

1) **Comments from referees/public and author's response**

A) **Short comment 1**

Dear Lauric Cécillon and colleagues,

Thank you very much for your comment on our discussion paper. We appreciate that you discussed the paper draft thoroughly and found some points that need more clarification to be understandable. Please find our answers to your comments below.

We have a concern regarding the use of the cross-validated regression model based on near-infrared spectroscopy to predict the size of SOC labile and stable pools in "new" samples of the German Agricultural Soil Inventory. We regret the use a regression model that has not been published yet, impeding us from a clear understanding of the actual predictive performance of the model on "new" topsoil samples. Here, the details provided by the authors regarding the predictive performance of the multivariate regression model (see Material & methods section 2.4 at lines 189–194 and Supplementary Figure S1) do not demonstrate its ability to accurately predict the absolute content (g/kg) and proportion (%) of SOC in the POM and in the MOM fractions of the 2755 "new" samples.

Answer: The paper describing the regression model (Jaconi et al.) has been submitted to the European Journal of Soil Science. We also regret that it has not been published yet. In this paper, the model is described in detail, testing the algorithm on different datasets. In the paper the model is also validated using an independent validation dataset (consisting of one third of the total samples), which has not been part of the model calibration (two thirds of total samples). We see that it would be helpful to provide the validation results with the paper discussed here, as they are not published yet with the other paper. In the revised version we will append the following table with the supplement materials:

Table S3: Indicators of model performance for soil C fractions particulate organic carbon (POM) and mineral associated organic carbon (MOM) with calibration and independent validation dataset (mean values of 100 iterations with random selection). Table a) is for values in g C kg soil⁻¹ and table b) is for the proportion (relative values).

a)

	Calibration dataset						Validation dataset					
	Q ²	RMSECV, g C kg soil ⁻¹	ρc^*	Bias, g C kg soil ⁻¹	RPD	RPIQ	R ²	RMSEP, g C kg soil ⁻¹	ρc_r	Bias, g C kg soil ⁻¹	RPD	RPIQ
POM	0.83	4.92	0.91	0.34	2.5	1.8	0.82	5.38	0.89	0.44	2.5	2.0
MOM	0.87	4.92	0.93	-0.34	2.9	2.9	0.85	5.38	0.91	-0.44	2.7	2.6

ρc^* - Lin's concordance correlation coefficient

b)

	Calibration dataset						Validation dataset					
	Q ²	RMSECV, %	ρc^*	Bias, %	RPD	RPIQ	R ²	RMSEP, %	ρc_r	Bias, %	RPD	RPIQ
POM	0.78	13.15	0.88	1.07	2.09	2.56	0.73	15.04	0.84	1.6	1.9	2.4
MOM	0.78	13.15	0.88	-1.07	2.00	2.48	0.72	15.04	0.83	-1.6	2.0	2.3

ρc^* - Lin's concordance correlation coefficient

36 Specifically, the authors have only assessed the predictive performance of their model using a leave-
37 one-out cross-validation. Leave-one-out cross-validation is not the optimal method to validate a
38 partial least-squares (PLS) regression model when 145 samples with reference measurements are
39 available. It may be recommended for smaller datasets when a proper validation procedure (see
40 below) cannot be done.

41 An acceptable procedure for validating this PLS regression model would be adding an independent
42 validation step to the current validation scheme: i/ first run a leave-one-out or k-fold cross-validation
43 on a subset of ca. 110 samples with reference measurements, that would provide a Q^2 (= coefficient
44 of determination of the model in cross-validation, not a R^2), and a first assessment of the mean error
45 of prediction of the PLS regression model in cross-validation (RMSECV). ii/ use this cross-validated PLS
46 model to predict the values of the absolute content (g/kg) and proportion (%) of SOC in the POM and
47 in the MOM fractions of the ca. 35 independent samples with reference measurements not used for
48 cross-validation (and independent from the ca. 110 samples used for cross-validation). The coefficient
49 of determination (actual coefficient of determination of the model in validation, R^2) and mean error of
50 prediction of the PLS regression model in validation (RMSEP) would provide acceptable criteria for the
51 reliable (independent) assessment of the actual predictive performance of the model for prediction on
52 "new" topsoil samples.

53 iii/ if the R^2 and RMSEP (or RPD) of the PLS regression model obtained on the 35 independent
54 validation samples were judged acceptable, then the model may be used to predict the values of the
55 absolute content (g/kg) and proportion (%) of SOC in the POM and in the MOM fractions of the 2755
56 remaining topsoils of the German Agricultural Soil Inventory.

57 **Answer: We agree that, if possible, the best method is always to have an independent validation**
58 **dataset. We think, however, that this is not advisable in our case, as the calibration dataset was for**
59 **the whole area of Germany, containing very different soils. In this case 145 samples are not a large**
60 **calibration dataset. This calibration dataset was selected out of all 2900 available soil samples**
61 **using the Kennard Stone algorithm, so that it contains the maximum possible spectral variability.**
62 **There were also additional selection criteria for these sites, as explained in II.125-131. This is why**
63 **we do not want to split the reference dataset into calibration and validation dataset, as with every**
64 **split of this dataset a large part of the variation present in German soils would be lost for the**
65 **calibration.**

66 We therefore argue that the PLS regression model based on near-infrared spectroscopy presented by
67 the authors cannot be used in its current form to predict labile and stable SOC fractions on "new"
68 topsoil samples of the German Agricultural Soil Inventory. At this stage (i.e. unreliable assessment of
69 the predictive performance of the PLS regression model), the authors can only use the reference data
70 ($n = 145$) of the absolute content (g/kg) and proportion (%) of SOC in the POM and in the MOM
71 fractions to investigate the potential drivers of the distribution of SOC kinetic pools on this limited
72 dataset. This would already be a significant piece of work.

73 **Answer: As we conducted an independent validation, which showed that the predicted values are**
74 **in good accordance with the measured ones, we are sure that the model is robust enough and can**
75 **be used to predict the 2755 "new" samples. Therefore, we argue that the drivers can be assessed**
76 **not only using the reference data, but also the predicted ones.**

77 *Furthermore, Vos and colleagues used the particulate organic matter (POM) fraction to represent the*
78 *labile SOC kinetic pool. However, the POM fraction could contain substantial (and variable) amounts*
79 *of pyrogenic carbon with residence time in soils higher than the mean residence time of total SOC.*
80 *This limitation of the SOC density fractionation scheme should be mentioned and discussed in the*
81 *text, as it is not possible to guaranty that the POM fraction truly represents the actual labile SOC pool*
82 *for all investigated samples.*

83 **Answer: We agree that this is a limitation of density fractionation, which we will address in the**
84 **revised version of our paper. Pyrogenic carbon does, however, play a minor role in German soils.**
85 **There is also a large section on the so-called “black sands” in Germany (II.300-356), where we**
86 **discuss explicitly why the POM fraction is not always a labile fraction.**

87

88 **B) Referee comment 1**

89 Dear anonymous referee,

90 We thank you for reviewing our manuscript and for giving instructive feedback on how to improve it.

91 We very much appreciate your work as reviewer. Please find our answers to your comments below:

92

93 L62: There is ample evidence that no-till does not lead to net increase of SOC com-
94 pared to conventional tillage as indicated here, but only to a change of the depth distri-
95 bution of SOC

96

97 **Answer: We agree with the reviewer that this should be mentioned more clearly and, thus, we will**
98 **include references to studies that report this depth distribution of SOC as a result of no-till (Baker**
99 **et al. 2007, AGEE, review from Luo et al. 2010, AGEE).**

100

101 Section 2.3: The fractionation approach is not really clear: to separate the fPOM,
102 normally SPT is used as done in this study, but without any dispersion (as indicated
103 by “free”). Here, ultrasonic dispersion at 65 J/mL was applied that probably de-
104 stroyed macroaggregates, so the extracted POM is rather fPOM+oPOM (derived from
105 macroaggregates). Of course you can do that, but this fraction should not be called
106 fPOM. Furthermore, 450 J/mL was used to destroy “aggregates” (I guess microag-
107 gregates), please explain why this energy level was used (reference). I further miss
108 information on recovery rates of the fractionation and further basic data such as frac-

