



## **Lithology and climate controlled soil aggregate size distribution and organic carbon stability in the Peruvian Andes**

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## Abstract

Recent studies indicate that climate change influences soil mineralogy by altering weathering processes, and thus impacts soil aggregation and organic carbon (SOC) stability. Alpine ecosystems of the Neotropical Andes are characterized by high SOC stocks, which are important to sustain ecosystem services. However, climate change in the form of altered precipitation patterns can potentially affect soil aggregation and SOC stability with potentially significant effects on the soil's ecosystem services. This study aimed to investigate the effects of precipitation and lithology on soil aggregation and SOC stability in the Peruvian Andean grasslands, and assessed whether occlusion of organic matter (OM) in aggregates controls SOC stability. For this, samples were collected from limestone soils (LSs) and acid igneous rock soils (ASs) from two sites with contrasting precipitation levels. We used a dry-sieving method to quantify aggregate size distribution, and applied a 76-day soil incubation with intact and crushed aggregates to investigate SOC stability in dependence on aggregation. SOC stocks ranged from  $153 \pm 27$  to  $405 \pm 42$  Mg ha<sup>-1</sup>, and the highest stocks were found in the LSs of the wet site. We found lithology rather than precipitation to be the key factor regulating soil aggregate size distribution, as indicated by coarse aggregates in the LSs and fine aggregates in the ASs. SOC stability estimated by specific SOC mineralization rates decreased with precipitation in the LSs, but minor differences were found between wet and dry sites in the ASs. Aggregate destruction had a limited effect on SOC mineralization, which indicates that occlusion of OM in aggregates played a minor role in OM stabilization. This was further supported by the inconsistent patterns of aggregate size distribution compared to the patterns of SOC stability. We propose that OM adsorption on mineral surfaces is the major OM stabilization mechanism controlling SOC stocks and stability. The results highlight the interactions between precipitation and lithology on SOC stability, which are likely controlled by soil mineralogy in relation to OM input.

**Keywords:** soil organic matter; stabilization; precipitation; limestone; acid igneous rock; aggregate destruction

## 1 Introduction

Soil organic carbon (SOC) is the largest terrestrial carbon (C) pool and plays an important role in global C dynamics (Carvalhais et al., 2014; Lal, 2004). However, the distribution of SOC at a global scale is highly variable (Batjes, 2014; Lal, 2004). Alpine grasslands of the Andes are characterized by large SOC stocks, and play a crucial role in agricultural production, water provision and sustaining high biodiversity



(Buytaert et al., 2011; Muñoz García and Faz Cano, 2012; Rolando et al., 2017a; Tonneijck et al., 2010). The large SOC stocks contribute to crucial ecosystem services, and act as a potential C sink or source for atmospheric CO<sub>2</sub> in the context of global change (Buytaert et al., 2011). However, the Andean region is  
45 characterized by heterogeneity in climate, vegetation, agriculture activities and geological formations (Buytaert et al., 2006b; Rolando et al., 2017a), which potentially introduces spatial variability in SOC storage and stability.

Recent views on SOC persistency have shifted from chemical recalcitrance of soil organic matter (OM) to progressive decomposition of soil OM dependent on the surrounding biotic and abiotic environment  
50 (Lehmann and Kleber, 2015; Schmidt et al., 2011). Specifically, SOC storage is controlled by soil OM stabilization, which in the emerging paradigm is dominated by (1) OM adsorption on mineral surfaces that controls long-term stabilization, and (2) physical occlusion of OM within soil aggregates that regulates intermediate-term stabilization with heterogeneous OM composition and residential time (Lützow et al., 2006; Schrumpf et al., 2013). Adsorption of OM on mineral surfaces was reported as an  
55 important stabilization mechanism for soil OM underlying the large SOC stocks in the Andes (Buytaert *et al.*, 2006a; Tonneijck *et al.*, 2010; Yang *et al.*, submitted). However, studies focusing on aggregate-controlled OM stabilization in relation to climate in the Andes are rare (e.g. Rolando *et al.*, 2017b). Aggregates promote soil OM stabilization against decomposition by regulating the availability of oxygen and water as well as the accessibility of OM itself (Kong et al., 2005). Thus, the formation and turnover  
60 of soil aggregates are crucial for SOC storage and OM stabilization (Six et al., 2004; Six and Paustian, 2014). As soil aggregates are formed with monomers of clay minerals, polyvalent cations and OM, their formation and the underlying OM stabilization largely depend on various biotic and abiotic factors (e.g. climate and lithology) (Bronick and Lal, 2005; Doetterl et al., 2015).

Lithology is the key factor controlling SOC storage and OM stabilization, mainly attributed to its controls  
65 on soil mineralogy and texture (Angst et al., 2018; Homann et al., 2007; Wiesmeier et al., 2019). In soils formed on acidic bedrocks, OM is generally considered to be stabilized by ligand exchange with non-crystalline Fe and Al oxides, whereas in soils formed on alkaline-rich bedrocks, OM is thought to be stabilized by interaction with the mineral surface through polyvalent cation bridges (e.g. Ca<sup>2+</sup>) (Lützow et al., 2006). Soil texture also has effects on OM stabilization because OM-mineral association is  
70 dominantly controlled by clay-sized minerals (Kaiser and Guggenberger, 2003; Kleber et al., 2007). In addition, soil mineralogy and texture are crucial factors for aggregate formation, which potentially regulates soil OM stabilization as controlled by occlusion of OM within aggregates (Bronick and Lal, 2005; Six et al., 2004).



Climate factors, comprising temperature and precipitation, act as the primary drivers regulating SOC  
75 storage and OM stabilization by controlling OM input and decomposition (Schmidt et al., 2011;  
Wiesmeier et al., 2019). Recent studies indicated that climate factors also control OM persistence by  
regulating soil mineralogy (Chaplot et al., 2010; Doetterl et al., 2015, 2018). The soil mineralogy and OM  
persistence controlled by climate can be dependent on lithology due to their inherent properties (Jenny,  
1994; Wagai et al., 2008). Given the importance of climate and lithology, the heterogeneity in  
80 precipitation and lithology in the Andes can potentially cause spatial variation in OM stabilization and  
consequently SOC stocks. In addition, shifts in e.g. precipitation patterns as a result of global change may  
impact SOC stocks in different parts of the Andes in different ways.

The objectives of our study were to assess the controls of precipitation and lithology on SOC stocks and  
stability in the Peruvian Andes. Specifically, we aimed to investigate whether the effects of precipitation  
85 and lithology on SOC stability are through the controls of OM stabilization governed by aggregate  
occlusion and/or mineral adsorption. For this, we applied a combination of aggregate-size fractionation  
with a 76-day incubation for soil samples collected from the Peruvian Andes with two contrasting  
bedrocks and two precipitation levels.

## 90 **2 Materials and methods**

### *2.1 Site description*

Basic information of the sampling sites is shown in Fig. 1. The study areas belong to the Neotropical  
alpine grassland of the Andes, corresponding to the ecosystem of wet Puna or Jalca (Rolando et al.,  
2017a). Two sampling sites were selected with similar altitudes but with different lithologies and  
95 precipitation levels. The wet site is located in the western Cordillera mountain chain of the Peruvian  
Andes, to the west of Cajamarca, Peru (7°11' S, 78°35' W). The altitudes of the sites range from 3500 m  
to 3720 m asl. The temperature shows a large daily variation and minor seasonal variation, with an  
estimated annual mean of 11°C. The sites receive 1100mm precipitation per year and have a wet season  
between October and April (Sánchez Vega et al., 2005). The dry site is located in the mountain chain of  
100 the Cordillera Blanca, to the northeast of Carhuaz (9°22' S, 77°59' W), with altitudes ranging between  
3490 and 3700 m asl. The annual temperature and precipitation were estimated as 11 °C and 680 mm, and  
had similar annual and daily variations as the wet site (Merkel, 2017). Typical land use in both sites is  
grassland with human activities including cultivation, grazing and plantation of pine trees and eucalyptus  
(Rolando et al., 2017a; Sánchez Vega et al., 2005).



