsible for the increase in soil erosion and the transfer of sediment in river systems worldwide (Yellishetty et al., 2013;Dumas et al., 2010;Abel et al., 2000).

54 New Caledonia, an island located in the south-western Pacific Ocean and currently the world's 55 sixth-largest producer of nickel, is in particular challenged with the problems of hyper-sedimentation 56 and over-burden of its river systems. Several studies outline how mining activities, which started in 57 1875, are generally responsible for these deleterious river morphological changes (Bird et al., 58 1984; Iltis, 1992; Garcin et al., 2017). The excessive sediment inputs transferred mainly during 59 extreme rainfall events (e.g. cyclones and tropical depressions) lead to the increased occurrence of 60 flooding events in these tropical regions. Owing to the occurrence of major cyclones in New 61 Caledonia (i.e. on average one cyclone every 2.7 years (Garcin, 2010)), the local population regularly 62 have to deal with the damage generated by these flood events (e.g. damage to human settlements, 63 public infrastructure, agricultural land and, human casualties). Moreover, the island's agricultural and 64 fishing resources are also impacted.

55 Suspended sediment is known to transport large quantities of contaminants in river systems 66 (Vaithiyanathan et al., 1993;Bradley and Lewin, 1982). Hedouin et al. (2007), and more recently 67 Baudrimont et al. (2019), observed high concentrations of trace metals, including Ni and Cr, in 68 marine and freshwater organisms in New Caledonia. On a more global level, this anthropogenic 69 activity also threatens the second largest coral reef in the world, listed as a UNESCO World Heritage. 70 In particular, the increased turbidity associated with sediment supply could disrupt coral metabolism 71 (i.e. photosynthetic processes) (Juillot, 2019). These coral reefs provide an exceptional biological





diversity and deliver several essential ecosystem services to the local population including fisheries, coastal protection and tourism (Pascal, 2010). The implementation of effective and perennial sediment control measures on mining sites (e.g. sediment retention basin, revegetation) is therefore required to reduce sediment inputs into the lagoon.

Erosion generated by open-cast nickel mining (i.e. mining bare soil, mining roads, mining prospection and mining waste) do not provide the only sediment source in New Caledonia's mining catchments. The use of fires to clear landscapes conducted by the local population for farming, pasture and hunting increase the area of bare soils (Dumas et al., 2010). Soils that are left uncovered by vegetation are more sensitive to erosion and they may be exposed to shallow landsliding (Blake et al., 2009;Smith et al., 2011). Moreover, several invasive species such as deers or wild pigs also threaten soil stability through trampling and overgrazing (Shellberg et al., 2010).

The contribution of mining tributaries (i.e. draining mining areas) and non-mining tributaries (i.e. draining areas without mining activities) to sediment transiting catchments in New Caledonia therefore needs to be discriminated. This discrimination has particularly become important since the mining industries are subject to the "polluter pays" principle applied since 1975, i.e. the obligation to fund remediation according to the extent of the damage generated by mining activity on the environment. There is therefore a real social, environmental and financial challenge in discriminating between sediment sources generated by mining activities and other potential sediment sources.

Sediment fingerprinting techniques have been developed since the 1970s to determine the spatial origin of sediment sources and quantify their contributions (Collins et al., 1996;Walling et al., 1979). These techniques are based on the analysis of multiple conservative properties both in the sediments and their potential sources. Fallout radionuclides (Wallbrink, 2004;Evrard et al., 2015;Wallbrink et al., 1998;Evrard et al., 2020), geochemical (Collins et al., 1997;Laceby and Olley, 2015) and mineral properties (Klages and Hsieh, 1975;Walden et al., 1997) are the most frequently used tracers to quantify sediment source contributions. The use of fallout radionuclides and





97 geochemical properties as potential tracers was investigated to quantify the sources of suspended 98 sediment in the Thio River catchment (397 km<sup>2</sup>), one of the first catchments in New Caledonia to be 99 mined for nickel. Geochemical properties provided promising results (i.e. 83.1 % of variance 100 explained in sources) while the fallout radionuclides proved to be unsuitable (i.e. non-discriminatory) 101 (Sellier et al., 2019). However, other less expensive, faster and possibly more efficient techniques 102 than the more conventional methods previously tested could be envisaged. For example, 103 spectroscopy in the mid-infrared (MIR) (Poulenard et al., 2009), the visible near-infrared (VNIR) and 104 the shortwave-infrared (SWIR) (Brosinsky et al., 2014) regions of spectra have been used to quantify 105 the sediment source contributions. It is also non-destructive and requires low quantities of sample 106 material. Spectroscopy could therefore meet both the need for a simple and rapid sediment tracing 107 method in a context where flood events are frequent.

108 Moreover, New Caledonia has a specific geological feature which is at the origin of its mineral 109 wealth: one third of its surface area is covered with peridotite massifs. The weathering of these rocks enriched in Fe and transition metals such as Mn, Ni, Cr and Co results in the formation of Ni- and Fe-110 rich smectite, serpentine, goethite and hematite. The oxidized minerals (i.e. goethite and hematite) 111 112 provide a particularly reddish-orange colour to mining sources that distinguishes them from non-113 mining sources, which tend to be grey in colour. The differences made visually between the two 114 sources further encourage the analysis of sources by spectroscopy and especially spectroscopy in the 115 visible region of the spectrum (i.e. 365-735 nm). In particular, the colorimetric parameters derived 116 from the visible spectrum have been shown to be effective in discriminating sediment sources. Their discrimination power has been tested both individually (Evrard et al., 2019;Martínez-Carreras et al., 117 2010; Uber et al., 2019) and in combination with other tracers (e.g. geochemical properties (Tiecher 118 119 et al., 2015)) according to the conventional fingerprinting approach (i.e. statistical analysis and use of 120 a mixing model). A more alternative approach based on the entire visible spectrum with the partial 121 least square regression (PLSR) models has also been developed to trace origin of sediment sources in 122 the literature (Legout et al., 2013; Tiecher et al., 2015).





123 As part of this study, four sediment fingerprinting methods based on (1) colour parameters, (2) geochemical properties, (3) colour parameters coupled with geochemical properties and (4) PLSR 124 125 models based on the whole visible spectrum were tested in the Thio River catchment. Source (n=24) 126 and river sediment (n=19) samples were collected following a tributary design approach and 127 analyzed by X-ray fluorescence and spectroscopy in the visible spectra (i.e. 365-735 nm). The 128 performance of each method to estimate sediment source contributions was evaluated in order to 129 select the best technique to be applied in the Thio River catchment and possibly in other mining 130 catchments of New Caledonia. On a wider scale, the tracers retained in this study could be 131 considered as potential sedimentary tracers to estimate sediment source apportionment in other 132 similar nickel mining catchments around the world (e.g. Australia, Brazil, Dominican Republic, Cuba).