109 tion mass and C content in order to evaluate the approach. Particularly the measured

110 C content of the POM is important to evaluate the fractionation approach.

111

112 **Answer: We see now that more details are needed in the manuscript concerning the fractionation**
113 **procedure. We used a very low dispersion energy of 65 J/mL to obtain the FPOM fraction. We did**
114 **this as in Don et al. 2009, JPNSS and other publications. Such a light ultrasonic treatments helps to**
115 **standardize the shaking of the samples that has been proposed in the original method by Golchin.**

116 **The energy level of 450 J/mL to obtain the OPOM fraction was chosen as Schmidt, Rumpel and**
117 **Kögel-Knabner (1999, European Journal of Soil Science, 50, 87-94) found that 450-500 J/mL is**
118 **enough to disperse all aggregates (including microaggregates) in a wide range of soil types. We will**
119 **include this reference to the revised version of the paper, as well as information on recovery rates,**
120 **mean fraction masses and C-contents of the fractions, which are indeed valuable criteria to**
121 **evaluate the fractionation approach.**

122 **We know that there is ample discussion on fractionation methods and how to obtain which**
123 **fractions, but we do not want to go into detail in this paper, as it is not the main focus and the**
124 **FPOM and OPOM fraction are merged for the NIRS prediction anyway.**

125

126 L182+L194: More information is needed in this regard, Jaconi et al. is not accessible

127 (see also the comment of Lauric Cécillon). Please include relevant information also in

128 this paper, even if Jaconi et al. is published during revision.

129

130 **Answer: As already stated in the reply to the comment of Lauric Cécillon we will include more**
131 **details on the NIRS calibration and validation approach into the supplement of the revised version.**

132

133 L188-198: I would rather see that as results

134

135 **Answer:**

136 **We propose not to put this paragraph in the results section, as it is the justification for using the**
137 **methodology and not the result and topic of this paper. But we changed this paragraph in the**
138 **revised version as follows:**

139 **“We used the methodology as stated above, as Jaconi et al (submitted) found out that NIRS is a**
140 **fast, low-cost and accurate method to predict the carbon fractions. The authors found the**
141 **following calibration results: For prediction of carbon content in the fractions (g kg⁻¹), the**
142 **coefficient of determination (R²) between predicted and measured carbon content in the fractions**
143 **was found to be 0.87-0.90 and RMSECV was 4.37 g kg⁻¹. The RPD was 2.9 for the prediction of**

144 carbon content in the light fraction and 3.2 for the prediction in the heavy fraction. For prediction
145 of carbon proportions in the fractions (%), R^2 was 0.83, RMSECV 11.45% and RPD 2.4 (Fig. S1 and
146 S2; for more details see Jaconi et al., submitted). The accuracy of prediction of both SOC content
147 and proportions of the light and heavy SOC fractions was very good and was comparable with that
148 in other studies that have used NIRS to predict SOC fractions (Cozzolino and Moro, 2006; Reeves et
149 al., 2006).”

150

151 L197-198: NIRS is certainly a promising way to predict fractions, but of course this
152 approach is specific to the fractionation. As there are numerous other fractionation ap-
153 proaches (probably even better ones to derive “active” and “passive” SOC), this study
154 should avoid giving the impression that the presented approach is the only way to esti-
155 mate active and passive SOC at the regional scale.

156

157 **Answer: In l. 197-198 we merely aim to say that NIRS is a good way to predict the fractions, not**
158 **that it is the only way to do so. We will change the sentence accordingly.**

159

160 L203-205: More information is needed on the calculation of C and N inputs as well as
161 on the regional yield estimates.

162

163 **Answer: We will include more information on the calculation of C and N inputs in a revised**
164 **manuscript.**

165

166 L229: In order to avoid interaction effects between the variables, one could perform
167 PCAs prior to the analysis and reduce the number of predictors to independent ones
168 (e.g. dependent climate variables MAT, MAP and elevation can be reduced to one
169 factor climate). For example, CaCO₃ was identified as important, but this is probably
170 only due to a correlation with texture (clay is the most important factor).

171

172 **Answer: The reviewer is right suggesting that using PCAs prior to the cforest analysis would reduce**
173 **the number of predictors to independent ones. We refrained from doing so however, as the cforest**
174 **algorithm did not find very many variables of a high importance in our case. With our approach we**
175 **receive a nonbiased assessment which is not influenced by a preselection of certain variables. We**

176 therefore do not see the need to conduct the PCAs beforehand and decided to discuss all the single
177 variables, keeping in mind, of course, that a high variable importance can also be due to
178 interactions with other predictors.

179 We did however eliminate predictor variables with correlations above 0.8 from the dataset as to
180 avoid multicollinearity. We will therefore add the following sentence to the revised version of the
181 manuscript: „As multicollinearity between the predictors may result in a biased variable
182 importance measure in cforest algorithms, (Nicodemus et al., 2010) the correlations between the
183 predictor variables were controlled. When the correlation between two possible predictors was
184 >0.8, only the one with the broader range of variation was kept in the dataset.“

185

186 L316: remove “and”

187

188 **Answer: the „and” will be removed in the revised version. Thank you for noticing.**

189

190 Section 4.4: In principal, I agree that the fractionation approach based on a separation
191 of POM from MOM is suitable to derive “labile” and “stable” carbon, as POM is the major
192 constituent of “active” carbon (assuming that the contribution of pyrogenic carbon is
193 negligible, which is the case in most regions of Germany). However, the authors could
194 mention that there are other ways to derive labile and stable SOC.

195

196 **Answer: We agree that there are very different methods/fractionation schemes to separate labile**
197 **from stable SOC. Therefore, we will add the following sentence: “The applied fractionation method**
198 **is only one out of several methods and options to separate labile from stabilised SOC.”**

199

200 **C) Referee comment 2/Short comment 2**

201 **Dear Dr. Smith,**

202 **Thank you for reviewing our manuscript so thoroughly and taking the time to write helpful and**
203 **detailed comments to improve our paper. We are very grateful for this.**

204 **Please find our answers to the comments below:**

205 Introduction

206 Overall, I think that the introduction needs some restructuring and needs more “meat” to it. Many
207 statements are vague, blanket statement and don’t provide much insight or examples (e.g. “The

208 effects of land use and management are not the same for all soil organic matter compounds...” How?
209 Why? Give me more details). I think that the manuscript would benefit from a closer look at the flow
210 and organization of the introduction. I suggest taking a close look at each paragraph; map out the
211 main point, make sure this main point is reflected in the topic sentence, and verify that the preceding
212 and following paragraphs fit/flow. There are a few paragraphs that just don’t fit (seem out of place)
213 and it detracts from the main points of the introduction (which is essentially to build up to, i.e.
214 provide background and rationale, the objectives and hypotheses of the study). As such, please align
215 the introduction specific to the goals and objectives of the study.

216 **Answer: We agree with the reviewer that in some cases more details need to be given in the**
217 **introduction. We also see now that a stricter alignment of the introduction with our research goals**
218 **would be helpful. We will follow this advice and restructure the introduction section in the revised**
219 **version of the manuscript.**

220 I strongly encourage the authors to reframe the objectives of the study as hypotheses in lieu of the
221 somewhat vague research questions that are currently reported in the introduction. What do the
222 authors expect the distribution of POM vs. MOM to be across Germany (and why)? Which factors
223 (land-use, climate, soil type, clay content, etc.) do the authors expect to be more important in driving
224 these distributional patterns? And the final question “can regions of high vulnerability...” needs to be
225 clarified. First, I don’t know how you define “vulnerable” and second, I am unaware how you plan on
226 verifying that your predictive approach (i.e. machine learning)

227 **Answer: We agree that the third objective needs to be clarified and we will introduce the term**
228 **“vulnerable” before and be more explicit regarding the methodology. However, we refrain to**
229 **rephrase our objectives as hypotheses as the study design is not like in traditional studies that test**
230 **different treatments for which a hypothesis is formulated.**

231 Many of the statements or research addressed here are specific to European agroecosystems and yet
232 the authors often make broad statements about land use and management effects on SOC as fact.
233 However, land use and management effects on SOC differ greatly depending on cropping system,
234 location (climate, topography, parent material, etc.) and there is often an equal amount of work that
235 supports different results than what you present in this paper. As such, please be more specific and
236 make sure to constrain postulations with “in temperate cropping systems...” or something to that
237 example. I would be satisfied with a sentence early on stating that you are limiting the state of art (or
238 body of knowledge) to your specific system (i.e. western European cropping systems).

