



1 **Hot regions of labile and stable soil organic carbon in Germany - Spatial**
2 **variability and driving factors**

3 Cora Vos¹, Angélica Jaconi¹, Anna Jacobs¹, Axel Don¹

4 ¹Thünen Institute of Climate-Smart Agriculture, Bundesallee 50, 38116 Braunschweig, Germany

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6 Corresponding Author: Axel Don, axel.don@thuenen.de, Tel. +49 531 596 2641

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11



12 **Abstract**

13 Atmospheric carbon dioxide levels can be mitigated by sequestering carbon in the soil. Sequestration
14 can be facilitated by agricultural management, but its influence is not the same on all soil carbon
15 pools, as labile pools with high turnover may be accumulated much faster, but are also more
16 vulnerable to losses. The aims of this study were to 1) assess how soil organic carbon (SOC) is
17 distributed among SOC fractions on national scale in Germany, 2) identify factors influencing this
18 distribution and 3) identify regions with high vulnerability to SOC losses. The SOC content and
19 proportion of two different SOC fractions were estimated for more than 2500 mineral topsoils (<87 g
20 kg⁻¹ SOC) covering Germany, using near-infrared reflectance spectroscopy. Drivers of the spatial
21 variability in SOC fractions were determined using the machine learning algorithm cforest. The SOC
22 content and proportions of fractions were predicted with good accuracy (SOC content: R²=0.87-0.90,
23 SOC proportions R²=0.83, ratio of performance to deviation (RPD) 2.4-3.2). Main explanatory
24 variables for distribution of SOC among the fractions were soil texture, bulk soil C/N ratio, total SOC
25 content and pH. For some regions, the drivers were linked to the land-use history of the sites.

26 Arable topsoils in central and southern Germany were found to contain the highest proportions and
27 contents of stable SOC fractions, and therefore have the lowest vulnerability to SOC losses. North-
28 western Germany contains an area of sandy soils with unusually high SOC contents and high
29 proportions of light SOC fractions, which are commonly regarded as representing a labile carbon
30 pool. This is true for the former peat soils in this area, which have already lost and are at high risk of
31 losing high proportions of their SOC stocks. Those “black sands” can, however, also contain high
32 amounts of stable SOC due to former heathland vegetation, and need to be treated and discussed
33 separately from ‘normal’ agricultural soils. Overall, it was estimated that, in large areas all over
34 Germany, over 30% of is stored in easily mineralisable forms. Thus, SOC-conserving management of
35 arable soils in these regions is of great importance.

36



37 **1 Introduction**

38 There is increasing interest in soil organic carbon (SOC) in agricultural soils, as it contributes to soil
39 fertility and also to mitigation of climate change when organic carbon (OC) sequestration is enhanced
40 (Post and Kwon, 2000). The pathway of atmospheric carbon to SOC is controlled by land use and
41 agronomic management. However, SOC comprises a large range of compounds, ranging from
42 recently added organic matter, such as root litter and exudates, to highly condensed and
43 transformed organic matter that may even be derived from the geogenic parent material. These
44 different compound classes are stabilised in different ways and therefore have different turnover
45 times (Lehmann and Kleber, 2015). Although SOC is now considered as having a continuum of
46 turnover times, it is mostly described and modelled as consisting of different pools that vary in their
47 turnover time (e.g. labile pool, intermediate pool and stabilised pool). The effects of land use and
48 management are not the same for all soil organic matter compounds, however, but differ between
49 SOC pools (Cardinael et al., 2015; Chimento et al., 2016). This is why the different SOC pools need to
50 be assessed separately from the bulk SOC when discussing the influence of land use and
51 management on stabilisation and storage of SOC.

52 One method for experimental quantification of the distribution of SOC among different SOC pools is
53 fractionation. Various fractionation procedures for quantifying SOC fractions have been developed,
54 mostly aiming at isolating fractions with differing turnover times (Poeplau *et al.*, submitted).
55 Determining the distribution of SOC among fractions with assumedly different carbon turnover times
56 is one step towards understanding the factors influencing SOC stabilisation.

57 Some impact factors are consistently reported as being important at site scale for the distribution of
58 SOC among different fractions or pools, one of which is land use. In croplands and grasslands, a
59 similarly large share of bulk SOC is attributed to fractions regarded as stable, while in forest soils a
60 larger proportion of SOC is attributed to more labile SOC fractions (John *et al.*, 2005; Helfrich *et al.*,
61 2006; Wiesmeier *et al.*, 2014). Tillage can also have an impact on SOC pools, as several studies report
62 higher levels of bulk SOC under no-till conditions compared with conventional tillage, with the



63 majority of this increase occurring in the more labile carbon pools (Chan et al., 2002; Devine et al.,
64 2014; Liu et al., 2014).

65 Fewer studies have examined the SOC distribution into fractions at regional scale and even fewer
66 have examined factors affecting the proportions of SOC distributed among different fractions or
67 pools. Wiesmeier *et al.* (2014) determined the distribution of SOC fractions among 99 Bavarian soils
68 under different land uses using the method of Zimmermann *et al.* (2007a). They found that
69 approximately 90% of the bulk SOC in cropland and grassland soils was distributed in intermediate or
70 stabilised SOC pools, while this was only true for 60% of the SOC found in forest soils. Therefore,
71 those authors suggested that Bavarian soils under cropland and grassland are more suitable for long-
72 term sequestration of additional SOC than soils under forest. They also examined controlling factors
73 for the SOC distribution among fractions in the different land uses (Wiesmeier *et al.*, 2014).
74 Correlation analyses suggested that the intermediate SOC pools in croplands and grasslands were
75 significantly correlated to soil moisture, but none of the functional SOC pools was influenced by
76 temperature or precipitation. The particulate organic matter (POM) fraction of soils under grasslands
77 and croplands was not significantly related to any environmental factor in that study (Wiesmeier *et al.*,
78 2014). Poeplau & Don (2013a) conducted a study on 24 sites in Europe and found that SOC
79 fractions differed in their degree of sensitivity to land-use change (LUC), with the sensitivity declining
80 with increasing stability in the SOC fractions. Their results indicated that afforestation of cropland
81 shifts SOC from the more stable to the more labile fractions, while on conversion from cropland to
82 grassland the newly sequestered SOC is stored in the intermediate to stable pools. Rabbi *et al.* (2014)
83 examined the relationships between land use, management, climate and soil properties and the
84 stock of three SOC fractions for soils in south-eastern Australia, and observed a high impact of
85 climate and site-specific factors (rainfall, silicon content, soil pH, latitude) and only a minor influence
86 of land use. The dominance of site and climate variables as impact factors in that region may
87 primarily be due to the wide range of site conditions in the area studied.



88 There are several regions in north-western Europe and also in northern Germany where the soils
89 exhibit unusually high SOC content while having a high sand and low clay content (Sleutel *et al.*,
90 2011). These so called ‘black sands’ have a poor capacity to stabilise SOC by binding onto mineral
91 surfaces, and therefore most SOC is present in the form of POM. A great part of this land surface in
92 northern Germany was covered by heathland and peatland until the end of the 18th century and
93 those soils may behave different than other soils in terms of SOC storage

94 All methods for carbon fractionation are quite laborious, time-consuming and therefore expensive,
95 and not feasible for large datasets. Therefore, few studies exist on SOC fractions at regional scale,
96 indicating a need for development of more efficient methods to predict carbon fractions in
97 assessment of large datasets. Near-infrared reflectance spectroscopy (NIRS) and mid-infrared
98 spectroscopy (MIRS), in combination with chemometric methods, have been applied successfully to
99 predict carbon fractions (Zimmermann *et al.*, 2007b; Baldock *et al.*, 2013; Cozzolino & Moro, 2006;
100 Reeves *et al.*, 2006). Thus, since prediction of SOC fractions has been demonstrated to be possible
101 using spectroscopic methods, it should also be possible to go beyond small datasets at field scale in
102 order to examine how SOC fractions are distributed regionally and the factors that drive this
103 distribution.

104 The present study is part of the German Agricultural Soil Inventory. A set of 145 topsoil samples,
105 representative of German agricultural soils, was fractionated and used to calibrate NIRS predictions
106 of the constituent fractions for >2500 sites with mineral soils all over Germany. Additional climate,
107 management and geographical data were gathered for all sites and a machine learning algorithm was
108 employed to answer the following research questions:

- 109 1) How is SOC distributed among the fractions at national scale?
- 110 2) Which drivers are relevant for this distribution?
- 111 3) Can regions of high vulnerability to carbon losses be identified by this predictive approach?

