	1	Referee 1
Line no.	Comment	Response (line numbers refer to revised MS)
General	Referee 1 notes the title refers to sustainability, but then says "this very important concept is never addressed in the paper and it is not clear in which terms sustainability is taken into consideration in this work".	Minor changes made. The original Abstract reports the low and evidently declining concentrations of soil P and K, and concludes that "Fertiliser-use must increase substantially to <i>sustain</i> the system". The <i>revised</i> Abstract (line 23) and Conclusions (line 349) now specify that we are referring in particular to the unsustainable management of P and K. The argument regarding sustainability of the cropping system is fully developed in terms of nutrient flows and balances in lines 262-320 of the Discussion. The Conclusions says the present cropping system is <i>'unsustainable'</i> because soil fertility is low and it continues to decline because fertiliser rates are too low.
	"productivity" in the title and goes on to say that "rice productivity is limited to only few yield data that are mainly mentioned in the discussion".	they are not referred to in the results. However, the Introduction (lines 48- 57) makes it clear that the context for the work is the general low rice productivity on the EIP that is related to soil fertility rather than a lack of rainfall. This is low "water productivity", a theme taken up at line 289-291, and again at line 349 where we conclude that "The present cropping system is unable to efficiently use the available water".
	Referee 1 notes that " a description of the rationale backing the selection of the analysed soil parameters is missing".	Minor change made. The rationale is given in the Introduction, lines 66-68, stating "Earlier research in Purulia District, West Bengal (Cornish et al., 2010) led to a focus on soil pH, organic carbon (OC), cation exchange capacity (CEC) and the macronutrients phosphorus (P) and potassium (K) as indicators of chemical fertility". We have added to the Discussion (lines 319-20) that soil surveys suggest boron might sometimes be deficient, but we did not assess this.
	Referee 1 says "figures depicting the final results should clearly show soil parameter variability, when instead now (it) is limited to differences between Rice areas and Non-Rice areas".	No change made. All figures in the paper are box and whisker plots that provide detail on the variability within the aggregated land use categories of rice and non-rice, whilst the tables all give standard errors for each analyte that apply to land class, watershed, and the data overall.
	This is a non-specific reference to unclear text	Referee 2 also cited instances where the text could be clearer. These have been attended to in the revised manuscript.
98-101	Definition of land classes	The definition, now slightly expanded, runs to 6 lines (98-104), and references Fig.1 for further information. We feel this is sufficiently explanatory. Ref 2 did not comment.
99	Homestead land clarification	Not changed. Proximity to the homestead is what matters, not the ownership.
103	Explain why "Non-degraded arable uplands provide an indication of inherent fertility.	We have added "because they have not been subjected to nutrient removal through cropping or extensive soil erosion that is evident on degraded uplands" (line 103).
106	Ref asks for detail on size of field and the characteristics of transects used for sampling	The paper says that (i) toposequence length (over which sampling occurred) is typically 0.5-5 km (Fig.1), (ii) the research watersheds were typically <5 km ² (line 93) and (iii) farmers typically have <1 ha land fragmented into small fields along the toposequence (line 77). We have added that the field sampling transect was 'z-shaped' (line 107).
111	Ref "It is not clear why the authors refer to unpublished data that refer to a site that is not under analysis in this work. If this set of data should substantially contribute to the understanding of this work,	No change made. At lines 67-69 of the Introduction we cite the work of Cornish et al (2010) in Purulia District to explain our focus on the analytes reported. Data on soil profile pH from that research were not published, but they are relevant to the present study in which we did not have the resources to study subsoil pH (now explained in line 112). Lines 113-114 provide details on sampling procedures, the analytical method is at line 118, and Cornish et al (2010) provide site descriptions.

	then should be clearly stated	
	and explained in the text"	
140	The minimum values of the pH depicted in Fig 2a for non- rice and rice fields seems to be different when in the text the two type of soils have the same value, i.e. 4.4.	Text revised. In the original text, values were rounded to one decimal point (both 4.4), but the means were actually 4.35 (non-rice) and 4.44 (rice). The 0.09 difference evident in Fig. 2a is the actual difference. We have amended the values on line 140-41 to 2 decimal places.
148,152,	Purulia is often mentioned in	See comment on line 111.
155	this work notwithstanding it is not amongst the District under analysis. Authors should clarify the role of this District in this work	
164	It would be interesting to know the value of "potential yield based on rainfall". This would contribute to better understand the discussion about rice productivity.	We are not aware of any research specifically on this in E India, and our own work is unpublished. We measured yield in hundreds of farm fields and in experiments, with around 7 t/ha as the maximum in both, although rarely in farm fields. Also, in our previous research, we estimated that annual ET of medium duration rice (125 days) in this region is around 460 mm. If we assume transpiration is around two-thirds of ET, then T is around 280 mm. If transpiration efficiency is 25 kg grain/ha/mm then a potential yield would be around 6.9 t/ha for this class of land and crop duration. This is an interesting point, but we are reluctant to cite our unpublished work.
169	Can authors specify why homestead land "being the destination for all harvested materials including crop residues" was expected to have a higher OC content?	We think this is self-evident from Fig. 7, but we have added a note referring readers to Section 4.2 for further explanation.
172 and 210	We think the referee is asking for box plots for CEC and K	These are already given in Fig 3c. and Fig 5b
204	"may be less than a third of potential". Also in this case it would be useful to have some data	Minor rewording. We did not study crop responses to added P, so there are no data. Reference to potential yield here relate to experiments in other environments and values are not directly relevant. The point here is not the potential yield, but that soil P on the EIP is very, very low by all of the published benchmarks (4 references cited), so we can expect crops to be mostly P-limited and to be very responsive to applied P (line 208).
236-45	The referee asks for a "clear definition of what soil fertility is in this work and to which extent the different elements (OC, CEC, K, etc.) taken into consideration contribute to its setting up."	The referee is right that soil fertility may include a large number of variables. Those chosen for study depend on the aim. We were concerned with soil chemical fertility (as stated at line 68), and with indicators that our previous research had shown to be agronomically important (lines 67-68). The Introduction states that we aimed to provide a foundation for improving plant nutrition (line 70). We think this is sufficient 'definition' of fertility as applied to our study. Lines 239-41 has been clarified and a note on boron added on line 319.
248	The referee asks for some key detail about the geology of the analysed EIP watersheds should be provided.	The broad geological description is in lines 80-85. No specific observations were made of site geology, so we are unable to provide more detail. Watersheds were chosen to represent diverse geologies, based on descriptions found in 'Soil maps, Department of Agriculture, Animal Husbandry and Cooperative. http://agri.jharkhand.gov.in/default.asp?ulink=resources/soilmap.asp.'
251-52	The referee refers back to line 248 and queries the point being made	Now clarified in the text, lines 248-52. The point we are making is that our results were quite consistent across sites, unlike in other studies, and we attribute this to the relative homogeneity of watersheds, notwithstanding differences in geology. That is, although geologies varied, the fertility trends generally did not.
257-59	Referee suggests briefly explaining recommendations	We have provided references for readers requiring more detail (lines 259- 60). We trust this is sufficient given the paper is primarily about soil fertility

