1	Identification of new microbial functional standards for soil
2	quality assessment
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4	Sören Thiele-Bruhn <sup>1</sup> , Michael Schloter <sup>2</sup> , Berndt-Michael Wilke <sup>3</sup> , Lee A. Beaudette <sup>4</sup> ,
5	Fabrice Martin-Laurent <sup>5</sup> , Nathalie Cheviron <sup>6</sup> , Christian Mougin <sup>6</sup> , Jörg Römbke <sup>7</sup>
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7	Revised version Nov. 29th, 2019
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9	<sup>1</sup> Universität Trier, Bodenkunde, Behringstr. 21, 54286 Trier, Germany
10	<sup>2</sup> Helmholtz Zentrum München, Deutsches Forschungszentrum für Gesundheit und Umwelt, Abteilung für
11	vergleichende Mikrobiomanalysen, Ingolstädter Landstr. 1, 85764 Neuherberg, Germany
12	<sup>3</sup> TU Berlin, FG Bodenkunde, Ernst-Reuter-Platz 1, 10587 Berlin, Germany
13	<sup>4</sup> Environment and Climate Change Canada, 335 River Road, Ottawa, Ontario, K1A 0H3, Canada
14	<sup>5</sup> AgroSup Dijon, INRA, Université Bourgogne, Université Bourgogne Franche-Comté, Agroécologie, 17 rue
15	Sully, 21065 Dijon Cédex, France
16	<sup>6</sup> UMR ECOSYS, Platform Biochem-Env, INRA, AgroParisTech, Université Paris-Saclay, 78026, Versailles,
17	France
18	<sup>7</sup> ECT Oekotoxikologie GmbH, Böttgerstr. 2-14, 65439 Flörsheim, Germany
19	
20	correspondence to: Sören Thiele-Bruhn (thiele@uni-trier.de)
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23 Abstract. The activity of microorganisms in soil is important for a robust functioning of soil and related ecosystem 24 services. Hence, there is a necessity to identify the composition, diversity and function of the soil microbiome in order to determine its natural properties, functioning and operating range as well as to assess ecotoxicological 25 26 effects due to anthropogenic activities. Numerous microbiological methods currently exist in the literature and 27 new, more advanced methods continue to be developed; however, only a limited number of these methods are 28 standardized. Consequently, there is a need to identify the most promising non-standardized methods for assessing 29 soil quality and to transform them into standards. In agreement with the "Ecosystem Service Approach", new 30 methods should focus more on soil microbial functions, including nutrient cycling and greenhouse gas emission, 31 pest control and plant growth promotion, carbon cycling and sequestration, as well as soil structure development 32 and filter function. The few existing standardized methods available that focus on the function of the soil 33 microbiome mostly include measurements, like basal respiration, enzyme activities, and biodegradation of organic 34 matter, under well-defined conditions in the lab. This paper sets out to summarize and expand on recent discussions 35 within the International Organization for Standardization (ISO), Soil Quality - Biological Characterization sub-36 committee (ISO TC 190/SC 4) where a need was identified to develop scientifically sound methods, which would 37 best fulfil the practical needs of future users for assessing soil quality, going beyond the existing test systems. Of 38 particular note is the current evolution of molecular methods in microbial ecology that use quantitative real time 39 PCR (qPCR) to produce a large number of new functional endpoints which are more sensitive as compared to 40 'classical' methods. Quantitative PCR assesses the abundance of microbes that catalyse major transformation 41 steps in nitrogen and phosphorus cycling, greenhouse gas emissions, chemical transformations including pesticide 42 degradation, and plant growth promotion pathways based on the assessment of marker gene sequences that drive 43 the related processes. In the assessment of soil quality methods, it was found that most methods focus on bacteria 44 and related endpoints. Techniques to describe fungal communities as well as their functional traits are far less 45 represented. As such, techniques to analyse fungal enzyme activities are proposed. Additionally, methods for the 46 determination of microbial growth rates and efficiencies, including the use of glomalin as a biochemical marker 47 for soil aggregation, are discussed. Furthermore, field methods indicative of carbon turnover, including the litter 48 bag test and a modification to the tea bag test, are presented. However, it is obvious, that with increasing 49 developments in high throughput sequencing technologies and big data analyses, including metagenomics analysis, 50 it will be possible to implement these technologies into the standardisation process for assessing the functions of 51 the soil microbiome. Overall, it is suggested that endpoints should represent a potential function of soil

- 52 microorganisms rather than actual activity levels, as the latter can largely be dependent on short-term variable soil
- 53 properties such as pedoclimatic conditions, nutrient availability, and anthropogenic soil cultivation activities.

### 54 1 Introduction

55 Soils are one of the world's hotspots for biodiversity (Parker, 2010). Biota – both micro- and macro-organisms – 56 in soil form strong networks and complex food webs, which determine the efficacy of the soil ecosystem functions 57 (e.g. nutrient cycling, C storage and turnover, water retention, and modulation of soil structure) (Creamer et al., 58 2016). These functions support a range of ecosystem services that are indispensable for soil use in agri-, horti- or 59 silviculture (Nannipieri et al., 2017). At the same time, soil biota are strongly impacted by various anthropogenic 60 activities including ongoing global and climate change, pollution, as well as degradation and destruction of the 61 terrestrial environment (Gomiero, 2016; Montgomery, 2008; Wagg et al., 2014). Consequently, investigations of 62 the soil biome structure and function became an emerging topic in soil and environmental sciences (Griffiths and 63 Philippot, 2013). As such, the number of studies describing the ecology of soils and ecosystem functioning has increased significantly over the past few decades and has resulted in the development of new methods for the 64 65 assessment of microbial communities (e.g. Guillaume et al., 2016; Tian et al., 2018). In comparison, the ecotoxicological assessment of human impacts (e.g. chemical pollution and mechanical compaction) using single 66 67 species tests, which are well-established methods, has remained constant (Brookes, 1995; Joergensen and 68 Emmerling, 2006).

Characterizing the natural state of a soil's biome is a quite challenging task. In addition to its huge
structural and functional diversity, soil biomes are influenced by strong temporal dynamics including seasonal
weather conditions and the enormous spatial heterogeneity, which ranges from field scale to microscale (Kuffner
et al., 2012; Regan et al., 2014; Suriyavirun et al., 2019). All of these intrinsic properties hinder the interpretation
of data obtained from the analysis of soil biomes and the measurement of their functional traits.