105 For the wet site, the geology consists of a basement of Cretaceous sedimentary formations, which is  
composed of limestone, marl, shale and quartzite. Neogene igneous bedrocks consisting of granite and  
ignimbrite intrude or cover parts of the basement (Reyes-Rivera, 1980). For the dry site, intrusive igneous  
rocks (mainly granodiorite) belonging to the Neogene Cordillera Blanca batholith are present in the  
western part of the Cordillera Blanca (Coldwell et al., 2011; Portes et al., 2016). The foot slopes consist  
110 of fluvio-glacial and glacial sediments partly covering andesitic ignimbritic rocks of the Neogene Yungay  
Formation, as well as the sedimentary Cretaceous Carhuaz and Santa formations that are dominated by  
folded limestones, sandstones and shales (Coldwell et al., 2011). Soils developed on the limestone were  
classified as Phaeozems or Umbrisols, whereas soils on acid igneous rocks were classified as Andosols  
and Umbrisols (WRB, 2014).

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### *2.2 Sampling procedures*

For both the wet and dry sites, we selected three soil sampling plots from limestone and three plots on  
acid igneous rocks. For limestone soils (LSs) in both sites and acid igneous rock soils (ASs) in the wet  
site, all soils were directly developed on the bedrock. For ASs in the dry site, one sampling site was  
120 directly developed on granodiorite, whereas the other two sites were located on the glacier deposits on  
lateral moraines with a granodioritic composition. All sampling sites were selected based on the criteria of  
(1) grassland, grassland with shrubs or abandoned cropland, (2) gentle slopes, (3) no intensive human  
activities, and (4) similar soil development status.

For the determination of bulk density and calculation of SOC stocks through the soil profile, samples  
125 were collected every 10 cm in duplicate to the depth of the C horizon using Kopecky rings (100 cm<sup>3</sup>). For  
the determination of basic soil properties, aggregate-size fractionation and incubation, soil samples were  
collected per horizon in triplicate (e.g. A<sub>h1</sub>, A<sub>h2</sub> and B<sub>tg</sub> horizons). To minimize aggregate destruction  
during transportation, soil samples were transferred into sealed plastic bags and protected by hard plastic  
boxes.

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### *2.3 Laboratory analyses*

Soil samples for the determinations of bulk density and SOC stocks were freeze-dried after removing  
gravels (>2 mm). Soil moisture contents and bulk densities were measured by weighing samples before  
and after freeze-drying. Soil samples collected per horizon were air-dried, followed by taking 5-10 g of



135 sub-samples milled for the determination of basic soil properties. For these samples, total C and N contents were analyzed using a VarioEL Elementar analyzer (Elementar, Germany). As inorganic C contents were negligible in all the samples, the total OC contents were equal to total C contents. Soil pH was determined with a glass electrode in suspensions of soil material in demi-water (w:v=1:5, Bates, 1973).

140 Total SOC stocks were calculated using the following equation:

$$SOC\ stock = \sum_{i=1}^{i=k} BD_i \times C_i \times (1 - S_i) \times D_i$$

In which,  $BD_i$  = bulk density ( $g\ cm^{-3}$ ) of the layer  $i$ ,  $C_i$  = SOC content (%) of the layer  $i$ ,  $S_i$  = stoniness (%) of layer  $i$ ,  $D_i$  = thickness (cm) of layer  $i$ .

Dry-sieving was applied to fractionate soil samples into 5 aggregate-size groups: >5mm, 2-5mm, 0.22-2 mm, 0.063-0.25 mm and <0.063 mm, respectively. Briefly, 170-230 g sub-samples (<16 mm) of each horizon were fractionated using 4 mesh sieves (5, 2, 0.25 and 0.063 mm) by shaking for 20 s at 30 Hz at a horizontal shaker. Gravel contents (>2 mm) were calculated for all fractions larger than 2 mm and removed. For each fraction, fraction weights as well as total C and N contents were determined. The mean weight diameter (MWD) of the bulk soil was calculated by:

$$150\ MWD = \sum_{i=1}^{i=5} \frac{x_{i\ max} + x_{i\ min}}{2} \times w_i$$

In which,  $x_{i\ max}$  = maximum diameter (mm) of the fraction  $i$ ,  $x_{i\ min}$  = minimum diameter (mm) of the fraction  $i$ ,  $w_i$  = weight percent of the fraction  $i$  (Klute and Dinauer, 1986).

Sample materials collected from different horizons were used for the incubation. All materials from individual A horizons in the same soil profile were merged (e.g. Ah1 and Ah2 horizons merged to A horizon), based on the weight distribution of the horizons as estimated by their bulk densities and depths. Original B horizons were used because each soil profile only had a single B horizon. Prior to the incubation, all samples were fractionated into large macroaggregates (LM, > 2mm), small macroaggregates (SM, 0.25-2 mm) and microaggregates (Mi, <0.25 mm), following the dry-sieving procedure. The LM and SM fractions were used for the incubation with intact and crushed aggregates. The variation in SOC mineralization between intact and crushed aggregates was used as a measure of C stabilization by occlusion within aggregates (Goebel et al., 2009). Aggregates were crushed by grinding the fractions using a porcelain mortar, and all crushed materials could pass a 0.125 mm sieve (Wang et al., 2014). Before incubation, intact and crushed fractions were rewetted at pF 2.0 for 10 days to activate soil



microbes. Approximate 10 g dry-weight equivalent fractions were incubated for 76 days at 20 °C in  
165 sealed glass jars (120 ml). All soil fractions were incubated in duplicate. The headspace of incubating jars  
was sampled on days 1, 2, 6, 9, 13, 20, 28, 48 and 76. During the sampling period, CO<sub>2</sub>-free air was  
injected into the jars to maintain pressure and avoid too high CO<sub>2</sub> concentrations. The CO<sub>2</sub> concentration  
was analyzed using a gas chromatograph with a flame ionization detector (GC-FID, Thermo Scientific,  
Trace GC Ultra) with packed columns (RESTEK Packed Column, Part Nbr: PC7130, Serial Nbr:  
170 C34216-01, HayeSep Q, 1/8" 80/100 2m and HayeSep Q, 1/8" 80/100 1m). A methanizer was situated in  
front of the FID, as the detector can only measure hydrocarbons instead of CO<sub>2</sub>. Specific SOC  
mineralization rates (g CO<sub>2</sub>-C g<sup>-1</sup> C) were used as an indicator of the C stability of the soil fractions.

#### 2.4 Statistics

175 Statistical comparisons of soil properties and SOC stocks were made using a one-way ANOVA. *Post hoc*  
analyses were conducted using the Fisher's Least Significant Difference (LSD) test. Principal component  
analysis (PCA) was applied to investigate potential differences between different soil profiles and  
horizons. Before conducting the PCA, Kaiser-Meyer-Olkin tests and Bartlett's tests were used to  
guarantee that sampling adequacy and the sphericity were suitable for the analysis. Linear regressions  
180 were applied to investigate relationships of specific SOC mineralization rates with SOC and C/N ratios.  
An independent T-test was applied to check effects of precipitation, lithology, soil horizon, aggregate size  
and aggregates destruction on SOC mineralization rates.