112



113 **2 Material & Methods**

114 **2.1 Study area, sampling and sample selection**

115 Germany has a total surface area of 357 000 km² and its climate is temperate, marine and
116 continental. Mean annual precipitation (MAP) ranges between 490 and 2090 mm and mean annual
117 temperature (MAT) between 5.7 and 11.2 °C. Around half the country's surface area is used for
118 agriculture, with cropland accounting for 71% of this area, grassland for 28% and other crops (e.g.
119 vines) for 1%.

120 Soil samples were taken in the course of the ongoing German Agricultural Soil Inventory. By May
121 2017, 2900 agricultural sites had been sampled based on an 8 km x 8 km sampling grid. At each site,
122 a soil profile was characterised by a soil scientist and soil samples were taken from five fixed depth
123 increments. All soils were classified in the field according to the German Soil Classification System
124 (Sponagel et al., 2005).

125 The topsoils (0-10 cm) of 145 calibration sites, representative for the whole dataset, were chosen
126 according to the following criteria: 1) Maximum difference in NIR spectra, according to the Kennard-
127 Stone algorithm (Daszykowski et al., 2002), 2) consistent spatial distribution within Germany, 3)
128 exclusion of sites with SOC content >87 g kg⁻¹ in any horizon, as such soils may be organic (> 30%
129 organic substance) or in transition between organic and mineral soils and it was assumed that the
130 processes governing the variability of SOC in organic soils differ from those in mineral soils, and 4)
131 representative mapping of land use, soil type and carbon stock.

132 **2.2 Laboratory analyses**

133 All samples were analysed for gravimetric water content, electrical conductivity (EC), pH, SOC
134 content (g kg⁻¹, by dry combustion), soil inorganic carbon content (g kg⁻¹) after removing organic
135 carbon in a muffle kiln, texture (by the pipette method), rock content, root content and bulk density



136 (with repeated soil rings). The SOC stocks were calculated as suggested by Poeplau *et al.* (2017),
137 taking into account the stone and root content of the soil.

138 **2.3 Fractionation of calibration samples**

139 The topsoil samples (0-10 cm depth) of the selected calibration sites were dried at 40°C to constant
140 weight and sieved to a size <2 mm. Three different fractions were prepared:

141 1) To obtain the fraction that contains free particulate organic matter (fPOM), 20 g of soil sample
142 were placed in a falcon tube, which was then filled to 40 mL with sodium polytungstate (SPT) solution
143 (density=1.8 g mL⁻¹). The sample was dispersed ultrasonically at 65 J mL⁻¹, with the probe energy
144 supply calibrated using the procedure explained in Puget *et al.* (2000). The tube was centrifuged at
145 4000 rpm until there was a clear distinction between the fPOM fraction and the remaining soil pellet.
146 The supernatant was then filtered through a 45 µm filter paper and a ceramic filter using vacuum
147 filtration. The fPOM fraction remained on the filter and was rinsed with distilled water until the
148 electrical conductivity of the filtered water was below 10 µS m⁻¹. The fPOM fraction was then dried at
149 40°C, weighed and milled.

150 2) To obtain the particulate organic matter occluded in aggregates (oPOM) fraction, the falcon tube
151 containing the pellet was again filled to 40 mL with SPT solution. The pellet was mixed with the
152 solution using a vortex shaker and then ultrasonic dispersion was applied, again at 450 J mL⁻¹, in order
153 to destroy soil aggregates. The sample was centrifuged and the oPOM fraction was processed as
154 described above for the fPOM fraction.

155 3) The remaining soil pellet was assumed to contain the mineral-associated organic matter (MOM or
156 heavy) fraction. The pellet was washed three times with 40 mL of distilled water, dried, weighed and
157 milled in the same way as the fPOM and oPOM fractions. The organic carbon (C) and total nitrogen
158 (N) content of the three fractions were determined through thermal oxidation by dry combustion
159 using an elemental analyser (LECO Corp.). Basic descriptive statistics were calculated for the data on
160 the fractionated calibration sites, including mean absolute and relative proportions of the SOC
161 fractions divided between different land uses and soil texture classes. An ANOVA was conducted to



162 determine whether the differences between cropland and grassland land uses were significant and to
163 test for significant differences between soil texture classes. The Games Howell post-hoc test was used
164 for this purpose.

165 **2.4 Near-infrared spectroscopy and chemometrics**

166 As the oPOM fraction generally contained a small proportion of total SOC, it was combined with the
167 fPOM fraction to give a 'light fraction' for the purpose of prediction. Soil samples were dried at 40°C,
168 sieved through a 2 mm sieve and finely milled in a rotary mill. Before analysis, the samples were dried
169 again at 40°C and equilibrated to room temperature for a few minutes in a desiccator. The soil
170 samples were scanned with spot size 4 cm diameter in a Fourier-Transform near-infrared
171 spectrophotometer (FT-NIRS, MPA - Bruker Optik GmbH, Germany). Spectral data were measured as
172 absorbance spectra (A) according to $A = \log(1/R)$, where R is the reflectance expressed in wave
173 number from 11000 to 3000 cm^{-1} (NIR region) with 8 cm^{-1} resolution and 72 scans. The final spectrum
174 was obtained by averaging two replicates.

175 To improve the model accuracy a spectral pre-treatment was applied, using Savitzky-Golay first
176 derivative smoothing (3 points) and wavelength selection from 1330 to 3300 nm, since these regions
177 contain the main absorbance information. The calibration set consisted of the 145 calibration site
178 samples, and the remaining samples were used for prediction. Partial least squares regression (PLSR)
179 was performed in the pls package (Mevik et al., 2015), based on near-infrared (NIR) spectra and
180 reference laboratory data. A cross-validation was applied using leave-one-out to avoid over- and
181 under-fitting. To obtain the carbon fractions and ensure that the sum of light and heavy fractions was
182 equal to total SOC content, the log ratio of the light and heavy fraction was predicted (Jaconi et al., in
183 prep.). Model performance was evaluated using the root mean square error of cross-validation
184 (RMSECV), Lin's concordance correlation coefficient (ρ_c) and the coefficient of determination (R^2)
185 between predicted and measured carbon content in the fractions. In addition, residual prediction
186 deviation (RPD) was calculated, using the classification system devised by Viscarra Rossel *et al.*
187 (2006).



188 NIRS in combination with chemometric methods was found to give accurate prediction of the carbon
189 content in light and heavy fractions of the soil. For prediction of carbon content in the fractions (g kg^{-1})
190 ¹), the coefficient of determination (R^2) between predicted and measured carbon content in the
191 fractions was found to be 0.87-0.90 and RMSECV was 4.37 g kg^{-1} . The RPD was 2.9 for the prediction
192 of carbon content in the light fraction and 3.2 for the prediction in the heavy fraction. For prediction
193 of carbon proportions in the fractions (%), R^2 was 0.83, RMSECV 11.45% and RPD 2.4 (Fig. S1; for
194 more details see Jaconi *et al.*, submitted). The accuracy of prediction of both SOC content and
195 proportions of the light and heavy SOC fractions was very good and was comparable with that in
196 other studies that have used NIRS to predict SOC fractions (Cozzolino and Moro, 2006; Reeves *et al.*,
197 2006). It can thus be concluded that prediction of SOC fractions with NIRS is a fast, inexpensive and
198 accurate method.

199 **2.5 Drivers of soil organic carbon distribution in fractions**

200 A total of 75 potential drivers of differences in carbon proportions in different fractions was compiled
201 from the soil analysis data, complemented with data from a farm survey and geographical data (for a
202 complete list of predictors, see Table S2). The farm survey related to management practices
203 implemented over the 10 years prior to sampling. Using this information, carbon and nitrogen inputs
204 and outputs were calculated for the sites. When data were missing in the survey responses, yields
205 were calculated using regional yield estimates. Carbon and nitrogen inputs through mineral or
206 organic fertiliser were also calculated based upon the survey data. Climate and site data acquired
207 from GIS data layers completed the set of predictor variables (climate data from Deutscher
208 Wetterdienst, normalised difference vegetation index (NDVI) data from ESA, elevation data from
209 Bundesamt für Kartographie und Geodäsie). For the sites in the federal states of Lower-Saxony
210 (north-western Germany) and Mecklenburg-Western Pomerania (north-eastern Germany), the land-
211 use history was researched using historical maps (dating back to 1873-1909), as many regions in
212 these states are known to have a heathland or peatland legacy.