### 133 Materials and methods

## 134 1.1.Study area

Located in the southwestern Pacific Ocean, New Caledonia (18 500 km<sup>2</sup>) is made up of several 135 136 islands, the largest of which is La Grande Terre (17 000 km<sup>2</sup>). The Thio River catchment (397 km<sup>2</sup>) is 137 located on the east coast of this island (Figure 1-a). It has a mountainous relief, with an average 138 altitude of 416 m above sea level (i.e. minimum: 0 m, maximum: 1392 m, Figure 1-a) and an average 139 slope of 45%. Two dominant lithologies are present in the catchment: volcano-sedimentary 140 formations mainly located on the western part of the catchment and peridotite massifs concentrated in the eastern part of the catchment. Cherts (22 %), sandstone (9 %), a mix of basalt, dolerite and 141 142 gabbro (6 %), polymetamorphic rocks (6 %) mainly constitute volcano-sedimentary formations whereas peridotite massifs are composed of laterites (18 %), peridotites (17 %), serpentines (10 %) 143 and hazburgites (1 %) (Garcin et al., 2017) (Figure 1-b). The Thio River catchment is covered on 96% 144 145 of its surface by permanent vegetation. According to the mining registry, active and abandoned 146 mining sites and exploration cover 21 % of the catchment area (Figure 1-c).





147	The Thio River catchment is subject to a tropical climate characterized by the alternation of a
148	hot wet season (November-April; mean temperature of 27 °C) and a cooler dry season (May-October;
149	mean temperature of 20 °C). The mean annual rainfall in the Thio River catchment is 1620 mm
150	despite strong seasonal fluctuations with the highest levels of precipitation recorded during the
151	cyclonic season between January and March (700 mm, 1981-2008; Alric (2009)). Although they only
152	occur on average once every 2.7 years, cyclones or tropical depressions may supply more than 20 %
153	of the annual rainfall in only one day according to local meteorological records (Météo France).
154	

Twelve major tributaries flow into the main stem of the Thio River (28 km long) (Figure 1-b). 155 Ninety-two percent of the river channel length are characterized with slopes lower than 5 %. 156 According to Surell's classification (1841), the Thio River can be considered as torrential except in its 157 158 estuarine section. In addition, the extensive bare soil surface associated with past mining activities 159 (~10 sites), ongoing mining operations (e.g. 2 sites: Thio Plateau, Camps des Sapins) and the occurrence of 6 km<sup>2</sup> of mining roads exacerbate runoff production as they contribute to increased 160 161 river network connectivity (Alric, 2009). This generates extensive erosion processes that are evident 162 across the entire Thio catchment with the widespread occurrence of rills, gullies, landslides and 163 channel bank erosion (Danloux and Laganier, 1991).

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Figure 1 Location of the rainfall and river monitoring stations (a), main lithologies (b) and location of the sediment samples collected along with tributary source classifications (c) conducted in the Thio River catchment, New Caledonia





# 169 **1.2.Hydro-sedimentary monitoring**

Three rainfall stations (Thio Plateau, Thio village, Camps des Sapins; Figure 1-b) are operated by Météo France and five others are managed by the DAVAR (Direction des Affaires Vétérinaires Alimentaires et Rurales; i.e. Kouaré, Bel-Air, Ningua, Kuenthio, Mont Do), with daily records available since 1952 for some stations (e.g. Thio village). Daily discharge has been monitored at a river gauging station located on the main stem of the Thio River (at Saint-Michel) since 1981 by the DAVAR (Figure 1-a).

### 176 1.3.Sources and river sediment sampling

177 To trace the origin of sediment, lag deposits were collected as an alternative of suspended sediment sampling on channel bars of mining tributaries (n= 16), non-mining tributaries (n= 8) and 178 179 the Thio River (n= 19) according to the tributary approach recommended by Laceby et al. (2017) 180 (Figure 1-c). They were sampled after two major floods (~10 year return period): (1) the tropical 181 depression of February 25, 2015 (n= 31) and (2) Cyclone Cook on April 10, 2017, (n= 12). These two 182 sample sets were respectively sampled between April 30 and May 5, 2015 and between May 16 and 183 17, 2017. At each sampling site, five to ten subsamples of fine sediment were collected across a 10 m<sup>2</sup> surface with a plastic trowel at exposed subaerial sites free of vegetation on channel bars. The 184 185 subsamples were composited into one sample representative of the fine sediment deposited on the 186 channel bars. The samples were oven-dried at 40°C for ~48 hours and sieved to 63  $\mu$ m.

## 187 **1.4.Preparation of artificial mixture samples**

Equal quantities of all mining source samples (n= 16) were collected and mixed together to create a composite sample of mining sources. The same process was carried out with non-mining source samples (n= 8). The two composite samples respectively corresponding to mining and nonmining sources were then mixed in known proportions to create artificial mixture samples (n= 21, 0-100 % with a 5 % step, Table 1).





- 193 Table 1 Proportions of mining and non-mining sources (%) in artificial mixture samples (M<sub>i</sub>). M6 was
- 194 withdrawn from this study because an error occurred at the time of its completion (out of study).

Mi	Proportions of mining	Proportions of non-
	sources (%)	mining sources (%)
M1	0	100
M2	5	95
M3	10	90
M4	15	85
M5	20	80
M6	25 (out of study)	75 (out of study)
M7	30	70
M8	35	65
M9	40	60
M10	45	55
M11	50	50
M12	55	45
M13	60	40
M14	65	35
M15	70	30
M16	75	25
M17	80	20
M18	85	15
M19	90	10
M20	95	5
M21	100	0

195

### 196 **1.5.Source**, river sediment and artificial mixture sample analyses

197 A portable diffuse reflectance spectrophotometer (Konica Minolta 2600d) was used to 198 measure the spectra in the visible (365-735 nm with a 10-nm resolution, 39-wavelength class) on 199 Thio River sediment (n= 19), tributary source (n= 16) and artificial mixture samples (n= 20). Sample 200 quantities between 0.1 g and 4 g were stored in 60 mL polystyrene tubes and analyzed at the Institut 201 des Géosciences de l'Environnement (IGE, Grenoble, France). Because of the rather small measuring 202 area (i.e. 3-mm radius circle), and to take into account the possible heterogeneity within the 203 samples, three measurements were carried out on river sediment and sources samples. For artificial 204 mixture samples, the experimenter who conducted the analyses carried out four measurements. 205 Several parameters (i.e. D65 illuminant, 10° angle observer and specular component excluded) were





206 applied for each measurement. Raw data collected corresponds to the spectral reflectance 207 percentage for each of the 39-wavelength class. From these raw data, 15 variables of various 208 colorimetry models were derived. Among these components, XYZ tri-stimulus values were calculated 209 based on the colour-matching functions defined by the International Commission on Illumination (CIE 210 1931). The standardized tri-stimuli were then converted into CIELab and CIELu'v' cartesian coordinate 211 systems using the equations provided by CIE (1976) and then into CIELch, CIEL\*a\*b\* cartesian 212 coordinate systems using the equations provided by CIE (1994) (Rossel et al., 2006). First Derivative reflectance of the Visible Spectra (FDVS) of each sample was also derived from the initial reflectance 213 214 spectrum. According to Tiecher et al. (2015), the use of FDVS avoids differences in baseline positions 215 and to get rid of the small differences due to uncontrolled sources of variation, as sample packaging.

