# Time-lapse monitoring of root water uptake using electrical resistivity tomography and Mise-à-la-Masse: a vineyard infiltration experiment

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10 Abstract. This paper presents a time-lapse application of electrical methods (Electrical Resistivity Tomography – ERT – and Mise-à-la-Masse – MALM) for monitoring plant roots and their activity (root water uptake) during a controlled infiltration 11 12 experiment. The use of non-invasive geophysical monitoring is of increasing interest as these techniques provide time-lapse 13 imaging of processes that otherwise can only be measured at few specific spatial locations. The experiment here described was conducted in a vineyard in Bordeaux (France) and was focused on the behaviour of two neighbouring grapevines. The joint 14 15 application of ERT and MALM has several advantages. While ERT in time-lapse mode is sensitive to changes in soil electrical resistivity and thus to the factors controlling it (mainly soil water content, in this context), MALM uses DC current injected in 16 17 a tree stem to image where the plant-root system is in effective electrical contact with the soil at locations that are likely to be 18 the same where root water uptake (RWU) takes place. Thus, ERT and MALM provide complementary information about the 19 root structure and activity. The experiment shows that the region of likely electrical current sources produced by MALM does 20 not change significantly during the infiltration time in spite of the strong changes of electrical resistivity caused by changes in 21 soil water content. Ultimately, the interpretation of the current source distribution strengthened the hypothesis of using current 22 as a proxy for root detection. This fact, together with the evidence that current injection in the soil and in the stem produce 23 totally different voltage patterns, corroborates the idea that this application of MALM highlights the active root density in the 24 soil. When considering the electrical resistivity changes (as measured by ERT) inside the stationary volume of active roots 25 delineated by MALM, the overall tendency is towards a resistivity increase during irrigation time, which can be linked to a 26 decrease in soil water content caused by root water uptake. On the contrary, when considering the soil volume outside the 27 MALM-derived root water uptake region, the electrical resistivity tends to decrease as an effect of soil water content increase 28 caused by the infiltration. The use of a simplified infiltration model confirms at least qualitatively this behaviour. The 29 monitoring results are particularly promising, and the method can be applied to a variety of scales including the laboratory 30 scale where direct evidence of roots structure and root water uptake can help corroborate the approach. Once fully validated, 31 the joint use of MALM and ERT can be used as a valuable tool to study the activity of roots under a wide variety of field 32 conditions.

33

## 34 1 Introduction

The interaction between soil and biota is one of the main mechanisms controlling the exchange of mass and energy between the Earth's terrestrial ecosystems and the atmosphere. Philip (1966) was the first to use the phrase "soil–plant–atmosphere continuum" (SPAC) to conceptualize this interface in the framework of continuum physics. Even though more than five decades have elapsed and many efforts have been expanded (e.g., Maxwell et al., 2007; de Arellano et al., 2012; Anderegg et al., 2013; Band et al., 2014), the current mechanistic understanding or modelling of SPAC is still unsatisfactory (e.g. Dirmeyer et al., 2006, 2014 and Newman et al., 2006). This is not totally surprising, since soil-plant interactions are complex, exhibiting scale- and species-dependence with high soil heterogeneity and plant growth plasticity. In this study, we focus on new methods

- 42 designed to image root systems and their macroscopic functioning, in order to help understand the complex mechanisms of
- 43 these systems (the rhizosphere, e.g. York et al., 2016). This diversity of interactions presents an enormous scientific challenge
- to understanding the linkages and chain of impacts (Richter and Mobley, 2009).
- 45 Roots contribute substantially to carbon sequestration. Roots are the connection between the soil, where water and nutrients 46 reside, to the other organs and tissues of the plant, where these resources are used. Hence roots provide a link in the pathway 47 for fluxes of soil water and other substances through the plant canopy to the atmosphere (e.g. Dawson and Stiegwolf, 2007). 48 These transpiration fluxes are responsible for the largest fraction of water leaving the soil in vegetated systems (Chahine, 49 1992). Root Water uptake (RWU) influences the water dynamics in the rhizosphere (Couvreur et al., 2012) and the partitioning 50 of net radiation into latent and sensible heat fluxes thereby impacting atmospheric boundary layer dynamics (Maxwell et al., 51 2007; de Arellano et al., 2012). Yet, a number of issues remain when representing RWU in both hydrological and atmospheric models. Dupuy et al. (2010) summarize the development of root growth models from its origins in the 1970s with simple 52 53 spatial models (Hackett and Rose, 1972; Gerwitz and Page, 1974) to the development of very complex plant architectural 54 models (Jourdan and Rey, 1997). Dupuy et al. (2010) advocate for a different approach, where roots systems are described as 55 "density" distributions. Attempts in this direction (Dupuy et al., 2005; Draye et al., 2010; Dupuy and Vignes, 2012) require much less specific knowledge of the detailed mechanisms of meristem evolution, and yet are sufficient to describe the root 56 57 "functions" in the framework of continuum physics, i.e. the one endorsed by the SPAC concept. These models also lend 58 themselves more naturally to calibration against field evidence, as they focus on the "functioning" of roots, especially in terms 59 of RWU (e.g. Volpe et al., 2013, Manoli et al., 2014). However, calibration requires that suitable data such as root density and 60 soil water content evolution are available in a form comparable with the model to be calibrated. This is the main motivation behind the work presented herein. 61
- A thorough understanding of root configuration in space and their evolution in time is impossible to achieve using only traditional invasive methods: this is particularly true for root hairs, i.e. for the absorptive unicellular extensions of epidermal cells of a root. These tiny, hair-like structures function as the major site of water and mineral uptake. Root hairs are extremely delicate, turn over quickly, and are subject to desiccation and easily destroyed. For these reasons, direct investigation of their in situ structure via excavation is practically impossible under field conditions.
- The development of non-invasive or minimally invasive techniques is required to overcome the limitations of conventional invasive characterization approaches. Non-invasive methods are based on physical measurements at the boundary of the domain of interest, i.e. at the ground surface and, when possible, in shallow boreholes. Non-invasive methods provide spatially extensive, high-resolution information that can also be supported by more traditional local and more invasive data such as soil samples, TDR, lysimeters and rhizotron measurements.
- 72 Electrical signals may contribute to the detection of roots and to the characterization of their activities. For instance, self-73 potential (SP) signals can be associated with plant activities: water uptake generates a water circulation and a mineral 74 segregation at the soil-roots interface that induce ionic concentration gradients which in turn generate voltages of the order of a few mV (Gibert et al., 2006). However, such SP sources are generally too low to be detectable in normally noisy environment. 75 76 Induced Polarization (e.g. Kemna et al., 2012) is also a promising approach in root monitoring. This is consistent with the fact 77 that root systems are commonly modelled as electrical circuits composed of resistance R and capacitance C (e.g. Dalton, 1995 78 and similar models). Recently, Mary et al. (2017) considered polarization from soil to root tissues, as well as the polarization 79 processes along and around roots, to explain the phase shift (between injected current and voltage response) observed for 80 different soil water content. Weigand and Kemna (2017, 2019) demonstrated that multi-frequency electrical impedance 81 tomography is capable of imaging root systems extent.
- 82 In the investigation of roots and RWU the most widely used non-invasive technique is Electrical Resistivity Tomography (ERT
- 83 e.g. Binley and Kemna, 2005). ERT measures soil electrical resistivity and, in time-lapse mode, resistivity changes over
- 84 time. Electrical resistivity values depend on soil type and its porosity, but also on state variables such as the saturation of