Before the T-test and the one-way ANOVA, data normality and variance homogeneity were examined  
using a Shapiro-Wilk test and a Levene's test. When the assumption of normality was violated, the  
185 Kruskal-Wallis H test was applied instead of the one-way ANOVA, while the Mann-Whitney U-test was  
used instead of the T-test. When the homogeneity of the variance could not be assumed, the Robust  
Welch test was used for the one-way ANOVA. All analyses were conducted using SPSS 24.0 (SPSS Inc.,  
USA).

### 190 3 Results

#### 3.1 Soil properties

Average soil depths were 61cm for limestone soils (LSs) in both wet and dry sites, and 49 cm and 51 cm  
for acid igneous rock soils (ASs) in the wet and the dry sites (Fig. 1). SOC stocks were highest in LSs of



the wet site (wet-LSs,  $405.3 \pm 41.7 \text{ Mg ha}^{-1}$ ), followed by ASs of the wet site (wet-ASs), ASs of the dry  
195 site (dry-ASs) and dry-LSs. SOC stocks in the wet-LSs were significantly higher compared to other soils  
(Fig. 2). SOC contents in the A horizons were significantly higher in the wet-LSs both with regard to  
bedrock and precipitation. No significant differences were present for the ASs with regard to precipitation  
(Fig. 2). The LSs had no significant difference in C/N ratios compared to the ASs for the A horizons in  
the wet sites, however, the LSs had significantly lower C/N ratios in the dry site (Fig. 2). With decreasing  
200 precipitation, C/N ratios significantly decreased in the LSs and increased in the ASs (Fig. 2). pH values  
were significantly higher in the LSs compared to the ASs in the wet site, but were not significantly  
different in the dry site (Fig. 2). In addition, significantly lower pH values with lower precipitation were  
only found in the LSs (Fig. 2). With regard to the differences between horizons in the LSs, B horizons  
were characterized by significant lower SOC contents, lower C/N ratios and higher pH compared to A  
205 horizons, except for SOC contents and pH values in the dry sites (Fig. 2).

### 3.2 Aggregate-size fractionation

In both wet and dry sites, LSs having larger aggregate sizes than ASs (Fig. 3A and 3B). The LSs had  
larger contributions of fraction materials and OC from the LM fraction (>60 %) and minor contributions  
210 from the Mi fraction (<10 %, Fig. 3C and 3D). In contrast, the ASs had larger contents of the SM and the  
Mi fractions (Fig. 3C and 3D). When comparing the wet and dry sites, LSs were not different, whereas  
wet-ASs were slightly different from the dry-AS, as shown by larger aggregate sizes and more OC  
present in large aggregates (Fig. 3A-3D). When comparing the A and B horizons in the LSs, B horizons  
had larger aggregates fractions weights and more OC in the LM compared to the A horizons (Fig. 3C and  
215 3D).

Soil properties of different horizons are shown in Fig. 4. PC1 and PC2 explained 67.0 % and 17.9 % of  
the total variation. PC1 had positive contributions of the SM and Mi fractions and negative loadings of  
the LM fractions and MWD, whereas PC2 had positive contributions of C and N contents. The LSs were  
separated from the ASs as indicated by coarser aggregates, higher pH values and lower C/N ratios (Fig. 4).  
220 In addition, wet-LSs were separated from dry-LSs by higher C and N contents, whereas ASs were not  
clearly separated by precipitation (Fig. 4). The LSs were characterized by increasing coarse aggregate  
fractions and decreasing C and N contents as well as C/N ratios with increasing soil depth, whereas the  
ASs had no clear pattern in soil property change with increasing depth (Fig. 4).





### 225 3.3 SOC mineralization

After the 76-day incubation, specific SOC mineralization rates were the highest in A and B horizons of the dry-LSs, when compared to the other soil horizons (Fig. 5A-5D). For comparisons between two lithologies, SOC mineralization rates were not significantly different in the wet site, but were generally higher in the LSs compared to the ASs in A horizons of the dry site (Table 1). For effects of precipitation, 230 SOC mineralization rates were significantly higher in the dry site compared to the wet site for the LS-A horizons in most sampling days, but were not significantly different for the AS-A horizons (Table 1). For comparisons between A and B horizons in the LSs, SOC mineralization rates were not significantly different in the wet site. In the dry site, A horizons had significantly higher SOC mineralization rates than B horizons only in the aggregate-crushed SM fraction (Table 1).

235 SOC mineralization rates were slightly stimulated (up to 19.4 %) when aggregates were crushed compared to that when aggregates were intact, with exceptions of the LM fraction in dry-AS-A horizons and the SM fraction in dry-AS-A horizons and wet-LS-A horizons (Fig 6A and 6B). However, the stimulation caused by aggregate destruction was never significant (Fig. 6A and 6B). In addition, no significant difference in SOC mineralization rates was found between LM and SM fractions. Exclusively, 240 slightly higher SOC mineralization rates (not significant) were found in the SM fraction compared to LM fraction in A horizons of the wet-LS, the dry-LSs and the dry-ASs (Fig. 6C and 6D).

Overall, SOC mineralization rates had significant negative relationships with SOC contents and C/N ratios, and the negative relationships did not differ between intact and crushed aggregates (Fig. 7A and 7B). Exclusively for the dry-LSs, positive relationships were found between SOC mineralization rates and 245 SOC contents when aggregates were intact and crushed, and between SOC mineralization rates and C/N ratios when aggregates were crushed (Fig. 7C-7F). In the dry-LSs, SOC contents and C/N ratios explained 38.2 % and 24.9 % of the variation of specific SOC mineralization rates when aggregates were intact. When aggregates were crushed, SOC contents and C/N ratios explained 48.0 % and 33.3 % of the total variation (Fig. 7C-7F).

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## 4 Discussion

### 4.1 Aggregate size distribution

Lithology is the key factor controlling soil aggregate size distribution in our soil samples. This is indicated by a coarser aggregation and more SOC present in large-sized aggregates for the LSs, and a



255 finer aggregation and more SOC present in fine aggregates for the ASs (Fig. 3 and 4). Lithology generally  
controls soil aggregation by affecting soil mineralogy (Bronick and Lal, 2005). As the LSs are developed  
from calcareous bedrocks, their high calcium (Ca) and clay contents play an important role in promoting  
the formation of large-sized aggregates (Bronick and Lal, 2005; Six et al., 2004). In contrast, the ASs  
developed on acid bedrocks with a lower pH (Fig. 2) and less alkaline cations (e.g.  $\text{Ca}^{2+}$ ) present. As  
260 alkaline cations like  $\text{Ca}^{2+}$  act as polyvalent cation bridges to promote soil aggregation (Boix-Fayos et al.,  
2001; Bronick and Lal, 2005), the lack of alkaline cations hinders the formation of coarse aggregates in  
the ASs. In addition, the coarse soil texture of the ASs (Yang *et al.*, submitted) also has negative impacts  
on the formation of larger aggregates. The controls of lithology can be further supported by  
physicochemical properties of each aggregate fraction, which showed that the ASs were distinguished  
265 from the LSs by (1) having the lowest fraction C contents and ratios of fraction to bulk soil C contents in  
the SM fraction, and (2) decreased C/N ratios with aggregate size in the fractions < 2 mm (Fig. S1).  
Furthermore, increasing aggregate sizes with soil depth were found in the LSs exclusively (Fig. 4), which  
can be explained by the better aggregation promoted by clay illuviation in deep soils. In contrast, no clear  
vertical differences in the ASs may be related to the lack of the clay fraction in the ASs (Yang *et al.*,  
270 submitted).