216 Measurements of 11 geochemical elements (i.e. Mg, Al, Si, K, Ca, Ti, Cr, Mn, Fe, Ni and Zn) on 217 the samples were conducted by pre-calibrated energy dispersive X-ray fluorescence spectrometry (Epsilon 3, Malvern PANalytical) with certified reference samples including Internal Atomic Energy 218 219 Agency (IAEA) standards (r<sup>2</sup> = 0.90-0.99, mean relative error: 9% ,SD 8 %, minimum: 1%, maximum: 220 23%). Between 0.2 and 0.5 g of the samples were packed in small mass holder (SMH) cells with an air 221 double X-ray Mylar film and analyzed at the Laboratoire des Sciences du Climat et de 222 l'Environnement (LSCE, Gif-sur-Yvette, France). Samples were irradiated with a primary beam 223 generated by an Rh anode X-ray tube emitting electromagnetic waves between 100eV and 1MeV 224 with a maximum power, typical current and voltage fixed to 15 W, 3mA and 50 kV respetively. The 225 associated Si-drift detector had a Be window thickness of 8 µm and recorded the sample spectrum in 226 a 2D optical geometry configuration. X-ray intensities were converted into concentrations using the 227 Epsilon 3 software program through the application of the fundamental parameters method.





# 228 1.6.Statistical analysis and sediment tracing

### 229 1.6.1.Conventional mixing model

230 In general, the sediment source fingerprinting approach is composed of four main steps: (1) 231 range test, (2) the Mann-Whitney U test, (3) a stepwise discriminant function analysis and (4) a 232 mixing model (Collins et al., 1996). For the range test, all variables exhibiting values in the river 233 sediment samples that were outside of the range found in the potential sources (i.e. between the 234 minimum and maximum values found in source samples) were excluded from the analysis. It is 235 important to restrict the tracing parameters to those that show a conservative behavior to avoid 236 incorrect source prediction and consequently inaccurate estimations of source contributions (Sherriff 237 et al., 2015). Thereafter, the Mann-Whitney U test ( $\alpha$ = 0.05, p-value <0.01) was performed to 238 evaluate whether remaining variables could discriminate the source samples. A stepwise 239 discriminant function analysis (DFA) was independently run on three set of potential tracing properties: (1) colour parameters (i.e. 'colour'), (2) geochemical properties (i.e. 'geochemistry') and 240 241 (3) colour parameters and geochemical properties (i.e. 'colour + geochemistry'). For the last set, the 242 raw values of the variables were normalized in order to make them comparable. Indeed, several 243 colour parameters were within an order of magnitude of around 0.01 whereas for the geochemical parameters the difference was around 10<sup>6</sup> mg kg<sup>-1</sup> which resulted in a poorly conditioned matrix for 244 245 the DFA.

The following calculation was therefore applied on the variable values to normalize them:  $x_i - x_{min}/x_{max} - x_{min}$  where xi was value found in source sample (i),  $x_{min}$  and  $x_{max}$  were respectively the minimum and maximum values found in the source samples. The DFA was carried out to select the optimal number of potential tracers to discriminate the sources for each modelling approach with the optimal number of potential tracers which must provide the lowest Wilks' lambda value from analysis of variance. Indeed, the closer the Wilks' lambda value is to 1, the lower the variability within





- the sources compared to the total variability. The DFA was performed in the backward mode with a p
- 253 >0.01 used to select a tracer and p <0.01 used to remove a tracer.
- Finally, a classical solver-based mixing model was used to model the source contributions from the mining and non-mining tributaries to target sediment through simultaneously minimizing the mixing model difference (MMD) (Evrard et al., 2019):

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$$MMD = \sum_{i=1}^{n} ((C_i - (A_i x + B_i (1 - x))/C_i) \ Equation \ 1$$

258 where n is the number of parameters in the model chosen by the selection process (i.e. steps 1, 2, 3); 259  $C_i$  is the Thio River sediment sample parameter (i); x and (1-x) were respectively the contributions of 260 source A and B (i.e. mining and non-mining tributaries); A<sub>i</sub> is the mean of parameter (i) in source A 261 and  $B_i$  is the mean of parameter (i) in source B. The proportional contribution from each source (x) 262 was modelled by solving Equation 1 with the Solver Function in Microsoft Excel with x being between 263 0 and 1 and the sum of source contributions (i.e. x and 1-x) equaling 1. The GRG Non-Linear solving 264 method was used with automatic scaling in Solver, ignoring integer constraints, with a maximum run 265 time of 5000 and allowing for 2500 iterations. A multi-start population size of 2500 was used along 266 with the same random seed for each of the model runs while requiring bounds on the variables. A constraint precision and convergence of 1.0 10<sup>-6</sup> were selected. To test the reliability of the 'colour', 267 268 'geochemistry' and 'colour + geochemistry' models, these latter were tested on artificial mixture 269 samples.

## 270 1.6.2.FDVS- PLSR model

FDVS-PLSR models were built following the methodology described in Poulenard et al. (2012). The first step consisted in applying a principal component analysis (PCA) to evaluate the overall variability between FDVS (i.e. 38 wavelengths) of source samples. Subsequently, a discriminant analysis (DA) was conducted based on the PCA scores. The purpose of this analysis was to compare the Mahalanobis distance between sources samples and to determine if FDVS of source samples





276 could discriminate the sources. Relationships between FDVS (x variate) and the corresponding weight 277 contribution of the sediment source data sets (y variate) were analyzed using PLSR. The PLSR models 278 were carried out based on the component set providing the lowest predictive error (PRESS, option on 279 XLStat software). Two independent PLSR models were built to estimate the two sediment source 280 contributions. As the artificial mixtures were measured four times by spectroscopy, 84 FDVS of 281 artificial mixture samples were generated including 50 values that were randomly selected to build 282 the models (training set (ST)) and 34 to validate the models (validation set (SV)). The SV:ST ratio used 283 was approximately 1:2, which is in agreement with recommendations provided in the literature 284 (Daszykowski et al., 2002). To evaluate the performance of PLSR models, several indicators such as 285 coefficient of linear regression (r<sup>2</sup>), root mean square error of calibration (RMSEC) and root mean 286 square error of prediction (RMSEP) values were calculated. Unlike the conventional fingerprinting 287 approach, the estimated contributions of sources were not limited to be in the range of 0 % and 100 288 %. In a similar way, the sum of source contributions was not constrained to be equal to 100 %. As a 289 result, another way to control the reliability of predictions was to sum the prediction proportions of 290 both models (Legout et al., 2013). FDVS of river sediment samples were then introduced into these 291 PLSR models to estimate the contribution of sediment sources.

292 Results

## 293 1.7.Source description

The ranges of values of all colour parameters measured in the Thio River sediment samples systematically plotted within the range of values observed in the two potential sources (i.e. mining and non-mining tributaries; Figure 2 and Table 2). The range test results confirmed the conservative character of these parameters. For geochemical properties, elemental concentrations measured in the river sediment also plotted within the range of concentrations found in sources (Sellier et al., 2019). According to the range test results, all properties were determined to be conservative.