239 **Answer: The reviewer is right in that some statements in the introduction mainly refer to Western**
240 **Europe and we will follow her advice and state this early on in the introduction.**

241 As mentioned earlier, many sentences are vague. Please try to be more specific and detailed when
242 building up the background and rationale in the introduction. There is more “telling” than “showing”.
243 Please see the attached line-by-line review.

244 **Answer: Thank you for uploading the commented version of the manuscript. We agree that the**
245 **revised version of the introduction must be more specific and detailed and will change it**
246 **accordingly.**

247 Methods

248 Overall, I suggest reorganizing the methods section to be more aligned with your objectives. This is
249 especially true when it comes to the use of calibration versus all samples. Sections often jump from
250 calibration to all and it makes it a bit confusing. There also needs to be more technical details into
251 how soils were collected and processed (e.g. collected with a corer, composite samples, one sample
252 per depth, homogenized, dried, etc. ?). Replication need to be explicitly stated (how many samples
253 did you use for each classification combination – i.e. land use, or depth, etc.). Including a
254 supplemental table that lists all the samples/sites or something may help clear this up. There are also
255 several areas where the methods need to be more explicitly stated and many instances were
256 citations are needed. Please see attachment for line by line comments.

257 **Answer: We can see that the methods section can be confusing for the reader in the present stage**
258 **and we will revise and improve it in the revised version. More details on the soil sampling and**
259 **handling will be included and methods will be described in more detail.**

260 Calibration samples versus all: The experimental design (use of calibration sites versus all sites) needs
261 to be clearer. It was confusing with the way the methods section was organized for the reader to
262 understand why/what/how calibration samples were used as compared to all sites. Perhaps have a
263 separate calibration section in the methods where all of this is addressed would be clearer.

264 **Answer: We agree that a separate calibration section is a good idea to clarify the methodology. We**
265 **will restructure the methods accordingly.**

266 A major issue I have with the methods is combining the oPOM and fPOM fractions together as a
267 “light fraction.” As much as I hate to ask authors to redo their analyses, I think that the best way to
268 deal with the oPOM is to either ignore it or analyze it separately.

269 **Answer: We agree with the reviewer in the point that fPOM and oPOM are not the same. We have,**
270 **however, good reasons to combine them into a light fraction for the purpose of prediction:**

- 271 - **The oPOM fraction generally constitutes only a very small part of total SOC (Mean: 4%).**
272 **Thus, it is very hard to predict this fraction separately via NIRS. We tried it as a first step**
273 **but calibration results were very poor. This is why we do not treat oPOM separately from**
274 **fPOM.**
275 - **We do, however, not want to ignore the oPOM fraction completely for the following**
276 **reason: The novelty in the prediction of C-fractions via NIRS consists of using the log-ratio**
277 **transformation to ensure that the carbon content of both fractions adds up to 100% of the**
278 **total carbon content of the sample. Therefore, we cannot omit the oPOM fraction since it**
279 **would be unclear to which value the fPOM and MOM fraction should add up.**

280 Results

281 Please review my comments in the attachment and address them. Most importantly, I do not agree
282 with using total SOC to explain fraction SOC. Of course, C would explain C. Total SOC is NOT a driver –
283 it is a response variable for this study.

284 **Answer: We will address the helpful comments in the results section in the revised version of the**
285 **paper. We do, however, not agree that the SOC content is merely a response variable in our**
286 **dataset. The question needs to be answered whether the light and the stabilised fractions are**
287 **regionally so variable that they require a separate analysis and cannot be predicted from the total**

288 **SOC content. If total SOC content is a strong predictor for the fractions we could easily build a**
289 **model to predict fractions from total SOC and do not need fractionation work. It is important to**
290 **check whether and which of the fractions are closely related to total SOC, as this implies a higher**
291 **relevance of this fraction for the total SOC content of the soil. For example, our results show that**
292 **total SOC is much closer related to the light fraction in the black sands than in the other soils**
293 **where texture is a more important driver for the distribution of the fractions.**

294 You are also missing any reference to Fig. 6 and Fig. 8 in the results! If you don't use them – don't put
295 them in the manuscript (or put them in supplemental).

296 **Answer: Thank you for noticing this. We will include these references in the revised version of the**
297 **manuscript.**

298 Discussion

299 I would almost reorganize the discussion to be more explicitly aligned with the study objectives –
300 first discuss the how SOC is distributed among fractions at a national scale, then discuss which drivers
301 are relevant and finally end with whether or not you can predict “vulnerable” (but please define)
302 areas using your approach. Section 4.1 is entirely too brief, especially since it supposedly addresses
303 your first objective. Again – don't just tell me what other results support or do not support your
304 results, show me!

305 **Answer: We agree that section 4.1 should be more detailed and should show more results of other**
306 **studies. We refrain, however, from restructuring the discussion as proposed by the reviewer for**
307 **the following reason: In our first draft version, the discussion was structured exactly as proposed**
308 **by the reviewer. There we encountered the problem, however, that there were alternating parts**
309 **about black sands and “normal” soils which forced us to repeat the same information over and**
310 **over. We therefore decided to structure the discussion into a “black sands” and a “normal soils”**
311 **part.**

312 You have a great discussion on the “black sands” section. I would love to see that reflected in the
313 entire discussion section. Some of the details I was looking for in section 4.1 are included in 4.2. I
314 think it would be good to combine section 4.1 and 4.2 (and address your first objective) and discuss
315 black sands in the context of objective 1.

316 **Answer: We agree that it would indeed be a good idea to combine these sections in the revised**
317 **version.**

318 In section 4.4, it would be great to discuss why/why not you think your approach worked to identify
319 vulnerable areas. It is one of your objectives and you do not directly discuss it in the discussion. It
320 needs to be addressed. I think concluding section 4.4 with a paragraph answering “Can regions of
321 high vulnerability to carbon losses be identified by this predictive approach?” is warranted.

322 **Answer: We also agree with this proposal and will enhance the discussion of our third objective**
323 **accordingly.**

324 Conclusion

325 See notes regarding final sentence. I believe that with a few revisions (as per my and other reviewers'
326 suggestions) this manuscript is publishable and I look forward to the revisions!

327 **Answer: We will reformulate the last sentence to make it more specific in the revised version.**

328

329 **D) Short comment 3**

330 Dear Dr. Viscarra Rossel,

331 Thank you for your short comment regarding our manuscript. We very much appreciate your input
332 that helps to improve our paper and to make it more clear and easy to read. Please find our answers
333 to your suggestions below:

334 I thank the authors for their paper and I hope that my discussion helps. My comments here relate
335 primarily to the lack of clarity in the description of the methods used for the spectroscopic modelling,
336 and to missing quantification of robustness and uncertainty in the spectroscopic model predictions of
337 the carbon fractions. I believe these to be crucially important because their further analyses and
338 interpretation of the variability and driving factors relies heavily on the spectroscopic model
339 predictions.

340 First, the description of the spectroscopic modelling is inadequate and I encourage the authors to
341 improve it. I think that the specifics of the spectroscopic modelling, apparently described in Jaconi et
342 al., need to be included in this manuscript, particularly because the Jaconi et al. manuscript isn't yet
343 published. But, even if the Jaconi et al paper were published, I think that at the very least, readers
344 will need a clear summary of their methods and findings—not simply a report of their assessment
345 statistics.