- 85 electrolyte (water) in the pores, and the concentration of solutes in the pore water (as described e.g. by the classical Archie's
- 86 law, 1942). Note, however, that other factors may play a role, such as clay content (Rhoades et al., 1976; Waxman and Smits,
- 87 1968) and temperature (e.g., Campbell et al., 1949). However, in general, it is possible to estimate water content changes from
- 88 changes in electrical resistivity over time (and space) provided that pore water salinity does not vary dramatically. While ERT
- 89 has been attempted for quantifying root biomass on herbaceous plants (e.g. Amato et al., 2009), the main use of this technique
- 90 in this context aims at identifying changes in soil water content in space and evolution in time (e.g., Michot et al., 2003, 2016;
- 91 Srayeddin and Doussan, 2009; Garré et al., 2011; Cassiani et al., 2012, Brillante et al. 2015). With specific reference to RWU,
- 92 Cassiani et al. (2015, 2016), Consoli et al. (2017) and Vanella et al. (2018) used time-lapse ERT with 3D cross-hole
- 93 configurations to monitor changes in soil electrical resistivity caused by irrigation and RWU for different crops (apple and
- 94 citrus trees). It should also be noted that RWU and the release of different exudates by fine roots modify soil water content and
- 95 resistivity at several temporal scales (York et al., 2016).
- 96 On the other hand, evidence suggests that roots themselves may produce signals in ERT surveys (Amato et al., 2008; Werban 97 et al., 2008); however, these signals are often difficult to separate from soil heterogeneities and soil water content variations 98 in space. Nevertheless, in most cases, the ranges of electrical resistivity of soil and roots overlap, and while the amplitude of 99 contrasts varies according to the soil resistivity and tree species (e.g. Mary et al., 2016), the direct identification of root systems 100 using ERT is often impractical.
- 101 Recently, the Mise-A-La-Masse (MALM) method has been proposed for plant root mapping. MALM is a classical electrical method (Parasnis, 1967) originally developed for mining exploration, but also used more recently e.g. in the context of landfill 102 103 characterization (De Carlo et al., 2013) as well as conductive tracer test monitoring (Osiensky, 1997; Perri et al., 2018). In 104 MALM, an electrical current is injected into a conductive body with a return current electrode far away ("at infinity"), and the 105 resulting voltage is measured at the ground surface or in boreholes, again with a reference electrode at infinity: the shape of 106 voltage contour lines is informative about the extent and orientation of the conductive body. This idea can be applied to the 107 plant stem and roots system, considering that electrical current can be transmitted through the xylem and phloem (on either 108 side of the cambium), where sap flow takes place. The main assumption is that fine root connections and mycorrhiza at the 109 contact between roots and soil convey the injected current into the soil where this contact is efficient, thus appearing as a 110 distribution of current sources in the ground. The location of these sources should correspond to the locations of active contacts 111 between roots and soil, and could be identified starting from the measured voltage distribution at the ground surface or in boreholes. This approach has been recently tested by Mary et al. (2018, 2019) on vine trees and citrus trees, showing that 112 113 current injection in the stem and in the soil just next to the stem produces very different voltage patterns, thus confirming that 114 the stem-roots system conveys current differently from a direct injection in the ground.
- In this study we present the results of an infiltration experiment conducted in a Bordeaux vineyard (France). This paper is meant to be an extension of Mary et al. (2018) and to focus on the results of an infiltration experiment. The experiment was monitored (also) using time-lapse 3D ERT and time-lapse MALM measurements, the latter performed by injecting current in the vine trees stems. This study had the following goals:
- 119
- 120 roots, at least in terms of their continuum description mentioned above, under varying soil water content conditions;

(a) define a non-invasive investigation protocol capable of "imaging" the root activity as well as the distribution of active

- 121 (b) integrate the geophysical results with mass fluxes measurements in/out of the soil-plant continuum system using a
- 122 simple 1D simulation reproducing the infiltration experiment.
- 123 (c) give recommendations for future experiments focusing on the method validation.
- 124

## 125 2 Methodology

## 126 2.1 Site description

127 The study was conducted in a commercial vineyard (Chateau La Louviere, Bordeaux) in the Pessac Leognan Appellation of

128 France (long 44°44'15"N, lat 0°34'45"W). The climate of the region is oceanic with a mean annual air temperature of 13.7

129 °C and about 800 mm annual precipitation. Grapevine trees are planted at 1 m distance along the rows, and the rows are spaced

130 about 1.5 m. We focused our interest on two neighbouring plants.

- 131 The vineyard is not irrigated. The soil is sandy down to 1 m depth with sandy clay below, down to 1.75 m, and calcareous at
- 132 depth. Due to its larger particles and thus smaller surface area, the sandy layer has a relatively poor water retention capacity.
- 133 Nevertheless, the water supply of the vine plant is not a limiting factor (refer to Fig. 2 and Mary et al. (2018) for more details
- about the plants and soil type). We concentrated our monitoring on only two neighbouring grapevines (Fig. 1), which differ in
- 135 age and size: plant A was smaller and younger, plant B was considerably larger and older.