74 Despite the fundamental methodological advances over the past years, which allow for an in-depth 75 analysis of microbiomes and, to some extent, other soil-living organisms (e.g. Joergensen and Emmerling, 2006; 76 Paul, 2015; Yates et al., 2016), only a limited number of soil biological methods have been standardized (for details 77 see section 3). As a result, large and often significant deviations are observed in the results obtained when non-78 standardized methods are used (e.g. Strickland and Rousk, 2010). This is especially true for methods that are based 79 on high throughput sequencing approaches, where variability and bias in data can occur from the "wet-lab" steps 80 right through the various bioinformatics pipeline analysis steps (Quince et al., 2017). Therefore, the comparability 81 between datasets generated by different laboratories using different methods or modified protocols of the same 82 method is problematic. Consequently, the development of quality indices and threshold values, respectively, for assessing soil quality is nearly impossible (Bastida et al., 2008). Presumably, this is why the number of meta-analyses in soil biology remains small.

85 Given that there is a lack of harmonization between existing methods and, at the same time, a proliferation 86 of new methods, there is a need to identify the most promising methods described in the literature that can be 87 standardized to produce reliable indicators for soil quality (e.g. Philippot et al., 2012). At the Annual International 88 Organization for Standardization (ISO) meeting of TC 190 (Soil Quality) in Fukuoka, Japan in October 2013, a 89 decision was made to compile a list of available methods and to identify those that would be suitable for assessing 90 soil quality. Additionally, during a subsequent meeting of ISO TC 190/SC 4/WG 4 (Microbiological Methods) 91 held in Paris, France in March 2014, further discussions focused the criteria for suitable methods to be comprised 92 of microbial functional indicators. In this paper, we summarize the major outcomes of the discussions which took 93 place over the past several years within ISO TC 190/SC 4. Therefore, besides collating a list of criteria for the 94 selection of test methods for the future analysis of microbial functions in soil, the aim of this paper is to present 95 our opinion, as members of the ISO TC 190 committee, to initiate further discussion on possible methods that 96 should be standardized for future soil quality assessments.

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# 2 Criteria for the selection of methods

99 Several papers addressing the task to identify suitable methods to be used as biotic indicators (usually including 100 faunal indicators) were published in the last few years, mainly in the context of EU research projects (e.g. Bispo 101 et al., 2009; Faber et al., 2013; Ritz et al., 2009; Römbke et al., 2010). Here, we propose to base the selection of 102 soil quality methods more on the "Ecosystem Service Approach" (MEA 2005) which is increasingly recognized 103 by both environmental scientists and regulatory agencies (Breure et al., 2012; Galic et al., 2012) and which takes 104 into account that soils have been raised to the rank of a natural resource to be protected. As a consequence, and in 105 addition to method development and application (including the assessment of biodiversity as a prerequisite for soil 106 function), the focus of future activities should be the determination of soil microbial function as recommended 107 endpoints (Kvas et al., 2017; Nienstedt et al., 2012; van der Putten et al., 2010; TEEB, 2010). Consequently, we 108 propose to assess both existing and new methods for the selection of microbial functional tests that support various 109 soil ecosystem services. This structures our approach and simplifies the identification of ecologically relevant 110 methods, as well as, presumably increases their acceptance by users, including the regulatory and stakeholder 111 community. The following soil functions and ecosystem services have been defined and are proposed to be used 112 as a starting point for the development of future methods (MEA, 2005; Ockleford et al., 2017):

- 113 (1) Biodiversity, genetic resources, cultural services;
- (2) Food web support;
- (3) Biodegradation of pollutants;
- (4) Nutrient cycling (for example N and P);
- (5) Pest control and plant growth promotion;
- (6) Carbon cycling and sequestration;
- (7) Greenhouse gas emissions; and
- 120 (8) Soil structure affecting soil water, gas balance and filtration function.

121 A second major criterion for selecting methods for standardization is its usability. The method should be applicable 122 in regulations (e.g. European and National agencies registering chemicals or products) and for the evaluation of soil ecology and functioning as fundamental aspects of soil quality (e.g. by stakeholders and researchers). 123 124 Moreover, the routine use of methods to inform farmers and site owners on soil quality as continuous assessments 125 of their land and land-use practises could be an additional condition that would require the choice of easy-to-use 126 methods or possibly encourage the simplification of existing methods. Overall frequently used methods generate 127 more data, which in turn is of high importance for the validation of threshold values. Therefore, the aim of this process is to identify methods that are scientifically sound and best fulfil the practical needs of future users. The 128 129 most appropriate new methods, including those proposed in this article, need to be evaluated using the criteria 130 required for the standardization of ISO methods.

131 To assess possible methods, a list of criteria was used based on the 'logical sieve' approach (Ritz et al., 132 2009). The list of criteria for the identification of functional indicators and associated methodologies (Table 1) 133 was an outcome of the EU FP7 EcoFINDERS project (Faber et al., 2013). The criteria were compiled after sending 134 a questionnaire to 25 partner institutions primarily working in the field of environmental science; mainly 135 representing academia but also regulators and subcontracting laboratories. These criteria are applicable for 136 different kinds of indicators and methods, including those addressing the functions of soil microbial communities. 137 In the following sections, we assume that existing ISO standardized methods partly already fulfil these criteria, 138 but not all relevant endpoints can be measured.

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#### 140 **3** Existing and new methods

141 Current methods that have already been implemented as ISO standards are found in Table 2, whereas methods that
142 might be considered for future standardization are in Table 3. The compilation in Table 2 comprises methods to

143 quantify microbial biomass (e.g. through fumigation extraction of microbial biomass carbon (MBC) and DNA) 144 (Function 6) as well as for (further) analysis of structural microbial diversity (e.g. determination of microbial 145 fingerprints by phospholipid fatty acids (PLFA) analysis) (Function 1). Additionally, microbial biomass, 146 measured as respiratory activity, has been included in Table 2, although not directly linked to one of the ecosystem 147 services, as it provides important information on the activity of the complete microbiome (i.e. microflora and 148 microfauna). Soil basal respiration normalized to MBC (ISO 14240-1 and ISO 14240-2, 1997, Table 2) yields the 149 metabolic quotient qCO<sub>2</sub>, which is a sensitive indicator for microbial carbon use efficiency (Anderson and 150 Domsch, 1993). However, its use as an endpoint to assess anthropogenic and natural impacts on the soil 151 microbiome has been controversially discussed in literature (Wardle and Ghani, 1995). The microbial quotient 152 (MBC related to organic carbon content of a soil) is an indicator revealing changes in the microbial dynamic 153 equilibrium of soils in response to exposure to natural or anthropogenic stressors (Pankhurst et al., 2001).