346 **Answer: We agree that the reader needs more information on the spectroscopic modelling and as**
347 **we are not sure when the review process for the paper of Jaconi et al. will be finished, we will**
348 **include a more detailed description in the methods section of the revised version.**

349 Second, the authors do not convincingly show that the spectroscopic models were sufficiently robust
350 for predicting the 'unknowns', which I presume were the '...>2500 sites with mineral soil all over
351 Germany' (mentioned only in the Introduction, line 106). Additional validation of the models with an
352 independent test set will help, however, I would also encourage the authors to implement either a
353 repeated cross validation, or to bootstrap the models to quantify their robustness and the
354 uncertainty of their predictions (see for instance Viscarra Rossel, 2007). To this end, the authors
355 might find it useful to read Viscarra Rossel & Hicks (2015). There, we proposed an approach for
356 modelling the carbon fractions of a large continental scale dataset, reporting the robustness of the
357 models, the (propagated) uncertainties of the predictions, and relating the spectroscopy to the
358 chemistry of soil organic C.

359 **Answer: As described in our answer to the comment of L. Cécillon, the models have been validated**
360 **using an independent test set and the results will be included in the revised version of the**
361 **manuscript. Both datasets, the calibration and the validation data set cover the area of interest**
362 **(Germany). We will check the recommended papers for the options to further quantify the model**

363 **uncertainty. However, with an independent validation dataset we already quantified the model**
364 **uncertainty.**

365 Quantifying uncertainty is particularly important when predicting 'unknown' samples. Without
366 quantified uncertainty, the predictions will definitely be less valuable. This is particularly relevant for
367 this study because the predictions are being used in subsequent analysis to potentially gain new
368 understanding.

369 **Answer: We agree that the quantification of uncertainty is crucial for gaining trust in the predicted**
370 **values. Therefore, we propose to include a summary of the calibration and validation results in the**
371 **supplement material of the revised version.**

372 Finally, I would like to suggest some minor corrections:

373 - In lines 182–183, the Jacony et al reference is cited as 'in prep' while in line 194 it is cited as
374 'submitted'

375 **Answer: Thank you for noticing this mistake. We will change this in the revised version of the**
376 **manuscript. However, we hope to get this paper to be published soon.**

377 -The mention of the'...>2500 sites with mineral soil all over Germany.', in the Introduction, line 106,
378 is inadequate. This should be described and made clear in the Methods section—possibly in section
379 2.4 after a (better) description of the spectroscopic modelling.

380 **Answer: We agree that the methods need to be clear. However, there is a section on the soil**
381 **inventory (2.1) and we will add more in the spectroscopic method section. In this case we do not**
382 **agree with the comment, as it is good practice to give a very short overview in the introduction on**
383 **how the research questions shall be answered. Of course the number of sites should also be stated**
384 **in the methods section, which is the case.**

385 - In lines 185–187: '... In addition, residual prediction deviation (RPD) was calculated, using the
386 classification system devised by Viscarra Rossel et al. (2006)....'

387 – I am quite sure that Viscarra Rossel et al. (2006) did not devise a classification for the RPD. Williams
388 (1987) originally devised the RPD for assessing spectroscopic calibrations of agricultural and food
389 products. Later, Chang et al. (2001) suggested an arbitrary classification specifically for soil. It is very
390 likely that Viscarra Rossel et al. (2006) simply used that classification, but I could not confirm one way
391 or the other because the Viscarra Rossel et al. (2006) reference is not listed in the references.

392 **Answer: Thank you for this clarification. We will revise this and change it to Chang et al.**
393 **mentioning that the classification is arbitrary but can serve as indicator for the model quality.**

394 - In terms of the RPD, Bellon-Maurel et al. (2010) suggested that the RPD should only be used if the
395 data is normally distributed, otherwise, they propose the use of the RPIQ (Bellon-Maurel et al.,
396 2010).

397 **Answer: We will also include the RPIQ in the revised version.**

398 - Following from that, in our spectroscopic modelling of soil carbon and fractions (Viscarra Rossel &
399 Hicks, 2015), we found that their statistical distributions were often not normal and required

400 logarithmic transformations. For this reason, it would be useful for the authors to report the
401 distributions of the carbon and fractions data—but also because the PLSR algorithm assumes normally
402 distributed data.

403 **Answer: We agree with this and we log-transformed the data for model development. We will add**
404 **information on this in a revised manuscript version.**

405

406 **E) Editors comment**

407 When submitting a revised manuscript please ensure that you address the following points:

408

409 - SC1 and RC1 raise an important point about the Jaconi et al not being available at this point. The
410 authors should update on the status of the paper.

411

412 **Answer: In the revised version we attached additional information on the NIRS calibration and**
413 **validation in the methods section, and the calibration and validation results obtained for the**
414 **present dataset by Jaconi et al. in an additional supplement. The status of the paper of Jaconi et al.**
415 **was updated to “in review”.**

416

417 - Response to RC1: Page 2, the reviewer raises important point about not calling a pool of OM
418 extracted after ultrasonication as fPOM. I appreciate the author's response and additional info that
419 they are providing in the revised manuscript. But, it is still important not to refer to the OM extracted
420 using ultrasonication as fPOM. Please revise the text.

421

422 **Answer: We see now that for some readers the term fPOM for the obtained fraction can be**
423 **confusing. We changed this to the term iPOM (intra-aggregate POM). The text in the revised**
424 **version was changed accordingly.**

425

426 - I agree with RC3 that putting both fPOM and oPOM pools together is problematic. These two pools
427 (even though they can be very small fraction of soil C) differ in their availability for decomposition,
428 and hence persistence in soil. Even if it is difficult to predict oPOM alone, and if the authors have a
429 hard time achieving good results when they treat the two pools (as stated in C4) it is important to
430 make sure that adding these two pools is not leading to confounding and potentially misleading
431 results.

432

433 **Answer: We agree that the fPOM and oPOM pools differ in their availability for decomposition, but**
434 **we still think that combining both fractions for the purpose of prediction at a national scale is the**
435 **way to go in our special case: As we wanted to obtain the best prediction, treating fPOM and**
436 **oPOM separately was not an option, as oPOM was not reliably predictable due to its small**
437 **proportions in German agricultural soils. Leaving out the oPOM fraction was also not possible as all**
438 **fractions should up to 100% when using the log ratio.**

439

440 **We do not think that the results obtained in this way are confounding or potentially misleading, as**
441 **it is clearly stated that the light fraction contains both fPOM and oPOM. On top of this, one main**
442 **focus of the whole paper is that the light fraction is not necessarily a labile fraction, due to the**
443 **occurrence of black sands in Germany. This finding makes it clear again that the fractions are only**
444 **defined operationally and do not always imply a good measure of the carbon residence times in**
445 **the soil. Soil organic matter pools and fractions are arbitrary defined (or operationally defined)**
446 **except for the difference between POM and SOM that is bound to the mineral phase. Difference in**
stability between these two SOC pools has been confirmed in hundreds of studies. Our

447 fractionation scheme aimed at separating these two pools and additionally separated POM in two
448 fractions. However, the main difference is between the POM fractions and the MOM.
449

450 **2) Author's changes in manuscript**

451

452 **Hot regions of labile and stable soil organic carbon in Germany - Spatial**
453 **variability and driving factors**

454 Cora Vos¹, Angélica Jaconi¹, Anna Jacobs¹, Axel Don¹

455 ¹Thünen Institute of Climate-Smart Agriculture, Bundesallee 50, 38116 Braunschweig, Germany

456

457 Corresponding Author: Axel Don, axel.don@thuenen.de, Tel. +49 531 596 2641

458

459

460 Keywords: Soil organic carbon fractions, near-infrared spectroscopy, NIRS, soil carbon stability,
461 National Soil Inventory, German Agricultural Soil Inventory, carbon sequestration

462

463 **Abstract**

464 Atmospheric carbon dioxide levels can be mitigated by sequestering carbon in the soil. Sequestration
465 can be facilitated by agricultural management, but its influence is not the same on all soil carbon
466 pools, as labile pools with high turnover may be accumulated much faster, but are also more
467 vulnerable to losses. The aims of this study were to 1) assess how soil organic carbon (SOC) is
468 distributed among SOC fractions on national scale in Germany, 2) identify factors influencing this
469 distribution and 3) identify regions with high vulnerability to SOC losses. The SOC content and
470 proportion of two different SOC fractions were estimated for more than 2500 mineral topsoils (<87 g
471 kg⁻¹ SOC) covering Germany, using near-infrared reflectance spectroscopy. Drivers of the spatial
472 variability in SOC fractions were determined using the machine learning algorithm cforest. The SOC
473 content and proportions of fractions were predicted with good accuracy (SOC content: R²=0.87-0.90,
474 SOC proportions R²=0.83, ratio of performance to deviation (RPD) 2.4-3.2). Main explanatory
475 variables for distribution of SOC among the fractions were soil texture, bulk soil C/N ratio, total SOC
476 content and pH. For some regions, the drivers were linked to the land-use history of the sites.