## 136 **2.2 Meteorological measurements and irrigation schedule**

137 Hourly meteorological data were acquired by an automatic weather station located about 300 m from the plot and managed by 138 DEMETER (Agrometeorological Service - www.meteo-agriculture.eu/qui-sommes-nous/lhistoire-de-demeter). These micrometeorological data were valuable to estimate the initial soil conditions and the changes in time (Figure 2). Potential 139 140 evapotranspiration (ETP) was computed according to the Penman-Monteith formula accounting for the incoming short-wave 141 solar radiation, air temperature, air humidity, wind speed and rainfall measured by the station. Prior to June 19, 2017, date of 142 the first field data acquisition, little precipitation was recorded for 5 days (only 2.5mm on June 13) and only 18mm cumulative precipitation was recorded during the entire month of June 2017. The mean air temperature was very high (35°C under a well-143 144 ventilated shelter). Consequently, the plants were probably suffering from water deficit at the time of the experiment. Thus, at 145 the start of the experiment, we assumed that the soil water content (SWC) around the plants was probably close to field 146 capacity. As shown in Figure 2, the evapotranspiration rate was about 5.6 mm/day. 147 The controlled infiltration experiment was conducted using a sprinkler installed between the two monitored plants, placed at 148 an elevation of 1.4m, in order to apply irrigation water as uniformly as possible. The irrigation started on June 19, 2017 at

149 13h00 and ended two hours later (15h00) for a total of 260 litres (104 l/h). Runoff was observed due to topography and probably

150 induced more water supply for plant A that is located downhill. The irrigation water had an electrical conductivity of 720µS/cm

151 at 15°C.

## 152 2.3 ERT and MALM data acquisition

153 We carried out a time-lapse ERT acquisition, based on custom-made ERT boreholes (six of them, each with 12 electrodes), 154 plus surface electrodes (Fig. A1). The six boreholes were placed to form two equal rectangles at the ground surface. Each 155 rectangle size was 1 m by 1.2m respectively in the row and inter-row line directions, with a vine tree placed at the centre of 156 each rectangle. The boreholes were installed in June 2015 and a good electrical contact with soil was already achieved at the 157 time of installation. The topmost electrode in each hole was 0.1 m below ground, with vertical electrode spacing along each borehole equal to 0.1 m. In each rectangle, 24 surface stainless steel electrodes (14mm diameter), spaced 20 cm in both 158 159 horizontal directions, surrounded the plant stem arranged in a five by five regular mesh (with one skipped electrode near the stem). Note that after testing smaller electrodes size in surface, we finally adopted larger ones since they ensured a better 160 161 contact in the loose soil and were heavier and more firmly grounded (3/cm) to resist irrigation. We conducted the acquisitions 162 on each rectangle independently. Each acquisition was therefore performed using 72 electrodes (24 surface and 48 electrodes

163 in 4 boreholes) using an IRIS Syscal Pro resistivity meter. For all measurements we used a skip 2 dipole-dipole acquisition

- 164 (i.e., a configuration where the current dipoles and potential dipoles are three times larger than the minimal electrode spacing).
- 165 The total dataset includes three types of measurements: 430 surface-to-surface, 2654 surface-to-borehole and 4026 in-hole

## 166 measurements.

- In addition to acquiring ERT data, we also acquired MALM data. MALM acquisition was logistically the same as ERT and was support by the same device, but used a pole-pole scheme (with two remote electrodes). Borehole and surface electrodes composing the measurement setup were used as potential electrodes, while current electrode C1 was planted directly into the stem, 10 cm from the soil surface, with an insertion depth of about 2 cm, in order to inject current directly into the cambium layer. The two remotes electrodes C2 (for current) and P2 (for voltage) were placed approximatively at 30m distance from the
- 172 plot, in opposite directions. Note that for MALM (unlike than for ERT), one corner surface electrode was put near the stem in
- 173 order to refine the information at the centre of each rectangle.
- 174 Each MALM acquisition was accompanied by a companion MALM acquisition where the current electrode C1 was placed
- 175 directly in the soil next to the stem rather than in the stem itself. In this way the effect of the plant stem-root system in conveying
- 176 current can be evidenced directly comparing the resulting voltage patterns resulting from the two MALM configurations.
- 177 For both ERT and MALM, we acquired both direct and reciprocal configurations (that swap current and voltage electrode
- pairs), in order to assess the reciprocal error as an estimate of measurement error (see e.g. Cassiani et al., 2006). Note that for
- 179 the MALM case, reciprocals may not be the best solutions to estimate data quality as it has been shown in Mary et al. (2018),
- 180 possibly because of non-linearity caused by current injection in the stem.
- 181 We adopted a time-lapse approach, conducting repeated ERT and MALM acquisitions over time in order to assess the evolution
- 182 of the system's dynamics under changing moisture conditions associated with the infiltration experiment. We conducted
- 183 repeated measurements starting on 19 June 2017 at 10:20 LT, and ending the next day at about 17:00 LT. The schedule of the
- 184 acquisitions and the irrigation times is reported in Table 1.