154 The biodiversity function (Function 1) addresses parameters related to the structural diversity of the soil 155 microbiome. Here, respective ISO guidelines analysing PLFA, phospholipid ether lipids (PLEL) (ISO/TS 29843-156 1, 2010; ISO/TS 29843-2, 2011) and DNA (ISO 11063, 2012; ISO 17601, 2016), have already been well 157 implemented into guidelines (Table 2). In addition, high throughput sequencing of barcodes of the ribosomal 158 operon (16S rRNA gene for bacteria and archaea and ITS [internal transcribed spacer] region for fungi) have 159 generated a large amount of data (Schöler et al., 2017). These approaches have been also used successfully for 160 other microbial groups like protists (using the 18S rRNA gene as a target). As it is well accepted that the use of 161 different primer pairs introduce different biases (Ramirez et al., 2018), standards have been recommended by 162 international initiatives. For example, the Earth Microbiome project (www.earthmicrobiome.org) recommended a 163 primer pair targeting the V4 region of the 16S rRNA gene and ITS2 region for bacterial and fungal barcoding, 164 respectively. Bioinformatics pipelines used for barcoding approaches are already well standardized and shared 165 worldwide among the scientists, which makes possible the cross comparison of various datasets from different 166 labs. Unfortunately, this is not yet the case for the pipelines to analyse metagenomics datasets that are still under 167 constant evolution making difficult cross-comparisons.

Although microbial diversity, per se, is not strongly correlated with a particular functional capacity, it is clear that the loss of diversity can have an impact on microbial function (Thiele-Bruhn et al., 2012); at least for relatively specific functions performed by narrow microbial guilds or taxa. This applies even more, when certain taxa are closely linked to very specific functions including nitrifiers, methanogens, arbuscular- and ectomycorrhizal fungi, and biocontrol microorganisms like *Trichoderma* (e.g. Hartmann et al., 2009; Hayat et al.,

173 2010; Lugtenberg and Kamilova, 2009; Peng et al., 2008; Singh et al., 2007; Xia et al., 2011). Therefore, the 174 interpretation of the outcomes from microbial community-based testing tends to be straightforward and closely 175 linked to Function 4 and Function 5.

176 Food web support (Function 2) of higher trophic levels no doubt starts from soil microorganisms and 177 propagates through the trophic levels (e.g. earthworms) that are consumed by birds and mammals (Haynes, 2014; 178 Scheu et al., 2002; Scheu et al., 2005). However, the role of the microbiota in the soil food web is not fully 179 understood, since many eukaryotic organisms can be considered as meta-organisms, which carry their "own 180 microbiome" that itself is essential for life supporting functions. From this, it is unclear if environmental 181 microbiomes and host specific microbiomes complement one another. So far there are no comprehensive methods 182 (especially not those addressing microbial functions) or standards available to address this problem. The use of 183 stable isotope labelling of select carbon or nutrient sources as a promising approach to follow food webs and 184 degradation pathways might provide one possibility in the future to assess food webs in soil. This would be 185 accomplished by combining carbon and nitrogen stable isotope fluxes determination with phylogenetic analysis of 186 the microorganisms labelled with the stable isotopes (e.g. Coban et al., 2015; Traugott et al., 2013; Lueders et al., 187 2004).

188 Methods to assess the biodegradation of pollutants (Function 3), as described above, are already 189 implemented into ISO guidelines (Table 2) and are part of legal frameworks including pesticide directives (EU 190 Regulation1107/2009/EC; European Commission, 2009). A number of standard methods for the determination of 191 the potential of soils to degrade organic chemicals (Function 3) under both aerobic (ISO 14239, 2017) and 192 anaerobic (ISO 15473, 2002) conditions are available. However, in the past, the development of standard methods 193 was mainly driven by the need to assess the ecotoxicological effects of anthropogenic activities, such as chemical 194 contamination of soils, rather than to describe and understand the natural properties and functions of soils. Defining 195 methods for the determination of adverse effects of contaminants on soil biota was not only done by ISO, but it 196 was also a major task of other organizations such as the Organization for Economic Co-Operation and 197 Development OECD). For example, there are OECD guidelines, tests No. 216 and 217, for testing the long-term 198 effects of single exposure chemicals on soil microbial nitrogen and carbon transformation, respectively (OECD, 199 2000a; 2000b). As a result, it was decided early that the standardization of methods for toxicity testing should not 200 be the primary aim of the ISO sub-committee (ISO TC 190/SC 4). Metagenomics lead to the rapid discovery of 201 new genes, which catalyse degradation processes of xenobiotics and, consequently, offer new insight in the study 202 of ecology of microbial degraders. The transfer of this knowledge into operational bioindicators for the estimation

of soil filtration capabilities (e.g. by using qPCR or RT-qPCR assays to quantify those genes and their expression
 in soils, respectively) will be one of the major tasks in soil science in the upcoming years. Jeffries et al. (2018) for
 example were able to successfully predict the degradation rates of organophosphorus based pesticides in a
 contaminated soil by using metagenomics based functional profiling.

Some of the existing standard methods that are listed in Table 2 focus on the estimation of enzyme activities useful for soil quality assessment, which mainly contribute to **Function 4**. Here, the potential dehydrogenase activity measurement is an indicator for general (potential) oxidoreductase activity in soil. Since this measurement has been frequently used, there are large amounts of baseline data available on the toxic effects of a range of pollutants in soil. Recently, additional potential enzyme activities related to the C, N, P and S cycle have been used and are either standardized or are in the process for standardization.

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214 The current evolution of molecular methods in microbial ecology has resulted in a large number of new 215 endpoints. It is well known that many of the new endpoints (e.g. using quantitative real-time PCR (qPCR)) are 216 more sensitive than classical methods that had been standardized in the past (Ribbons et al., 2016; Schulz et al., 217 2016). This new metagenomics approach will be of high importance in the future, as it allows for the 218 implementation of information on new functional traits that can be standardized into an analytical pipeline. Direct 219 sequencing of soil DNA extracts, called metagenomics, is nowadays a method that can easily be implemented to 220 obtain an overview of in-soil living organisms, including microorganisms. It is recognized that metagenomics is 221 no longer limited by sequencing possibilities but more by the availabilities of large-scale computing clusters to 222 analyse the amount of data generated. The future success of metagenomics in soil surveys will mainly depend on 223 the possibilities to standardize bioinformatics pipelines as well as on the availability of tools for big data analysis 224 and artificial intelligence. However, it must be mentioned that even a well-standardized and automated workflow 225 will generate only data on the relative abundance of nucleotide sequences and not absolute values (Geisen et al., 226 2019). Thus at the moment for the assessment of new methods linked to Functions 4 to 8, qPCR from soil DNA 227 extracts (ISO 17601, 2016) plays a very important role in determining the abundance of single marker gene 228 sequences, which are indicative of specific transformation processes or soil functions. For example, the 229 quantification of nitrogen fixing microbes, nitrifiers and denitrifiers has been successfully implemented using the 230 nifH, amoA and nirS/nirK genes as markers, respectively (Henry et al., 2004; Hirsch et al., 2010; Ollivier et al., 231 2010; Sessitsch et al., 2006). Similarly, the quantification of microorganisms involved in the  $\beta$ -ketoadipate 232 pathway has been implemented by targeting pcaH (El Azhari et al., 2008) and catA (El Azhari et al., 2010) gene 233 sequences. Various methods for the assessment of soil microbial Function 4 (nutrient cycling), Function 5 (pest 234 control and plant growth promotion) and Function 7 (greenhouse gas emissions) are proposed based on the qPCR 235 analysis of gene sequences coding for enzymes which trigger the respective function (e.g. Fish et al., 2013; Ribbons 236 et al., 2016; Smith and Osborn, 2009). Additionally, it should be noted that molecular methods based on the 237 assessment of specific marker genes for estimating the degradation potential in soil have already been proposed 238 both for PAHs (e.g. Cebron et al., 2008) and individual pesticides (e.g. Martin-Laurent et al., 2004). These could 239 be interesting for future standardization; however, if a method is very compound-specific and targeted, this could 240 limit its application range. Thus, these specific approaches will not be discussed further in this article.