477 Arable topsoils in central and southern Germany were found to contain the highest proportions and
478 contents of stable SOC fractions, and therefore have the lowest vulnerability to SOC losses. North-
479 [western](#)Western Germany contains an area of sandy soils with unusually high SOC contents and high
480 proportions of light SOC fractions, which are commonly regarded as representing a labile carbon
481 pool. This is true for the former peat soils in this area, which have already lost and are at high risk of
482 losing high proportions of their SOC stocks. Those “black sands” can, however, also contain high
483 amounts of stable SOC due to former heathland vegetation, and need to be treated and discussed
484 separately from ‘normal’ agricultural soils. Overall, it was estimated that, in large areas all over
485 Germany, over 30% of is stored in easily mineralisable forms. Thus, SOC-conserving management of
486 arable soils in these regions is of great importance.

487

488 1 Introduction

489 There is increasing interest in soil organic carbon (SOC) in agricultural soils, as it contributes to soil
490 fertility and also to mitigation of climate change when organic carbon (OC)-sequestration is enhanced
491 (Post and Kwon, 2000). [In agricultural systems](#) the pathway of atmospheric carbon to SOC is
492 controlled by [land use](#) and agronomic management. However, SOC comprises a large range
493 of compounds, ranging from recently added organic matter, such as root litter and exudates, to
494 highly condensed and transformed organic matter that may even be derived from the geogenic
495 parent material. These different compound classes are stabilised in different ways and therefore
496 have different turnover times (Lehmann and Kleber, 2015). Although SOC is now considered as
497 having a continuum of turnover times, it is mostly described and modelled as consisting of different
498 pools that vary in their turnover time (e.g. labile pool, intermediate pool and stabilised pool). The
499 effects of [land use](#) and management are not the same for all soil organic matter compounds,
500 ~~however, but they~~ differ between SOC pools ([Cardinael et al., 2015](#); [Chimento et al., 2016](#)).
501 ~~(Chimento et al., (2016) for example, found that planting~~ [cultivation of perennial woody bioenergy](#)
502 [crops increased SOC stocks, when compared to other bioenergy crops, but the new SOC accumulated](#)
503 [only in the light and presumably labile particulate organic matter \(POM\) fraction.](#) ~~(Poeplau and Don,~~
504 ~~(2013a), on the other hand, found that cropland sites that where changed to grassland management~~
505 [also sequestered new SOC, but mainly in the more stable fractions.](#) ~~This is why~~ [Therefore,](#) the
506 different SOC pools need to be assessed separately from the bulk SOC when discussing the influence
507 of [land use](#) and management on stabilisation and storage of SOC.

508 One method for experimental quantification of the distribution of SOC among different SOC pools is
509 fractionation. Various fractionation procedures for quantifying SOC fractions have been developed,
510 mostly aiming at isolating fractions with differing turnover times (Poeplau *et al.*, [submitted in review](#),
511 [\(Lee et al., 2009; Zimmermann et al., 2007a\)](#)). Determining the distribution of SOC among fractions
512 with assumedly different ~~carbon~~ [OC](#)-turnover times is one step towards understanding the factors
513 influencing SOC stabilisation. -All methods for ~~carbon-carbon~~ [fractionation](#) are quite laborious, time-

514 consuming and therefore expensive, and not feasible for large datasets. Therefore, few studies exist
515 on SOC fractions at regional scale, indicating a need for development of more efficient methods to
516 predict carbon fractions in assessment of large datasets. Near-infrared reflectance spectroscopy
517 (NIRS) and mid-infrared spectroscopy (MIRS), in combination with chemometric methods, have been
518 applied successfully to predict carbon fractions (Zimmermann *et al.*, 2007b; Baldock *et al.*, 2013;
519 Cozzolino & Moro, 2006; Reeves *et al.*, 2006). Thus, since prediction of SOC fractions has been
520 demonstrated to be possible using spectroscopic methods, it should also be possible to go beyond
521 small datasets at field scale in order to examine how SOC fractions are distributed regionally and the
522 factors that drive this distribution.

523 Some impact factors are consistently reported as being important at site scale for the distribution of
524 SOC among different fractions or pools, one of which is ~~land use~~[land-use. For Western European](#) ~~in~~
525 ~~croplands and grasslands, cropping systems it has been~~[was shown that in croplands and grasslands,](#)
526 a similarly ~~large~~[high](#) share of bulk SOC is attributed to fractions regarded as stable, while in forest
527 soils, a ~~larger~~[higher](#) proportion of SOC is attributed to more labile SOC fractions (John *et al.*, 2005;
528 Helfrich *et al.*, 2006; Wiesmeier *et al.*, 2014). Tillage can also have an impact on SOC pools, as ~~several~~
529 ~~some~~[studies](#) report higher levels of bulk SOC under no-till conditions compared with conventional
530 tillage, with the majority of this increase occurring in the more labile carbon pools (Chan *et al.*, 2002;
531 Devine *et al.*, 2014; Liu *et al.*, 2014). ~~This may, however, be just an effect of carbon~~[OCcarbon](#)
532 ~~redistribution in the soil and not lead to a net increase of SOC~~ (Baker *et al.*, 2007; Luo *et al.*, 2010).

533 Fewer studies have examined the SOC distribution into fractions at regional scale and even fewer
534 have examined factors affecting the proportions of SOC distributed among different fractions or
535 pools. Wiesmeier *et al.* (2014) determined the distribution of SOC fractions among 99 Bavarian soils
536 under different ~~land use~~[land-uses](#) using the ~~method~~[fractionation scheme devised by](#) Zimmermann
537 *et al.* (2007a), ~~which is a combination of particle size and density fractionation~~. They found that
538 approximately 90% of the bulk SOC in cropland and grassland soils was distributed in intermediate or
539 stabilised SOC pools, while this was only true for 60% of the SOC found in forest soils. Therefore,

540 those authors suggested that Bavarian soils under cropland and grassland are more suitable for long-
541 term sequestration of additional SOC than soils under forest. They also examined controlling factors
542 for the SOC distribution among fractions in the different ~~land-use~~land-use (Wiesmeier *et al.*, 2014).
543 Correlation analyses suggested that the intermediate SOC pools in croplands and grasslands were
544 significantly correlated to soil moisture, but none of the functional SOC pools ~~was~~were influenced by
545 temperature or precipitation. The particulate organic matter (POM) fraction of soils under grasslands
546 and croplands was not significantly related to any environmental factor in that study (Wiesmeier *et*
547 *al.*, 2014). Poeplau & Don (2013a) conducted a study on 24 sites in Europe and found that SOC
548 fractions differed in their degree of sensitivity to land-use change (LUC), with the sensitivity declining
549 with increasing stability in the SOC fractions. Their results indicated that afforestation of cropland
550 shifts SOC from the more stable to the more labile fractions, while ~~on the~~ conversion from cropland
551 to grassland the newly sequestered SOC is stored in the intermediate to stable pools. Rabbi *et al.*
552 (2014) examined the relationships between ~~land-use~~land-use, management, climate and soil
553 properties and the stock of three SOC fractions for soils in south-eastern Australia, and observed a
554 high impact of climate and site-specific factors (rainfall, silicon content, soil pH, latitude) and only a
555 minor influence of ~~land-use~~land-use. The dominance of site and climate variables as impact factors in
556 that region may primarily be due to the wide range of site conditions in the area studied.