### 185 2.4 Forward hydrological model and comparison with geophysical results

- 186 Hydrus 1D (Simunek, J. et al., 1998) was used to simulate cumulative infiltration and water content distributions for plant B 187 (the larger one). The result from geophysical data acquisition were used to feed the hydrological model initial conditions. 188 Boundary conditions were set for the column respectively as an atmospheric BC with surface run off (observed during the 189 experiment) and triggered irrigation for the upper part, and free drainage for the lower part (see Figure 2). We assumed that 190 the retention and hydraulic conductivity functions can be represented by the Mualem-van Genuchten model (MVG, Mualem, 191 1976; van Genuchten, 1980). Soil hydraulic parameters were directly inferred using grain size distribution and the pedo-192 transfer functions from the Rosetta software (Schaap et al., 2001). From the pit information (Mary et al., 2018), we assumed a 193 uniform soil type along a 1D column ranging from 0 to 1.2m depth (Figure 2c). We used two types of time variable boundary 194 conditions: (i) the irrigation rate changing with time, which was measured during the course of the experiment, and (ii) the 195 potential evapotranspiration estimated according to meteorological data. We neglected direct evaporation. The root profile has been inferred from the MALM result at background (pre-irrigation) time using the average value along horizontal planes 196 197 (Figure 2b). We used the functional form of RWU proposed by Feddes et al. (1978) with no water stress compensation and a 198 non-uniform root profile between 0 and 0.7 m depth.
- 199 The link between the forward hydrological and the geophysical model is a petrophysical relation which transforms electrical
  - 200 resistivity distributions into the corresponding simulated water content ( $\theta_{\text{ERT}}$ ) distributions. There are several petrophysical
  - 201 models of varying complexity to relate water content with electrical resistivity (e.g. Archie, 1942; Waxman and Smits, 1968;
  - 202 Rhoades et al., 1976; Mualem and Friedman, 1991). We adopted Archie's approach: pore water conductivity was assumed
  - 203 equal to the electrical conductivity of the water used for the irrigation (720  $\mu$ S/cm) for all the time steps. We considered
  - 204 homogenous soil distribution, so only one petrophysical relationship was necessary. Initial water content was inferred after

205 transformation and reduction by averaging to 1D the ER values obtained during background time T<sub>0</sub>. We obtained a non-

206 homogeneous initial water content for the hydrological simulation varying from 0.1 to 0.27 cm3.cm-3 (Fig. 2a). In order to

207 compare the model results with the geophysical data, we used control points at 0, 0.2, 0.4, 0.6, 0.8m depth.

## 208 2.5 Data analysis and processing

## 209 2.5.1 Micro-ERT time lapse analysis

210 The inversion of ERT data was conducted using the classical Occam's approach (Binley and Kemna, 2005). We conducted 211 both absolute inversions and time-lapse resistivity inversions, as done in other papers (e.g. Cassiani et al., 2015, 2016). We 212 used for inversion only the data that pass the 10% reciprocal error criterion at all measurement times. A large percentage of 213 the data had reciprocity errors below this threshold. We inverted the data using the R3t code (Binley, 2019) adopting a 3-D 214 mesh with very fine discretization between the boreholes, while larger elements were used for the outer zone. Most of the 215 inversions converged after fewer than 5 iterations, and the final RMS errors respect the set convergence criteria (Table 1). For 216 the time lapse inversion, we followed the procedure described e.g. in Cassiani et al. (2006) in order to get rid of systematic 217 errors and highlight changes in term of percentage of ER ratios compare to the background time. Time-lapse inversions were 218 run at a lower error level equal to 2% (consistently with the literature – e.g. Cassiani et al., 2006).

## 219 2.5.2 MALM modelling and source inversion

The MALM processing applied to a plant is thoroughly described in Mary et al (2018). Here we only recall the mathematical
background on which the method relies on and some advances compare to the previous approach described by Mary et al.
(2018).

- In MALM, we measure the voltage V (with respect to the remote electrode) at N points, corresponding to the N electrodes locations,  $x_1, x_2, ..., x_N$ . Voltage depends on the density of current sources C according to Poisson's equation:
- 225  $\nabla \cdot (\sigma \nabla V) = C \tag{1}$

226 where  $\sigma$  is the conductivity of the medium, here assumed to be defined by the conductivity distribution obtained from ERT 227 data inversion. The main idea behind the source inversion is to identify the distribution of M current sources C(x,y,z) – in practice located at the mesh nodes  $C = [C_1, C_2, ..., C_M]$  – that produce the measured voltage V distribution in space. Given a 228 229 distribution of current sources, and once  $\sigma(x,y,z)$  is known from ERT inversion, the forward problem is uniquely defined and 230 consists in the calculation of the resulting V field. Conversely, the identification of C(x,y,z) distribution given V(x,y,z) and 231  $\sigma(x,y,z)$  is an ill-posed problem, that requires regularization and/or a priori assumptions in order to deliver stable results. 232 Different approaches are possible – for a detailed analysis in this context see Mary et al. (2018). In this paper we have used 233 the simplest approach, i.e. we assumed that one single current source was responsible for the entire voltage distribution. For each candidate location the sum of squares between computed and measured voltages was used as an index of misfit of that 234 235 location as a possible MALM current source in the ground. Mary et al. (2018) introduced a simple index that can be mapped 236 in the three-dimensional soil space and that measures the misfit that a specific location is the (single) current source generating 237 the observed voltage field. This index  $(F_1)$  is defined as:

238

$$F_{1,i}(d, D_{f,i}) = \|d - D_{f,i}\|_{2}^{2}$$
(2)

Where *d* is a vector of measured voltage (normalised), and  $D_{f,i}$  is a vector of modelled voltage corresponding to a single source injecting the entire known injected current at the i-th node in the mesh. The forward modelling producing the  $D_{f,i}$  values is based on the direct solution of the DC current flow in a heterogeneous medium, such as implement in the R3t Finite Element code (Binley, 2019). Thus, the  $F_I$  inversion accounts naturally for the heterogeneous electrical resistivity of the 3D soil volume, also in its evolution over time (e.g. as an effect of irrigation and RWU). A more advanced objective function, which considers the presence of distributed sources, has also been introduced by Mary et al. (2018). Here we propose several important changes to that approach, on the basis of the work by Peruzzo et al. 2019 who proposed a linearized form of the problem. In this case, the cost function  $F_2$  consists of error-weighted data misfit  $\Phi_d$  and model roughness  $\Phi_m$  containing model relative smallness and smoothness both weighted by the regularization parameter  $\lambda$ :

248 
$$F_2 = \Phi_d + \lambda \Phi_m = W_{\varepsilon} \|d - f(m)\|_2^2 + \lambda (W_s \|m - m_0\|_2^2)$$
(3)

249

250 Given a set of N voltage measurements, minimization of the objective function,  $F_2$ , given by eq. (3), produces a vector of M current sources densities  $C_j$  (j = 1, 2, ..., M), where d is the data vector, f(m) is the forward model that relates the model m to 251 the resistances,  $W_s$  is a smoothness operator,  $W_{\varepsilon}$  is an error weighting matrix, and  $\lambda$  is a regularization parameter that 252 253 determines the amount of smoothing imposed on *m* during the inversion. An L-curve analysis is used to identify the optimal 254 regularisation parameter  $\lambda$ . In the revised algorithm all candidate current sources are kept during the inversion. Thus, there is 255 no more a need to identify a threshold for which some sources are rejected. However, the misfit of  $F_1$  is transformed into a normalized initial model ( $m_0$ ) of current density via the inverse ( $1/F_1$ ) transformation. During the inversion of the current 256 257 density, we adopted a relative smallness regularisation as a prior criterion for the inversion i.e. the algorithm minimizes ||m  $m_0 \parallel^2$ , where  $m_0$  is a reference model to which we believe the physical property distribution should be close. 258