213 The conditional inference forest algorithm (cforest; Hothorn *et al.*, 2006), was used to identify the
214 most influential drivers of SOC distribution among the different fractions. Cforest is an ensemble
215 model and uses tree models as base learners that can handle many predictor variables of different
216 types and can also deal with missing values in the dataset (Elith *et al.*, 2008). The cforest algorithm is
217 similar to the better known random forest algorithm, a non-parametric data mining algorithm that
218 uses recursive partitioning of the dataset to find the relationships between predictor and response
219 variables (Breiman, 2001).

220 Bootstrap sampling without replacement was carried out in order to prevent biased variable
221 importance (Strobl *et al.*, 2007). Ten cforest models were created, each containing 1000 trees and
222 using different random subset generators. From these models, the variable importance of predictors
223 was extracted and the relative variable importance was calculated and averaged over all 10 models.
224 Variables were considered important when their relative variable importance was higher than $100/n$,
225 where n is the number of predictors in the model. This is the variable importance that each variable
226 would have in a model where all variables are equally important (Hobley *et al.*, 2015). It should be
227 noted that the relative variable importance value obtained from the cforest algorithm does not
228 necessarily imply direct relationships between the proportion of SOC in the light fraction and the
229 main drivers, as the algorithm also takes into account interaction effects between the variables.
230 Model performance was assessed by the coefficient of determination (R^2), as defined by the
231 explained variance of out-of-bag estimates, which represent a validation dataset:

$$R^2 = 1 - \frac{MSE_{OOB}}{Var_z} \quad (1)$$

232 where MSE_{OOB} is the mean squared error of out-of-bag estimates and Var_z is the total variance in
233 the response variable.

234 A range of soils in northern Germany, called 'black sands', behaved quite differently from other soils
235 in the country in terms of the driving factors for SOC distribution among the fractions. Therefore the



236 dataset was split into two parts for the cforest analysis and the cforest algorithm was used on: 1) the
237 dataset containing only the black sands from northern Germany (n=264). Those were extracted using
238 the NIR spectra, which were classified into black sands and normal soils using the simca function in
239 the “mdatools” package (Kucheryavskiy, 2017); and 2) on all other soils considered not to be black
240 sands (n=2406). All statistical analyses were conducted using the software R (R, 2013). Maps were
241 generated with the software QGIS.



242 **3 Results**

243 **3.1 Carbon distribution among measured fractions (calibration sites)**

244 The fPOM fraction contributed an average of 23% to bulk SOC ($23\% \pm 2.36$ (mean \pm standard error
245 (SE)) in croplands and $25\% \pm 3.79$ in grasslands (Fig. 1). The oPOM fraction accounted for an average
246 of 4% of SOC ($3\% \pm 0.49$ in croplands, $8\% \pm 1.26$ in grasslands) across all calibration sites (Fig. 1). The
247 heavy fraction contributed the largest proportion to bulk SOC (73% in all soils, $73\% \pm 2.46$ in
248 croplands and $68\% \pm 4.43$ in grasslands). The differences between land uses were not significant.
249 There was great variation in the carbon distribution between the fractions, with the fPOM fraction
250 contributing between 3 and 99% to bulk SOC. The absolute carbon content (g kg^{-1}) of the fractions
251 was significantly different for the heavy fraction, with grasslands having significantly higher heavy
252 fraction carbon content than croplands (31 g kg^{-1} compared with 13 g kg^{-1}).

253 There were significant differences in the contribution of the different fractions to bulk SOC
254 depending on the main soil texture class (Fig. 2). In sandy soils, the fPOM fraction contributed
255 significantly more and the heavy fraction contributed significantly less to bulk SOC than in other soils.
256 For the oPOM fraction, the difference between sandy soils and clayey, silty and loamy soils was not
257 significant. The absolute SOC content (g kg^{-1} soil) was significantly higher in the heavy fraction of
258 clayey soils than in the heavy fraction of all other soil textures and it was significantly higher in the
259 oPOM fraction of sandy soils than in the fPOM fraction of all other soils.

260 **3.2 Influences on soil organic carbon distribution among fractions (calibration and prediction sites)**

261 With the machine-learning algorithm cforest, 75 variables that may act as drivers for the regional
262 distribution of SOC fractions were evaluated (Fig. 3a). For the 'normal' soils (non-black sands)
263 dataset, soil texture had the highest explanatory power in predicting the contribution of the light
264 fraction to bulk SOC (Fig. 4), with clay content being negatively and sand content positively
265 correlated with percentage of SOC in the light fractions. The SOC content, bulk soil C/N ratio, land



266 use, soil type, pH and CaCO₃ content were also identified as important explanatory variables. The
267 SOC content showed a positive relationship with light-fraction SOC proportion and with bulk soil C/N
268 ratio. The grassland soils showed a higher proportion of bulk SOC in the light fraction than the
269 cropland soils and pH was negatively related to the light-fraction SOC proportion.

270 The analysis of historical land use data of northern Germany confirmed that the former peatland,
271 heathland and grassland sites had significantly higher ($p < 0.01$) proportions of bulk SOC in the light
272 fraction than sites used as cropland in the same period (Fig. 5a). These historical peatland, heathland
273 and forest sites also had significantly higher ($p < 0.05$) C/N ratio than the historical cropland and
274 grassland sites (Fig. 5b). Regarding the total SOC content, historical peatland and grassland sites had
275 significantly higher ($p < 0.001$) values than historical croplands (Fig. 5c).

276 For the black sands dataset, bulk soil SOC content was the most important driver of SOC distribution
277 in the fractions (Fig. 3b), followed by C/N ratio, soil temperature in summer and soil bulk density. The
278 SOC content had a positive relationship with percentage of SOC in the light fraction, and with C/N
279 ratio (Fig. 4). For soil temperature there was no clear relationship. There was a negative relationship
280 between SOC proportion in the light fraction and soil bulk density.

281 **3.3 Distribution of soil organic carbon into fractions across Germany**

282 Regions featuring high proportions of SOC in the light fraction (over 40%) nearly all lie in northern
283 Germany (Fig. 7). Medium proportions of SOC in the light fraction (40-60%) were found in
284 Mecklenburg-Western Pomerania and in parts of Brandenburg (north-east Germany). Low
285 proportions (< 40 %) of SOC in the light fraction were found in central and southern Germany.



286 **4 Discussion**

287 **4.1 Contribution of soil organic carbon fractions to bulk soil organic carbon**

288 The distribution of carbon among different fractions did not differ significantly between croplands
289 and grasslands, which is in agreement with previous findings for south-east Germany (Wiesmeier et
290 al., 2014). This may partly be due to historical conversion of cropland to grassland still affecting
291 carbon distribution in the fractions. Grasslands and croplands are often located on different soil
292 types, and thus it is not possible to draw direct conclusions on land-use change effects on carbon
293 fractions from such regional inventories. In a previous study using paired land-use change sites, the
294 POM proportion was found to be twice as high in grasslands as in croplands (Poeplau and Don,
295 2013b). Even though the fraction distribution did not differ significantly between croplands and
296 grasslands in the present study, there was a trend for slightly higher fPOM content in grasslands than
297 in croplands. The significant differences observed in the SOC content of fractions between different
298 land uses were to be expected, as grassland soils in Germany contain on average more than twice as
299 much SOC in the upper 10 cm as cropland soils ($42 \pm 16 \text{ g kg}^{-1}$ compared with $17 \pm 9 \text{ g kg}^{-1}$).

300 **4.2 Black sands in Germany**

301 All samples with medium or high proportions of SOC in the light fraction were found to originate
302 from northern Germany. This is the area in which the black sands are present, which store large parts
303 of their SOC in the light fraction. Springob & Kirchmann (2002a) examined the presence of black
304 sands in Lower Saxony in Germany and linked it to the land-use history. In Ap-horizons of soils
305 formerly used as heathland or plaggen, they found a high fraction of SOC resistant to oxidation with
306 HCl. This HCl-resistant fraction was positively correlated with the total SOC content, but soil microbial
307 biomass carbon content showed a negative relationship with total SOC and, when incubated, the
308 specific respiration rates were lowest for the soils with the highest SOC content (Springob &
309 Kirchmann, 2002a). Those authors concluded that a large proportion of the organic matter in the
310 former heathland soils is resistant to decomposition and suggested that low solubility of the SOC



311 could be responsible for its high stability. A recent study (Alcántara et al., 2016) reported similar
312 results for sandy soils under former heathland, which had lower respiration rates per unit SOC and a
313 wider range of C/N ratios than control soils without a heathland history. Certini *et al.* (2015) showed
314 that SOC under heathlands is rich in alkyl C and contains high contents of lipids, waxes, resins and
315 suberin, all of which hinder microbial degradation. This confirms the claim that sandy soils under
316 former heathland and contain high contents of stable SOC even though they also contain a large
317 amount of POM. In such soils, the POM fractions may not be directly linked to higher turnover rates
318 and lower stability.