Unlike lithology, precipitation plays only a minor role in the soil aggregate size distribution for our soils.  
This is indicated by small differences in properties related to soil aggregation between the wet and the dry  
sites for the same bedrock types (Fig. 3, Fig. 4 and Fig. S1). Potential effects of precipitation on soil  
aggregate formation could be present because of the variation in vegetation and OM input (Bronick and  
275 Lal, 2005). Both wet and dry sites are parts of the alpine grassland zone (Puna), which had comparable  
vegetation and OM input source. Although precipitation can potentially control the quantity and quality of  
the OM input (Wiesmeier et al., 2019), the extent of the OM input variation may be insufficient to alter  
the soil aggregation in our study. Notably, the dry-ASs had finer aggregates than the wet-ASs (Fig. 3).  
This is probably attributed to their greater gravel contents in the LM fraction (Fig. 1 and Table S1), which  
280 is likely related to the steep mountains and the glacier materials in the dry-AS sampling site rather than  
precipitation (Portes *et al.* 2016). Nevertheless, similar properties of aggregate fractions between wet-ASs  
and dry-ASs (Fig. 4 and Fig. S1) still suggest that precipitation has minor controls in aggregation patterns  
of the AS.

285 4.2 SOC stocks and stability



SOC stocks were controlled by interactions between lithology and precipitation, as indicated by increased stocks with precipitation in the LSs and no significant changes in the ASs (Fig. 2). Lithology had significant effects on SOC stocks in the wet sites (Fig. 2), which is consistent with the findings of Yang *et al.* (2018) showing that lithology is the key factor controlling SOC stocks. In the wet site, the high SOC stocks in the LSs compared to the ASs can be explained by deeper soils and higher SOC contents in A horizons (Fig. 1 and 2). In the dry site, no difference in SOC stocks between the LSs and the ASs can be explained by that the LSs had lower SOC contents but deeper profiles (Fig. 1 and 2). Precipitation had significant effects on SOC stocks of the LSs, as indicated by the wet-LSs having greater SOC stocks than the dry-LSs (Fig. 2). This is consistent with the consensus that SOC stocks generally increase with precipitation (Homann *et al.*, 2007; Wiesmeier *et al.*, 2019). The higher SOC stocks in the wet-LSs can be also explained by SOC contents because of (1) similar soil depths in the wet-LSs and the dry-LSs (Fig. 1) and (2) lower soil bulk densities in the wet-LSs (Table S2). Hence, patterns of SOC stocks controlled by lithology and precipitation are mainly explained by SOC contents.

The negative correlations between SOC contents and SOC mineralization rates (Fig. 7A and 7B) reflect SOC contents controlled by SOC stability. The SOC stability is significantly controlled by precipitation and lithology (Table 1) rather than soil horizon, aggregate size or aggregate destruction (Fig. 6). For horizons, although SOC stability was different between A and B horizons in the crushed SM fraction of the dry-LSs (Table 1), the small contribution of the SM fraction (Fig. 3) suggest that horizon is not an important factor controlling the SOC stability.

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#### 4.3 Organic matter stabilization mechanisms

SOC stability is largely controlled by two mechanisms: (1) OM adsorption on the mineral surfaces and (2) physical occlusion of OM within soil aggregates (Lützow *et al.*, 2006; Six *et al.*, 2002). Overall, aggregate-occlusion is not a major OM stabilization mechanism in these soils, as indicated by no or insignificant stimulation in SOC mineralization after aggregate destruction (Fig. 6). The minor role of aggregate-occlusion is further supported by the minor changes in correlation patterns of SOC mineralization rates with SOC contents and C/N ratios, when aggregates were intact and crushed (Fig. 7A and 7B). The limited effects of OM occlusion in aggregates are not consistent with the general view of aggregate-controlled OM stabilization (Lehmann and Kleber, 2015; Wiesmeier *et al.*, 2019), as well as other studies revealing aggregate-protected OM using similar aggregate destruction methods (Mueller *et al.*, 2012; Wang *et al.*, 2014). However, Goebel *et al.* (2009) and Juarez *et al.* (2013) reported limited roles of soil aggregates in protecting OM from decomposition. For the ASs, the limited role of aggregates

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in OM stabilization can be explained by the lack of large-sized aggregates (Fig. 3), which suggest the restricted formation of microaggregates within macroaggregates. This potentially weakens the OM protection controlled by occluded in aggregates (Six *et al.*, 2002; Six and Paustian, 2014). For the LSs, the minor contribution of aggregates might be related to the strong adsorption of OM on less-saturated mineral surfaces (Yang *et al.*, submitted). Because of the limited contribution of OM occlusion in aggregates, OM adsorption on mineral surfaces is most likely the dominant stabilization mechanism. Similar to our results, mineral-controlled OM stabilization mechanisms have been reported in other studies in alpine grassland soils of the Andes (Yang *et al.*, submitted; Buytaert *et al.*, 2006a; Tonneijck *et al.*, 2010; Rolando *et al.*, 2017b).

Lithology is an important factor for OM stabilization related to mineral surfaces. Yang *et al.* (submitted) found that OM stabilization in the wet-LSs was controlled by OM complexed and/or adsorbed with Fe and Al (oxides) as well as by  $\text{Ca}^{2+}$  bridges. In contrast, OM stabilization in the wet-ASs was only controlled by Fe and Al (oxides) complexation (Yang *et al.* submitted). In the wet site, SOC stability between LSs and ASs was not significantly different (Table 1). This may be attributed to the mineral surfaces in both LSs and ASs having a large capability for OM stabilization, although their OM stabilization mechanisms are slightly different. In the dry site, lower SOC stability in the LSs compared to the ASs (Table 1) suggests the lower capacity of the mineral surfaces to stabilize OM in the LSs. Similarly, Heckman *et al.* (2009) found lower SOC stocks and stability in LSs compared to soils formed on felsic and basaltic igneous rocks, in a region with similar temperature and precipitation to our dry site. They explained this by a lack of active Fe and Al fractions to stabilize OM (Heckman *et al.*, 2009), which might be an explanation for the less stable SOC in our dry-LSs as well.

Precipitation is also an important factor to explain the low SOC stability in the dry-LSs, as precipitation has a potential effect on soil mineralogy by controlling weathering processes (Doetterl *et al.*, 2015, 2018; Wiesmeier *et al.*, 2019). Compared to the wet-LSs, the lower pH values in the dry-LSs indicate that a part of exchangeable base cations (e.g.  $\text{Ca}^{2+}$ ) are replaced by exchangeable  $\text{H}^+$ . The replacement results in lower adsorption capacity of the mineral surfaces because  $\text{H}^+$  is a monovalent cation that does not promote OM stabilization (Jenny, 1994; Lützwow *et al.*, 2006). In addition, positive correlations between SOC mineralization rates and SOC contents, and between SOC mineralization and C/N ratios in the dry-LSs (Fig. 7) indicate that SOC mineralization is dominantly dependent on SOC contents and quality. This also suggests a lower sorption capacity of the mineral adsorption sites. Similarly, Wagai *et al.* (2008) reported positive correlations between SOC mineralization and C/N ratios, and used the positive correlations as an indication of inert mineral surfaces. Furthermore, the lowest C/N ratios in the dry-LSs



350 (Fig. 2) indicate a depletion of plant-derived C and a rapid SOC decomposition process (Moni et al., 2012), which suggest the low SOC stability and the low capacity of mineral surfaces to stabilize OM.