557 If the regional distribution of SOC fractions can be predicted using a combination of fractionation
558 methods and NIRS and if relevant drivers for this distribution can be found, it should be possible to
559 identify regions in Germany in which soils are most vulnerable to carbon losses. Some carbon
560 fractions are commonly assumed to be more labile than others because they apparently have lower
561 turnover times in the soil. The question is if it can simply be assumed that soils that contain a high
562 percentage of those “labile” fractions are more vulnerable to carbon losses than ~~those containing~~
563 ~~lower percentages of labile carbon fractions~~others. On the one hand, it should be noted that for the
564 assessment of vulnerability to carbon losses, not only the distribution of the fractions should play a
565 role, but also the absolute amounts of carbon within the fractions. This is important as some soils

566 [may have stored a high percentage of SOC in a labile form, but the absolute amount of this SOC may](#)
567 [be very low and thus less relevant in terms of climate change mitigation than a small percentage of](#)
568 [light fraction that is lost from a soil rich in SOC. -On the other hand, t](#)[There are several regions in](#)
569 [north-western](#)[Western Europe and also in northern Germany where the soils exhibit unusually high](#)
570 [SOC content while having a high sand and low clay content \(Sleutel *et al.*, 2011\). These so called](#)
571 [‘black sands’ have a poor capacity to stabilise SOC by binding onto mineral surfaces, and therefore](#)
572 [most SOC is present in the form of POM. A great part of this land surface in northern Germany was](#)
573 [covered by heathland and peatland until the end of the 18th century and those soils may behave](#)
574 [different than other soils in terms of SOC storage and the vulnerability to carbon losses may not](#)
575 [generally be defin](#)~~edable via -in terms of the distribution of-~~[dividing SOC into fractions by density](#)
576 [fractionation.](#)

~~577 There are several regions in north-western Europe and also in northern Germany where the soils~~
~~578 exhibit unusually high SOC content while having a high sand and low clay content (Sleutel *et al.*,~~
~~579 2011). These so-called ‘black sands’ have a poor capacity to stabilise SOC by binding onto mineral~~
~~580 surfaces, and therefore most SOC is present in the form of POM. A great part of this land surface in~~
~~581 northern Germany was covered by heathland and peatland until the end of the 18th century and~~
~~582 these soils may behave different than other soils in terms of SOC storage~~

583 The present study is part of the German Agricultural Soil Inventory. A set of 145 topsoil samples,
584 representative of German agricultural soils, was fractionated and used to calibrate NIRS predictions
585 of the constituent fractions for >_2500 sites with mineral soils all over Germany. Additional climate,
586 management and geographical data were gathered for all sites and a machine learning algorithm was
587 employed to [clarify which factors influence the distribution of the carbon fractions. In this paper we](#)
588 [therefore aim to](#) answer the following research questions:

- 589 1) How is SOC distributed among the fractions at national scale?
- 590 2) Which drivin[g factors](#)ers are relevant for this distribution?

591 3) Can regions of high vulnerability to carbon losses be identified by this predictive approach?

592

593 2 Material & Methods

594 2.1 Study area, sampling and sample selection

595 Germany has a total surface area of 357 000 km² and its climate is temperate, marine and
596 continental. Mean annual precipitation (MAP) ranges between 490 and 2090 mm and mean annual
597 temperature (MAT) between 5.7 and 11.2 °C. Around half the country's surface area is used for
598 agriculture, with cropland accounting for 71% of this area, grassland for 28% and other crops (e.g.
599 vines) for 1%.

600 Soil samples were taken in the course of the ongoing German Agricultural Soil Inventory. By May
601 2017, 2900 agricultural sites ([croplands and grasslands](#)) ~~had been~~ were sampled based on an 8 km x 8
602 km ~~sampling~~ grid. At each site, a soil profile was characterised by a soil scientist and soil samples
603 were taken from five fixed depth increments, [using 2-10 sampling rings per depth increment](#)
604 [\(depending on the stone content\) that were representatively distributed](#). All soils were classified in
605 the field according to the German Soil Classification System (Sponagel et al., 2005).

606 [For this study, a representative set of calibration sites was needed to be able to predict the carbon](#)
607 [fractions using NIRS. Therefore, The topsoils \(0-10 cm\) of 145 calibration sites, representative for the](#)
608 [whole dataset,](#) were chosen according to the following criteria: 1) Maximum difference in NIR
609 spectra, according to the Kennard-Stone algorithm (Daszykowski et al., 2002), 2) consistent spatial
610 distribution within Germany, 3) exclusion of sites with SOC content > 87 g kg⁻¹ in any horizon, as such
611 soils may be organic (> 30% organic substance) or in transition between organic and mineral soils and
612 it was assumed that the processes governing the variability of SOC in organic soils differ from those
613 in mineral soils, and 4) representative mapping of [land use and use](#), soil type and carbon stocks. [The](#)
614 [topsoils \(0-10 cm\) of these 145 sites were fractionated to provide the calibration set for the](#)
615 [prediction of the carbon fractions in the remaining sites using NIRS. After obtaining the predicted](#)

616 [carbon fractions for all 2900 sites, the machine learning algorithm cforest was employed to find out](#)
617 [which identify driving factors were important for the distribution of SOC into fractions. The employed](#)
618 [fractionation scheme is described in section 2.3, while details on the NIRS spectroscopy and](#)
619 [chemometrics are given in section 2.4. The use of the cforest algorithm is explained in section 2.5.](#)

620 **2.2 Laboratory analyses**

621 All [2900 topsoil](#) samples were [dried and](#) analysed for gravimetric water content, electrical
622 conductivity (EC), pH, SOC content (g kg^{-1} , by dry combustion), soil inorganic carbon content (g kg^{-1})
623 after removing organic carbon in a muffle kiln, texture (by the pipette method), rock content, root
624 content and bulk density (with repeated soil rings). The SOC stocks were calculated as suggested by
625 Poeplau *et al.* (2017), taking into account the stone and root content of the soil.

626 **2.3.3. Fractionation of calibration samples**

627 The topsoil samples (0-10 cm depth) of the selected calibration sites were dried at 40°C to constant
628 weight and sieved to a size <2 mm. Three different fractions were prepared, [using an adaptation of](#)
629 [the fractionation scheme proposed by \(Golchin et al., \(1994\):](#)

630 1) To obtain the fraction that contains [free-intra-aggregate](#) particulate organic matter (iPOM), 20 g
631 of soil sample were placed in a falcon tube, which was then filled to 40 mL with sodium polytungstate
632 (SPT) solution (density= 1.8 g mL^{-1}). The sample was dispersed ultrasonically at 65 J mL^{-1} [to](#)
633 [standardize the treatment of the iPOM fraction, which is often isolated by shaking in other studies,](#)
634 [with the](#) probe energy supply [was](#) calibrated using the procedure explained in Puget *et al.* (2000).
635 The tube was centrifuged at 4000 rpm until there was a clear distinction between the [fPOM-iPOM](#)
636 fraction and the remaining soil pellet. The supernatant was then filtered through a 45 μm filter paper
637 and a ceramic filter using vacuum filtration. The iPOM fraction remained on the filter and was rinsed
638 with distilled water until the electrical conductivity of the filtered water was below $10 \mu\text{S m}^{-1}$. The
639 iPOM fraction was then dried at 40°C, weighed and milled.

640 2) To obtain the particulate organic matter occluded in aggregates (oPOM) fraction, the falcon tube
641 containing the pellet was again filled to 40 mL with SPT solution. The pellet was mixed with the
642 solution using a vortex shaker and then ultrasonic dispersion was applied, again, at 450 J mL⁻¹, ~~in~~
643 ~~order to destroy soil aggregates. This energy level was chosen as~~ (Schmidt et al., 1999) found that
644 450 to 500 J mL⁻¹ is enough to disperse all soil aggregates (including microaggregates) in a wide range
645 of soil types. The sample was centrifuged and the oPOM fraction was processed as described above
646 for the ~~fPOM-iPOM~~ fraction.