## 259 3 Results

## 260 3.1 Background, irrigation time and monitoring of ERT measured data

261 The soil electrical conductivity during the period prior to irrigation (see ERT results in Figure 2b and 3a, respectively for plants 262 A and B) ranged from 50 to 200  $\Omega$ m, with a median value around 100  $\Omega$ m, a range that is reasonable for a dry sandy soil. For 263 plant A, the smaller plant, the highest resistivity values were distributed at about 0.5 m depth (Figure 2b). For the larger plant 264 B (Error! Reference source not found. Figure 2a), the positive resistivity anomalies are more diffused and less resistive (150 265  $\Omega$ .m) compared to plant A, which reach larger depths. The very small-scale anomalies observed at the soil surface are likely 266 to be caused by heterogeneous direct evaporation patterns or different soil compaction. More interesting are the resistive anomalies at intermediate depths. The background time  $(T_0)$  for both plants revealed a low resistive layer ranging in depth 267 268 from 0 to 0.35 m for plant A and from 0 to 0.25 m for plant B. As observed in other case studies (e.g. Cassiani et al., 2015, 2016, Consoli et al., 2017; Vanella et al. 2018), these higher resistivity values are likely to be linked to soil saturation decrease 269 270 caused by RWU, particularly in consideration of its intensity during this time of the year (June) for non-irrigated crops. Of 271 course, we cannot fully exclude that higher resistivity is also related to woody roots presence, especially when they are dense. 272 Besides, roots could also have induced soil swelling creating voids acting like resistive heterogeneities.

273 The  $T_1$  time step was collected during the irrigation, at 2h for plant A and at 30 minutes for plant B after the beginning of the 274 irrigation, so the variations of ER values are not directly comparable for the two plants. Figure shows the resistivity distribution during irrigation (at time step  $T_1$ ) and after irrigation ( $T_2$  to  $T_5$ ) for plant B. The input of low resistivity water (15  $\Omega$ m, measured 275 276 in laboratory) caused a homogeneous drop of the resistivity values (as much as 100  $\Omega$ m difference) around plant B. The 277 observed resistivity decrease in the upper 40 cm can be attributed to the presence of a porous layer, and correspondingly fast infiltration. A similar drop can be seen for the plant A (Fig. B1). This is an indirect evidence that water infiltrated in both areas 278 279 (that are next to each other) with no difference in soil hydraulic properties. For the time after irrigation, it is difficult to 280 appreciate the change in resistivity from the absolute values while time-lapse inversion (Figure b) shows that the main increase 281 in ER (up to 140% of the background value), was located in the upper layers (< 0.3m depth) and occurred between the 282 background time and  $T_3$ . Note that the acquisition time  $T_3$  corresponds to the morning of the following day, since no measurement were taken overnight, and the acquisition time match with the start of the increase of ET and mean air temperature. No increase was observed on plant A (Fig. B1). After  $T_3$ , no positive change in ER was observed.

## 285 3.2 Background and irrigation time steps of MALM measured data

Figure 5 shows the raw results of MALM acquisition on plant B, during background and irrigation, for both soil and stem injection configurations. Note that voltages are normalized against the corresponding injected current. For both surface and borehole electrodes the normalized voltage distribution can be compared against the one expected from the solution for a point injection of current *I* at the surface of a homogeneous soil of resistivity  $\rho$ :

290

$$V = \frac{I\rho}{2\pi r} \tag{4}$$

where r is the distance between the (surface) injection point and the point where voltage V is computed (see Fig.5e for a comparison). In all cases, both for surface and borehole electrodes, and both for stem and soil current injection, the voltage patterns are deformed with respect to the solution of Eq. (3) for a homogeneous soil. Some pieces of evidence are apparent from the raw data already:

- a. In all cases, the pattern of surface and subsurface voltage is asymmetric with respect to the injection point (in the stem
  or close to it, in the soil) and thus different from the predictions of Eq. (3); this indicates that current pathways are
  controlled by the soil heterogeneous structure: note that at all times there is a clear indication that a conductive
  pathway extends from the plant to the right-upper corner of the image (this would be the classical use of MALM –
  identifying the shape of conductive bodies underground). Note that spatial variations of voltage between boreholes
  are consistent with surface observations i.e. the maximum voltage was measured on the borehole 4 located in the top
  right corner of the plot;
- b. The voltage patterns in the case of stem injection are clearly different from the corresponding ones obtained from soil
  injection. In particular, injecting in the soil directly produces a stronger voltage signal both at the surface and in the
  boreholes than the corresponding voltage in the case of stem injection: this difference clearly points towards the fact
  that the plant-roots system must convey the current in a different way than the soil alone; tentatively the observed
  voltage features would indicate a deeper current injection in the case of stem injection. Looking at the qualitative
  differences between soil and stem injection in the borehole electrode data, the impact is very small at depths larger
  than 0.6m;
- 309 c. For both soil and stem injection, local anomalies observed in the background image are either removed or smoothed
   310 during the irrigation steps. The effect is equally pronounced in soil and stem injection, showing that this is caused
   311 essentially by the change in resistivity induced by the change in soil water content (see Fig.5).

312 Similar features are observed for plant A (results shown in appendix C1 and C2). The full-time monitoring is also shown only

in appendix since a consistent and quantitative interpretation is not straightforward by a visual inspection of the raw MALM

314 data.