319 “Historical” peatlands may have lost much of their former carbon stocks due to a number of reasons:
320 Drained peatlands emit huge amounts of CO₂ (German grasslands on average 27.7 to CO₂ ha⁻¹ yr⁻¹,
321 (Tiemeyer et al., 2016)) until the peat has virtually vanished. There might have also been peat
322 extraction, and the remaining peat layer might have been mixed with underlying sand. Finally, former
323 peatland soils were often mixed with large amounts of sand in order to make them usable for arable
324 cultivation, but still often contain substantial proportions of (degraded) peat and therefore have
325 relatively high SOC content, with a large part of the SOC in the light fraction. It has been found
326 elsewhere (Bambalov, 1999; Ross and Malcolm, 1988; Zaidelman and Shvarov, 2000) that the SOC
327 content in sand-mix cultures declines rapidly after mixing with sand and that the decline increases
328 with increasing intensity of mixing. In a 15-year long-term trial, Bambalov (1999) found that the SOC
329 content of a sand-mix culture could only be stabilised (at much lower SOC content than the original
330 peat) by adding organic and mineral fertilisers to the soil. In contrast, Leiber-Sauheitl et al. (2014)
331 found that a peat-sand mixture with a SOC content of 93 g kg⁻¹ emitted as much CO₂ as an adjacent
332 shallow “true” peat. Similarly, Frank *et al.* (2017) determined a higher contribution of soil-derived
333 dissolved organic carbon at a peat-sand mixture compared to the peat, which points to a low stability
334 of the SOC in this kind of soils. This means that, for the light fraction of the former peatlands in
335 northern Germany, enhanced stability of the POM cannot be assumed. Thus, for more accurate
336 interpretation of results, the black sands had to be divided into a former heathland group, containing



337 a relatively stable light fraction, and a former peatland group, containing a relatively labile light
338 fraction, although there are transitional vegetation types with heath on peatlands.

339 Land-use history clearly continues to influence soil SOC dynamics, since the light-fraction SOC
340 proportion and the bulk soil C/N ratio were higher in soils with a heathland or peatland history in the
341 present study. This supports findings by Sleutel *et al.* (2008) that the chemical composition of pairs
342 of relict heathland and cultivated former heathland soils is very similar. Unfortunately former
343 peatlands and heathlands are not necessarily distinguishable due to their SOC content and C/N ratio,
344 so that knowledge on the land use history is necessary. In some cases, however, even the distinction
345 on site can be difficult, e.g. on dry peatlands with heath vegetation (*Calluna*, *Erica*). In future studies
346 it would therefore be interesting to incubate pairs of former heathland and peatland in order to be
347 able to make accurate claims on the vulnerability of the light fraction SOC in these soils.

348 The presence of black sands poses a problem for interpretation of the SOC fractions. In most cases,
349 the SOC in the light fraction (fPOM + oPOM fractions) is seen as representing a labile carbon pool
350 with short turnover times. Therefore sites with high proportions of bulk SOC in the light fraction
351 would be seen as being at risk of losing this substantial part of their SOC stock quite rapidly and
352 easily. For the black sands, however, their former heathland land use history has led to quite stable
353 and not easily degradable POM (Overesch, 2007; Sleutel *et al.*, 2008; Springob and Kirchmann, 2002),
354 while for former peatland that was drained and possibly mixed with sand the classification of the
355 light fraction into a labile SOC pool may well be justified (Leiber-Sauheitl *et al.*, 2014). This implies
356 that the results need to be interpreted in a different way for black sands than for other soils.

357 **4.3 Driving factors for carbon distribution into fractions**

358 **4.3.1 'Normal' agricultural soils (non-black sands)**

359 The most important driver for the SOC distribution among the fractions in 'normal' soils was the soil
360 texture (Fig. 3a). This is well in line with the frequently reported relationship between clay content
361 and mineral-associated (heavy fraction) SOC, whereby clayey soils can stabilise SOC through



362 mechanisms that protect it against microbial decay by absorption or occlusion (v. Lützow et al.,
363 2006). The SOC that is bound to the mineral phase is mostly assigned to a conceptual stable SOC
364 pool. The negative relationship between SOC content and percentage of SOC in the heavy fraction
365 (Fig. 4) may indicate SOC saturation of the mineral fraction at rising SOC content, so that excess SOC
366 can only be stored as particulate organic carbon.

367 The positive correlation between C/N ratio and C proportion in the light fraction (Fig. 4) is related to
368 the inherent higher C/N ratio of the light fraction compared with the heavy fraction, so that a higher
369 share of light-fraction C leads to a higher C/N ratio of the total soil. Thus C/N ratio may be useful as
370 an indicator of SOC stability in 'normal' agricultural soils in Germany.

371 The fact that land use is an important driver for the distribution of SOC among the fractions is mainly
372 due to the fact that topsoils under grassland store a significantly higher share of SOC in the light
373 fraction than topsoils under cropland. This is in line with higher inputs of roots, which make up part
374 of the light fraction, into grassland topsoils. The higher proportion of SOC in the light fraction was
375 also noted in the calibration dataset, but the difference was not significant in that case.

376 Most arable topsoils in Germany do not contain carbonate. The 9% of arable soils that contained
377 over 5% carbonate in this study consistently had a high proportion of heavy-fraction carbon and were
378 therefore classified as containing mainly stabilised SOC (Fig. 4). Calcium bridges may foster
379 absorption of SOC onto mineral surfaces and, via an active soil fauna, high pH enhances the turnover
380 and transformation of SOC from recently added biomass to mineral-associated SOC that can be
381 stabilised via absorption (Oades, 1984). In general, there was a trend for a higher proportion of SOC
382 in the light fraction with lower pH (Fig. 4), which is well in line with the finding by Rousk *et al.* (2009)
383 that SOC mineralisation is slower in soils with lower pH due to a higher ratio of fungal to bacterial
384 biomass.



385 The influence of soil type is mainly due to the Podzol soils storing a much higher proportion of bulk
386 SOC in the light fraction than all other soil type classes (Fig. 6). Podzols often develop on sandy soils
387 and therefore do not have a high capacity for SOC stabilisation in the heavy fraction.

388 4.3.2 Black sands

389 In the dataset containing only the black sands, soil total SOC content was the most important driver
390 for the SOC distribution among the fractions, with increasing light fraction with increasing SOC
391 content (Fig. 4). On the one hand, this could indicate saturation of the heavy fraction at high SOC
392 contents, which would lead to further storage in the light fraction only, as already mentioned above
393 for 'normal' soils. Another possible explanation is that those soils with the highest SOC content in the
394 dataset are degraded peatlands, in which a high percentage of the SOC ends up in the light fraction.
395 On former heathlands, the soil total SOC content is also quite high compared with that in other sandy
396 soils and the light fraction is mainly built up from *Calluna vulgaris* litter, since *Calluna* vegetation
397 dominates on many heathlands. *Calluna* litter contains very stable SOC due to high contents of lipids,
398 long-chain aliphatics and sterols, and may persist in the light fraction of soil for decades or even
399 centuries (Sleutel et al., 2008).

400 There is a close link between land-use history as peatland and heathland and soil C/N ratio, with high
401 C/N ratio in former heathland soils (Alcántara et al., 2016; Certini et al., 2015; Rowe et al., 2006) and
402 also often in former peatlands (Aitkenhead and Mcdowell, 2000). Therefore it is evident that land-
403 use history is a main driver for the high proportions of bulk SOC found in the light fraction in these
404 soils. This is well in line with the significantly higher C/N ratios reported for soils in Lower-Saxony and
405 Mecklenburg-Western Pomerania, which were under heathland or peatland more than 100 years ago
406 (Fig. 5). The influence of land-use history reinforces the relationship between C/N ratio and the light
407 fraction.

408 In black sands, there was a significant negative relationship between soil temperature and the light-
409 fraction SOC proportion, but this was not found for the other soils (Fig. 4). A negative relationship



410 was observed between soil bulk density and proportion of SOC in the light fraction, which was
411 evidently due to the low density of the light fraction affecting overall soil bulk density (Fig. 4).