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242 Major advantages of qPCR assays to quantify gene sequence numbers, which can be used as proxies for 243 a given microbial process, are that they are: (i) highly standardized, sensitive, selective and reproducible, (ii) 244 designed for high throughput analysis, (iii) available for a wide range of targets, and (iv) methods that are relatively 245 cheap once the necessary analytical devices are on hand. Some training on the method is required, however, once 246 trained the assays are easy to perform. For example, numerous studies have already used the microbial functional 247 genes involved in nitrogen cycling to determine the status and to assess induced changes in the soil microbial 248 community (Levy-Booth et al., 2014; Nannipieri and Eldor, 2009; Wallenstein et al., 2006). Consequently, the 249 number of functional genes that are suited for use as specific indicators of soil function are continuing to grow in 250 the literature as researcher gain experience in this field and data becomes more prevalent. Also, evidence is 251 increasing that functional gene abundance and community structure are closely linked to related microbial 252 activities and their increase or decrease, e.g. through agricultural fertilizer regime or soil contamination (Levy-253 Booth et al., 2014; Ouyang et al., 2018; Xue et al., 2018). However, also contrasting findings have been reported, 254 pointing to the fact that functional gene abundance and diversity is less affected by short-term changes, e.g. due to 255 soil moisture changes (Zhang et al., 2019). A critical meta-analysis of existing data and reports, respectively, would 256 be timely to better identify and generalize the linkage of functional gene abundance and ecosystem services.

Disadvantages, on the other hand, are that: (i) the quality of qPCR data depends on soil DNA extracts (PCR inhibition), (ii) primer pairs, even degenerated ones, might not successfully amplify all microbes of the functional group of interest, (iii) only genetic potential is resolved, and (iv) there is no differentiation between active, dormant or dead microorganisms, when working with DNA as a template for the qPCR reaction. The analysis of total RNA and of mRNA, which could help to overcome the latter problem, is currently not a suitable alternative as it is highly dynamic in time and space and needs special care to stabilize the RNA extracted from 263 complex environmental matrices to avoid its degradation. Another problem of DNA analysis is the biological 264 representativeness of the results is solely based on a relatively small amount of soil (from few hundred mg to ten 265 g of soil) from which the DNA extracted. The use of small soil samples (< 1 g) simplifies the sample preparation 266 process for molecular biologists; however, it provides a poor representation of the indigenous soil microbial 267 community in the naturally inhomogeneous soil. Typically, the  $\alpha$ -biodiversity declines with sample size while that 268 of ß-biodiversity increases (Nicol et al., 2003; Penton et al., 2016). Lastly, it must be noted that the high 269 repeatability and reproducibility of molecular biology methods, including qPCR assays, depends on extraction, 270 purification and amplification of DNA or RNA. This is typically performed using commercial extraction kits; 271 however, by simply changing the commercial supplier of a kit can substantially change the results (Brooks et al., 272 2015; Feinstein et al., 2009). This clearly challenges standardization since standard methods must not hinge on a 273 specific supplier.

274 Recently, molecular tools for the assessment of the microbial phosphorous turnover (Function 4) have 275 been published (Bergkemper et al., 2016) where metagenomics data have been used for the construction of primers 276 for P mineralization, transport and uptake. As another example, the relevance of anaerobic ammonium oxidation 277 (anammox) for N cycling in soils has increased (Levy-Booth et al., 2014) along with the development of analytical 278 methods for high throughput analysis. Among the microorganisms in soil that substantially govern pest control 279 and plant growth promotion (Function 5), arbuscular mycorrhizae and ectomycorrhizal fungi are of high 280 relevance. These microorganisms are especially abundant in the rhizosphere (Hartmann et al., 2009; Hayat et al., 281 2010; Lugtenberg and Kamilova, 2009). Methods related to Function 5 are listed in Table 3.

282 Several options exist for (additional) standardized methods to test Function 6 (carbon cycling and 283 sequestration) (Table 3). For Function 6, there is a need to implement more fungal activity analysis as most tests 284 described mostly assess bacterial activities. Thus, the integration of more fungal enzyme activities into the suite 285 of standardized methods for soil quality assessment is essential (for example determining the turnover of complex 286 natural compounds such as lignin) (Baldrian, 2006). The ligninolytic enzymes laccase and Mn-peroxidase, as well 287 as the chitin degrading  $1,4-\alpha$ -N-acetylglucosaminidase, are typical fungal enzymes of interest for ecosystem 288 services (Jiang et al., 2014; Šnajdr et al., 2008). However, since other organisms also produce these enzymes, 289 including bacteria and plants (Bollag, 1992; de Gonzalo et al., 2016), current methods do not specifically target 290 fungal enzyme activities. As a result, the implementation of molecular methods for assessing fungal communities 291 are far less developed than those for bacterial communities (Table 3). First approaches to close this gap have been 292 made in recent years. For example, molecular systems to detect genes coding for laccases and other ligninolytic

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enzymes as well as other fungal activities in carbon cycling have been published and applied (Edwards et al., 2011; Chen et al., 2013; Hannula and van Veen, 2016). Also for genes involved in the fungal nitrogen turnover, primer pairs have been successfully developed (Gorfer et al., 2011). However here, even more than for bacteria, it is critical to link copy numbers of genes directly to the size of the respective functional population, as in many cases one organism can harbour multiple operons coding for the same genes involved in a given function.