	and providing detail about the	not how farmers learn. Having concluded that site variability indicates the
	learning process.	need for site-specific management (256), our discussion in lines 259-60
		noted the challenges of implementing site-specific management in the
		socio-economic context of East India and suggested how these might be
		addressed using a participatory learning approach.
266	Clarification of animal	The category "Old cows burnt, buried or sold" represents a sink for
	carcases as nutrient sinks.	nutrients because they don't contribute to nutrient flow within the farming
		system (in the relevant time-frame). Text has been added to clarify this
		(line 268) and also the possible sale of old animals in Fig 7.
294	Clarify tonnes of?	Text has been amended to specify grain (line 296).
293-310	Requests revision of the	Revised as requested. The referee is correct that these are approximate
200 020	discussion around limiting	estimates – used to develop a hypothesis re. nutritional constraints (lines
	nutrients	299 and 300). Like any hypothesis, it requires testing (that will also improve
	indificities	the approximations).
313, 345	Sustainability definition	See response to general issues, above, and additions to Conclusions line
515, 545	Sustainability demitton	349.
315	Describe test strips etc	See response to comment on line 257-9
318-31	Summarise the main	Not clear why the referee requests this at this point in the paper, which is a
	fertilization techniques	section on soil acidity. Our discussion refers to future fertiliser practices
	currently adopted by farmers.	that farmers might adopt to manage acidification, if necessary. Our only
	This would allow readers to	reference to current farmer practice is the widespread use on DAP at low
	better understand the	rates on all crops (line 327-28), an observation we can't elaborate upon.
	discussion and the authors'	
	recommendations.	
		Referee 2
2	Title	Amended as suggested
9	Add 'wetland'	Done
16	Change wording to	We prefer to keep the current wording. Management embraces a wider
	'ameliorate' acidification	set of options than is implied by the word 'ameliorate', that typically means
		liming. Management may include, for example, the choice of N source and
		the timing of N application to minimise leaching. We used 'further'
		intentionally, because acidification has already occurred.
146	Comment on soil acidity	Text amended as suggested
183	Change wording	Text now reads "explaining much of the variation in CEC"
226	Questions P/K relationship	The relationship is weak, as we say, but it <i>is</i> significant (P<0.05). It is the
	· · · · · · · ·	weakness that is actually important. We will add here that this was the only
		significant (but weak) relationship between any of the fertility indicators
		(line 226). We conclude the paper (line 356) by saying that weak
		associations between the fertility indicators highlights the need for field-
		specific fertiliser regimens
252	Suggest 'wetland'	Done
252	Suggest additional reference	The referee refers to a paper by Homma et al. (2003).
234		We now cite this in line 29 (28 in the original MS), 66, 245 and 255.
283	Minor revision	Done line 285
283	Question assumption of 100%	We agree that efficiency will be less than 100%.
233	efficiency for P fertiliser	Text has been revised to say 'even with 100% fertiliser efficiency' (I. 302)
		the yield attained would be only 2 t/ha, to support our argument that P is
220	Acke if there is suid as a fam	the primary nutritional constraint (I. 300).
329	Asks if there is evidence for	We had noted B deficiency in the original MS (line 124) and have now also
246	micronutrient deficiencies	noted in line 319 that B deficiency is widespread according to Anon (2013).
346	Suggest minor revision	Agreed, and text revised at line 350
349	The referee notes that the	Agreed. This cautionary note has been added at lines 353-55.
	constant removal of straw	
	and grain from rice fields, and	
	the leaching of nitrate N are	

a	dditional processes of	
а	cidification that will continue	

Soil fertility along toposequences of the East India Plateau and implications for productivity, <u>fertiliser use</u> and sustainability

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Abstract. In common with other undulating landscapes in Asia, wetland rice (*Oryza sativa*) on the East India Plateau (EIP)
 was once confined to hydrologic discharge areas or 'lowlands', but progressive terracing has now allowed rainfed transplanted rice to encroach upon 'upland' recharge areas, with potential effects on both hydrology and soil fertility. Hydrologic variation down the toposequence and its implications for rice production have been well documented, but not the variation in soil fertility. Measurements of soil chemical fertility in seven of 24 EIP Districts were used to evaluate variation between and within small watersheds stratified down the toposequence into six land classes that reflect hydrology and land use (three with

- 15 rice and three without, 36 fields/watershed). We aimed to provide a basis for future research to improve soil fertility management. Soils overall were acid, with 14% of fields requiring liming (pH <5.0) and 44% requiring further acidification to be managed (pH 5.0-5.4). Organic carbon (OC, mean 0.9%) and cation exchange capacity (CEC, mean 10.7 (cmolc/kg) were low. Available phosphorus (P) was mostly very low (mean Bray-P 4.3 mg kg⁻¹) and extractable potassium (K) low to marginal (mean 88 mg kg⁻¹). Non-rice soils generally had lower pH, OC and CEC than rice soils, but higher P and K. Amongst
- 20 rice fields, those higher in the toposequence had lower pH, OC and CEC but more P and K. These results are discussed in the context of nutrient flows in the landscape, leading to the conclusion that terracing uplands has reduced the delivery of sediment-bound P to lowlands where, even with organic P recycling, low inputs of inorganic fertiliser have led soil P to decline and become the primary constraint to yield. Soil K is on the same trajectory. <u>P and K-fF</u>ertiliser-use must increase substantially to sustain the system, a requirement that will challenge for risk-averse subsistence farmers. Field-specific fertiliser and lime recommendations are needed despite systematic toposequence differences, because of variability between fields within land
- classes.

1. Introduction

30

Much of the rainfed transplanted, or 'lowland', rice (*Oryza sativa*) in South and Southeast Asia is grown in toposequences where relatively small differences in elevation can lead to differentiation in hydrological conditions, soil properties, and often yield (Homma et al., 2003; Fuwa et al., 2007; Tsubo et al., 2007; Boling et al., 2008; Cornish et al., 2010, 2015a). These

toposequence studies agree on the hydrologic changes down toposequences but draw varying conclusions about soil fertility. They have all included relatively few locations, so site-specific factors may have determined the varying outcomes.