412 Even though the land use history was part of the dataset and we could link several of the important
413 driving factors to a history as peatland or heathland, the cforest algorithm did not identify the land
414 use history as important driver for the SOC distribution into fractions. This was the case because we
415 did not have the detailed land-use history data for all sites. But even when running the cforest
416 algorithm only for those sites with known land-use history, it was not selected as important driver.
417 This is probably due to the fact that at the time of the land survey in 1873-1909 some of the former
418 heathland and peatland sites had already been cultivated. Therefore the land-use history would not
419 prove as a reliable indicator. We could confirm this by referring to an older land survey, dating back
420 to 1764-1785. For sites that exhibited typical black sand features (e.g. high SOC proportions in light
421 fractions, high sand content, and high C/N ratio) but were not a heathland and peatland in the 19th
422 century, we often found a heathland or peatland signature on the maps from the 18th century.
423 Unfortunately this land survey from the 18th century is incomplete and we could therefore not rely
424 on it for all sites.

425 **4.4 Hot regions of labile and stable carbon in Germany**

426 Taking together all the important explanatory variables discussed above, regions in which the SOC
427 can be classified as mostly labile were identified. These were soils with a high proportion of bulk SOC
428 in the light fraction and without a heathland history. Such soils are mainly located in northern
429 Germany and some have a peatland history (Fig. 7). These soils can be seen as vulnerable to losses of
430 a large proportion of their SOC in the topsoil easily and rapidly. Loss of SOC could occur e.g. through
431 a change in management that reduces carbon inputs to the soil and therefore fails to maintain the
432 light fraction, for example a land use change from grassland to cropland (Poeplau et al., 2011) or
433 reduced input of organic fertilisers or crop residues (Dalal et al., 2011; Srinivasarao et al., 2014).
434 Losses of SOC could also occur due to higher temperatures, which could lead to enhanced microbial



435 activity and therefore enhanced mineralisation of SOC in the light fraction (e.g. Knorr *et al.*, 2005). In
436 the case of former peatlands many soils may already be losing significant parts of their SOC (Leiber-
437 Sauheiti *et al.*, 2014; Tiemeyer *et al.*, 2016).

438 For a soil to be definitively identified as being vulnerable to SOC losses, it not only needs to have a
439 high proportion of bulk SOC in the light fraction, but also a high absolute SOC content in this fraction.
440 The map in Fig. 8 shows the absolute SOC content of the light fraction at sites of the German
441 Agricultural Soil Inventory. Comparing Fig. 7 and Fig. 8, it is evident that sites which store a high
442 proportion of their SOC in the light fraction generally also have high absolute SOC content in the light
443 fraction. This implies that those sites are really the most vulnerable to SOC losses, as they not only
444 have high proportions of SOC in the light fraction, but also the highest absolute SOC content in the
445 light fractions to lose. As the SOC in former peatland soils has been shown to be easily mineralised
446 (Bambalov, 1999), management of such sites should be aimed at stabilising the SOC stocks and
447 preventing further degradation of the peat. When there is a heathland history, it can be assumed
448 that the SOC in the light fraction is quite stable, but that does not imply that freshly added litter will
449 also be stable. In fact, it is quite likely that it will not be stable if no heathland vegetation is planted.
450 This implies that the SOC stocks on these sites will decline when the resistant litter is not
451 replenished.

452 Regions with soils with a high proportion of stable SOC are located mainly in central and southern
453 Germany (Fig 7). In these regions, soils consistently store over 60% of their SOC in the heavy fraction,
454 in which the SOC is bound mostly to the mineral surfaces of clay minerals. Thus, these soils have the
455 lowest vulnerability to losing their SOC, as losses mostly occur from the light fraction. However, even
456 in these regions up to 40% of bulk SOC is stored in the light fraction and this may be lost. Therefore
457 apparent lower vulnerability does not mean that SOC-conserving soil management is not needed in
458 these regions. It should be noted that the quality of the SOC in the light fraction is probably not the
459 same in all soils, land-use (history) and climate regions. Therefore, the vulnerability and turnover
460 time of the light fraction may also vary considerably within different regions. This can be seen in the



461 light fraction C/N ratio for example, which ranged between 11 and 43 for the 143 calibration sites
462 studied here.



463 **5 Conclusions**

464 Identification of the distribution of SOC fractions in German soils allowed clear identification of
465 regions where the SOC in agricultural soils is most vulnerable to being lost. The cforest analysis
466 provided indications of the factors driving the distribution of SOC into the different fractions. It was
467 found that soil texture, bulk soil SOC content, bulk soil C/N ratio, land-use history and pH were the
468 main drivers for this distribution in 'normal' soils. In 'black sand' soils in northern Germany, the SOC
469 distribution into the fractions mainly depended on total SOC content and soil C/N ratio and was
470 directly linked to the land-use history. Former peatland or heathland still has a great influence on the
471 composition of soil SOC decades or even centuries after cultivation of the soil. In some regions of
472 Germany the majority of bulk SOC is stored in the light fraction, but this does not always imply that
473 this SOC is labile. Use of SOC fractionation techniques coupled with NIR spectroscopy to extrapolate
474 to a national soil inventory dataset was successful in predicting POM fractions. However, additional
475 knowledge on land-use history was required to determine whether this POM is vulnerable to losses
476 or not. This study focused on the topsoil only, as it has comparatively high SOC stocks and is most
477 vulnerable to changes in management. Future studies should also examine the SOC distribution in
478 the subsoil, as this would enable exploitation of all possibilities for sequestering additional SOC in the
479 soil, in order to mitigate the CO₂ content in the atmosphere. Regarding soil management measures,
480 this study provided indications on where the most prudent and SOC-conserving management
481 techniques are advisable for different regions of Germany.

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Figures

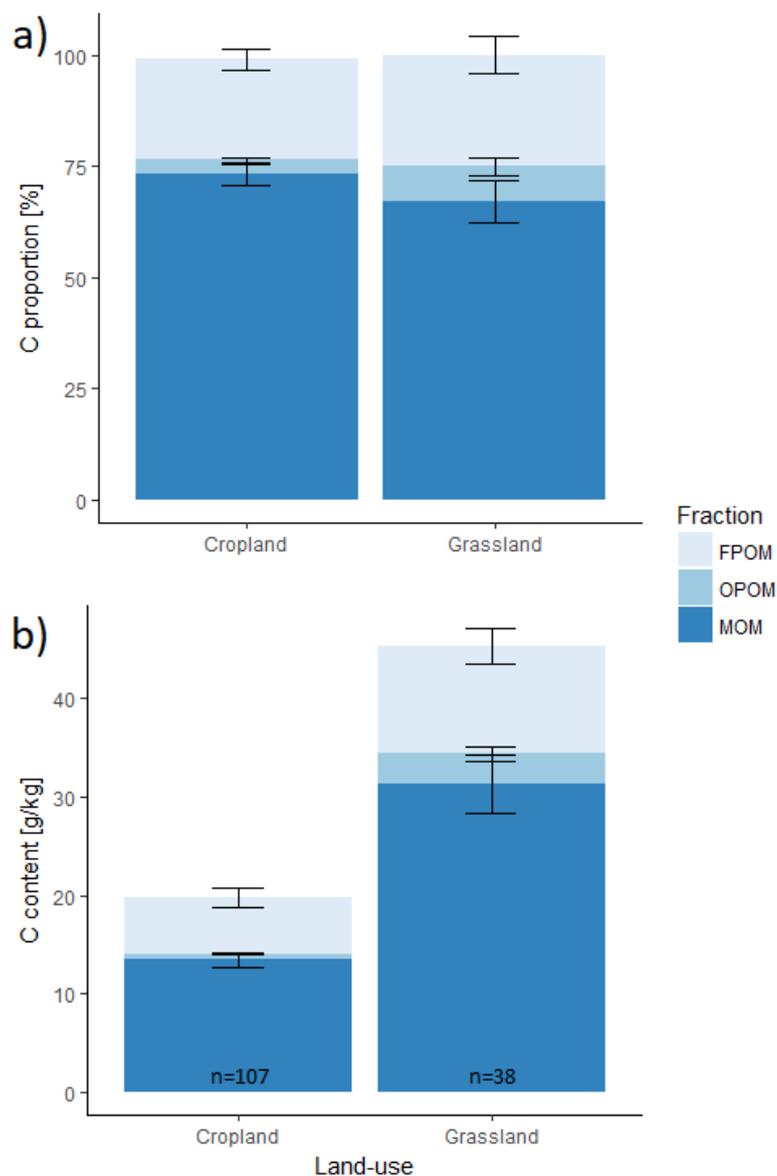


Fig. 1: a) Proportion (%) and b) absolute content (g kg^{-1}) of soil organic carbon (SOC) in the free particulate organic matter (fPOM), occluded particulate organic matter (oPOM) and mineral-associated organic matter (MOM) fraction in soils under cropland and grassland for the 145 calibration sites that were fractionated. Error bars denote standard error of the mean.