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299 The method of community level physiological profiling (CLPP) using the Biolog<sup>™</sup> system (Biolog, 300 Hayward CA, USA) was first developed in the late 1980s to identify bacteria of clinical importance by assessing 301 the consumption of 95 different carbon sources in a microtiter plate. The technique was then extended to identify 302 bacterial strains from environmental mixed microbial communities samples using select carbon sources (Garland, 303 1997). Currently, the technique is frequently used to assess the effects of contaminants on soil microbial activity 304 (Bloem and Breure, 2003; Schmitt et al., 2004). As such, the CLPP method has become a measure of microbial 305 functional diversity in soil (e.g. Gomez et al., 2006) and was used to distinguish the biodiversity of soil microbial 306 communities in monitoring programs (Rutgers et al., 2016). Even though the method is easy to use, it does have 307 some drawbacks (Winding and Hendriksen, 2007). The technique is based on the utilisation of select carbon 308 sources, which when consumed result in reduction, and thus colour change, of a tetrazolium indicator dye (Garland 309 and Mills, 1991). This reaction is based on the dehydrogenase enzyme activity of cultivable, fast growing, aerobic, 310 eutrophic microorganisms (largely bacteria). Consequently, this technique does not reflect the full spectrum of 311 microbial species within a mixed soil community. Additionally, due to the artificial growth conditions required in 312 the test, it is argued that the method does not reflect the microbial community diversity and its function of a given 313 soil (Glimm et al., 1997). On the other hand, however, standardized conditions allows for direct comparisons 314 between microbial communities in different sites, for example, independent of the abiotic conditions, thus making 315 CLPP a popular method for toxicology testing (Preston-Mafham et al., 2002).

Isothermal micro-calorimetry is another technique that involves the direct measurement of energetics in soil and provides a functional link between energy flow and the composition of belowground microbial communities at a high taxonomic level (Herrmann et al., 2014). With this method, an integrative determination of the metabolic activity of soil bacteria and fungi is achieved. The integrated assessment of substances' and energy turnover has high potential to elucidate the regulation of soil ecological functions. However, the substantial costs for the acquisition of this very specific instrumentation is considered as a major drawback. Furthermore, the 322 323 measurement requires water saturation of the soil and, thus, the samples are modified. Since calorimetry has been rarely used and data and publications are few, this method is considered not ready for standardization.

324 The methods targeting thymidine or leucine incorporation into microbial biomass can be used to 325 determine microbial growth rates and efficiencies (Bååth et al., 2001; Rousk, 2016). Growth rate is a fundamental 326 reference for numerous other microbial properties and functions. For example, it is required to calculate microbial 327 carbon use efficiency (CUE) as a key-parameter describing C-substrate turnover and storage in soil (Liu et al., 328 2018; Spohn et al., 2016; Takriti et al., 2018). Furthermore, the method can be used to assess the adverse effects 329 of toxic chemicals on the microbial community (Modrzyński et al., 2016; Rousk et al., 2009a). The drawbacks of 330 these two methods are: (i) specific training is required, (ii) laboratories must have a permit to manipulate 331 radioactive isotopes, and (iii) there are higher costs for proper handling and disposal of <sup>3</sup>H-labelled radioactive 332 material. As an alternative, the incorporation of the stable isotope <sup>18</sup>O from labelled water into soil microbial DNA 333 can be used to distinguish growing and non-growing microorganisms based on the gradient-separation of 334 [<sup>18</sup>O]DNA and [<sup>16</sup>O]DNA (Schwartz, 2007). The <sup>18</sup>O stable isotope method has been improved by sequencing a 335 marker gene from fractions retrieved from ultracentrifugation to produce taxon density curves; thus enabling 336 researchers to estimate the percent isotope composition of each microbial taxon's genome (Schwartz et al., 2016). 337 This method continues to be advanced and, although not used often, could have a high potential for future 338 standardization.

339 There are simplistic methods available to determine organic matter decomposition, which are indicative 340 of C cycling (Function 6). The tests listed in Table 3 are based on measuring the weight loss of introduced organic 341 materials of different complexity in soil over time. The tests are relatively easy to perform and inexpensive, 342 however, degradation activity is not exclusive to microorganisms but can also include invertebrates. The OECD 343 litter bag test (OECD, 2006) for site specific assessment of organic matter decomposition uses wheat straw as the 344 substrate and provides clear evidence of cellulose degradation. In general, the litter bag tests provides evidence for 345 the degradation of naturally occurring plant material in soil. Results do, however, depend on the mesh size of the 346 litter bags (increasing exclusion of soil animals with decreasing mesh size). On the other hand, plant material or 347 litter is hard to standardise with the results largely depending on the composition of the plant material. As such, 348 artificial cellulose has been successfully used for a laboratory procedure to assess organic matter decomposition 349 (Kvas et al., 2017). Another alternative to the litter bag test is the use of tea bags (Keuskamp et al., 2013). Tea 350 bags can be purchased to contain a consistent quality of material, and so this method is preferred by citizen science 351 (e.g. farmers to assess the soil quality of their land). In order to better distinguish the degrading abilities of different soil microbiomes, the test could be modified to use different types of tea that contain recalcitrant material to a
different extent. Another test for future method development is the Bait Lamina test (ISO 18311, 2016) used to
assess the degradation of organic matter in field soil by grazing invertebrates (Jänsch et al., 2013; Kvas et al.,
2017). It is a simple test that can easily be adapted for use under controlled laboratory conditions (Jänsch et al.,
2017).

357 Methods for the determination and assessment of greenhouse gas emissions from soil (Function 7) have 358 already been standardized or are well advanced in the standardization process (Table 2). They are mostly focused 359 on measuring concentrations of greenhouse gases, like CO<sub>2</sub>, CH<sub>4</sub> and N<sub>2</sub>O, as well as their fluxes as endpoints. In 360 addition, molecular biology methods that estimate the relative abundance of functional microbial guilds or taxa 361 gives new insight into the ecology of microorganisms involved in the formation of greenhouse gases. For example, 362 the qPCR measurement of key N<sub>2</sub>O functional genes has allowed researchers to link N<sub>2</sub>O reduction capacity to 363 reduced greenhouse gas emissions in soil amended with organic matter (Xu et al., 2018). Additionally, the 364 quantification of functional gene sequences related to methane generation and methane oxidation, respectively, 365 yields detailed insights into the functional potential of climate change-affected permafrost soils (Yergeau et al., 366 2010).