The present larger study of fertility down the toposequence involved seven watersheds on the East India Plateau (EIP), which rises south of the eastern Indo-Gangetic Plain and west of the coastal plain of the Bay of Bengal. The study built on hydrologic

35 measurements and agronomic experiments in two case-study watersheds, including water balance modelling that demonstrated the regional applicability of hydrologic differentiation in the landscape (Cornish et al., 2015a). Geographically broader evaluation of soil chemical fertility is required before extending the agronomic findings of Cornish et al. (2015b) regionally. Transplanted rice on the EIP was once confined to hydrologic discharge areas, or 'lowlands' (Fig. 1). Mid-slopes and uplands are hydrologic recharge areas. Population pressure has led to hillslopes being terraced and bunded for transplanted rice, starting 40 with foot-slopes ('medium-lowland') and progressing to mid-slopes ('medium-upland') that now comprise the main rice area (Cornish et al., 2015a). Terracing permits lowland (wetland) rice technology to be used in uplands.

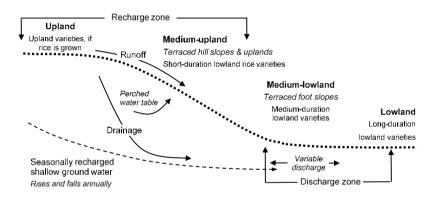


Fig. 1. EIP landscape schematic (after Cornish et al., 2015a). Vertical relief is typically 2-100 m and toposequence length 0.5-5 km. Discharge areas may be defined drainage lines, ill-defined low-lying areas, or ephemeral streams. Medium-lowland is
a discharge area in wetter years only. Lowlands receive runoff and discharge and historically have been used for lowland rice. Terraced and bunded foot-slopes and hillslopes comprise around 80% of the present EIP rainfed rice area. Uplands may be categorised into several classes depending on the potential for crops (see text). Upland (non-transplanted) rice is uncommon.

Rice yield improvement on the EIP has been slow, with paddy yields for 2011-2015 averaging <1.9 t ha⁻¹ (IRRI, 2019a). This is despite high precipitation (1,100-1,600 mm y⁻¹) and good agricultural potential (Sikka et al., 2009). The reasons for low yield have received relatively little attention, but recent on-farm research and water balance modelling revealed that flooding requirements for transplanted rice are often not met on medium-uplands, leading to frequent yield reductions and recurring crop failure (Cornish et al., 2015a). However, on this same land class, there is adequate soil water in all years for monsoonseason crops that do not require ponding, including dry-bed direct-seeded rice and vegetables (Cornish et al., 2015b). Hence

55 there is significant potential to raise rice yields and to diversify crops on land unsuited to transplanted rice. These authors observed that good nutrition would be needed to capture the opportunities provided by rainfall. However, fertiliser inputs are presently low in this region compared with elsewhere in India (FAO, 2005; Anon., 2013).

Soils of the EIP are often said to be acid and infertile (Edmonds et al., 2006; Sikka et al., 2009). These generalisations are based on 1:50000 scale soil maps (<u>http://agri.jharkhand.gov.in/resources/Soil_Inventory/Ranchi_Soil_Analysis.pdf</u>) that

- 60 sometimes include summaries of field data (Government of Jharkhand, 2019), and a small number of village-scale research studies (Itfikar et al., 2009; Cornish et al., 2010). Large-scale maps reflect the underlying geology and regional topography, but they do not reflect the local topography or the results of terracing and varying histories of rice culture. Any soil sampling behind maps is grid-based and not designed to identify topographic or land-use effects. Hence, the available maps inadequately reflect the current status of soil fertility in these landscapes. A more nuanced understanding of soil fertility and its variation
- 65 in the landscape is needed to complement large-scale maps and to inform improved crop nutrient management, for example with fertiliser guidelines based on topographic position as Boling et al. (2008 and Homma et al. (2003) suggest.

This paper reports a study of soil fertility in six of the 24 Districts in Jharkhand and one in western West Bengal. Earlier research in Purulia District, West Bengal (Cornish et al., 2010) led to a focus on soil pH, organic carbon (OC), cation exchange capacity (CEC), and the macronutrients phosphorus (P) and potassium (K) as indicators of chemical fertility. Our aims were to test the hypothesis that toposequence position affects soil fertility and to provide a basis for future research and extension to improve management of soil fertility and plant nutrition. We also examined if fertiliser recommendations could be tailored

usefully to topographic position.

2. Materials and Methods

- 75 The East India Plateau (EIP) or Chhota Nagpur Plateau is a series of plateaus, hills and valleys in an undulating landscape with an average elevation ~500 m and occasional higher peaks. It comprises much of the State of Jharkhand and parts of Chhattisgarh, West Bengal, Bihar and Odisha and is classified as Agroecological Region 12, a hot sub-humid ecoregion with red and lateritic soils (FAO, 2005). The EIP has an area of 65,000 km² with a population of ~40 million people, 70% of whom are farmers with <1 ha land fragmented into small fields along the toposequence. The region is predominantly low-input, lowoutput subsistence farming. Monoculture rice is grown in a rice-fallow system although Cornish et al. (2015b) have
- demonstrated the potential to intensify cropping with a range of crops following rice in the non-rainy season.
 The Government of Jharkhand (2019) provides a broad description of the physiography and geology of all Districts, with summaries of soil properties based on 1.5 km grid samples. Soil parent materials are primarily igneous (granite) and metamorphic (gneiss, schist) rocks, with colluvium in valley floors. The soils are primarily hyperthermic and classified as
 moderately developed Alfisols, slightly developed Inceptisols or undeveloped Entisols. Where rice is grown, soils could be

3

classified as 'Anthroposols' because of the cutting and filling associated with terracing and the subsequent effects of wet tillage and ponding on soil profile characteristics.

2.1 Site locations

Seven Districts of the EIP were selected to represent variation in underlying geology and to give wide geographic spread across

- 90 Jharkhand and adjacent West Bengal. These were Godda and Dumka to the north-east of the capital of Jharkhand (Ranchi), Khunti and West Singhbhum to the south, Gumla to the east, and Lohardaga to the north-east of Ranchi. A further location was Bankura in West Bengal, to the east of previous sampling in Purulia District (Cornish et al., 2010). Site selection within these Districts was constrained by security issues, the need for reasonable access, and the desirability of having collaborating farmers to assist with local knowledge, field work and interpretation of results. This effectively meant that sampling was
 95 constrained to villages where the NGO PRADAN had a presence. Within each District, a micro-watershed, typically <5 km²,
- was selected following negotiations with local villagers.