## 315 3.3 Inversion of virtual current sources to estimate roots extents

Figure 6 shows the iso-surfaces of fitness index (or misfit)  $F_1$  (Eq. 2) for the background (pre-irrigation) conditions of plant B (plant A in appendix C3) and for current injection in the soil and in the stem at all-time steps listed in Table 1. In all cases, Figure 6 shows the iso-surface corresponding to the value  $F_1$ = 7V corresponding to the 25% misfit index (value selected after analysing the evolution of the L-curve of sorted misfit  $F_1$ . The same threshold is fixed for all the time steps thus the images provide comparable information for all cases. Note, nevertheless, that the position of the active roots from one acquisition to

- 321 the other during the irrigation experiment (or for different seasons) may vary, so the distribution of the misfit and ultimately
- 322 the depth of the iso-surface describing active roots.
- 323 In particular, the  $F_1$  procedure highlights the remarkable difference, for both plants A and B, between the injection in the stem
- and in the soil. Current injection in the soil produces a voltage distribution that, albeit corresponding to a heterogeneous
- resistivity distribution and thus different from the predictions of a simpler model such as Eq. (3), collapses effectively to one
- 326 point, i.e. the point where current was effectively injected in the ground. On the contrary, when current is injected in the stem,
- 327 the region of possible source locations in the ground is much wider, and depicts a volume that is likely to correspond to the
- 328 contact points between roots and soil, i.e. the volume where roots have an active role in the soil especially in terms of RWU.
- 329 While this latter interpretation remains somewhat speculative, at least in the present experimental context, nevertheless the
- 330 different results between soil and stem injection can only find an explanation in the role of roots and their spatial structure.
- 331 The most interesting feature shown by Figure 6 is that the likely source volumes do not change with time during irrigation
- 332 except for the irrigation time  $T_1$  for which the iso-surface extended slightly more at depth. Note that the  $F_1$  procedure makes
- use of the changing electrical resistivity distributions caused by infiltrating water (see Fig.4) thus the result is not obvious, and indicates an underlying mechanism that is likely to be linked to the permanence of the roots structure over such a short time lapse.
- 336 Figure 7 shows the spatial distribution of the current density as an outcome of the minimisation of the  $F_2$  function. Very similar
- 337 observations to  $F_1$  are driven from the current source density i.e. that current injection in the soil produces a current distribution
- 338 collapses effectively to one point, i.e. the point where current was effectively injected in the ground, while when current is
- 339 injected in the stem, the current distribution in the ground is much wider, and depicts a volume that is likely to correspond to
- 340 the contact points between roots and soil. Note that for the different time steps (Fig. C4) did not highlight changes in the
- 341 distribution of current density suggesting that the region of RWU was relatively constant during the experiment.

### 342 **3.4 Electrical resistivity variations inside and outside the likely active roots zone**

343 Our assumption is that the region identified by MALM  $F_1$  for the background time corresponds to the RWU region. The inner 344 area (IN) is then defined as the area within the closed iso-surface at the background time  $T_0$ . As the changes in the estimated 345 extent of the root zone are only minor (Fig. 6), it makes sense to evaluate the changes, as an effect of irrigation, in electrical 346 resistivity within such stable estimated root zone. Figure shows the ER variations of selected values in the zones inside and 347 outside this estimated active root zone. It is apparent how irrigation causes a general decrease of electrical resistivity for both plants A (Fig. 8a) and B (Fig. 8b), and in both inner and outer regions. Note that even though the regions are different for the 348 349 two plants, the behaviour is similar. Then at the end of irrigation we observe, for both plants, that resistivity continues to decrease outside the root active region, while it increases slightly inside. This behaviour is consistent with the fact that inside 350 351 the region we expect that RWU progressively dries the soil, while outside this region resistivity continues to decrease (overall) 352 as an effect (probably) of water redistribution in the unsaturated soil.

## 353 **3.5 One-dimensional simulation of the infiltration**

- 354 Figure 9a shows, the variations of the simulated soil water content ( $\theta_{simu}$ ) with time for control points located at different depths
- 355 (see Fig. 2 for the geometry) and Fig. 9b shows the comparison against the 3dimensional variations of ER transformed values
- 356 to soil water content ( $\theta_{ERT}$ ). Time steps of the ERT acquisition for starting time and end time are reported for an easier
- 357 comparison between the two figures. At T<sub>0</sub>, values of soil water content are about 0.1, a value close to field capacity for this
- 358 type of soil, as previously assumed (section 2.2) and in agreement with the literature. Despite all the assumptions and models'
- 359 limitation described later, the range of soil water seems also consistent between the simulation and the measured data. Note
- also that the dynamic is closely linked to the estimated ET and mean air temperature shown in Figure 2. The start and end time

of the triggered irrigation are clearly identified respectively with a sharp increase following by a decrease of  $\theta_{simu}$  at z=0, with 361 362 a peak in SWC equal to 0.3. Between  $T_1$  and  $T_2$ , only the upper surface (<0.2m depth) is affected by the irrigation front resulting 363 in the increase of soil water content both visible in  $\theta_{simu}$  and  $\theta_{ERT}$  (Fig.9b). The infiltration front reaches the depth of 0.4 m during the collection of ERT data at time  $T_2$ . Time  $T_2$  marks the starts of a regular decrease of the soil water content overnight 364 in the top 40cm soil. Time T<sub>3</sub>, coincident with an increasing ET and mean air temperature, highlights a rupture from a slow 365 decrease to a higher decrease rate particularly for the soil surface (the layer <0.2m depth), in agreement with the observed 366 367 changes in  $\theta_{ERT}$  (Fig. 9b). Overall, Figure 9a and Figure 9b shows a good correlation between the dynamics of SWC changes predicted by the hydrological model ( $\theta_{simu}$ ) and observed via the ER transformed values ( $\theta_{ERT}$ ). 368

## 369 4 Discussion

The survey was carried out during a sunny summer season in a non-irrigated vineyard of the Bordeaux Region. The site is composed of sandy-loamy soil, thus there is a high infiltration rate during the experiment, and this would make it more difficult to distinguish RWU zones from infiltration zones as done for instance by Cassiani et al. (2015) using time-lapse ERT alone.