367 For Function 8 (soil structure affecting soil water, gas balance and filtration function), there is clear 368 evidence that microbial activity and biomolecules substantially contribute to the formation and stability of micro-369 aggregates, and thus to the structure, pore system and pre-consolidation stress of soils (Six et al., 2004). While 370 existing parameters, such as enzyme activities, are not clearly indicative in this regard (Beck and Beck, 2000), 371 glomalin can be considered as a biochemical marker of soil aggregation. This glycoprotein is produced by 372 microorganisms, especially arbuscular mycorrhiza fungi, and significantly increases aggregate formation and 373 stability (Rillig, 2004; Rillig and Mummey, 2006). The existing protocols for extraction (chemical extraction 374 combined with autoclaving) and determination of glomalin, either by using the Bradford protein assay, enzyme-375 linked immunosorbent assay (ELISA), or LC-MS method (Bolliger et al., 2008; Janos et al., 2008), open the 376 possibility for its standardization in the near future. It should be noted, however, that a well-equipped and 377 experienced laboratory is required to perform this method.

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# 379 4 Transforming standardized methods into indicators of soil quality

As recently underlined by the European Food Safety Agency (EFSA) in a scientific opinion 'addressing the state
 of the science on risk assessment of plant protection products for in-soil organisms', there is an urgent need to

382 modernize pesticide risk assessment by implementing specific protection goals for in-soil organisms which are 383 key drivers of a wide range of functions supporting ecosystem services (Ockleford et al., 2017). There currently 384 exists a multitude of methods that can potentially be used for this task. Here, we have identified in the body of this 385 paper a number of methods that are presumably suitable for further evaluation and standardization with regard to 386 their scientific value and practical applicability. These prospective standardized methods will not only be useful 387 to identify adverse effects on the soil microbiome, but also to conduct comparable studies in laboratories all over 388 the world to define normal operating ranges of microbial activity in soil and respective quality indices and 389 threshold values.

390 It is clear that all parameters taken together reflect the potential of a microbial community to perform a 391 certain function and not solely a specific (actual) activity. This is important to understand in order to interpret the 392 values of a given endpoint in relation to both energy fluxes and compound transformation rates, which can largely 393 depend on intrinsic properties such as pedoclimatic conditions, and nutrient availability as well as extrinsic 394 properties such as anthropogenic effects, and soil cultivation measures. To make use of these methods as indicators 395 for soil quality, there are several requirements that need to be included. These involves the assessment of the 396 normal operating range of soil that include natural and dynamic fluctuations of a given endpoint. The methods 397 need to be implemented into a framework, which takes into account site-specific conditions including soil type, 398 pedoclimate and land-use. Undoubtedly, this requires further joint efforts in order to generate comprehensive 399 databases from which normal operating ranges of values for a given proxy can be read. Such a task calls for 400 standardized methods to obtain comparable data. Additionally, there is a requirement for the assessment of 401 resistance and resilience of a given microbial endpoint to see how much it is affected by a soil disturbance and 402 whether or not it can recover (e.g. return to its original state) after the disturbance has disappeared. Here the use 403 of DNA based methods, which provide a measure of a microbial community's potential to perform a given process, 404 might be more useful than using RNA. The RNA rather indicates actual activities, which may highly fluctuate in 405 time and space, and thus are of less significance as an indicator. However, free DNA released from dead microbes 406 is often highly resistant in soil, which might result in an over estimation of a potential function. This needs to be 407 taken into account when interpreting the data. Recently, methods that extract DNA only from living cells have 408 been described, but their use has not been yet introduced into recent standardization activities.

409 Also, the use of a test battery to measure a range of interconnected endpoints is recommended (Ockleford 410 et al., 2017) to integrate different biological and other parameters (e.g. soil pH, organic carbon content) into 411 multiparametric indices (Bastida et al., 2008; Kvas et al., 2017). At present, it appears to be favourable to use a suite of different methods, i.e. functional gene analysis and microbial enzyme and/or degradation activities, for soil quality testing. Finally, to fully understand soil microbial functioning, a task was envisioned to investigate the linkage between the genetic functional potential and the available resources, termed the soil metaphenome (Jansson and Hofmockel, 2018). This will require even further integration and assessment of multiple parameters and test methods. Reaching that goal will surely promote soil ecological research but, at the current stage, may clearly go beyond the applied aim of standardization to release easy-to-use targeted methods.

418 The critical evaluation of existing and non-standardized methods is required to further select and 419 standardize new methods to assess soil quality. For methods linked to the molecular analysis of soil microbiomes, 420 there is a need to ensure that worldwide activities are synchronized to propose important standards that are well 421 accepted by the scientific community. To improve the reproducibility of data it has been agreed that a complex 422 mixture of microorganisms (MOCK) must be implemented as a control in every experiment, but so far no common 423 agreement on the composition of a MOCK community has been reached. However, it is clear that if further 424 developments of microbial bar coding and/or metagenomics methods are to be implemented into ISO guidelines, 425 an MOCK is required.

426 ISO standardization committees are open circles and the presented selection and valuation of methods 427 may not be complete. Environmental scientists are solicited to propose new work items enlarging the current 428 catalogue of biological methods for future standardization. Accordingly, this opinion paper aims at initiating a 429 broader discussion intended to improve the measurement of microbial functions for soil quality assessment. Lastly, 430 it must be noted that standardization of methods is inevitably a balancing act. On one hand, standardization 431 provides defined methods that are essential to obtain comparable data, e.g. for integration in large, joint databases. 432 On the other hand, it requires setting a specific method for several years. Consequently, scientific progress cannot 433 be easily adopted, or at least with a delay, considering that standards are revised every five years, which may be 434 a barrier to the introduction of new approaches resulting from technological evolution, especially in the fast 435 developing field of molecular biology methods. Hence, it is also the aim of this paper to have an open discussion 436 to identify the best suitable methods with an assumed longer period of validity.

437

438 **Code availability.** Does not apply.

**439 Data availability.** Does not apply.

440 **Sample availability.** Does not apply.

441 **Video supplement.** Does not apply.

- 442 **Author contribution.** The text was jointly discussed and written by all authors. A first version of the manuscript
- 443 was drafted by J. Römbke, S. Thiele-Bruhn, M. Schloter and B.M. Wilke. Further detailed aspects were added
- during the preparation of the manuscript by F. Laurent-Martins, N. Cheviron, C. Mougin and L.A. Beaudette. L.A.

445 Beaudette also took care of English proofreading.

- 446 **Competing interests.** The authors declare that they have no conflict of interest.
- 447 Disclaimer.
- 448

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- 449 Acknowledgements. The compilation of methods was developed during the meetings of the ISO TC 190/SC 4
- 450 working group 4 "Soil biological methods". We thank our colleagues for all the fruitful discussions. We gratefully
- 451 thank Lily Pereg for reviewing a first draft of this manuscript and we will keep her in our remembrance. Biochem-
- 452 Env is a service of the investment d'Avenir infrastructure AnaEE-France, overseen by the French National
- 453 Research Agency (ANR) (ANR-11-INBS-0001).