2.2 Sampling

A stratified random sample of fields was taken within each watershed based on an assessment of local hydrology made together with villagers who recognise hydrologically distinct land classes and manage them accordingly, e.g. by choosing appropriate rice varieties (Fig. 1). Land classes include lowlands, medium-lowlands, medium-uplands, and uplands. Uplands are further categorised as (i) land near the homestead, to which harvested materials are generally taken (this land may be cropped to vegetables), (ii) arable land near medium-upland that is unsuitable for rice but may be suitable for other rainfed crops, and (iii) non-arable upland that is unsuitable for any crops and is usually grazed as common land. Non-arable upland may be too shallow or stony for crop production, under forest, or degraded by over-grazing and soil erosion. Degraded areas in the nonarable uplands were avoided. Non-degraded non-arable uplands provide an indication of inherent soil fertility because they have not been subjected to nutrient removal through cropping or extensive soil erosion that is evident on degraded uplands.

Fields were sampled in May, 2010 prior to the monsoon. Six fields were selected at random within each of the six land-classes in all seven watersheds, a total 252 fields. Care was taken to avoid fields located near trees or having other obvious anomalies. In each field, soil was sampled along a <u>z-shaped</u> transect at four locations, from the vertical face of a hole dug to 100 mm
 the transmission of the samples were bulked and air-dried for later analysis at the Indian Institute for Soil Science, Bhopal (IISS).

- Soil sampling in India is most commonly to 150 mm, but in our experience primary tillage on the EIP is still undertaken with animals, and is rarely deeper than 100 mm. We considered that deeper sampling would risk diluting the nutrient-enriched surface layer, resulting in unreasonably low values.
- We did not have the resources to evaluate soil pH down soil profiles, but unpublished data for soil profile pH are 115 presented from the site of previous studies in Purulia District (Cornish et al., 2010). A total of 54 rice
 - 4

fields along the toposequence were sampled, with a single 100-mm auger hole in the centre of each field in increments of 0-10, 10-30, 30-60 and 60-90 cm.

2.3 Soil analysis

For quality assurance, a subset of 30 samples was first provided 'blind' to three laboratories for comparative analysis, before settling on IISS, Bhopal. Organic Carbon (OC) was determined by the Walkley and Black (1934) method, Cation exchange capacity (CEC) by Blakemore et al. (1987) (only 3 of the 6 fields per watershed/land class), and pH_w by glass electrode in a 1:5 water suspension. Plant-available soil P was analysed by the Bray-1 method (Bray and Kurtz, 1945). In India, the use of Bray-1 is confined to soils with pH_w <7.2. A small number of samples had pH_w >7.2, but none were calcareous. Exchangeable soil K was estimated by flame photometer after neutral normal ammonium acetate extraction (Spencer and Govaars, 1982) and

- 125 the values (cmol_c/kg) were multiplied by 390 to arrive at extractable K (mg kg⁻¹) (Peverill et al., 2009). Available nitrogen (N) is agronomically important but it was not assessed as it is universally very deficient without N-fertiliser. According to the Government of Jharkhand (2019), Boron (B) and sulphur (S) may be deficient in some soils, but they were not assessed. The experimental design was a randomised complete block with subsampling (Steele and Torrie, 1980; Tangren, 2002) where watersheds were the blocks, land classes were the treatments and sub samples were the fields within land class. One-way
- 130 ANOVAs were also undertaken of individual watersheds across land classes and for individual land classes across watersheds. These analyses provided the standard errors (s.e.) in tables of treatment means. Means and s.e. are tabulated without applying statistical comparisons to individual means, following Riley (2001). Analysis of variance used S-Plus 6. Data were summarised in box plots using Excel in Office Professional Plus (2016) with the median and four quartile values from minimum to maximum shown by horizontal bars, the mean by a 'X', plus outliers (>1.5 x the interquartile range above the
- 135 upper quartile and below the lower quartile). Separate box plots were constructed for the combined non-rice and combined rice fields. Data for non-rice and rice fields were also compared using t-tests in Excel.

3. Results and Discussion

For all analytes, the overall ANOVA revealed significant (P<0.01) main effects for blocks (watershed) and treatments (land class) and a significant (P<0.01) interaction. Watershed differences appeared to reflect differences in underlying geology, resulting in generally higher pH at Godda, lower pH and CEC at Khunti, and higher K at Gumla.

3.1 Soil pH

140

Surface soil pH_w was generally low (Table 1) but not universally so. The variation in pH_w was striking, ranging in non-rice fields from 4.35 to 6.34 and in rice fields from 4.44 to 7.77 (Fig. 2a). Non-rice upland soils on average were more acid than rice soils (mean pH_w 5.35 v 5.72, P<0.01).

Only 17% of non-rice soils and 10% of rice soils had a pH_w <5.0 that would be classified as strongly acid. Multiple effects on crop growth occur at pH_w <5.0, and remediation with lime should be considered (Peverill et al., 1999). Lowland rice may not require liming because the pH shifts towards neutrality with flooding (Seng et al., 2006), but rice land may still need liming if rice is followed by a non-flooded crop. A further 45% of non-rice fields and 43% of rice fields were in the range pH_w 5.0-5.4, which is low enough to hamper some acid sensitive crops.

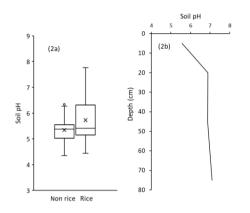
Amongst rice soils, there was a trend towards higher pH_w down the toposequence (Table 1), although less so than for the surface soil at Purulia (Cornish et al., 2010). The trend was consistent across watersheds, with the exception of West Singhbhum where medium-upland was inexplicably less acid than elsewhere in the watershed.

Table 1. Soil pH in seven EIP watersheds. Values are the mean of six fields.