Figure 2 Box-plots of colour parameter values in the <63 μm fraction of sediment collected on the mining</li>
 tributaries (Mining), non-mining tributaries (Non-mining) and the main Thio River (Thio River sediment (TRS)-

302 flood events of 2015 and 2017). The box indicates the location of the first and third quartiles; the black line

303 indicates the median value; the red line indicates the mean value





- 304 Table 2 Geochemical element content and colour parameter values in the <63 µm fraction of sediment sources
- 305 and Thio River sediment; results of Mann-Whitney U test and individual DA used to identify the potential
- 306 tracers to differentiate sources supplying sediment to the Thio River

	Mann-	Whitney U	DA- correctly				
		test	samples (%)				
Fingernrinting	11-			Mining	Non-mining	Thio River	Thio River
property	value	p-value		tributaries	tributaries	sediment	sediment
Geochemical				(1= 10)	(1= 8)	2015 (11= 11)	2017 (n= 8)
tracers							
Al (g kg <sup>-1</sup> )	8	0.000	87.5	21 ± 21	67 ± 8	43 ± 15	29 ± 6
Ca ( <i>mg kg⁻¹</i> )	21	0.007	62.5	3731 ± 470	9286 ± 5194	5281 ± 1201	3945 ± 666
Cr ( <i>mg kg</i> <sup>-1</sup> )	124	< 0.0001	83.3	7480 ± 4606	706 ± 967	4359 ± 2185	5715 ± 1786
Fe (g kg <sup>-1</sup> )	121	0.000	91.6	144 ± 70	62 ± 24	43 ± 15	29 ± 6
K (mg kg <sup>-1</sup> )	2	< 0.0001	95.8	1657 ± 2160	14019 ± 3702	5944 ± 3294	3750 ± 974
Mg (g kg <sup>-1</sup> )	119	0.000	83.3	99 ± 59	16 ±13	88 ± 33	117 ± 21
Mn <i>(mg kg⁻¹)</i>	108	0.006	83.3	2531 ± 1317	1439 ± 606	2068 ± 667	1786 ± 516
Ni <i>(mg kg⁻¹)</i>	125	< 0.0001	91.6	6576 ± 5075	358 ± 339	4218 ± 1938	4341 ± 1239
Si <i>(g kg<sup>-1</sup>)</i>	6	< 0.0001	91.6	178 ± 42	254 ± 28	221 ± 15	204 ± 7
Ti <i>(mg kg⁻¹)</i>	13	0.001	87.5	1409 ± 2077	5446 ± 835	2771 ± 1197	1663 ± 457
Zn <i>(mg kg<sup>-1</sup>)</i>	68	0.834	-	146 ± 47	125 ± 4	134 ± 17	125 ± 8
Colour parameters							
L*	24	0.013	-	41.6 ± 4.6	45.7 ± 3.9	43.1 ± 1.7	43.0 ± 2.0
a*	104	0.013	-	9.7 ± 3.8	5.7 ± 1.2	7.3 ± 1.9	6.5 ± 1.7
b*	106	0.009	70.8	24.5 ± 4.5	20.0 ± 2.5	22.5 ± 3.0	21.9 ± 1.6
C*	108	0.006	66.6	26.4 ± 5.5	20.8 ± 2.7	23.7 ± 3.5	22.9 ± 2.0
h	26	0.019	-	69.2 ± 4.8	74.2 ± 1.9	72.2 ± 2.2	73.8 ± 2.9
x	107	0.007	75	0.42 ± 0.03	$0.39 \pm 0.01$	$0.41 \pm 0.01$	$0.40 \pm 0.01$
у	111	0.003	79.2	0.396 ± 0.008	0.387 ± 0.005	0.393 ± 0.005	0.394 ± 0.004
z	19	0.005	75	$0.18 \pm 0.04$	$0.22 \pm 0.01$	0.20 ± 0.02	0.20 ± 0.02
L	27	0.013	-	35.1 ± 4.2	38.9 ± 3.7	36.4 ± 1.6	36.3 ± 1.9
а	103	0.016	-	7.3 ± 2.9	$4.4 \pm 1.0$	5.6 ± 1.5	4.9 ± 1.2
b	91	0.106	-	13.1 ± 1.5	12.0 ± 1.5	12.7 ± 1.4	12.5 ± 0.4
u*	101	0.023	-	24.8 ± 6.9	17.8 ± 2.8	20.9 ± 4.0	19.4 ± 2.7
v*	93	0.081	-	24.7 ± 2.8	22.5 ± 2.7	24.1 ± 2.6	23.7 ± 0.8
u'	105	0.011	-	0.245 ± 0.016	0.228± 0.005	0.235 ± 0.007	0.233 ± 0.007
v	111	0.003	79.2	0.516 ± 0.008	0.507 ± 0.004	0.513 ± 0.004	0.512 ± 0.003

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# 308 **1.8.Selection of parameters/properties for modelling**

309 1.8.1.'Colour' model

310 According to the Mann-Whitney U test results, six colour parameters (i.e. b\*, C\*, x, y, z, v') 311 provided significant discrimination between the two sediment sources (*i.e.* p-value < 0.01, Table 2). 312 The backward DFA selected only v' as the optimal tracer of mining and non-mining source sediments 313 (Figure 2, Table 3). Although this parameter correctly classified 79.2 % of sources, the high Wilk's 314 lambda value obtained (i.e. 0.7209, Table 3) induced that only 27.9 % of variance was explained by v'. 315 The low Mahalanobis distance value obtained (i.e. 1.6) confirmed that sediment sources were not well separated. Accordingly, and owing to the high error percentage of the source discrimination 316 provided by this approach (i.e. 72.1 %), source contributions were not modeled with the 'colour' 317 318 model.

## 319 1.8.2.'Geochemistry' model

320 When considering the two potential sediment sources, all geochemical properties (except Zn) 321 were selected as potentially discriminant by the Mann-Whitney U test (i.e. p-value < 0.01, Table 2). 322 Among the 10 potential tracers, K was selected by the backward DFA to model sediment source 323 contributions from mining and non-mining tributaries with 95.3 % of sources correctly classified and 324 83.1 % of variance explained by K. This percentage of variance explained was deduced from the final 325 Wilk's lambda value obtained (i.e. 0.1691). Moreover, the Mahalanobis distance value showed that 326 the sediment sources were well separated from each other with a significant distance of 20.3 (Table 327 3) (Sellier et al., 2019).

### 328 1.8.3.'Colour + geochemistry' model

When combining colour parameters and geochemical properties, the DFA selected five optimal tracers (i.e. K, Ca, Ti, b\*, C\*) able to correctly classify 100 % of the sources. A significant improvement in the source discrimination was observed with the lowest Wilk's lambda value obtained (i.e. 0.0734) and the highest percentage of variance explained (i.e. 92.6 %). Moreover, the Mahalanobis distance





- value obtained (i.e. 52.1) was more than 2.5 times higher than that estimated with the
  'geochemistry' model (Table 3), thus resulting in a better separation between sediment sources than
- 335 the previous approach (i.e. 'geochemistry')
- **Table 3** Results of DFA used to identify the optimum tracer combination to differentiate sources supplying
- 337 sediment to the Thio River

Fingerprint property selected	Wilks'Lambda	Wilks'Lambda Variance explained by the variables (%)		Correctly classified samples (%)
'Colour'				
V'	0.7209	27.9	1.6	79.2
'Geochemistry'				
К	0.1691	83.1	20.3	95.3
'Colour + Geochemistry'				
K, Ca, Ti, b*, C*	0.0734	92.6	52.1	100

338

## 339 **1.9.Assessment of model performance on artificial mixture samples**

Prior to applying mixing models to river sediments, preliminary tests were conducted to control the validity of the models (i.e. 'geochemistry' and 'colour + geochemistry') and the associated estimations of source contribution errors. When applying these models on the artificial mixture samples, actual and predicted proportions were well correlated for both models (i.e.  $r^2$ = 0.99 and  $r^2$ = 0.98 respectively for 'geochemistry' and 'colour + geochemistry' models) (Figure 3).