373 The first objective of the study was to define a non-invasive investigation protocol capable of "imaging" the root activity as 374 well as the distribution of active roots under varying soil water content. We demonstrated that the key additional information is provided by MALM which directly incorporates the ERT information in terms of changing electrical resistivity distribution 375 376 in space including its evolution in time. MALM, and particularly its double application of current injection in the stem and in 377 the soil next to it, uses electrical measurements in a totally different manner: here the plant-root system itself acts as a 378 conductor, and the goal is to use the retrieved voltage distribution to infer where the current injected in the stem actually is 379 conveyed into the soil: these locations are potentially the same locations where roots interact with the soil in terms of RWU. 380 However, in order to try and locate the position of these points, it is necessary to know the soil electrical resistivity distribution 381 at the time of measurements. At this scale of measurements, ERT provides 3D images of electrical resistivity distribution in 382 the subsoil housing the root system. Fast acquisition allows the measurement of resistivity changes over time, which in turn 383 can be linked to changes in SWC. This can be caused e.g. by water infiltration, or by RWU: in the latter case, negative SWC 384 changes mapped through resistivity changes can be used to map the regions where roots exert an active suction and reduce 385 SWC. However, water redistribution in the soil also plays a role in terms of resistivity changes. Thus some additional 386 independent information about the location of active roots in the soil may help: this is the first coupling between ERT and 387 MALM that has been integrated in the workflow. Considering the inverted MALM data as non-sensitive to soil water distribution has different potential useful impacts: the separation of contributions of root zone and outer area on ER values 388 389 extracted from ERT help distinguish between soil processes such as RWU and hydraulic redistribution (hydraulic lift in 390 particular).

391 Time-lapse ERT measurements gives clear evidence that injecting current in the stem and in the soil close to the stem produces 392 different inversions even under changing soil water conditions. The soil injection produces a current density close to a punctual 393 injection (located at the true single electrode location) whatever the soil water content. The stem injection helps identify a 3D 394 region of likely distributed current injection locations, thus defining a region in the subsoil where RWU is likely to take place. 395 The latter result is particularly useful, in perspective: when computing the time-lapse changes of electrical resistivity inside 396 and outside this tentative RWU region during irrigation we clearly see that while inside resistivity increases (as an effect of RWU, as irrigation is still ongoing), outside resistivity decreases. Thus, our assumption that the region identified by MALM 397 398 inversion (albeit very rough) corresponds to the RWU region is corroborated indirectly also by this evidence. 399

## 400 4.1 Comparison between geophysical data and hydrological model

401 A second objective of the study was to integrate the geophysical results a simple 1D model of the infiltration experiment, that 402 takes into account the observed water fluxes. Dupuy et al., (2010) advocated the use of roots systems described as "density" 403 distributions. We assimilated the root distribution, derived the geophysical data, into the hydrological model. Attempts in this 404 direction are very promising to describe the root functioning in the framework of continuum physics, i.e. the one endorsed by 405 SPAC. The integration of modelling and data has proven a key component of this type of hydro-geophysical studies, allowing 406 us to draw quantitative results of practical interest. For example, in our study it is apparent that although infiltration occurred during the peak of evapotranspiration (between 1pm and 3pm), very small RWU was observed before the second day. 407 408 Nevertheless, after a certain time, RWU is observed while infiltration is still ongoing. Smaller RWU observed for the small 409 plant A compared to plant B is also observed.

## 410 **4.2 Recommendation for future experiments**

411 In this field case study, we had very little available quantitative information that could allow the validation of the geophysical 412 data in terms of the volume of soil affected by RWU. The final objective of this study was then to discuss issues for obtaining 413 suitable validation data using existing methods and propose some recommendation for future experiments:

- 414 (i) Validation through destructive methods has numerous potential pitfalls. As roots are underground, and thus 415 invisible in their space-time evolution, and are also fragile, especially in their fine structure, the monitoring of 416 their structure and activity using destructive methods such as trenches or air spade presents various limitations. 417 In such approaches, even in the best case where fine roots may be sufficiently preserved and described, it is 418 impossible to know where the active roots actually are. Active roots may be located only in one part of the whole 419 root system. Destructive methods may help to validate the confidence area determined by  $F_1$  but are not 420 appropriated methods to validate the  $F_2$  inversion.
- 421 (ii) We recommend the use of traditional methods (such as Time Domain Reflectometry-TDR and tensiometers) for
   422 future studies. Though punctual, these data can greatly facilitate the data calibration and validation of geophysical
   423 methods.

Finally, more research needs to be conducted to understand how MALM can provide information to be correlated with the actual RWU and thus to the estimated transpiration. The study of complex root-soil interactions requires that high time resolution and extensive data are collected and processed. In order to quantitively evaluate RWU using the variations of ER, many more data instants per day must be acquired. In this study, we only used ERT and MALM information to initialize the infiltration model, and only a qualitative comparison was conducted between model predictions and geophysical results. In the next future, a real assimilation scheme using data assimilation technique should be adopted.

## 430 5 Conclusions

This study presents an approach to define the extent of active roots distribution using non-invasive investigations, and thus 431 432 particularly suitable to be applied under real field conditions. We applied a mix of ERT and MALM techniques, using the 433 same electrode and surface electrode distribution. The power of the approach lies in the complementary capabilities of the two techniques in providing information concerning the root structure and activity. The approach has been tested in a vineyard 434 435 during an irrigation experiment. Future experiments would require that high time resolution extensive data are collected, and 436 the results are analysed in conjunction with data from traditional monitoring methods in order to qualitatively integrate geophysical results into a hydrological one. The presented approach can be easily replicated under a variety of conditions, as 437 438 DC electrical methods such as ERT and MALM do not possess a spatial scaling per se, but their resolution depends on electrode 439 spacing as well as on other factors that are difficult to assess a priori, such as resistivity contrasts and signal to noise ratio.

440 Thus similar experiments can also be used in the laboratory, where more direct evidence of root distribution can be used to

441 further validate the method.

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## 453 Data availability

454 Data used to generate the figures can be accessed on the Padua Research Archive (Link to come after decision).

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591Table 1: schedule of the acquisitions and the irrigation times; Plant A and B are measured consecutively and consist each time of592three measurements: ERT, MALM stem and MALM soil. Assessment of data and inversion quality from the two last columns i.e.

respectively data kept after reciprocal analysis at 10% and RMS error at the end of the inversion.