	Rice land				Non-rice lar			
Watershed	Lowland	Medium lowland	Medium upland	Arable upland	Non-arable upland	Homestead	Mean	s.e.
Gumla	5.18	5.32	4.95	5.22	5.49	5.64	5.30	0.09
Lohardaga	6.13	6.73	5.53	5.65	5.38	5.55	5.83	0.09
W Singhbhum	5.20	5.21	5.66	5.16	5.05	5.40	5.28	0.08
Khunti	5.10	4.78	5.03	4.87	4.68	4.64	4.85	0.07
Dumka	6.02	5.88	5.44	5.59	5.02	5.19	5.52	0.07
Godda	6.52	6.65	7.21	7.37	6.20	5.85	6.63	0.07
Bankura	7.15	5.39	5.17	5.47	5.44	5.52	5.69	0.09
Mean	5.90	5.71	5.57	5.62	5.32	5.40	5.59	0.09
s.e.	0.11	0.09	0.09	0.07	0.05	0.08	0.08	

155

The results for soil profile pH_w in rice fields at Purulia reveal an increase from pH_w 5.6 in surface soil to pH_w 7.1 in subsoil (Fig. 2b). If this result is representative, then any remediation of soil acidity will concern only surface soil.





160 3.2 Soil organic carbon

Mean OC in the surface soils of the seven EIP watersheds was 0.9%, with a range among watersheds of 0.5-1.2% (Table 2). These values are towards the lower end of OC reported globally for non-arid areas (FAO and ITPS, 2018). However, they are comparable to soil surveys in the region, which classify them as 'medium' to 'high' in OC (Government of Jharkhand, 2019).

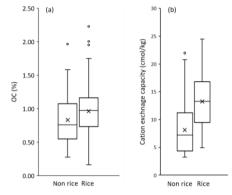
Table 2. Organic carbon (%) in seven EIP watersheds.

Watershed	Rice land				Non-rice la	Mean	s.e.	
watersheu	Lowland	Medium	Medium	Arable	Non-arable	Homestead		
	Lowialiu	lowland	upland	upland	upland	Homesteau		
Gumla	0.78	0.72	0.72	0.59	0.75	0.72	0.71	0.04
Lohardaga	0.61	0.61	0.44	0.52	0.48	0.42	0.51	NS
W Singhbhum	1.03	1.09	0.77	0.63	0.94	1.07	0.92	0.12
Khunti	1.37	1.17	0.89	0.70	0.66	0.59	0.90	0.15
Dumka	0.89	0.76	1.03	0.95	0.62	0.82	0.84	0.08
Godda	1.10	1.31	1.13	1.37	1.17	1.00	1.18	NS
Bankura	1.50	1.23	1.06	1.15	1.43	0.86	1.21	0.15
Mean	1.04	0.99	0.86	0.84	0.86	0.78	0.90	0.10
s.e.	0.11	0.09	0.11	0.09	0.11	0.09	0.11	

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Higher OC is associated with greater nutrient availability, structural stability and water holding capacity (Peverill et al., 1999). Critical values for OC are hard to define, although Kay and Angers (1999) suggest that surface soil OC < 1% may constrain yields to less than the potential based on rainfall. Five of the EIP watersheds had $OC \le 1\%$ through most of the toposequence.



170 Fig 3. (a) Soil organic carbon and (b) cation exchange capacity across seven EIP watersheds. The OC content of non-arable uplands was close to each watershed mean, despite these being relatively undisturbed, uncultivated areas that we expected to have amongst the highest OC in each watershed. Homestead land also had no greater OC than elsewhere, despite homesteads being the destination for all harvested materials including crop residues (refer to Section 4.2). Rice soils had higher mean OC than non-rice soils (0.96 v 0.83%, P<0.01), but there was substantial variation
175 (Fig. 3a). Amongst rice soils, there was a trend towards OC increasing down the toposequence (Table 2).

3.3 Cation exchange capacity (CEC)

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Mean CEC over all fields was 10.7 cmol_c/kg, but it varied among watersheds from lows of 6.5 and 8.2 cmol_c/kg at Khunti and Lohardaga, to a high at Bankura of 16.4 cmol_c/kg (Table 3). The mean CEC of soils used for rice production (13.3 cmol_c/kg) was substantially higher (P<0.01) than for non-rice soils (8.1 cmol_c/kg) (Fig. 3b), and the CEC of rice soils increased substantially down the toposequence (Table 3).

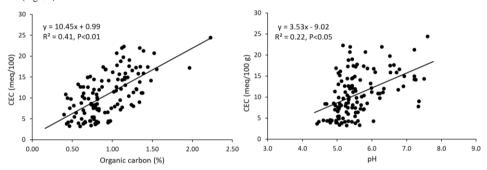
Table 3.	Cation exchange	capacity (cmol/k	g) of soils in	seven EIP watersheds

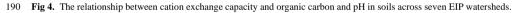
Watershed	Rice land				Non-rice la			
	Lowland	Medium lowland	Medium upland	Arable upland	Non arable upland	Homestead	Mean	s.e.
Gumla	13.1	9.0	8.1	9.5	9.1	10.9	10.0	0.95
Lohardaga	10.4	12.8	8.0	6.3	7.7	3.8	8.2	NS

W Singhbhum	19.1	12.7	12.0	4.2	5.8	8.8	10.4	1.38
Khunti	11.1	7.3	7.8	4.4	4.2	4.2	6.5	0.86
Dumka	18.5	14.9	12.7	5.0	7.2	4.2	10.4	1.60
Godda	16.4	15.3	14.5	10.3	11.4	9.8	13.0	1.22
Bankura	21.8	17.5	15.1	18.7	16.7	8.6	16.4	1.69
Mean	15.8	12.8	11.2	8.3	8.9	7.2	10.7	1.08
s.e.	1.01	0.81	1.39	0.93	0.98	1.26	1.19	

CEC is important as it influences nutrient (cation) retention and provides a buffer against soil acidification (Ketterings et al., 2007). To put the EIP values into perspective, most agricultural soils fall in a range between 2 and 40 cmol₆/kg, with CEC increasing with clay fraction, organic matter concentration and pH (Peverill et al., 1999).

CEC across the seven watersheds increased significantly with OC ($R^2 = 0.45$, P<0.01) and (weakly) with pH_w ($R^2 = 0.22$, P<0.05) (Fig. 4), <u>explaining much of the variation in CEC of rice-growing land</u> explaining the higher CEC of rice growing land (Fig. 3b).





3.4 Available phosphorus

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The mean concentration of available soil P averaged 4.3 mg kg⁻¹ over all watersheds and land classes (Table 4). We expected higher soil P (and OC, CEC) in the absence of cultivation in non-arable uplands, but this was not the case, with mean P of only 3.2 mg kg⁻¹. As with other soil fertility indicators, the results for soil P were highly variable, even within land class. Soil P values overall varied from not detectable to 6.4 mg kg⁻¹, *excluding* statistical outliers with up to 29 mg kg⁻¹ (Fig. 5a).