However, the 'geochemistry' model described in Figure 3-a showed that the contributions of mining tributaries were overestimated. With 100 % of actual mining contributions, 100 % of mining contribution was predicted by the model. However, instead of 0 % of actual mining contributions, a mining contribution of 15.5% was predicted by the model. It means that the more the estimated mining source contributions tends towards 0%, the greater the associated overestimation (i.e. maximum 15.5 %) (Figure 3-a). The 'colour + geochemistry' model also provided a slight





- 351 overestimation of the contribution of mining tributaries (i.e. 7 % intercept of the regression line,
- 352 Figure 3-b). Given the slope of the regression line calculated is close to 1 (i.e. 0.98), this 7%
- 353 overestimation remains constant over the entire range of potential contributions.
- 354 Figure 3 Comparison between actual mining source proportions prepared in artificial mixtures and the mining



355 source proportions predicted by the 'geochemistry' (a) and 'colour + geochemistry' (b) models

# 356 1.10.Building partial least-squares models based on FDVS

357 Mining sources are characterized by a red-orange color while sediments originating from nonmining sources are grey. The color contrasts may be explained by the distinct geochemical 358 359 composition of these sources. Mean FDVS indicated the presence of goethite (i.e. at 445 and 525 360 nm), hematite (i.e. at 555, 565 and 575 nm), and organic matter (i.e. between 600-700 nm) (Debret 361 et al., 2011) in both mining and non-mining sources (Figure 4). In similar way, the Thio River sediment samples (2015, 2017) showed similar characteristics since the variations of the mean FDVS remained 362 363 between those found in the sources (Figure 4). Nevertheless, some differences can be observed 364 between the sources. The spectral signature of goethite is slightly stronger at 445 nm in non-mining 365 tributaries compared to mining tributaries. No difference between sources was observed at 525 nm, 366 the second wavelengths characterizing the presence of goethite. In contrast, the spectral signature of





367 hematite (i.e. at 555, 565 and 575 nm) was stronger in mining tributaries than in non-mining

### 368 tributaries.



369 Figure 4 FDVS measured in the <63 µm fraction of sources and Thio River sediment samples

370 To test the potential discrimination offered by FDVS, a PCA was applied on the source data set. 371 The first ten principal components from PCA explained 99 % of the total variation in the spectra. The 372 DFA performed on these components resulted in a final Wilks' lambda value of 0.1585. It means that 373 84.1 % of variance is explained by these ten components. Moreover, 100 % of the source samples 374 were correctly classified. The performances of FDVS-PLSR models are presented in Figure 5. The 375 mining and non-mining tributary FDVS-PLSR models provided an excellent correlation between actual 376 and predicted proportions with r<sup>2</sup> and slopes close to 1 and intercepts of linear regression close to 0. 377 The root mean square error of calibration (RMSEC) values estimated for both models were low, i.e. 378 3.4 % and 3.1 % respectively for mining and non-mining tributary models. These models also





provided a good predictability of source contributions with low root mean square error of prediction (RMSEP) values (i.e. 8.0 % and 4.7 % respectively for mining and non-mining tributary models). Another way to control the reliability of predictions was to sum the predicted proportions of both models (Legout et al., 2013). Considering the whole data set used in the construction of the partial least-squares regression models (i.e. calibration and validation) led to a mean sum of the predicted source proportions of 102 % (SD 3 %, range: 98-114 %), thus highlighting the effective prediction performance of FDVS-PLSR models.





## 387 1.11.Source apportionment modelling

## 388 1.11.1.'Geochemistry' model

The 'geochemistry' model estimated that the mining tributaries contributed an average of 65 % (SD 27 %) of the Thio River sediment during the 2015 flood event; they therefore dominated sediment inputs overall during this event. Nevertheless, non-mining tributaries locally and mainly contributed to the sediment inputs at three sampling points along the Thio River (Figure 6-a, Table 4, sampling points [3, 5, 7]). These contributions did not, however, compensate those provided by





- mining tributaries in the estuary (63-89 %). Indeed, the dominant mining contributions found in upstream river reaches (96 %, Figure 6-a, Table 4, sampling point [1]) gradually decreased along the Thio River, fluctuating between 17-77 % before increasing again at the confluence between the Thio River and the mining tributaries draining the Thio Plateau mining area (i.e. 85 %, Figure 6-a, Table 4, sampling point [8]) and reaching 60-64 %.
- 399
- This model also demonstrated that mining tributaries dominated sediment inputs with a mean contribution of 83 % (SD 8%) during the 2017 flood event (Table 5). The lowest mining tributary contributions estimated (i.e. 69 %) was found after the confluence with the Kouaré non-mining tributary (Figure 6-b, Table 5, sampling point [4]). Nevertheless, further downstream, the proportions of the mining sources increased again to reach 77-83 % in the estuary (Figure 6-b, Table 5, sampling points [7, 8]).

406









408 during the 2015 (a) and 2017 (b) flood events using the 'geochemistry' model

409





# 410 1.11.2. 'Colour + geochemistry' model

411	Similar results were obtained with the 'colour + geochemisty' model. The contributions of
412	mining tributaries were estimated to an average of 68 % (SD 25 %) for the 2015 flood event. Mining
413	tributary contributions provided almost all the sediment transiting the uppermost reach of the Thio
414	River (i.e. 99 %, Figure 7-a, Table 4, sampling point [1]). However, after the confluence with the
415	Kouaré tributary, non-mining tributaries dominated with a contribution of 83 % (Figure 7-a, Table 4,
416	sampling point [3]). Further downstream, the contribution of mining tributaries increased again with
417	supplies varying between 34-89 % to reach 58-70 % in the estuary (Figure 7-a, Table 4). The largest
418	difference between 'geochemistry' and 'colour + geochemistry' model outputs was 18 % for the 2015
419	flood event.

The 'colour + geochemistry' model also demonstrated that 88 % (SD 8 %) of the sediment supply originated from mining tributaries during the 2017 flood event. Along the Thio River, mining tributary contributions varied between 100 % in the uppermost reach, 74% after the Kouaré river confluence and 83-85% in the estuary (Figure 7-b, Table 5). The largest difference between 'geochemistry' and 'colour + geochemistry' model outputs was 10 % for the 2017 flood event (Table 5).