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 Zhang, K., Li, X., Cheng, X., Zhang, Z., and Zhang, Q.: Changes in soil properties rather than functional gene abundance control carbon and nitrogen mineralization rates during long-term natural revegetation. Plant and Soil, 443, 293-306, 2019. **Table 1.** List of criteria for the selection of indicators for microbial functional indicators, based on Faber et al. (2013) and Pulleman et al. (2012), with slight modifications by the authors.

	Criteria	Measured by	Low Score	High Score
a)	Practicability	Lab equipment	Very few labs have the	All labs would be able to carry
,		I.I.	equipment needed	out the work
		Skills	Specialist skills are needed	General skills would suffice
b)	Cost	Capital start-up	More than €100 000	Less than €2000
	efficiency	Cost per sample	More than €100	Less than €2
		Labour needed in	High labour demand	Low labour demand
		the lab		
		Labour needed in	High labour demand	Low labour demand
		the field		
c)	Policy	Focus on ecosystem	Weak links with existing or	Strong links with existing or
	relevance	processes and	planned legislation	planned legislation
	g	services	XX	
d)	Sensitivity	Effect of soil	No response or idiosyncratic	The indicator responds
		properties	response	characteristically to change
		Effect of land use	No response or idiosyncratic	I ne indicator responds
		Effect of	No response	The indicator responde
		disturbance		characteristically to change
<u>a)</u>	Selectivity	uistuibance	Endpoint affected by numerous	Endpoint only affected by
C)	Selectivity		variables	parameter under investigation
f)	Reproducibi-		Low or largely varying	Highly reproducible
-)	lity		reproducibility among replicates	
g)	Use as an	Status quo	Not in use already	In use already
0,	indicator		-	-
h)	Handling and		Rare and/or difficult to obtain	Easy to obtain
	availability of		Difficult to keep	Easy to keep
	organisms <sup>1</sup>		Largely varying quality/fitness	Easy to provide with constant
			Seasonal availability	quality/fitness
	<b>T!</b>	<u>a</u> ; ; <u>a</u> (		Year-round availability
i)	Fit for use as	Significance /	Weak relationship to ecological	Strong relationship to ecological
	an indicator	explanatory power	tunction 1. C	function
		Standardized	Methods are not ready for	Methods are already in general
			(i.e. low experience, no SOPs $^2$ )	OFCD)
		Spatio_temporally	Spatio-temporally only relevant	Representative for more than one
		relevant	for a small plot at one point in	site and/or more than one point
		Televant	time	in time
		Understandable	Difficult to explain in a policy	Easily understood in a policy
			situation	situation
<b>j</b> )	Experience	Literature data	Low amount of information on	High amount of information on
<u>.</u> .	-		performance and outcome, e.g.	the performance and outcome,
			<10 publications	e.g. >10 publications, existing
				ring test(s)
k)	Data	Database	No or hardly any existing data	Freely available and sound
	evaluation		available or not freely available	database for data evaluation

<sup>1</sup> Only relevant for faunal species. Does not apply to soil microorganisms that are tested with their natural abundance in mixed communities.

910 <sup>2</sup> Standard operating procedures

911 Table 2. Methods already validated and published as ISO standards for determining potential microbial biomass

912 and activities for soil quality.

Microbial biomass a	and respiration (some relations to Functions 1 and 6)								
ISO 14240-1	Determination of soil microbial biomass – Part 1: Substrate induced respiration method								
ISO 12240-2	Determination of soil microbial biomass - Part 2: Fumigation - extraction method								
ISO 16072	Laboratory method for determination of microbial soil respiration								
ISO 17155	Determination of the activity of the soil microflora using respiration curves								
ISO 11063	Direct soil DNA extraction								
ISO 17601	Quantification of the abundance of microbial groups in soil DNA extract								
ISO/TS 29843-1	Method by phospholipid fatty acid analysis (PLFA) and phospholipid ether								
	lipids (PLEL) analysis (								
ISO/TS 29843-2:	Method by phospholipid fatty acid analysis (PLFA) using the simple PLFA								
(D. 4	extraction method								
(Potential) microbia	Magurament of any una activity not terms in soil samples using flyere gamis substrates in								
150/15 22959	micro-well plates								
ISO 20130 <sup>2</sup>	Measurement of enzyme activity patterns in soil samples using colorimetric substrates								
	in micro-well plates								
ISO/TS 23753-1	Determination of dehydrogenase activity in soils - Part 1: Method using								
	triphenyltetrazolium chloride (TTC)								
ISO/TS 23753-2	Determination of dehydrogenase activity in soils — Part 2: Method using iodotetrazolium chloride (INT)								
ISO 14238	Biological methods – Determination of nitrogen mineralization and nitrification in soils								
150 1 1250	and the influence of chemicals on these processes								
ISO 15685	Determination of potential nitrification and inhibition of nitrification — Rapid test by								
	ammonium oxidation								
Potential microbial	activities: biodegradation of pollutants (Function 3)								
ISO 11266	Guidance on laboratory testing for biodegradation of organic chemicals in soil under								
	aerobic conditions								
ISO 14239 Laboratory incubation systems for measuring the mineralization of organic chemi									
	soil under aerobic conditions								
ISO 15473	Guidance on laboratory testing for biodegradation of organic chemicals in soil under								
	anaerobic conditions								
Potential microbial	activities: turnover greenhouse gases (Function 7)								
ISO 20951	Guidance on methods for measuring greenhouse gases (CO <sub>2</sub> , N <sub>2</sub> O, CH <sub>4</sub> ) and ammonia								
	(NH <sub>3</sub> ) fluxes between soils and the atmosphere								
ISO/TS 20131-1	Easy laboratory assessments of soil denitrification, a process source of N <sub>2</sub> O emissions -								
	- Part 1: Soil denitrifying enzymes activities								
ISO/TS 20131-2	Easy laboratory assessments of soil denitrification, a process source of N <sub>2</sub> O emissions -								
	- Part 2: Assessment of the capacity of soils to reduce $N_2O$								
Potential microbial	activities: organic matter decomposition (Function 6)								
ISO/CD 23265 <sup>3</sup>	Test for measuring organic matter decomposition in contaminated soil								
<sup>1</sup> Measured enzyme ac	tivities: Arylsulfatase E.C. $3.1.6.1$ ; $\alpha$ -glucosidase E.C. $3.2.1.20$ ; $\beta$ -glucosidase E.C. $3.2.1.21$ ;								
β-xylosidase E.C.	3.2.1.37; cellobiosidase E.C. 3.2.1.91; N-acetylglucosaminidase E.C. 3.2.1.52;								
phosphodiesterase E	C. 3.1.4.1; phosphomonoesterase E.C. 3.1.3.2; leucine-aminopeptidase E.C. 3.4.11.1;								
alanine-aminopeptid	ase E.C. 3.4.11.12.								
<sup>2</sup> Measured enzyme ac	tivities: Arylamidase E.C. 3.4.11.2; arylsulfatase E.C. 3.1.6.1; α-glucosidase E.C. 3.2.1.20;								
β-glucosidase E.C. 3	.2.1.21; β-galactosidase E.C. 3.2.1.22; N-acetylglucosaminidase E.C. 3.2.1.52; phosphatase								

- E.C. 3.1.4.1; acid phosphatase E.C. 3.1.4.1; alkaline phosphatase E.C. 3.1.4.1; urease E.C. 3.5.1.5.
- 920 <sup>3</sup> Degradation of cellulose under laboratory conditions.