Watershed	Rice land

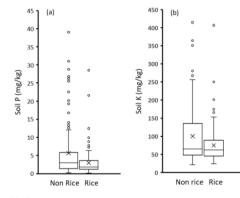
Non-rice land

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	Lowland	Medium lowland	Medium upland	Arable upland	Non arable upland	Homestead	Mean	s.e.
Gumla	1.3	5.0	3.3	4.3	2.9	7.3	4.0	1.5
Lohardaga	2.1	3.6	2.4	2.5	1.2	3.8	2.6	NS
W Singhbhum	0.4	4.3	12.4	6.0	5.0	7.6	5.9	2.1
Khunti	2.1	1.4	0.6	4.8	1.9	1.0	2.0	NS
Dumka	1.1	2.3	2.3	22.2	1.1	3.3	5.4	1.6
Godda	1.7	5.3	1.6	26.6	7.8	4.2	7.8	1.8
Bankura	1.6	2.1	5.8	2.1	2.3	1.3	2.5	0.6
Mean	1.4	3.4	4.0	9.8	3.2	4.1	4.3	1.5
s.e.	0.4	0.9	1.7	2.1	1.1	1.2	1.4	

Table 4. Available soil phosphorus (Bray-P, mg kg⁻¹) in seven EIP watersheds.

Concentrations of P were highest in the arable uplands of Dumka and Godda and, less so, the homestead land of Gumla and West Singhbhum and the medium-uplands at West Singhbhum and Bankura. The relatively higher concentrations were found in small areas where maize (*Zea mays*) or vegetables were grown in the monsoon cropping season. This included two fields in medium-upland in West Singhbhum and Bankura that had recently been converted from rice to vegetables. Maize and vegetables are generally fertilised with di-ammonium phosphate (DAP) or a compound fertiliser containing N, P and K. Available P in rice fields tended to decrease lower in the toposequence (Table 4). In lowlands, the area traditionally used for rice, the P concentration was 1.4 mg kg⁻¹ across watersheds. Over all rice fields, 75% had P values <3.6 mg kg⁻¹ (Fig. 5a).



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Fig 5. (a) Available P and (b) Extractable K concentrations across seven watersheds.

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Crops respond to P-fertiliser when Bray-P is less than around 10 mg kg⁻¹ for flooded rice and 20 mg kg⁻¹ for other crops (Bado et al., 2008; Dodd and Mallarino, 2005; Mallarino et al., 2013; Sahrawat et al., 1997). When <5 mg kg⁻¹, wetland rice yields, without fertiliser, may be less than a third of potential (Bado et al., 2008). The values for Bray-P in Table 4 suggest that all crops grown anywhere in the landscape (except arable uplands at Godda and Dumka) will be very highly responsive to P-fertiliser, even with the lower critical value for rice under flooded conditions (Seng et al., 2007). In short, these soils are almost universally acutely deficient in P. This is supported by limited on-farm research in Purulia District of the eastern EIP showing large responses to P-fertiliser in rice (Cornish et al., 2010), and even larger responses in mustard (*Brassica juncea*) and wheat (*Triticum aestivum*) following rice, where yields without P fertiliser were <10% of well-fertilised fields (Cornish et al., 2015b).

3.5 Extractable soil potassium

The mean extractable K concentration was 88 mg kg⁻¹. This varied among watersheds, from 50 mg kg⁻¹ to 164 mg kg⁻¹, and among land classes, from 64 mg kg⁻¹ in lowland to 128 mg kg⁻¹ in arable upland (Table 5). Concentrations were highest in arable uplands in Khunti, Dumka and Godda, homestead land at Gumla, and medium-upland at West Singhbhum (skewed by one high field). This pattern mirrored available soil P and, as previously noted, may reflect the use of fertilisers on maize and vegetables. Non-rice fields overall had more K than rice fields (100 v 75 mg kg⁻¹, P<0.01) (Fig 5b). The K in rice fields generally decreased lower in the toposequence. The outliers in the non-rice fields in Fig. 5b were almost all associated with arable uplands at Godda, where high K seems to be a feature of this land class for unknown reasons (Table 5).

	Rice land				Non-rice lar			
Watershed	Lowland	Medium lowland	Medium upland	Arable upland	Non arable upland	Homestead	Mean	s.e.
Gumla	92	131	143	197	179	240	164	23
Lohardaga	58	86	79	49	66	53	65	NS
W Singhbhum	80	66	161	60	60	83	85	26
Khunti	51	45	53	65	43	45	50	6
Dumka	41	41	76	194	80	63	83	15
Godda	71	74	37	265	88	56	99	22
Bankura	56	60	74	69	106	50	69	13
Mean	64	72	89	128	89	84	88	16
s.e.	6	11	26	21	11	16	18	

Table 5. Extractable potassium (mg kg⁻¹) in seven EIP watersheds.

225 The data show large variation within and between watersheds, with half of all fields having less than $\sim 60 \text{ mg K kg}^{-1}$. The significance of these values depends on the critical values used for interpretation. These depend on the extractant, soil type,

sampling depth, crop species and crop demand for K. Peverill et al. (2005) suggest categories of low (<80 mg kg⁻¹), medium (80-200 mg kg⁻¹) and high (>200 mg kg⁻¹), but highlight the poor predictive power of soil K tests. A review of Australian field experiments suggests a critical value closer to 50-60 mg kg⁻¹ for moderately acid soils, based on bicarbonate-extractable K (Brennan and Bell, 2013). On this basis, extractable K would be low to marginal except for Gumla, the medium-upland in

the

West Singhbhum (skewed by one outlier) and arable uplands in Godda and Dumka.

only significant The relationship between any of indicators was between P and K, and it was weak ($R^2 = 0.34$) (Fig. 6). 450 400 350 300 Extractable K 250 200 v = 6.92x + 61150 = 0.34, P<0.05) 100



235 Fig. 6. The relationship between available P (mg kg⁻¹) and extractable K (mg kg⁻¹) in soils across seven EIP watersheds.

4. General discussion

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Prior to this study, published information on soil fertility on the EIP was restricted almost entirely to large-scale soil maps, leading to generalisations about acid, infertile soils (Edmonds et al., 2006; Sikka et al., 2009), and in turn to generalised fertiliser prescriptions. These generalisations fail to capture variation down the toposequence within watersheds and between individual fields. Our findings for uncultivated uplands confirm that the soils are inherently acid and infertile, but also reveal

systematic variation associated with land use and location in the toposequence.