426

I







- 427 Figure 7 Relative contributions of mining and non-mining tributaries to the sediment collected in the Thio River
- 428 during the 2015 (a) and 2017 (b) flood events using the 'colour + geochemistry' model





# 429 1.11.3.FDVS-PLSR model

When applying the FDVS-PLSR models (i.e. mining and non-mining tributary contributions) to the river sediment samples (2015, 2017), the mean sums of the source contributions were 92 % (SD 8 %) and 80 % (SD 13 %), respectively, for the 2015 and 2017 flood events (Tables 4 and 5). Owing that predicted sums were different from the expected 100%, a bar plot display of the source contributions has been chosen to facilitate the interpretation of the results (Figure 8).

435 According to the FDVS-PLSR model results, 34 % (SD 22 %) of sediment supply originated from mining tributaries while non-mining tributary contribution provided 58 % (SD 18 %) of the sediment 436 437 input for the 2015 flood event (Figure 8-a, Table 4). In the upper part of the Thio River catchment, 438 non-mining tributaries largely dominated with a contribution of 80 % versus 6 % for mining tributaries. Along the Thio River, mining tributary contributions gradually increased to reach 70 % 439 440 after the Mué tributary confluence (i.e. one of tributaries draining the Thio Plateau Mine, Figure 8-a, 441 Table 4, sampling point [9]). The non-mining tributary contributions fluctuated along the Thio River 442 between 41-85 % (Figure 8-a, Table 4, sampling points [2-8]) and reached a minimum (i.e. 28 %, Figure 8-a, Table 4, sampling point [9]) after the Mué tributary confluence. In the estuary, sediment 443 supply was originated from 51-70 % of mining tributaries and 28-52 % of non-mining tributaries 444 445 (Figure 8-a, Table 4, sampling points [9, 10, 11]).

The FDVS-PLSR models also indicated that mining and non-mining tributaries respectively contributed a mean of 29 % (SD 20 %) and 51 % (SD 11 %) of sediment (Figure 8-b, Table 5) during the 2017 flood event. In a similar way, mining contributions gradually increased along the Thio River from 11 % in upper parts to reach 52-58 % in the estuary. On the contrary, non-mining contributions gradually decreased from 56 % in uppermost parts to reach 35-36 % in the estuary (Figure 8-b, Table 5).

In summary, the FDVS-PLSR models provided opposite results to those of the conventional
 sediment fingerprinting approach (i.e. 'geochemistry' and 'colour + geochemistry' models). According



1



to the FDVS-PLSR models, non-mining tributaries contributed the majority of the sediment supply for
the 2015 (58 %, SD 18 %) and 2017 (51 %, SD 11 %) flood events. On the contrary, the 'geochemistry'
and 'colour + geochemistry' models demonstrated that mining tributary contributions dominated
sediment supply for the 2015 (i.e. respectively 65 % (SD 27%) and 68 % (SD 28 %)) and 2017 flood
events (i.e. respectively 83% (SD 8%) and 88 % (SD 8%)).









461 during the 2015 (a) and 2017 (b) flood events using the FDVS-PLSR models





462 **Table 4** Source contributions calculated by FDVS-PLSR, 'geochemistry', 'colour + geochemistry' approaches for sediment deposited during the flood of February 25,2015

									463	
	Mining	g tributary contrib	utions (%)	Non-min	ing tributary cont	ributions (%)	Sum of source contributions (%)			
Sampling point	FDS- PLSR	Geochemistry	Colour + Geochemistry	FDS- PLSR	Geochemistry	Colour + geochemistry	FDS- PLSR	Geochemistry	Colour + geochemistry	
1	6	96	99	80	4	1	86	100	100	
2	33	95	96	51	5	4	84	100	100	
3	4	17	17	85	83	83	90	100	100	
4	32	65	65	64	35	35	96	100	100	
5	22	41	59	63	59	41	85	100	100	
6	42	77	74	41	23	26	83	100	100	
7	12	29	34	79	71	66	91	100	100	
8	47	85	83	46	15	17	93	100	100	
9	70	88	89	28	12	11	98	100	100	
10	51	64	70	44	36	30	94	100	100	
11	59	60	58	52	40	42	111	100	100	
M (%)	34	65	68	58	35	32	92	100	100	
SD (%)	22	27	25	18	27	25	8	-	-	
Minimum (%)	4	17	17	28	4	1	84	-	-	
Maximum (%)	70	96	99	85	83	83	111	-	-	



464 **Table 5** Source contributions calculated by FDVS-PLSR, 'geochemistry', 'colour + geochemistry' approaches for sediment deposited during the flood of April 10,2017

	1			1			1		465
	Minin	g tributary contril	outions (%)	Non-mir	ning tributary cont	tributions (%)	Sum of source contributions (%)		
Sampling point	FDS- PLSR	Geochemistry	Colour + Geochemistry	FDS- PLSR	Geochemistry	Colour + geochemistry	FDS- PLSR	Geochemistry	Colour + geochemistry
1	11	90	100	56	10	0	66	100	100
2	9	94	98	58	6	2	68	100	100
3	11	89	92	52	11	8	63	100	100
4	16	69	74	66	31	26	82	100	100
5	32	82	89	54	18	11	86	100	100
6	44	81	84	51	19	16	95	100	100
7	52	77	83	36	23	17	88	100	100
8	58	83	85	35	17	15	92	100	100
M (%)	29	83	88	51	17	12	80	100	100
SD (%)	20	8	8	11	8	8	13	-	-
Minimum (%)	9	69	74	35	6	0	63	-	-
Maximum (%)	58	94	100	66	31	26	95	-	-





# 466 **1.6.Complementary tests: representativeness of artificial mixture samples used for the**

### 467 FDVS-PLSR models compared to source samples

468 Given the opposite results obtained in terms of source contributions between FDVS-PLSR models on the one hand and 'geochemistry' and 'colour + geochemistry' models on the other hand, 469 470 complementary analyses were carried out on individual source samples (i.e. not the composite 471 samples used to create the artificial mixtures) to estimate their composition in terms of source 472 contributions with FDVS-PLSR models. As done in Legout et al. (2013) to assess uncertainties in the 473 fingerprinting approach due to source heterogeneity, they were considered as river sediment samples. A mean sum of the predicted source proportions of 94 % (SD 17 %, range: 49-131 %) was 474 475 calculated from the source sample data set. The compositions of mining and non-mining tributary 476 samples in Figures 9 and 10 show that artificial mixture samples built from a mix between the composite mining source sample and the composite non-mining source sample did not cover entirely 477 478 the range of values found in all the sources samples, which may explain why some source samples 479 showed mining and non-mining tributary composition lower than 0 and/or higher than 100 %.



481 Figure 9 Relative compositions of mining and non-mining sources estimated by the FDVS-PLSR models

482 applied to the individual source sediment samples





483 Moreover, two sub-groups of mining tributary samples can be distinguished, the first one 484 corresponding to samples collected on the mining tributaries located in the uppermost part of the 485 catchment and the second to samples collected on the mining tributaries located further 486 downstream. The FDVS-PLSR differentiated rather well the second group since the mining tributary 487 composition is dominant in these samples. On the contrary, the first group referred to as 'Upstream' merged with the non-mining tributary samples (Figure 9). Indeed, Figure 10 shows the mining 488 489 tributaries located in the upper part of the catchment were defined as 'non-mining tributaries' by the 490 FDVS-PLSR model.