647 3) The remaining soil pellet was assumed to contain the mineral-associated organic matter (MOM or
648 heavy) fraction. The pellet was washed three times with 40 mL of distilled water, dried, weighed and
649 milled in the same way as the ~~fPOM-iPOM~~ and oPOM fractions. The organic carbon (C) and total
650 nitrogen (N) content of the three fractions were determined through thermal oxidation by dry
651 combustion using an elemental analyser (LECO Corp.). One possible limitation of the applied
652 fractionation scheme is that pyrogenic carbon ends up in the light iPOM and oPOM fractions
653 although it generally has higher turnover times than ~~one would assumed~~ for this fraction. For
654 Germany, however, we are confident that this is not influencing the results, as pyrogenic carbon only
655 plays a minor role in German soils. The ~~applied~~ fractionation method ~~applied~~ is only one out of
656 several possible methods and options to separate labile from stabilised SOC.
657 The carbon recovery rate of the fractionation approach was between 80 and 110%. Recovery rates of
658 more than 100% can be reached as the sample that is measured for total SOC and the sample that is
659 fractionated are not exactly the same. Even through careful subsampling the samples cannot be
660 completely homogenized concerning their carbon content. The mean carbon contents of the
661 fractions were 34.7% for the iPOM fraction, 27.4% for the oPOM fraction and 1.8% for the MOM
662 fraction.

663 Basic descriptive statistics were calculated for the data on the fractionated calibration sites, including
664 mean absolute and relative proportions of the SOC fractions divided between different ~~land use land-~~
665 uses and soil texture classes. An ANOVA was conducted to determine whether the differences

666 | between cropland and grassland ~~land-use/land-use~~ were significant and to test for significant
667 | differences between soil texture classes. The Games Howell post-hoc test was used for this purpose.

668

669 | 2.4 Near-infrared spectroscopy and chemometrics

670 | As the oPOM fraction generally contained a small proportion of total SOC (on average 4%), it was not
671 | reliably predictable on its own. Therefore, it was combined with the ~~fPOM~~ iPOM fraction to give a
672 | 'light fraction' for the purpose of prediction. This was done even though it is clear that iPOM and
673 | oPOM may differ in their availability for decomposition and in their turnover times. In this case an
674 | accurate prediction of the combined light fraction was thought to be more important and better than
675 | an inaccurate prediction of the oPOM fraction, as this can be misleading for the readers when
676 | displayed on a map. Soil samples were dried at 40°C, sieved through a 2 mm sieve and finely milled in
677 | a rotary mill. Before analysis, the samples were dried again at 40°C and equilibrated to room
678 | temperature for a few minutes in a desiccator. The soil samples were scanned with spot size 4 cm
679 | diameter in a Fourier-Transform near-infrared spectrophotometer (FT-NIRS, MPA - Bruker Optik
680 | GmbH, Germany). Spectral data were measured as absorbance spectra (A) according to $A = \log(1/R)$,
681 | where R is the reflectance expressed in wave number from 11000 to 3000 cm^{-1} (NIR region) with 8
682 | cm^{-1} resolution and 72 scans. The final spectrum was obtained by averaging two replicates.

683 | To improve the model accuracy a spectral pre-treatment was applied, using Savitzky-Golay first
684 | derivative smoothing (3 points) and wavelength selection from 1330 to 3300 nm, since these regions
685 | contain the main absorbance information. The calibration set consisted of the 145 calibration site
686 | samples, and the remaining samples were used for prediction. Partial least squares regression (PLSR)
687 | was performed in the pls package (Mevik et al., 2015), based on near-infrared (NIR) spectra and
688 | reference laboratory data. A cross-validation was applied using leave-one-out to avoid over- and
689 | under-fitting. To obtain the carbon fractions and ensure that the sum of light and heavy fractions was
690 | equal to total SOC content, the log ratio of the light and heavy fraction was predicted (Jaconi et al., ~~in~~
691 | ~~prep in review-~~). A validation using an independent validation set was not deemed advisable in this

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692 ~~study as the calibration dataset was representative for the whole area of Germany, containing~~
693 ~~including a diverse set of soil types and geographical circumstances very different soils. In this~~
694 ~~case. Moreover, 145 samples are not a large calibration dataset for a calibration and with every split~~
695 ~~of this dataset a large part of the variation present in German soils would be lost for the calibration.~~
696 ~~An independent validation using the same dataset was carried out, however, by Jaconi et al. (in~~
697 ~~review) and the calibration and validation results can be found in table S3. Model performance was~~
698 evaluated using the root mean square error of cross-validation (RMSECV), Lin's concordance
699 correlation coefficient (ρ_c) and the coefficient of determination (R^2) between predicted and
700 measured carbon content in the fractions. In addition, ~~the ratio of performance to inter-quartile~~
701 ~~range (RPIQ) and the residual prediction deviation (RPD) was/were calculated, the latter~~ using the
702 classification system devised by (Chang et al., 2001). ~~This classification is arbitrary, but nonetheless,~~
703 ~~can be used to assess the model quality and to compare with other models.~~
704 ~~We used the methodology as stated above described, as Jaconi et al (in review) found out that NIRS~~
705 ~~is one promising method to predict carbon fractions, which is fast, low-cost and accurate. The~~
706 ~~authors found had the following calibration results: NIRS in combination with chemometric methods~~
707 ~~was found to give accurate prediction of the carbon content in light and heavy fractions of the soil.~~
708 For prediction of carbon content in the fractions (g kg^{-1}), the coefficient of determination (R^2)
709 between predicted and measured carbon content in the fractions was found to be 0.87-0.90 and
710 RMSECV was 4.37 g kg^{-1} . The RPD was 2.9 for the prediction of carbon content in the light fraction
711 and 3.2 for the prediction in the heavy fraction. For prediction of carbon proportions in the fractions
712 (%), R^2 was 0.83, RMSECV 11.45% and RPD 2.4 (Fig. S1; for more details see Jaconi et al., ~~submitted in~~
713 ~~review~~). The accuracy of prediction of both SOC content and proportions of the light and heavy SOC
714 fractions was very good and was comparable with that in other studies that have used NIRS to
715 predict SOC fractions (Cozzolino and Moro, 2006; Reeves et al., 2006). ~~It can thus be concluded that~~
716 ~~prediction of SOC fractions with NIRS is a fast, inexpensive and accurate method.~~

717 2.5 Drivers of soil organic carbon distribution in fractions

718 A total of 75 potential drivers of differences in carbon proportions in different fractions was compiled
719 from the soil analysis data, complemented with data from a farm survey and geographical data (for a
720 complete list of predictors, see Table S2). The farm survey ~~related to recorded~~ management practices
721 ~~implemented,~~ over the 10 years, ~~if known by the farmer,~~ prior to sampling. Using this ~~information,~~
722 ~~yearly mean~~ carbon and nitrogen inputs ~~through plant material and organic and mineral fertilizers~~
723 ~~and outputs~~ were calculated for ~~the each sites,~~ ~~based on the yield of the main product and on~~
724 ~~different~~ ~~different carbon allocation functions for different crops as described in~~ (Bolinder et al.,
725 1997) ~~as described in Bolinder et al. (1997).~~ When data were missing in the survey responses, yields
726 were calculated using regional yield estimates ~~provided by the regional governments.~~ ~~Carbon and~~
727 ~~nitrogen inputs through mineral or organic fertiliser were also calculated based upon the survey~~
728 ~~data, using the amounts of mineral or organic fertilizers that were used by the farmers in the past ten~~
729 ~~years.~~ Climate and site data acquired from GIS data layers completed the set of predictor variables
730 (climate data from Deutscher Wetterdienst, normalised difference vegetation index (NDVI) data from
731 ESA, elevation data from Bundesamt für Kartographie und Geodäsie). For the sites in the federal
732 states of Lower-Saxony, ~~North-Rhine Westphalia, (north-western Germany) and~~ Mecklenburg-
733 ~~Western~~ ~~Western~~ Pomerania, ~~Rhineland-Palatinate, Saxony Anhalt (north-eastern Germany) and~~
734 ~~Schleswig Holstein (Northern Germany),~~ the land-use history was researched using historical maps
735 (dating back to 1873-1909), as many regions in these states are known to have a heathland or
736 peatland legacy.