#### 4.4 Interactions between precipitation and lithology

The effects of precipitation and lithology on SOC stocks and stability are unlikely through the controls of  
355 soil aggregation, which is supported by the weak controls of OM stabilization via occlusion in aggregates (Fig. 6 and 7) and inconsistent patterns of aggregate size distribution compared to the patterns of SOC stability (Fig. 3, Fig. 4 and Table 1). In contrast, the interactions between precipitation and lithology on SOC stocks and stability are likely explained by soil mineralogy. This is supported by (1) the contrasting OM stabilization mechanisms controlled mineral surfaces between the wet-LS and the wet-AS (Yang *et al.*, submitted), and (2) shifts in pH values, C/N ratios and correlations between SOC mineralization rates  
360 and SOC contents that suggest variations in properties of the mineral surfaces (Fig. 2 and Fig. 7).

Recent studies indicate that controls of climate factors and soil mineralogy are crucial to the persistence and stabilization of soil OM (Chaplot et al., 2010; Doetterl et al., 2015; Homann et al., 2007). For the LSs, we proposed that the lower SOC stability in the dry site is explained by the weaker interactions between  
365 OM and mineral surfaces due to the lower pH when compared to the wet site. However, the lower pH in the dry-LSs is not consistent with the general soil formation process. The lower pH in the dry-LSs might be explained by soil acidification induced by higher below-ground OM input compared to the wet-LSs. The higher below-ground input is indicated by more  $\alpha$ ,  $\omega$ -dioic acids,  $\omega$ -hydroxyl alcanoic acids and long-chain fatty acids (C20-32) in the dry-LSs when compared to the wet-LS, especially in B horizons  
370 (Fig. S2), because these compounds are mainly derived from root input (Kögel-Knabner, 2002). The higher and deeper OM input in the dry-LSs can be explained by the lower precipitation, for which plants need more developed root systems. By contrast, no clear difference is found between the wet-ASs and the dry-ASs (Fig. 1 and Table 1). This may be attributed to the limited acidification induced by OM input because the bedrocks are already acidic.

375 Similar to our results, Wagai et al. (2008) reported that the controls of altitude (temperature and precipitation) on OM stoichiometry (indicating mineral surface activity) are dependent on soil bedrocks. Furthermore, Doetterl et al. (2015, 2018) indicated that climate factors in relation to soil mineralogy control the potential of soil matrix to stabilize OM. Our findings also support their views that the OM persistence is controlled by climate factors and soil mineralogy. We further propose that the interactions



380 between precipitation and lithology on OM stabilization in our study are through the controls of soil  
mineralogy in relation to OM input.

## 5 Conclusion

Our findings highlighted (1) SOC stocks and stability controlled by interactions between precipitation and  
385 lithology, and (2) soil aggregate size distribution controlled by lithology only. As the assumption that  
aggregate occlusion contributes to OM stabilization is not supported by our data, we conclude that OM  
adsorption on mineral surfaces is the major OM stabilization mechanism in these soils. We propose that  
the controls of precipitation and lithology on SOC stocks and OM stabilization are through the controls of  
soil mineralogy in relation to OM input.

390 Further studies are required for more lithology types and more precipitation levels. In addition, primary  
effects of precipitation on OM dynamics are not limited to the controls of soil mineralogy. Potential  
effects of precipitation on quantity and quality of input OM suggest that investigations in OM molecular  
composition may contribute to a better understanding of the processes governing SOC sequestration in  
the Neotropical grasslands of the Andes.

395

**Author contribution.** SY, BJ, KK and EC conceived and designed the study; RvH contributed to the  
experiments related to aggregate-size fractionation and analyses of soil properties; SA contributed to the  
soil incubation and the SOC mineralization measurement; SY wrote the paper. All authors contributed to  
the manuscript revision.

400

**Competing interests.** The authors declare that they have no conflict of interest.

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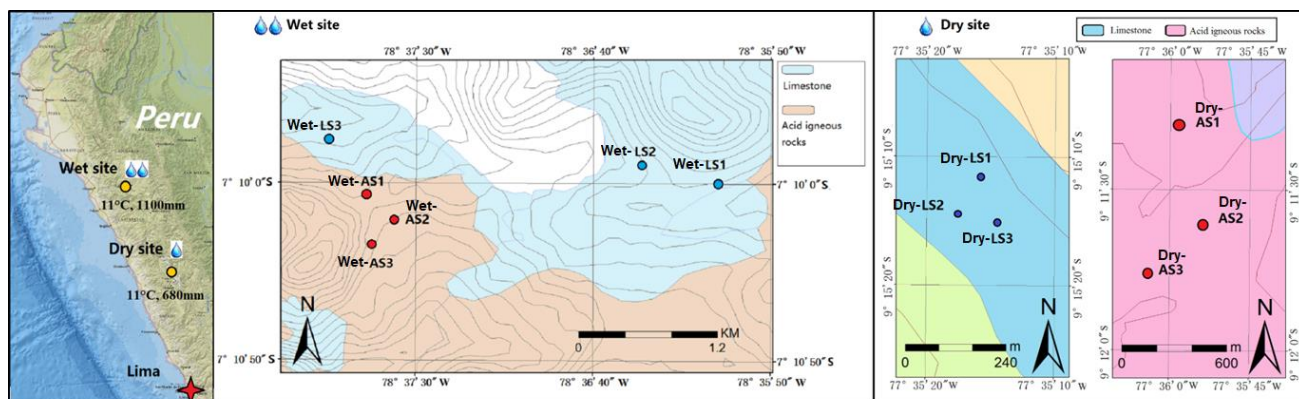
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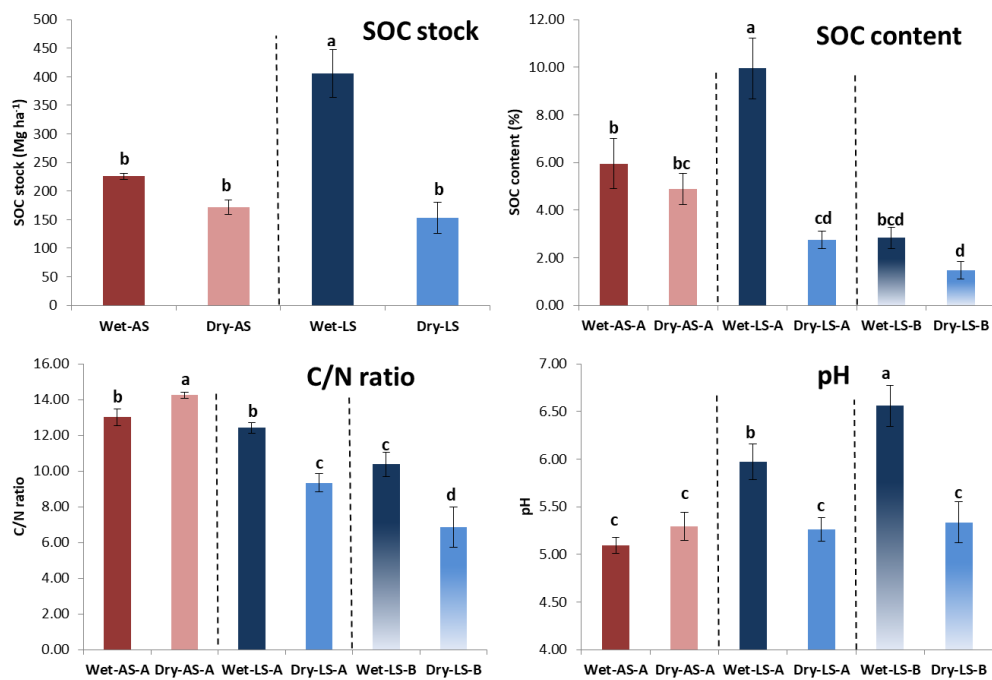