Acquisition no.	Plant	Starting time (LT)	Ending time (LT)	Irrigation	Date	Nb of data inverted (10 % reciprocals)	Final RMS (Ohm.m)
0 (background)	А	10:20	11:00			1614	1.15
	В	12:20	13:00			1926	1.76
1 (Irrigation)	А	15:00	15:30	13h00 to 15h30,	o 15h30,         Day 1           Ilh-1         (19 June           th plants         2017)	1277	1.54
	В	13:30	14:00	For both plants		1721	1.31
2	А	17:00	17:30		-	1747	1.36
	В	18:00	18.45			1459	1.50
3	А	10:30	11:00			1516	1.72
	В	9:30	10:00	-		2048	1.24
4	А	14:00	14:30	-		1835	1.38
	В	15:00	15:30	-	Day 2	2029	1.53
5	А	18:00	18:30	-		1780	1.23
	В	17:00	17:30			1990	1.28



Figure 1: picture of the field site in May 2017 (a) wired plants investigated (b) and grape status during the experiment in June 2017 (c)



Figure 2: Initial (a,b,c) and time varying atmospheric conditions (e) used the hydrological simulation (e). From left to right (a-d), initial conditions on soil water content  $\theta_{ini}$ , root density (1/cm), soil type, and pit observations. (e) variation of temperature (blue line) and estimated evapotranspiration (black line) derived from a nearby meteorological station. The vertical lines indicate acquisition times for plant A (dashed and plain line respectively for the start and the end of the measurement, see Table 1).



Figure 3: Results of the 3-D ERT inversion for the background time  $T_0$  for plant A (b) and A (b). 3-D resistivity volume (log scale) sliced at the tree stem position (vertically) and at four depths (0.05, 0.2 0.6 and 1m), with the green point showing the location of the 

611 plant stem.





616 Figure 4: 3D ERT results for plant B (plant A, in appendix Fig. B1). The volume is sliced at the tree stem position (vertically) and at

617 five depths (0.05, 0.2, 0,4, 0.6 and 0.8 m). (a) 3D inversion of the resistivity (in  $\Omega$ m, log scale) from the background time T<sub>0</sub>, during

618 irrigation  $T_1$  and after irrigation. (b) time-lapse inversion (following Cassiani et al., 2006) showing the ratios (in % of ER changes)

619 between time step  $T_i$  and background time  $T_0$  (100% in white means no change).





Figure 5: plant A, MALM results showing variations in surface (horizontal plan) of resistance R (in mV/mA) for the initial state 622 623 background  $T_{\theta}$  (a,c) and irrigation  $T_{1}$  (b,d) time steps. Comparison between the stem injection (a,b) and soil injection (c,d). The 624 black points show the surface electrodes location. The green point shows the positions of the plant stem. Data are filtered using a 625 threshold on reciprocal acquisition of 20%. (e) shows the solution using eq. (2) for a homogeneous soil of 100 Ohm.m; The resistance 626 between boreholes B1/B3 and B2/B4 are identical and cannot be distinguished graphically in the case of (e).



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Figure 6: iso-surface minimizing the  $F_I$  function for plant B; during stem injection (a), during soil injection (b); Columns represent the six times steps from T<sub>0</sub> to T<sub>5</sub>. Green dot shows plant stem position. Threshold is defined by the misfit 25% of the normalised  $F_I$ (value selected according to the evolution of the curve of sorted misfit  $F_I$  and calculated for the tree injection at T<sub>0</sub> and kept constant for all the time steps).



Figure 7: current source density after minimization of the objective function  $F_2$  as defined in Eq. (4). The results are relevant to the background time T<sub>0</sub> for the plant B, for the stem current injection (a), and soil current injection (b).



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Figure 8: boxplot distribution of ER time variations observed on the plant A (top) and plant B (bottom), for the values selected outside (OUT, left part) and inside (IN, right part) of the region defined by the  $F_I$  best fit sources (see Fig. 6a-T<sub>0</sub>). The central mark indicates the median, the bottom and top edges of the box indicated the 25th and 75th percentiles of ER data, respectively. The whiskers extend to the most extreme data points not considered outliers. Each box corresponds to a given time step (see table 1), indicated in the x-axis.



651 652 653 Figure 9: (a) time variation of simulated soil water content ( $\theta_{simu}$ ) at five depths. The vertical lines indicate the geophysical acquisition times (dashed and plain line respectively for the start and the end of the measurement, see Table 1). (b) 3D variations of the ERT-654 derived soil water content ( $\theta_{ERT}$ ) for the time steps describe in table 1. Horizontal layer depths are identical to the control points of 655 the hydrological model.

## 658 Appendix A: set-up description

659

- 660 Fig. A1: from left to right: 3D view of the surface (blue) and borehole (black) electrodes, view from the top and tranversal
- 661 view. Plant A was located downhill. Green dot shows plant stem positions.



## 664 Appendix B: ERT monitoring

665

Fig. B1: 3D ERT results for plant B. The volume is sliced at the tree stem position (vertically) and at five depths (0.05, 0.2, 0,4, 0.6 and 0.8 m). (a) 3D inversion of the resistivity (in  $\Omega$ m, log scale) from the background time T<sub>0</sub>, during irrigation T<sub>1</sub> and after irrigation. (b) time-lapse inversion (following Cassiani et al., 2006) showing the ratios (in % of ER changes) between time step T<sub>1</sub> and background time T<sub>0</sub> (100% in white means no change).



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#### **Appendix C: MALM monitoring**

Fig. C1: Voltage distribution of the raw data of MALM time lapse monitoring for the plant B. First line results are relevant to the stem injection while second line refers to the soil control injection. Columns describe time evolution according to Table 1.



Fig. C2: Voltage distribution of the raw data of MALM time lapse monitoring for the plant A. First line results are relevant to the stem injection while second line refers to the soil control injection. Columns describe time evolution according to Table 1.



Fig. C3: iso-surface minimizing the F1 function for plant A; during stem injection (a), during soil injection (b); Columns represent the six times steps from T0 to T5. Green dot shows plant stem position. Threshold is defined by the misfit 25% of the normalised F1 (value selected according to the evolution of the curve of sorted misfit F1 and calculated for the tree injection at T0 and kept constant for all the time steps). 



- 693 Fig. C4: Time-lapse evolution of the current source density after minimization of the objective function F2 as defined in Eq.
- 694 (4). The results are relevant to the background time T0 to T5 for the plant A, for the stem current injection on the left, and soil
- 695 current injection on the right.
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