4.1 Differences along the toposequence

Soils from the three classes of upland, where rice is not grown, had significantly lower pH, CEC and OC, but more P and K, than the three classes of rice-growing fields lower in the toposequence. Amongst rice fields, those lower in the toposequence
tended to be less acid and have higher OC and CEC, but less P and K. The trends were generally consistent amongst the watersheds and consistent with the findings of Cornish et al. (2010) for two watersheds in Purulia District. Farmers often refer to lowlands as their more fertile land, but t

Our findings contrast with those of Fuwa et al. (2007), who reported fertility increasing down the toposequence in a single village. No details for sampling and analysis were provided. In another study, in four villages each in Thailand and Vietnam,

Boling et al. (2008) reported higher OC and pH in rice fields lower in the landscape as in our study, no trend in soil P, and an 250 increase in soil K down the toposequence but only in Indonesia. Homma et al. (2003) also reported higher OC in lowland soils and attributed higher fertility to lowlands because of this, but without measuring any soil nutrients.

Differing toposequence trends in these studies could reflect numerous variables, including underlying geology and native soil fertility, the degree of cutting and filling, the length of time used for rice, and the amounts of 255 fertiliser used over that time. Amongst the EIP watersheds, only the underlying geology will have varied greatly. This relative homogeneity may explain the consistency of our findings across watersheds , that were characterised by low inherent fertility (evident in uncropped uplands), low nutrient input, and long histories of rice in lowlands and medium-lowlands but not in the medium-uplands that are still being developed by marginal farmers to increase the area of wetland rice. Our findings will likely apply to any undulating landscape 260 in Asia that produces rice and has the characteristics just described.

One of our aims was to evaluate the possibility of basing fertiliser recommendations on topographic position, as suggested by Homma et al. (2003) and Boling et al. (2008). Our data show this is not feasible because of the large variability between fields within land class/topographic position, implying the need to avoid broad prescriptions and to manage nutrients and fields individually. However, field-specific management raises the question of how farmers can vary inputs to meet crop 265 requirements in the absence of soil testing, which they cannot afford even if it is available and reliable. The answer may lie in

simple farmer 'test-strips' (Cornish et al., 2015b) or the 'omission trial' recommended by IRRI (2019b). These can be used effectively by farmers given some technical support and a participatory learning process (Pretty, 1995).

4.2. Nutrient flows in the landscape and effects on soil fertility and rice yields

The vast majority of smallholders on the EIP are subsistence farmers, so almost all food is produced for home consumption 270 and little is exported from the farm (and watershed). Nutrients removed from cropped land and grazed/forested areas are relocated to homestead areas where the grain is consumed, straw is utilised for roof thatch, animal bedding and feed, and the manure collected from grazed land is used for fertiliser or for fuel (along with firewood). Organic materials and ash collected from around the homestead are then partially returned to cropped areas as manure, compost and ash. These nutrient flows are shown in Fig. 7. Upland soil erosion is a significant potential source of nutrients for crops lower in the landscape, and animal carcases are a significant sink if they no longer cycle within the farming system within relevant timeframes. Nutrient import in subsidised food may be significant for the poorest families.

Whilst these systems appear to be relatively 'closed' compared with market-oriented agriculture, nutrients are still lost in runoff and in the small amounts of produce sold (sometimes including old cows and other animals). Nutrients may also be imported in fertiliser, but these inputs are relatively small compared with elsewhere in India (FAO, 2005; Anon., 2013), with 280 rates estimated from these reports to be 40 kg N ha⁻¹, 5 kg P ha⁻¹ and 8 kg K ha⁻¹ per rice crop.

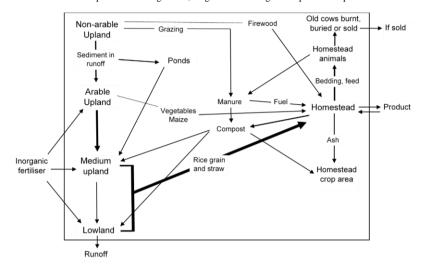


Fig. 7. Indicative nutrient flows. Line weight reflects relative magnitude (see text for details).

Given the homestead is the focus of nutrient flows we expected to find OC, CEC, P and K to be highest in this land class. However, P and K tended to be highest in arable uplands and some homestead land, whilst OC and CEC were highest in rice
land. Our tentative explanation is that farmers prioritise rice for their compost (in addition to inorganic fertiliser), as it is the foundation for food security. Other crops receive mainly inorganic fertilisers as finances allow. The use of compost on rice land will result in relatively higher OC and CEC (Figs. 3, 4), whilst using inorganic fertilisers and ash on non-rice crops such as maize and vegetables will help to maintain relatively higher soil P (Fig. 5a) and K (Fig. 5b) where these crops are grown, i.e. around the homestead (e.g. Gumla, Lohardaga), arable uplands (Dumka, Godda), and selected medium upland fields
converted from rice to vegetables (West Singhbhum, Bankura).

That arable uplands had more P and often K than non-arable uplands (except Bankura) suggests that rates of inorganic fertiliser were sufficient to maintain the nutrient balance, albeit at low concentrations of P and K with low yields. In rice, it appears that recycled nutrients in compost plus the small inputs of inorganic fertiliser are not sufficient to balance removal, and further declines in soil nutrient content might be expected with current practices. Further research on field and watershed-scale

295 nutrient balances are required to evaluate these propositions.

Low fertility resulting from inadequate fertiliser appears to be the primary cause of low rice yields in the region (<1.9 t ha⁻¹) (IRRI, 2019a), rather than insufficient water. There is reliable water for rice in lowlands and in all areas for crops that do not depend on flooding (Cornish et al., 2015a, b).

We now consider which of the major nutrients (N, P, K) is the main constraint to yield. Flooded rice has historically been grown as a subsistence crop on alluvial or colluvial lowlands with no external inputs. Yields were sustained with N from free-living N-fixation (Watanabe and Roger, 1984) and P from sediment in runoff from uplands. The N fixed is hard to quantify, but long-term field studies suggest N uptake from crops with no N fertiliser is ~80 kg ha⁻¹ per crop (Watanabe and Roger, 1984), which is sufficient for grain yields of ~4 t ha⁻¹ if rice requires 15-20 kg N t⁻¹ grain (IRRI 2019b). Farmers in the region also apply on average 40 kg N ha⁻¹ per crop, sufficient of soft for a further 1.0 t ha⁻¹ with a fertiliser efficiency of 0.5 (IRRI, 2019b). If N were the primary nutritional constraint to yield