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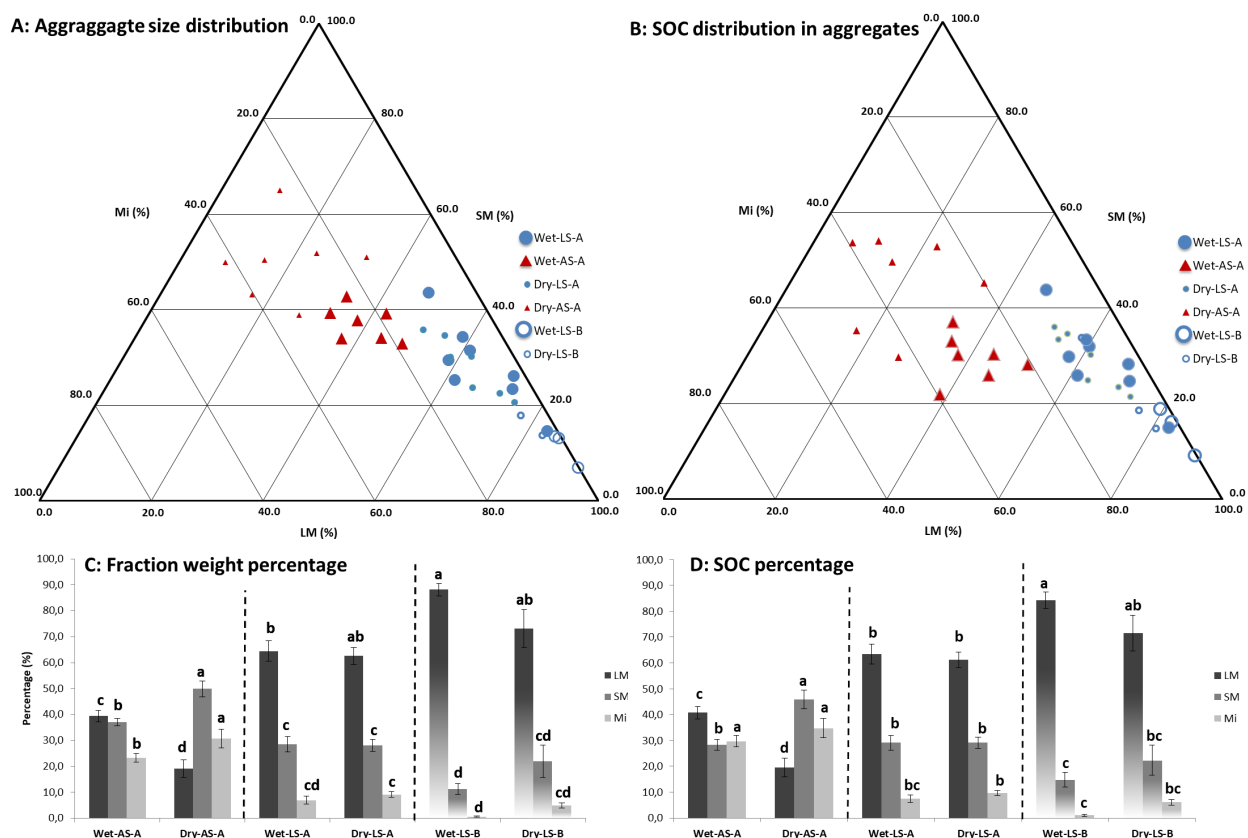


Wet site						Dry site				
Site	Parent material	Altitude m	Soil depth cm	Ave. depth	Gravels in LM fractions %	Parent material	Altitude m	Soil depth cm	Ave. depth	Gravels in LM fractions %
LS1	Limestone	3716	57		6.86	Limestone	3573	56		8.70
LS2	Limestone	3717	66	61	3.13	Limestone	3532	54	61	5.42
LS3	Limestone	3517	60		0.16	Limestone	3560	73		13.01
AS1	Granite/ignimbrite	3583	68		10.86	Granodiorite	3667	44		50.28
AS2	Granite/ignimbrite	3585	45	49	14.12	Granodiorite-rich glacier materials	3521	60	51	39.57
AS3	Granite/ignimbrite	3586	35		28.60	Granodiorite-rich glacier materials	3495	50		61.05

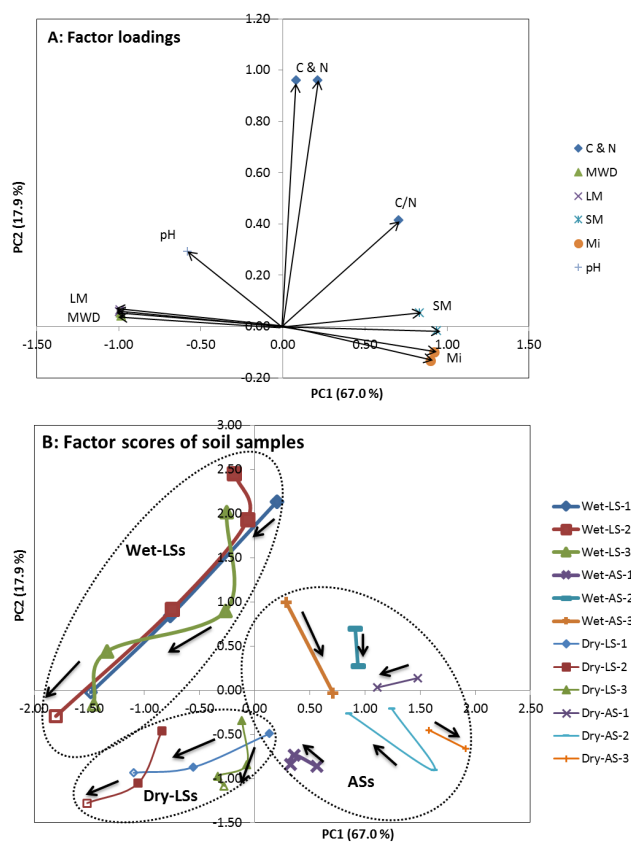
**Fig. 1 Sampling site description.** LS: limestone soil, AS: acid igneous rock soil, LM: large macroaggregates (>2 mm). The ArcGIS Online World Topographic Map basemap (Esri., 2013) was used for the map of Peru on the left, whereas the data for the contour lines in the maps of the wet site and the dry site was derived from Geo GPS Perú, (2014).