The low K contents found in these samples confirmed, however, that they were mainly supplied by mining sources (Figure 11). Nevertheless, a colour difference could be observed visually and through variations of the a\* parameter: the a\* values increased from upper parts to lower, which results in an increasingly red coloration of mining tributary samples in downstream direction. Figure 11 shows also that the a\* values found in samples collected in the upper catchment part





- 498 overlapped with those of non-mining tributary samples. Among the 'upstream' mining tributary
  499 samples, only three samples collected on the Koua tributary (i.e. draining Camps des Sapins mine)
- showed values that were not comprised in the ranges covered by the artificial mixture samples
- 501 (Figure 9).



- Figure 11 Diagram of K contents as a function of a\* parameter values within sediment sources and artificial
   mixture samples
- 504 Discussion

## 505 1.7.Advantages and limits of models

506 1.7.1.'Colour' model

507 One of the objectives of this study was to test the contribution of spectrocolorimetry for 508 improving the source discrimination. Indeed, visual observations indicated that mining tributary 509 samples were red-orange whereas non-mining tributary samples were rather grey. However, the 510 results showed that the colour parameters, when used individually, did not provide sufficient 511 discrimination between sources (Table 2) to meet this objective. Indeed, some mining tributary 522 samples showed colour parameter values similar to those found in non-mining tributary samples





513 (e.g. v' at Table 3, or a\* shown at Figure 11). This overlap of value ranges could explain in particular 514 the inability of the 'colour' model to provide satisfactory source discrimination. Nevertheless, results 515 obtained with colour parameter analyses coupled to visual observations highlighted the occurrence 516 of two groups of mining tributary samples (i.e. 'Upstream' and 'Downstream'). The coloration 517 differences (i.e. orange/ 'Upstream' and 'red/Downstream') observed between these two groups 518 could be due to the the fact that on the one hand, the reddish colour does not provide a highly 519 conservative signature as it may be altered by the oxydo-reduction of iron minerals during the 520 periods of submersion of sediments under water. On the other hand, the presence of different types 521 of nickel ores could explain these coloration differences. Indeed, nickel ore formation depends partly 522 on the morphological context, for instance on whether nickel ores are located in a basin, on a plateau 523 or a slope. This morphological context influences the weathering level of peridotites (i.e. laterite 524 profile: red laterites at the top >> yellow laterites >> saprolites >> peridotites at the bottom). In the 525 Thio River catchment, nickel ores from the Thio Plateau mine are 'plateau nickel ores' whereas those 526 from the Camps des Sapins mine are 'slope nickel ores' (Mardhel et al., 2018). No information is 527 provided in the literature on the types of nickel ores that were mined in abandoned mining sites. The red coloration of the 'Downstream' mining tributary samples could then be associated with more 528 529 altered laterite profiles with a thicker layer of red laterites compared to the 'Upstream' mining 530 tributary samples, which could be associated with a laterite profile with a thinner layer of red 531 laterites.

### 532 1.7.2.'Geochemistry' model

The 'geochemistry' model based on K provided significant discrimination between sources (Table 2), regardless of the types of nickel ores that may be found in the Thio River catchment. K is a lithological tracer discriminating sediments originating from the erosion of the two dominant lithologies (i.e. peridotite massifs vs. volcano-sedimentary formations) in the Thio River catchment. As anthropogenic erosion (i.e. due to mining activities) dominates on the peridotite massifs (Garcin





- 538 et al., 2017), K therefore provides an optimal discrimination between mining and non-mining
- 539 tributary contributions.

540 This parameter classified the source samples rather well (i.e. 95.3 % of correct classification, 541 Table 3). Indeed, the 16 mining source samples were all correctly classified (100%) and only one non-542 mining source sample was not correctly classified (87.5 %); it corresponds to the Watou tributary 543 sample (Figure 11). This sample showed a K content similar to that found in mining tributary samples. 544 The Watou tributary is particular because it drains both volcano-sedimentary formations and 545 peridotite massifs that were not exploited for mining, which justifies that it was considered as a non-546 mining tributary. The K content measured in this sample could be representative of that found in 547 sediment sources characterized by a mix between the two dominant lithologies. Again, when 548 observing Figure 11, two mining tributary samples (i.e. 'Thio upstream') showed similar K contents to 549 that found in the Watou tributary sample. The 'Thio upstream' tributary also drains both areas 550 associated with volcano-sedimentary formations and exploited peridotite massifs (i.e. mining 551 prospection), which justifies that it was considered as a mining tributary.

552 The analysis of colour parameters coupled with that of geochemical elements indicated that 553 these samples collected on the 'Thio upstream' tributary showed a less red coloration not because 554 they are associated with a different type of ore, as could be the case for the samples collected on 555 Koua tributary draining Camps des Sapins mine (Figure 11), but because they are characterized by a 556 mix of both lithologies. As a result, the 'geochemistry' model showed a certain limitation to classify 557 source samples characterized by a mix of both lithologies. The performance of the 'geochemistry' 558 model described in Table 2 remains, however, excellent. The application of this model on artificial 559 mixture samples provided very satisfactory results (Figure 3-a) with a good correlation between the predicted and the actual source proportions (r<sup>2</sup>= 0.99). An overestimation of mining tributary 560 561 contributions is, however, to be taken into account. It was evaluated to a maximum at 15.5 %. This





- 562 overestimation is greater when the mining contributions estimated by the model tend towards 0 %
- 563 (maximum: 15.5 %, Figure 3-a).
- 564 1.7.3.'Colour + geochemistry' model

The 'colour + geochemistry' (i.e. K, Ca, Ti, b\*, C\*) model provided the best discrimination 565 566 between sources (Table 3). The inclusion of colour parameters in the 'colour + geochemistry' 567 approach allowed for the discrimination of source samples (i.e. 100 % of correctly classified source samples) that a 'geochemistry' approach alone could not achieve. Results of the tests carried out on 568 the artificial mixture samples also showed an excellent correlation between the predicted and the 569 570 actual source proportions (i.e. r<sup>2</sup>= 0.98). A slight overestimation of the mining tributary contributions 571 (7%) was observed with this approach, which remains rather reasonable (Figure 3-b). In this model, K 572 is the lithological tracer which has the higher discriminant powerful (Table 2), which may explain the 573 similarity of results obtained with the 'colour + geochemistry' and the 'geochemistry' models. 574 Indeed, mining tributaries contributed an average of 65 % (SD 27 %) for 'colour + geochemistry' 575 model and 68 % (SD 25 %) for 'geochemistry' model (Table 4). For the 2017 flood event, mining 576 source contributions largely dominated the sediment production with a mean contribution of 83 % 577 (SD 8 %) for the 'geochemistry' model and 88 % (SD 8 %) for the 'colour + geochemistry' model.