- , then <u>average</u> yields should be at least 4 t ha⁻¹, but they are ≤ 1.9 t ha⁻¹. This suggests N may not be the primary nutritional constraint, although it is generally assumed to be so in rice (IRRI, 2019b).
- 310 From our soil analyses (Table 4), we hypothesise that P is most likely the primary nutritional constraint to rice yields in most fields unless P-fertiliser is supplied at high rates. Current rates average only <u>~5</u> kg P ha⁻¹ for subsistence farmers (FAO, 2005; Anon., 2013) which, <u>even with 100%</u> fertiliser efficiency, is enough for a crop of only 2 t ha⁻¹ (IRRI, 2019b), i.e. the present regional rice yield. We note also that acute P deficiency may inhibit free-living N fixation (Watanabe and Roger, 1984).
- 315 We hypothesise that P-fertiliser is required now, when it was not required in the past, because progressive terracing of hillslopes has intercepted runoff from uplands, <u>leading to</u> sediment deposition <u>and</u> depriving lowlands of their traditional source of P. With reduced sediment-P input, and with low rates of fertiliser-P, a rundown of soil-P is inevitable and unsustainable. This will be most evident in lowlands as the oldest cropped land and the least likely to received sediment-bound P. <u>Substantially increased P-fertiliser inputs are needed to efficiently use the available water and the 320 N described above.</u>
- K appears worthy of furthe

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K appears worthy of further attention, even if it is not currently the primary nutritional constraint. K concentrations appear to be low to marginal, and present practices return insufficient K to rice land to maintain soil K. Rice removes \sim 5 kg K t⁻¹ grain unless straw is retained (IRRI, 2019b), suggesting a fertiliser replacement value of 20 kg K per crop if yields are 4 t ha⁻¹. Farmers on average apply 8 kg K per crop so rundown of soil K seems inevitable. The greatest risk of K deficiency is in the lowlands that have been cropped for the longest period of time and have the lowest concentrations of extractable K (Table 5). In the absence of reliable dose-response data and critical soil K values, there would be merit in farmers using test strips to

assess responses to K-fertiliser, giving priority to lowlands. Or they could simply commence K-replacement on lowland. It is clear that higher yields and sustainable crop production will only be achieved with substantially increased fertiliser use. This will present a challenge to risk-averse subsistence farmers, who also have limited access to credit for inputs. The field 330 test strips and omission trials referred to earlier could assist farmers to assess nutrient responses on their own land and gain confidence whilst taking manageable risks. Any assessments of soil fertility might be extended to include boron, as soil surveys (Government of Jharkhand, 2019) suggest this nutrient may sometimes be deficient but we did not assess this.

4.3 Soil acidity

Results confirm the widely held belief that EIP soils are acid (e.g. Edmonds et al., 2006; Sikka et al., 2009), although there is
 significant variation amongst the watersheds with some near neutral (Godda) and others requiring remediation (e.g. Khunti).
 Uniform recommendations to lime EIP soils would be inappropriate because only 14% of all fields had pHw <5.0. Although lime would not normally be considered for lowland rice at this pH, any non-rice crops following rice may require lime.
 A further 44% of soils are moderately to slightly acid (pHw 5.0-5.4), and for these fields there is a need to at least minimise

further soil acidification. A major cause of soil acidification is the use of ammonium-based fertiliser (Helyar and Porter, 1987),
such as diammonium phosphate (DAP) which is widely used as a pre-plant fertiliser for all types of crops, albeit as low rates currently. Our results suggest that inputs of N should be restricted to urea, which is less acidifying. This would require P to then be supplied as superphosphate which is essentially non-acidifying (Helyar and Porter, 1987). We also recommend that K, if required, be applied as muriate of potash or potassium sulphate, allowing farmers to vary individual nutrients according to requirements and minimise soil acidification. The use of superphosphate and potassium sulphate will also help to address any concern about S, which is not addressed here.

Fortunately, pH_w increased with depth at the one watershed where this was studied, and it is unlikely that subsoil amelioration will be needed (Helyar and Porter, 1987).

5. Conclusions and Recommendations

Fields without rice, higher in the toposequence, had lower pH_w, OC and CEC but higher P and K than rice fields. Amongst
rice fields, those lower in the toposequence were less acid and had higher OC and CEC, but less P and K. These toposequence differences may apply to other undulating landscapes in Asia exhibiting the characteristics of our present study, i.e. low inherent fertility, low nutrient inputs, and a long history of rice in lowlands and medium-lowlands, but not in medium-uplands that are still being developed as marginal farmers seek to increase their area of wetland rice.

Despite these toposequence differences, fertility indicators varied so much within areas defined by land class and hydrology 355 that toposequence position cannot be used to better target fertiliser recommendations.

For most arable fields and for all crop types, yield improvement requires the application of much higher rates of P and possibly K fertiliser (N is deficient but was not studied). We hypothesise that for many years rice was grown successfully on inherently infertile lowland soils, without inorganic fertiliser, because eroded sediment from uplands annually renewed the soil P (and other nutrients), a source now diminished by terracing of uplands. This has led to declining soil P and the need to greatly

360 increase fertiliser rates. Soil K is not so critically deficient, but it too is declining and K-replacement needs to be considered.

The present cropping system is clearly unsustainable at least with respect to P and K management, and unable to efficiently use the available water or even the N supplied in rice fields by free-living N-fixation.

Soils are mostly acid, but only 14% of fields (pH_w <5.0) warrant liming. A further 44% are at risk from further acidification, so we recommend replacing fertilisers containing NH_4^+ , such as DAP, with less-acidifying sources such as urea (for N) and

365 superphosphate (for P, S), enabling farmers to target fields with the N, P and K required, with minimal effect on soil pH.<u>We</u> caution, however, that the constant removal of straw and grain from rice fields, and the leaching of nitrate-N, are additional processes of acidification that will continue.

Variation within fertility indicators and weak associations between them show that nutrient-use needs to be field-specific. We suggest introducing farmers to 'strip tests' or 'omission trials', allowing them to make their own field-by-field assessment of

370 nutrient and lime requirements. Engaging risk-averse farmers in a participatory learning process should help them to take the difficult and expensive decision to substantially increase fertiliser rates.

Data Availability

Data are freely available for download from Harvard Dataverse. <u>https://doi.org/10.7910/DVN/UUEF6J</u>

Author contributions

375 PC was overall project leader. All authors contributed to experimental design. AK led the development of site selection criteria, site selection, and discussions with farmers. SD oversaw all sample collection, processing and despatch to the laboratory. PC prepared the manuscript with comments by AK and SD.

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385 Competing Interests

The authors declare that they have no conflict of interest



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