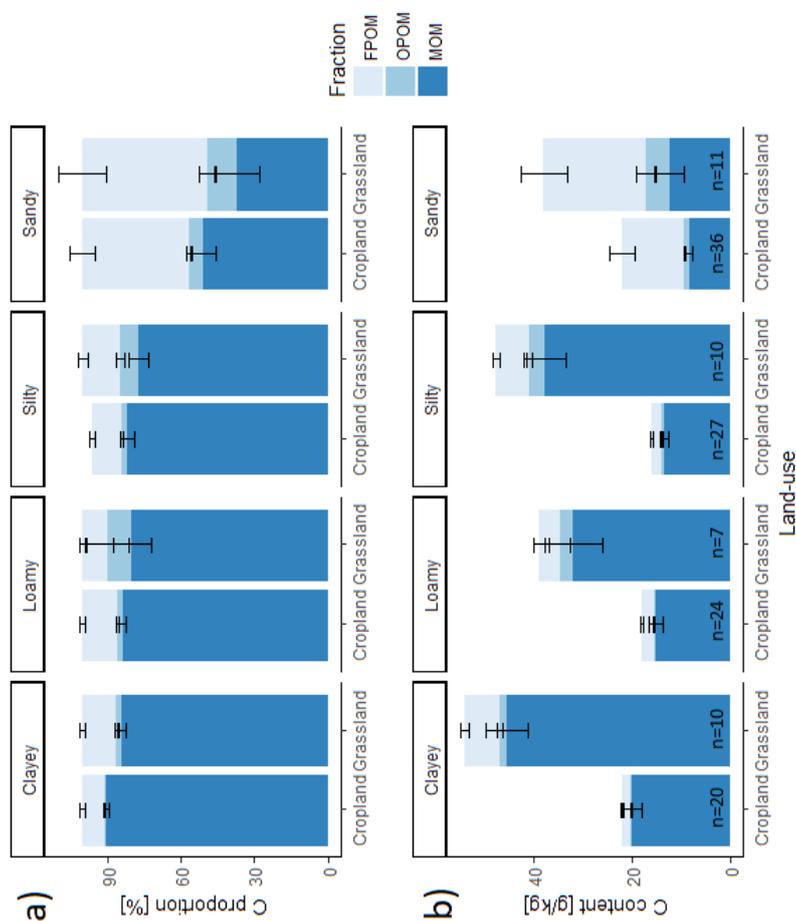


Fig. 2: a) Proportion (%) and b) absolute content (g kg^{-1}) of soil organic carbon (SOC) in the free particulate organic matter (FPOM), occluded particulate organic matter (OPOM) and mineral-associated organic matter (MOM) fraction in different soil texture classes for the 145 calibration sites that were fractionated. Error bars denote the standard error of the mean.

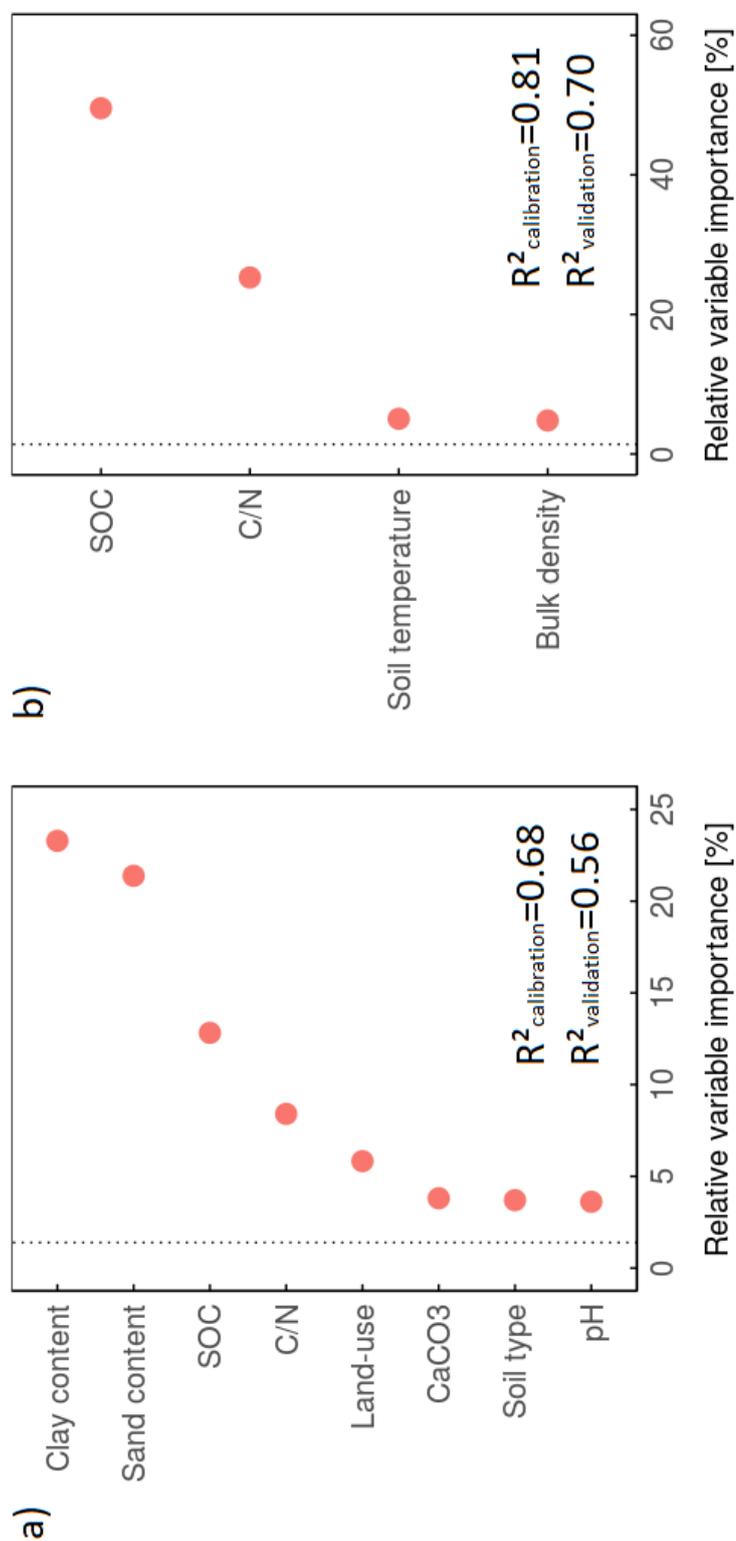


Fig. 3: Mean relative variable importance according to conditional inference forest (cforest) algorithm for predicted proportion of soil organic carbon (SOC) in the light fraction. The vertical line indicates the threshold value of relative variable importance above which a variable was regarded as important. a) Variable importance for all soils that are not black sands and b) variable importance for only black sands.