### 578 1.7.4.FDVS-PLSR models

579 The FDVS-PLSR models built from artificial mixture samples showed excellent theoretical 580 predictive performances (e.g. r<sup>2</sup>, RMSEC, RMSEC, Figure 5). However, the application of these models 581 on river sediment samples provided questionable results. Indeed, the artificial mixture samples did 582 not cover entirely the ranges of values found in all sources samples, thus resulting in an 583 overestimation (i.e. superior to 100 %) and an underestimation (i.e. inferior to 0 %) of source 584 composition (Figure 9) in several source samples. Similarly to what was previously observed, three 585 sub-groups of mining tributary samples can be distinguished, one of which (i.e. 'Thio Upstream') is 586 partially merged with the non-mining tributary samples (Figure 9). When modelling the source





contributions with the FDVS-PLSR models, a bias was created because the contributions of this mining tributary may be mainly considered as the contributions of non-mining tributaries. As a result, an overestimation of non-mining tributary contributions may be found in the entire Thio River catchment and particularly in the upper part of the study area. Third, the properties measured in the Koua tributary (i.e. draining Camps des Sapins Mine) samples were not comprised in the ranges of values covered by the artificial mixture samples (Figure 9).

593 Given the particular colour signature of this tributary (Figure 11), its contributions are 594 therefore not taken into account at all by the FDVS-PLSR models. Indeed, the sums of the source 595 contributions by FDVS-PLSR models are lower than the expected 100% particularly in the uppermost 596 part of the catchment (Tables 4 and 5), which may indicate that a source is not accounted for. 597 Artificial mixtures were constructed from a homogenized spectral signature of all mining source samples. However, two distinct spectral signatures were observed between the upstream and 598 599 downstream mining source samples. Homogenizing the spectral signature of the mining samples led to a loss of information in terms of spectral signature, in particular that of the mining samples 600 located upstream. As a result, a 3-source FDVS-PLSR models (i.e. non-mining, upstream and 601 602 downstream mining sources) would have been more appropriate than 2-source FDVS-PLSR models in 603 this context.

## 604 **1.8.Spatial and temporal variations of sediment source contributions**

Among the four models tested in this study, the 'colour + geochemistry' model is the most appropriate to estimate mining and non-mining tributary contributions in the Thio River catchment. According to the results of this model, mining tributaries provided the main sediment supply to the river system with a mean contribution of 68 % (SD 25 %) for the 2015 flood event and 88 % (SD 8 %) for the 2017 flood event (Tables 4 and 5). The variability of mining tributary contributions between these two flood events with a return period of 10 years (3500 m<sup>3</sup> s<sup>-1</sup>) could be explained in particular by the variability of rainfall distribution (Sellier et al., 2019). Indeed, during the 2015 flood event, the





Kouaré River sub-catchment received twice the rainfall than observed in the rest of the Thio River catchment, which may explain a higher contribution of non-mining tributaries for this event than for the 2017 flood event where rainfall was more intense on the eastern part of the catchment in the vicinity of the mines currently in operation (Thio Plateau, Camps des Sapins).

616 Although the FDVS-PLSR models were unable to properly estimate the source contributions, 617 they provided qualitative indications about the proportion of sediment contribution between 618 'Upstream' and 'Downstream' mining tributaries at the level of the estuary. Indeed, only 619 'downstream' mining tributaries were finally identified by the FDVS-PLSR models as mining sources. 620 Mining contributions gradually increased in downstream direction. The predicted proportion sums of 621 river sediment samples also tend to reach the expected 100%, which could result in a better 622 predictability of the models. As a result, these models indicated that sediment contribution from downstream reaches dominated that of upstream reaches at the level of the estuary for both events. 623

624 Moreover, the analysis of colour parameters coupled to that of geochemical elements 625 highlighted the occurrence of three sub-groups of mining tributary samples, (1) 'Downstream' 626 samples characterized by high a\* values and low K contents, (2) 'Koua tributary' samples located in 627 the upstream characterized by low a\* values and low K contents and (3) 'Thio upstream' samples 628 corresponding to a mix of both dominant lithologies (i.e. peridotite massifs and volcano-sedimentary 629 formations) characterized by low a\* values and higher K contents (i.e. 4 times higher than for the 630 two previous sub-groups) (Figure 11). Owing to the low K contents found in Thio River sediment samples collected in the uppermost part (i.e.  $\sim$  2200 mg kg<sup>-1</sup> in 2015 and  $\sim$  2600 mg kg<sup>-1</sup> in 2017), 631 which were similar to those measured in samples of sub-group (2, ~2400 mg kg<sup>-1</sup>), it would appear 632 633 that the Koua tributary draining Camps des Sapins mine dominated the sediment supply in the 634 upstream for both events.





### 635 Conclusions

636 The current study showed that the contributions of mining sources dominated the sediment 637 inputs with mean contributions of 68 % (SD 25 %) for the 2015 flood event and 88 % (SD 8 %) for the 638 2017 flood event (results of 'colour + geochemistry' model). Although the spatial variability of rainfall 639 may impact local sediment source contributions, a trend in terms of sediment source contributions is 640 observed along the Thio River for both flood events. In the uppermost part of the catchment, mining 641 source contributions dominated (99% in 2015, 100% in 2017) with a dominant contribution from the 642 Koua tributary draining the Camps des Sapins mine. The first non-mining tributary encountered in 643 downstream direction (i.e. the Kouergoa tributary) contributed little to sediment supply; it is rather 644 the next non-mining tributaries (i.e. the Kouaré tributary) which provided most of the sediment 645 inputs (83 % in 2015, 26 % in 2017). Nevertheless, these contributions were compensated in downstream direction by those from mining sources generated by tributaries draining Thio Plateau 646 647 mine. Finally, at the estuary, mining sources dominated (58-70% in 2015, 83-85 % in 2017). These 648 results therefore suggest that catchment management should focus on mining tributaries draining 649 active mining sites (i.e. Camps des Sapins and the Thio Plateau).

650 One of the objectives of this study was to evaluate the performance of sediment tracing 651 methods based on spectroscopy measurements (i.e. colour parameters and FDVS). The results 652 showed that these individual fingerprinting approaches did not provide sufficient discrimination 653 between sources to be used for the modelling of sediment source contributions. Nevertheless, the 654 inclusion of colour properties in addition to geochemical parameters turned out to be the optimal 655 combination of tracers providing the highest discrimination between sediment sources. This 'colour + geochemistry' model is, however largely based on the discriminatory power provided by K, which 656 657 means that the 'geochemistry' approach is also relevant to quantify sediment sources. Both 658 approaches have, moreover, been experimentally validated. As a result, the use of these approaches 659 could be extended to other mining catchments of New Caledonia but also to other similar nickel





- 660 mining catchments (i.e. Ni oxidized ores based on peridotite massifs) around the world (e.g.
- 661 Australia, Brazil, Dominican Republic, Cuba).
- 662 Data availability
- 663 The database has been registered on the PANGEAE website and is currently undergoing the editorial
- 664 process: https://issues.pangaea.de/browse/PDI-25229.
- 665 Author contribution
- 666 Oldrich Navratil, Michel Allenbach and Olivier Evrard designed research. Virginie Sellier, Oldrich 667 Navratil, Olivier Evrard and Irène Lefèvre carried out fielwork sampling. Virginie Sellier conducted the 668 analyses. All co-authors contributed to data analysis and interpretation. John Patrick Laceby 669 contributed to modelling. All co-authors contributed to the writing and approved the final version of 670 the manuscript.
- 671 Competing interests
- 672 The authors declare that they have no conflict interest.

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