**Table 3.** Potential new methods for the ISO standardization process and assessment according to the "logical sieve" selection criteria (described in Table 1).

Method	Method Source Function addressed					Assessment <sup>1</sup>									
Function 4. I	Nutrient cycling (N	and P)	a	b	(	e d	1 6	e f	g	h	i	j k			
Functional genes assessed by real time qPCR															
Ammonium monoxygenase gene ( <i>amoA</i> )	Levy-Booth et al., 2014	quantify the abundance of nitrifying microbes	1- 2	3	5	5 5	5 5	5 5	5 5	na <sup>2</sup>	4	4 3			
Ammonium monoxygenase gene ( <i>amoB</i> )	Norton et al., 2002	quantify the abundance of nitrifying microbes	1- 2	3	5	5 5	5 5	5 5	5 5	na	4	4 3			
Nitrogenase gene (nifH)	Gaby and Buckley, 2012	quantify the abundance of N fixing microbes	1- 2	3	5	5 5	5 5	5 5	5 5	na	4	4 3			
Various genes driving P turnover	Bergkemper et al, 2016	quantify the abundance of microbes driving P transformation	1- 2	3	-	; 5	5 5	5 5	5 5	na	4	4 3			
Function 5. Pest con	trol and plant grow	vth promotion													
Specific mtDNA sequences assessed by real time qPCR	Voříšková et al., 2017	quantify the abundance of arbuscular mycorrhiza	1- 2	3	4.	; 5	5 5	5 5	5 5	na	4	32			
Specific ITS sequences assessed by real time qPCR	Sakakibara et al., 2002	quantify the abundance of ectomycorrhizal fungi	1- 2	3	4	5 5	5 5	55	5 5	na	4	32			
Specific ITS sequences assessed by real time qPCR	Savazzini et al., 2008	quantify the abundance of biocontrol active Trichoderma fungi	1- 2	3	4	5 5	5 5	5 5	5 5	na	4	32			
Function 6. Carl	bon cycling and seq	uestration													
Enzyme activity of fungi	Eichlerová et al., 2012	determine activity of laccases	4	4	5	5 5	53	3 5	5 5	na	4	54			
	Bach et al., 2013	determine activity of phenoloxidases	4	4	5	5 5	53	3 5	5 5	na	4	54			
Community level physiological profiling (CLPP, "Biolog")	Garland and Mills, 1991	determine degradation of a set of carbon sources	3	4	3	3 1	1	5	5 3	na	1	53			
Microcalorimetry	Prado and Airoldi, 2001; 2003	quantify microbial energy turnover	1	2	1	3	3 3	3 5	5 1	na	3	3 1			
[ <sup>3</sup> H]-leucine or [ <sup>3</sup> H]-thymidine incorporation	Bååth, 1998; Bååth et al., 2001; Rousk et al., 2009b	quantify microbial growth rate and efficiency	1	2	4	5 5	5 4	1 5	5 5	na	4	4 2			
[ <sup>18</sup> O] incorporation into DNA from labelled water	Schwartz, 2007; Schwartz et al., 2016	quantify microbial growth rate and efficiency	2	3	5	5 5	5 2	15	5 5	na	3	22			
Organic matter decomposition	OECD, 2006; Knacker et al., 2003 Bockhorst and	assess organic matter degradation and therefore C cycling assess the degradation of plant litter	5	5	4	. 2	1 5	54	5	na	5	55			
Enter bag teeninque	Wardle, 2013	material													
Tea bag technique	Keuskamp et al., 2013	assess the degradation of tea leaves	5	5	4	. 4	1 5	5 4	5	na	5	55			
Funct. genes within C cycle	El Azhari et al.,	quantify the abundance of	1-	3	5	5 5	5 5	5 5	5 5	na	4	4 <mark>3</mark>			
assessed by real time qPCR	2008	microbes able to degrade protocatechuate ( $pcaH$ ) a key intermedi-ary metabolite of the $\beta$ - ketoadipate pathway	2												
	El Azhari et al., 2010	quantify the abundance of microbes able to degrade catechol ( <i>cat A</i> ) a key intermediary metabolite of the β-ketoadipate pathway													

# 925 Table 3. Continued

Function 7. Greenhouse gas emissions										
Method	Source	Function addressed	Assessment					ent <sup>1</sup>	l	
Methyl coenzyme M reductase	Steinberg and	quantify the abundance of	1-	3	5	5 5	5 5	na	4 4	13
( <i>mcrA</i> ) assessed by real time qPCR	Regan, 2009	methane producing	2							
		microbes								
$N_2O$ reductase gene ( <i>nosZ</i> )	Jung et al., 2013	quantify the abundance of	1-	3	5	5 5	5 5	na	4 4	13
assessed by real time qPCR		N <sub>2</sub> 0 reducing microbes	2							
Methane reductase gene <i>pmoA</i> )	Kolb et al., 2003	quantify the abundance of	1-	3	5	5 5	5 5	na	4 4	13
		methane reducing microbes	2							
Nitric oxide reductase gene <i>cnorA</i> )	Dandie et al., 2007	quantify the abundance of	1-	3	5	5 5	5 5	na	4 4	1 <mark>3</mark>
assessed by real time qPCR		methane reducing microbes	2							
Function 8. Soil structure affecting soil water, gas balance and filtration capacity										
Determination of glomalin	Bolliger et al.,	determine the content of	3	3	4	44	53	na	4 4	12
	2008; Janos et al.,	glomalin in soil as a proxy								
	2008; Wright et	of soil aggregation								
	al., 1998									

926 <sup>1</sup> Overall scoring in case of several measures for one criterion. Fulfilment of criterion described by numbering

927 (colour code): 1 (red) very low; 2 (orange) low; 3 (yellow) medium; 4 (light green) good; 5 (dark green) very good.

928  $^2$  na = not applicable