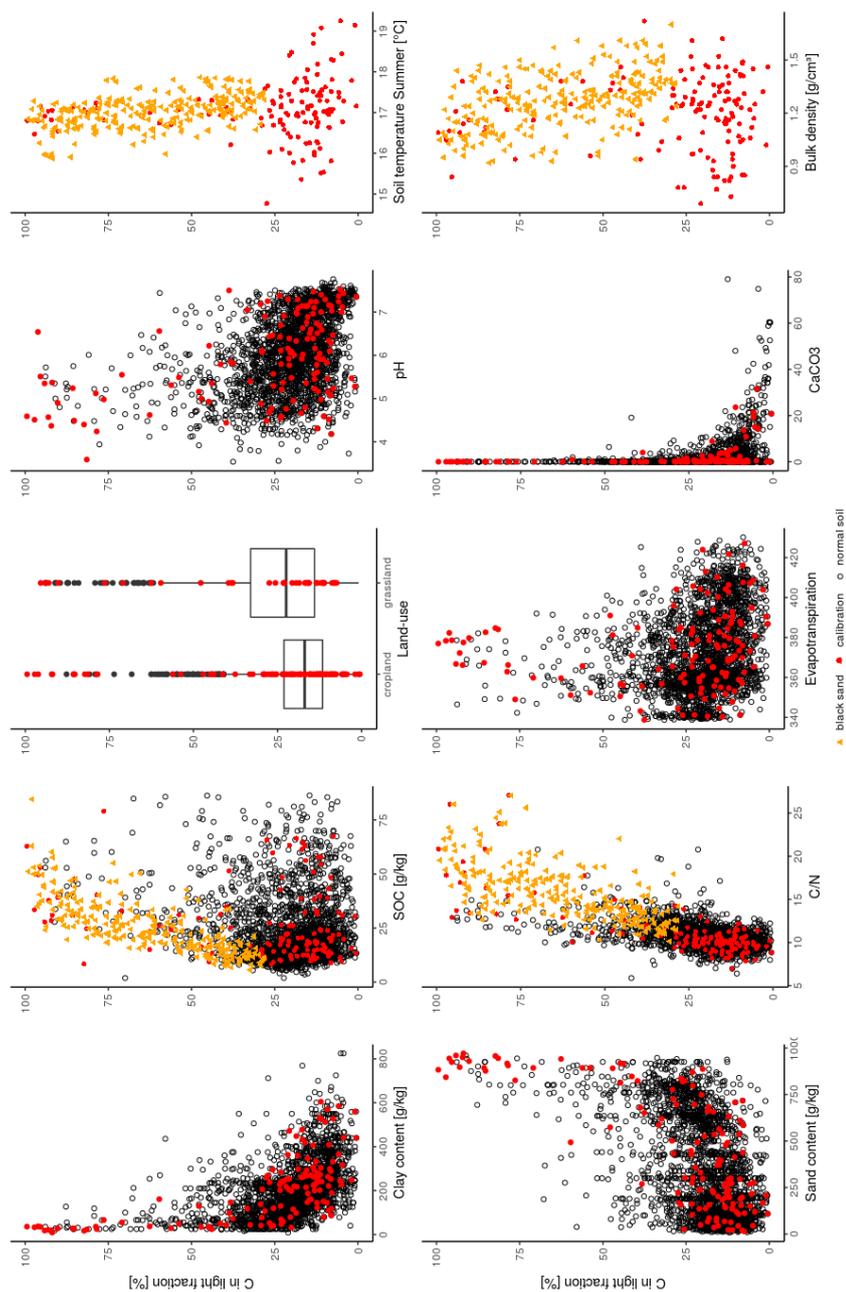


Fig. 4: Relationship between soil organic carbon (SOC) proportion in the light fraction and influential variables. Calibration sites are shown as red dots, normal soils as black dots and black sands as orange triangles.

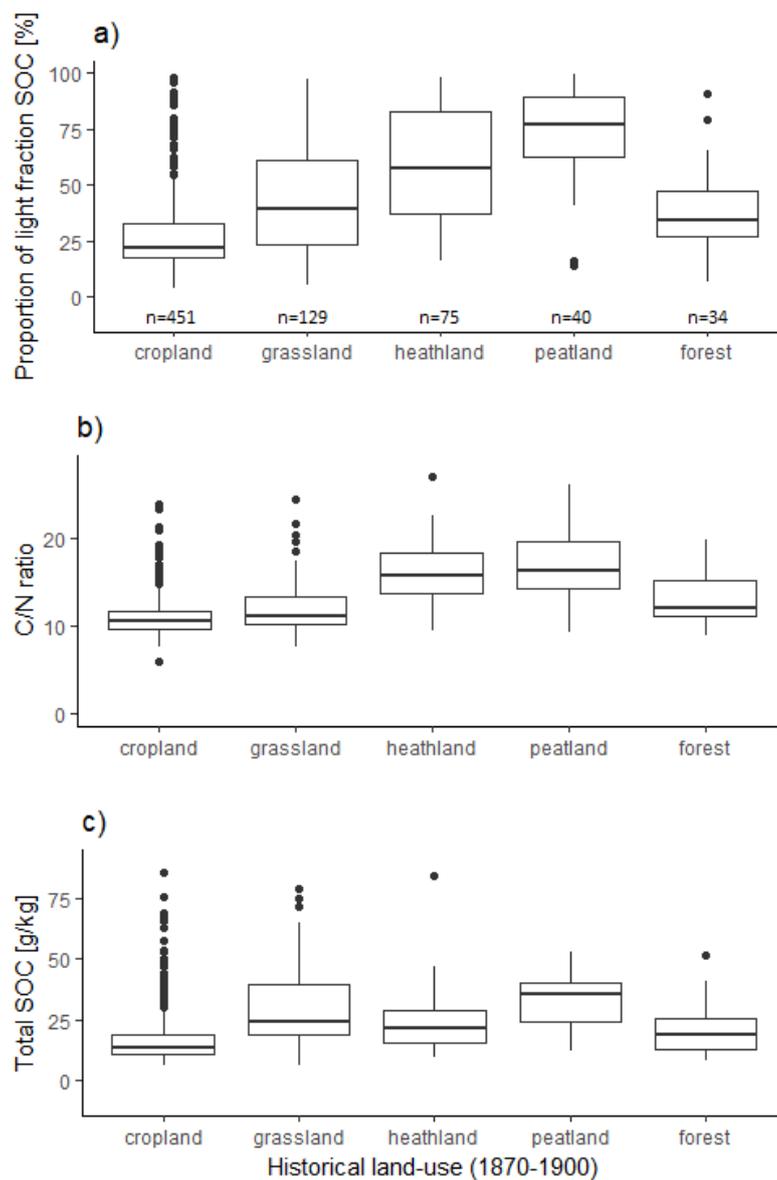


Fig. 5: Relationship between land-use history and a) proportion of light fraction soil organic carbon (SOC), b) carbon/nitrogen (C/N) ratio and c) total SOC content for all sites in the federal states of Lower-Saxony (north-western Germany, n=491) and Mecklenburg-Western Pomerania (north-eastern Germany, n=243).

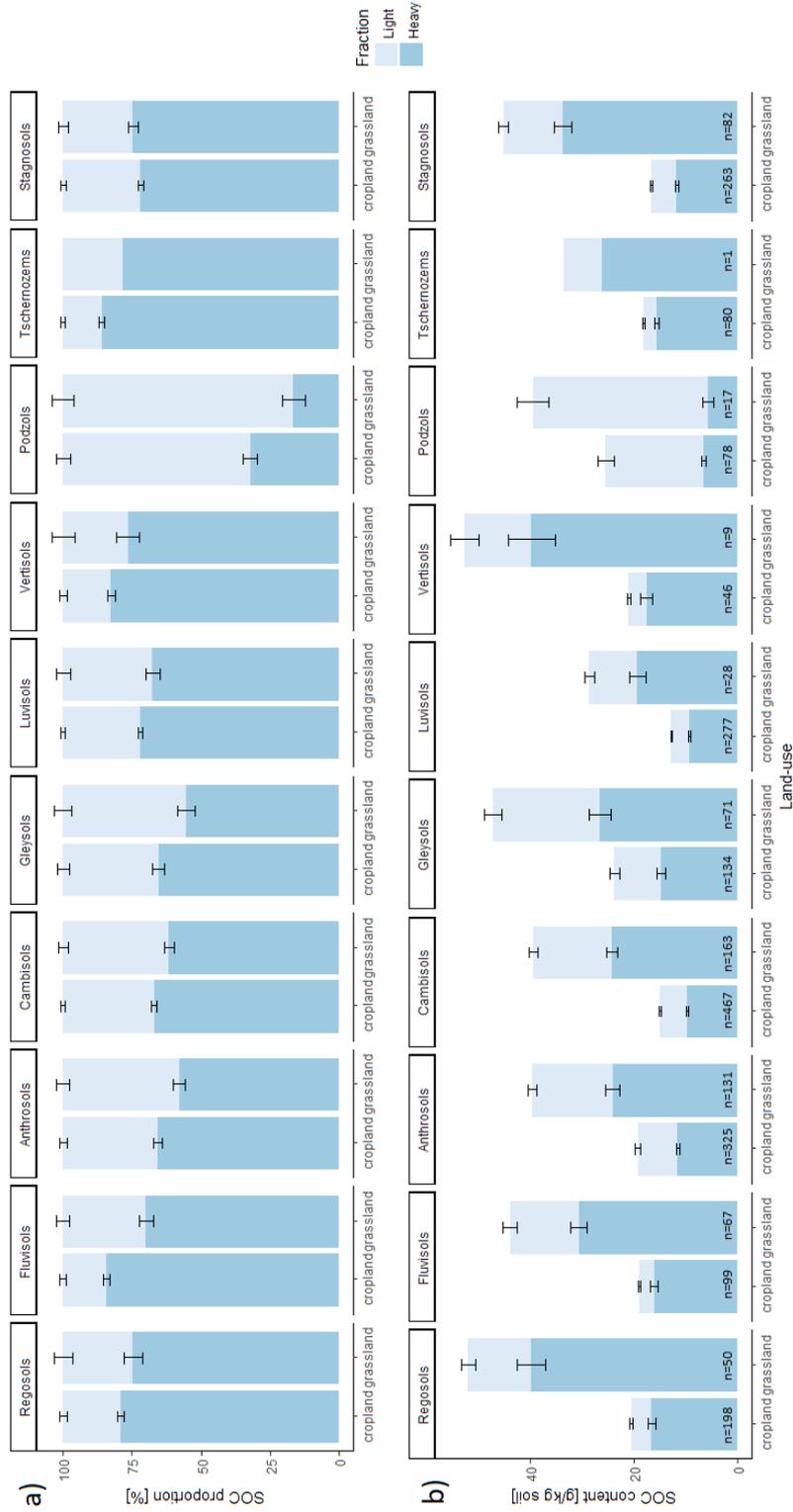


Fig. 6: a) Proportion (%) and b) absolute content (g kg^{-1}) of soil organic carbon (SOC) in the light and heavy fractions in different soil types in the 'normal' soils (non-black sands) dataset. Error bars denote standard error of the mean.