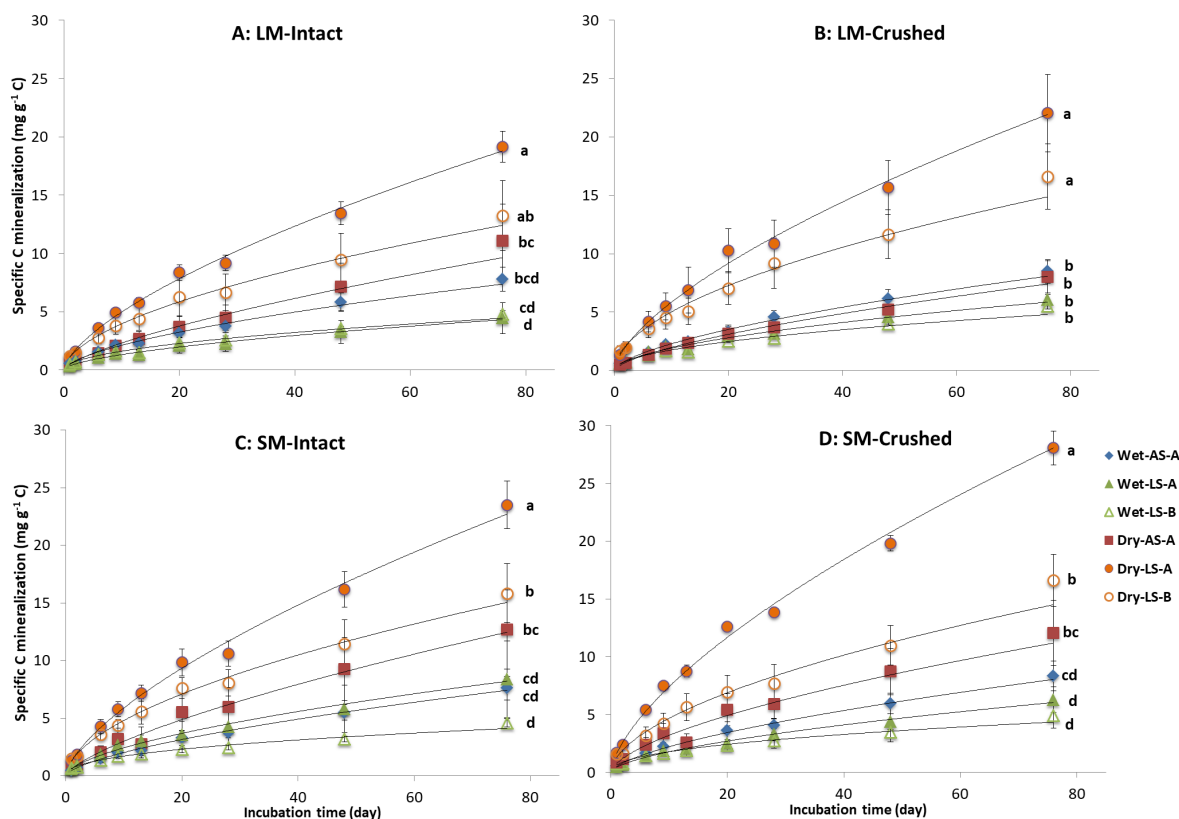
**Fig. 2** Soil organic carbon stocks in the whole soil profile and soil properties in diagnostic horizons (Mean±SE). Wet: the wet site, Dry: the dry site, LS: limestone soil, AS: acid igneous rock soil, A: A horizons, B: B horizons



**Fig. 3** Distribution of fraction weight and soil organic carbon in aggregate size fractions. A: Fraction weight distribution in aggregate size fractions, B: SOC distribution in aggregate size fractions, C: percentages of fraction weights in soil horizons (Mean±SE), D: SOC percentage in soil horizons (Mean±SE). Wet: the wet site, Dry: the dry site, LS: limestone soil, AS: acid igneous rock soil, A: A horizons, B: B horizons, LM: large macroaggregates (>2 mm), SM: small macroaggregates (0.25-2 mm), Mi: microaggregates (<0.25 mm)



**Fig. 4** Principal component analysis (PCA) indicating vertical distribution of aggregate-related soil properties in both limestone soils (LSs) and acid igneous rock soils (ASs). Solid points are A horizons, and hollow points are B horizons. Black arrows are pointing to the direction of soil horizons with increasing soil depth. Wet: the wet site, Dry: the dry site, LS: limestone soil, AS: acid igneous rock soil, MWD: mean weight diameter, C: SOC content, N: total nitrogen content, C/N: C/N ratio, LM: large macroaggregates, SM: small macroaggregates, Mi: microaggregates.



**Fig. 5** SOC mineralization in the large macroaggregates (LM) and small macroaggregates (SM) in a period of 76-day incubation, with aggregate intact and crushed (Mean  $\pm$  SE). Letters on the right of each plots indicate significant differences of cumulative C mineralization between different groups on Day 76. LM: large macroaggregates (>2 mm), SM: small macroaggregates (0.25-2 mm), Intact: incubation with aggregates intact, Crushed: incubation with aggregates crushed, Wet: the wet site, Dry: the dry site, AS-A: acid igneous rock soil - A horizon, LS-A: limestone soil - A horizon, LS-B: limestone soil - B horizon.