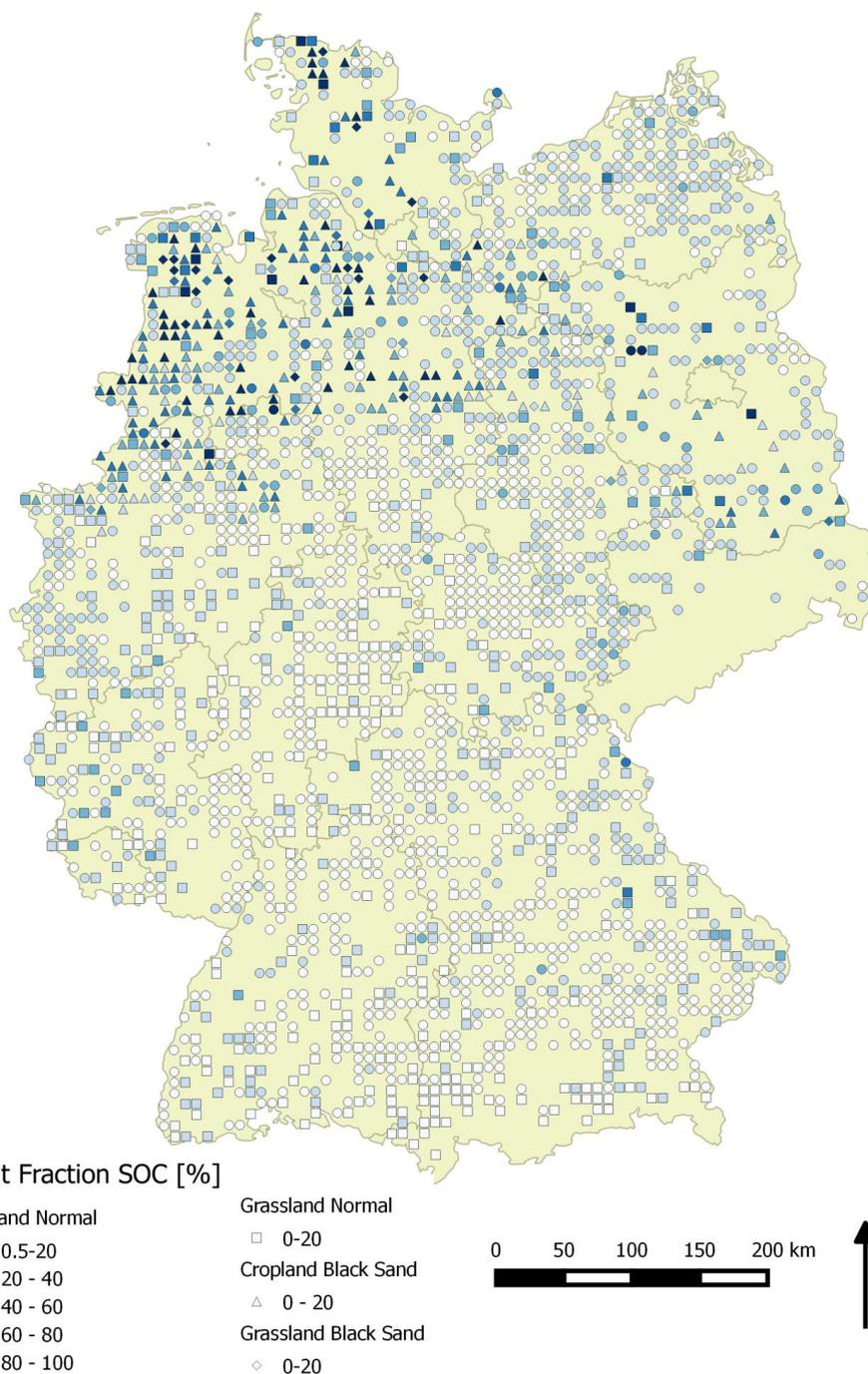
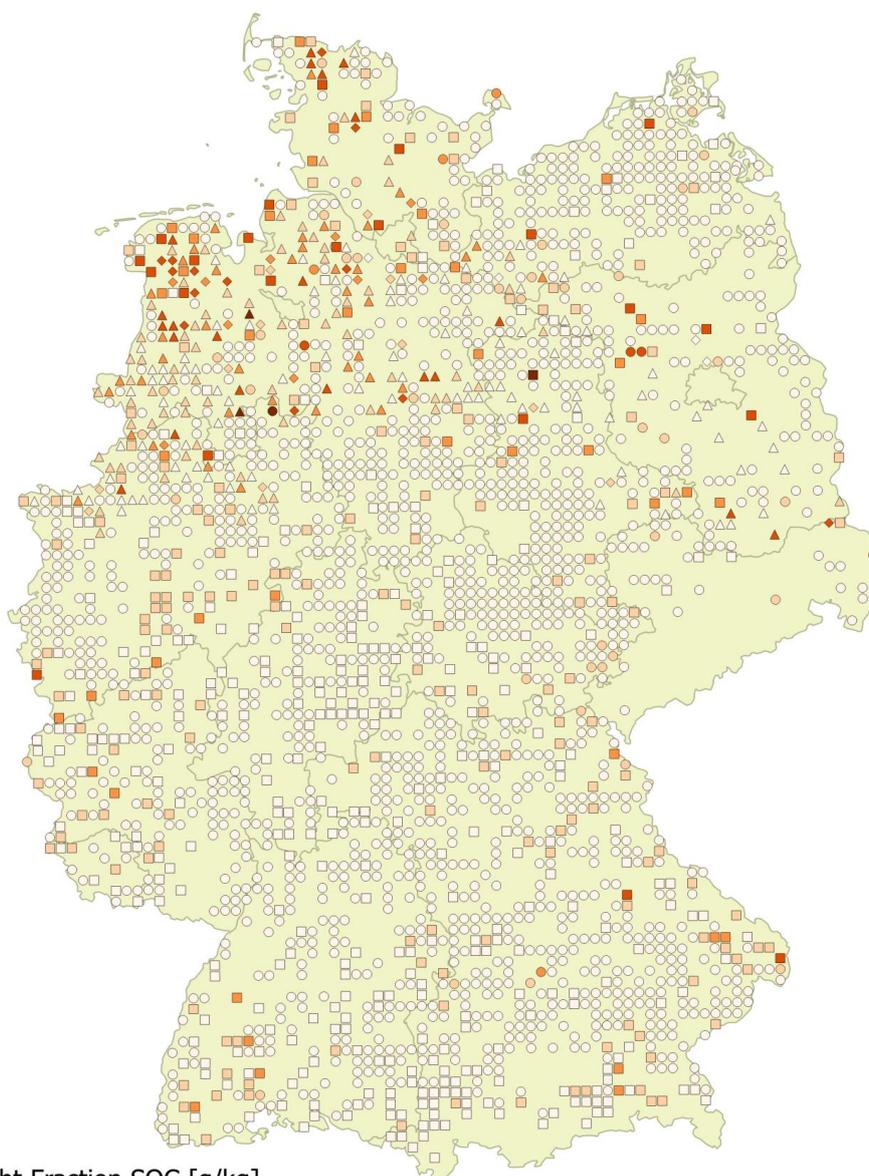


Fig. 7: Predicted soil organic carbon (SOC) proportion range (%) in the light fraction of soil at sites in the German Agricultural Soil Inventory.



Light Fraction SOC [g/kg]

Cropland Normal Soil

- 0 - 10
- 10-20
- 20-32
- 32-55
- 55-88

Cropland Black Sand

- △ 0-10

Grassland Black Sand

- ◇ 0-10

Grassland Normal Soil

- 0-10

0 50 100 150 200 km



Fig. 8: Predicted absolute soil organic carbon (SOC) content range (g kg^{-1}) in the light fraction at sites in the German Agricultural Soil Inventory.