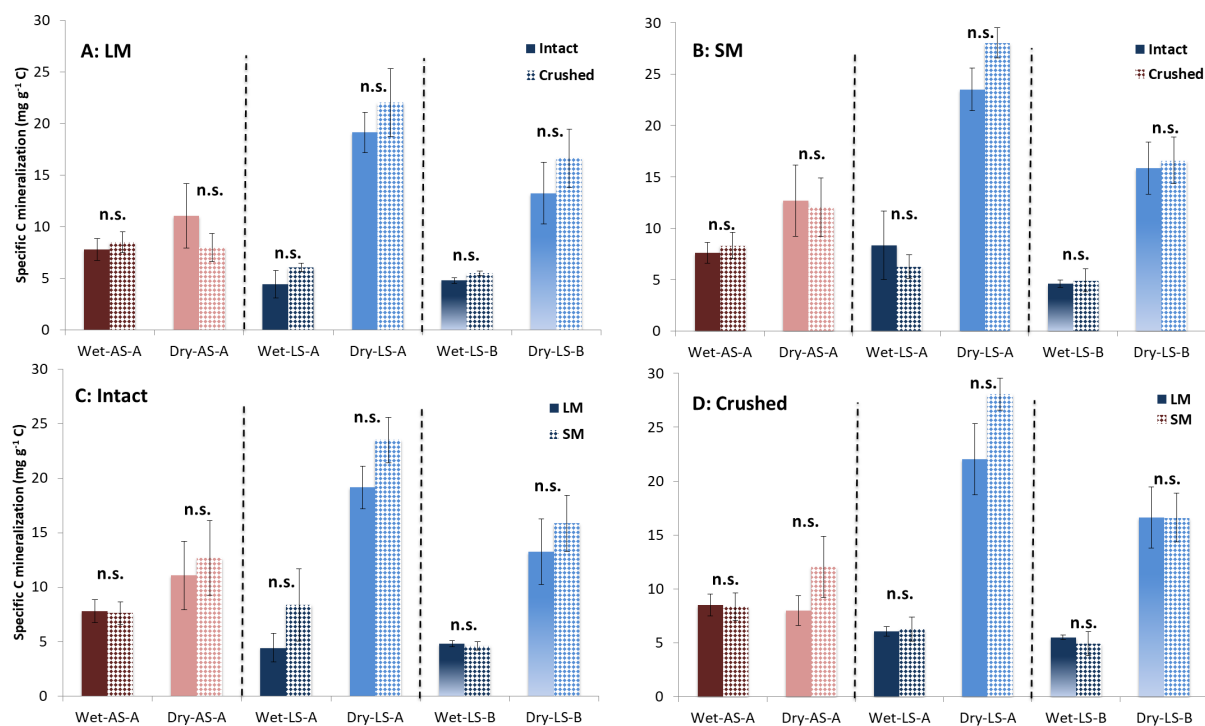




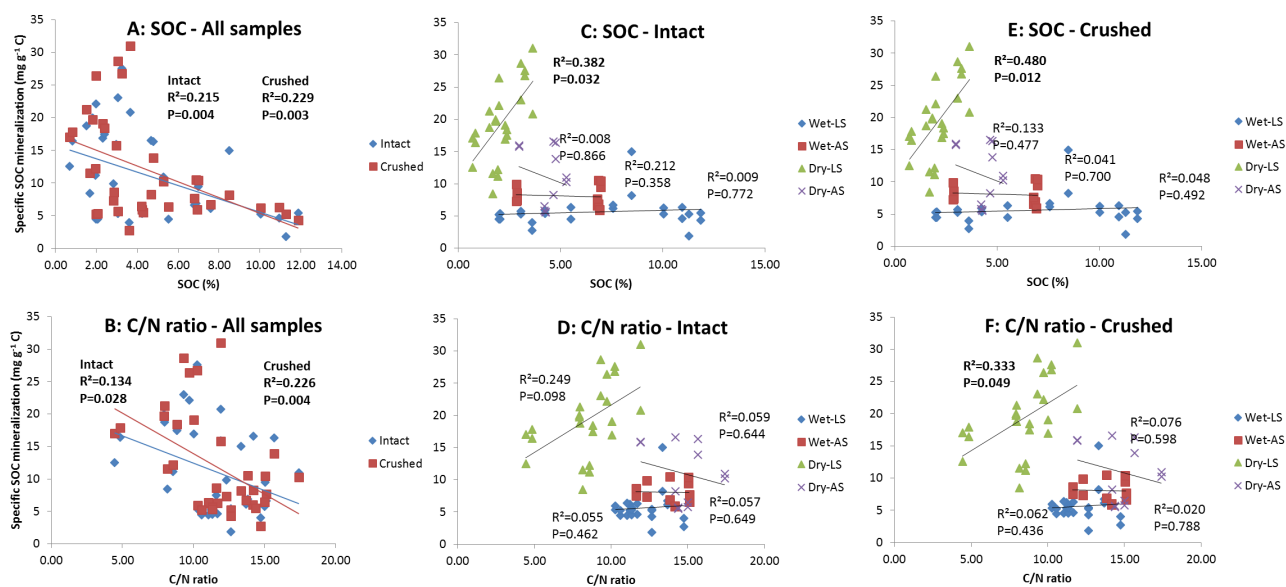
**Table 1 Comparison in SOC mineralization rates between bedrock, precipitation and horizon with combinations of aggregate sizes and aggregate destruction.** Abbreviations in the table indicating the group with significant higher SOC mineralization than the other group.

	A horizon: LS vs. AS				A horizon: Wet vs. Dry				LS: A vs. B horizon			
	LM-In	LM-Cr	SM-In	SM-Cr	LM-In	LM-Cr	SM-In	SM-Cr	LM-In	LM-Cr	SM-In	SM-Cr
	<b>Wet</b>				<b>LS</b>				<b>Wet</b>			
Day 1	n.s.	n.s.	n.s.	n.s.	Dry**	Dry*	Dry*	Dry**	n.s.	n.s.	n.s.	n.s.
Day 2	n.s.	n.s.	n.s.	n.s.	Dry**	Dry*	n.s.	Dry**	n.s.	n.s.	n.s.	n.s.
Day 6	n.s.	n.s.	n.s.	n.s.	Dry*	n.s.	n.s.	Dry**	n.s.	n.s.	n.s.	n.s.
Day 9	n.s.	n.s.	n.s.	n.s.	Dry**	n.s.	n.s.	Dry**	n.s.	n.s.	n.s.	n.s.
Day 13	n.s.	n.s.	n.s.	n.s.	Dry*	n.s.	Dry*	Dry**	n.s.	n.s.	n.s.	n.s.
Day 20	n.s.	n.s.	n.s.	n.s.	Dry*	n.s.	Dry*	Dry**	n.s.	n.s.	n.s.	n.s.
Day 28	n.s.	AS*	n.s.	n.s.	Dry**	n.s.	Dry*	Dry**	n.s.	n.s.	n.s.	n.s.
Day 48	n.s.	n.s.	n.s.	n.s.	Dry**	Dry*	Dry*	Dry**	n.s.	n.s.	n.s.	n.s.
Day 76	n.s.	n.s.	n.s.	n.s.	Dry**	Dry*	Dry*	Dry**	n.s.	n.s.	n.s.	n.s.
	<b>Dry</b>				<b>AS</b>				<b>Dry</b>			
Day 1	LS*	n.s.	LS*	n.s.	n.s.	n.s.	n.s.	n.s.	n.s.	n.s.	n.s.	n.s.
Day 2	LS*	LS*	LS*	n.s.	n.s.	n.s.	n.s.	n.s.	n.s.	n.s.	n.s.	n.s.
Day 6	LS*	n.s.	n.s.	LS*	n.s.	n.s.	n.s.	n.s.	n.s.	n.s.	n.s.	A*
Day 9	LS*	n.s.	n.s.	LS*	n.s.	n.s.	n.s.	n.s.	n.s.	n.s.	n.s.	A*
Day 13	n.s.	n.s.	LS*	LS**	n.s.	n.s.	n.s.	n.s.	n.s.	n.s.	n.s.	A**
Day 20	n.s.	LS*	n.s.	LS*	n.s.	n.s.	n.s.	n.s.	n.s.	n.s.	n.s.	A*
Day 28	n.s.	n.s.	n.s.	LS**	n.s.	n.s.	n.s.	n.s.	n.s.	n.s.	n.s.	A**
Day 48	n.s.	LS*	n.s.	LS**	n.s.	n.s.	n.s.	n.s.	n.s.	n.s.	n.s.	A**
Day 76	n.s.	LS*	n.s.	LS**	n.s.	n.s.	n.s.	n.s.	n.s.	n.s.	n.s.	A**

LS: limestone soil, AS: acid igneous rock soil, LM: large macroaggregates (>2 mm), SM: small macroaggregates (0.25-2 mm), MA: macroaggregates (>0.25 mm), A: A horizon, In: aggregate intact, Cr: aggregate crushed, Wet: the wet site, Dry: the dry site, \*: P<0.05 \*\*: P<0.01, n.s.: not significant.



**Fig. 6** Effects of aggregate destruction and aggregate size on specific SOC mineralization rates in the sampling day 76 (Mean ± SE). A: comparing aggregates intact and crushed in large macroaggregates, B: comparing aggregate intact and crushed in small macroaggregates, C: comparing large and small aggregates with aggregates intact, D: comparing large and small aggregates with aggregates crushed. LS: limestone soil, AS: acid igneous rock soil, LM: large macroaggregates (>2 mm), SM: small macroaggregates (0.25-2 mm), Intact: incubation with aggregates intact, Crushed: incubation with aggregates crushed, A: A horizon, Wet: the wet site, Dry: the dry site, n.s.: not significant.



**Fig. 7** Relationships of specific C mineralization rates (Day 76) with organic carbon contents and C/N ratios when soil aggregates were intact and crushed. Wet: the wet site, Dry: the dry site, LS: limestone soil, AS: acid igneous rock soils, SOC: soil organic carbon content.