737 The conditional inference forest algorithm (cforest; Hothorn *et al.*, 2006), was used to identify the
738 most influential drivers of SOC distribution among the different fractions. Cforest is an ensemble
739 model and uses tree models as base learners that can handle many predictor variables of different
740 types and can also deal with missing values in the dataset (Elith *et al.*, 2008). The cforest algorithm is
741 similar to the better known random forest algorithm, a non-parametric data mining algorithm that
742 uses recursive partitioning of the dataset to find the relationships between predictor and response
743 variables (Breiman, 2001).

744 Bootstrap sampling without replacement was carried out in order to prevent biased variable
745 importance (Strobl et al., 2007). [As multicollinearity between the predictors may result in a biased](#)
746 [variable importance measure in cforest algorithms](#) (Nicodemus et al., 2010), [the correlations](#)
747 [between the predictor variables were controlled. When the correlation between two possible](#)
748 [predictors was > 0.8, only the one with the broader range of variation was kept in the dataset.](#) Ten
749 cforest models were created, each containing 1000 trees and using different random subset
750 generators. From these models, the variable importance of predictors was extracted and the relative
751 variable importance was calculated and averaged over all 10 models. Variables were considered
752 important when their relative variable importance was higher than $100/n$, where n is the number of
753 predictors in the model. This is the variable importance that each variable would have in a model
754 where all variables are equally important (Hobley et al., 2015). It should be noted that the relative
755 variable importance value obtained from the cforest algorithm does not necessarily imply direct
756 relationships between the proportion of SOC in the light fraction and the main drivers, as the
757 algorithm also takes into account interaction effects between the variables. Model performance was
758 assessed by the coefficient of determination (R^2), as defined by the explained variance of out-of-bag
759 estimates, which represent a validation dataset:

$$R^2 = 1 - \frac{MSE_{OOB}}{Var_z} \quad (1)$$

760 where MSE_{OOB} is the mean squared error of out-of-bag estimates and Var_z is the total variance in
761 the response variable.

762 A range of soils in northern Germany, called 'black sands', behaved quite differently from other soils
763 in the country in terms of the driving factors for SOC distribution among the fractions. Therefore the
764 dataset was split into two parts for the cforest analysis and the cforest algorithm was used on: 1) the
765 dataset containing only the black sands from northern Germany ($n=264$). Those were extracted using
766 the NIR spectra, which were classified into black sands and normal soils using the simca function in

767 the “mdatools” package (Kucheryavskiy, 2017); and 2) on all other soils considered not to be black
768 sands (n=2406). All statistical analyses were conducted using the software R . Maps were generated
769 with the software QGIS.

770 3 Results

771 3.1 Carbon distribution among measured fractions (145 calibration sites)

772 The ~~fPOM~~iPOM fraction contributed an average of 23% to bulk SOC ($23\% \pm 2.36$ (mean \pm standard
773 error (SE)) in croplands and $25\% \pm 3.79-8$ in grasslands (Fig. 1). The oPOM fraction accounted for an
774 average of 4% of SOC ($3\% \pm 0.49-5$ in croplands, $8\% \pm 1.26-3$ in grasslands) across all calibration sites
775 (Fig. 1). The heavy fraction contributed the ~~largest~~ highest proportion to bulk SOC (73% in all soils,
776 $73\% \pm 2.46-5$ in croplands and $68\% \pm 4.43$ in grasslands). The differences between ~~land use~~land-uses
777 were not significant. There was great variation in the carbon distribution between ~~the~~ fractions, with
778 the ~~fPOM~~iPOM fraction contributing between 3 and 99% to bulk SOC. The absolute carbon content
779 (g kg^{-1}) of the fractions was significantly different for the heavy fraction, with grasslands having
780 significantly higher heavy fraction carbon content than croplands ($31 \text{ g kg}^{-1} \pm 3$ compared with 13 g
781 $\text{kg}^{-1} \pm 0.7$).

782 There were significant differences in the contribution of the different fractions to bulk SOC
783 depending on the main soil texture class (Fig. 2). In sandy soils, the ~~fPOM~~iPOM fraction contributed
784 significantly more and the heavy fraction contributed significantly less to bulk SOC than in other soils.
785 For the oPOM fraction, the difference between sandy soils and clayey, silty and loamy soils was not
786 significant. The absolute SOC content (g kg^{-1} soil) was significantly higher in the heavy fraction of
787 clayey soils than in the heavy fraction of all other soil textures and it was significantly higher in the
788 oPOM fraction of sandy soils than in the ~~fPOM~~i fraction of all other soils.

789 3.2 Influences on soil organic carbon distribution among fractions (~~calibration and prediction~~ 790 ~~sites~~all 2900 sites)

791 With the machine-learning algorithm cforest, 75 variables that may act as drivers for the regional
792 distribution of SOC fractions were evaluated (Fig. 3a). For the 'normal' soils (non-black sands)
793 dataset, soil texture had the highest explanatory power in predicting the contribution of the light

794 fraction to bulk SOC (Fig. 4), with clay content being negatively and sand content positively
795 correlated with percentage of SOC in the light fractions. The SOC content, bulk soil C/N ratio, [land](#)
796 [use/land-use](#), soil type, pH and CaCO₃ content were also identified as important explanatory variables
797 [when predicting the light fraction proportion](#). The SOC content showed a positive relationship with
798 light-fraction SOC proportion and with bulk soil C/N ratio. The grassland soils showed a higher
799 proportion of bulk SOC in the light fraction than the cropland soils and pH was negatively related to
800 the light-fraction SOC proportion. [Comparing the fractions distribution in the different soil types, it is](#)
801 [obvious that the podzols store a substantially higher proportion of their total SOC in the light fraction](#)
802 [than all other soil types \(Fig. 6\)](#).

803 The analysis of historical [land-use/land-use](#) data of northern Germany confirmed that the former
804 peatland, heathland and grassland sites had significantly higher ($p < 0.01$) proportions of bulk SOC in
805 the light fraction than sites used as cropland in the same period (Fig. 5a). These historical peatland,
806 heathland and forest sites also had significantly higher ($p < 0.05$) C/N ratio than the historical cropland
807 and grassland sites (Fig. 5b). Regarding the total SOC content, historical peatland and grassland sites
808 had significantly higher ($p < 0.001$) values than historical croplands (Fig. 5c).

809 For the black sands dataset, bulk soil SOC content was the most important driver of SOC distribution
810 in the fractions (Fig. 3b), followed by C/N ratio, soil temperature in summer and soil bulk density. The
811 SOC content had a positive relationship with percentage of SOC in the light fraction, and with C/N
812 ratio (Fig. 4). For soil temperature there was no clear relationship. There was a negative relationship
813 between SOC proportion in the light fraction and soil bulk density.

814 3.3 Distribution of soil organic carbon into fractions across Germany

815 Regions featuring high proportions of SOC in the light fraction (over [4960% of total SOC](#)) nearly all lie
816 in northern Germany (Fig. 7). Medium proportions of SOC in the light fraction (40-60% [of total SOC](#))
817 were found in Mecklenburg-~~Western~~[Western](#) Pomerania and in parts of Brandenburg (north-east
818 Germany). Low proportions (< 40 %) of SOC in the light fraction were found in central and southern

819 | Germany. ~~When looking at the soils'~~Considering the absolute contents of SOC in the light fraction
820 | ~~(Fig. 8), it is was obvious that in most regions~~ the absolute (in g/kg) and relative (in %) carbon
821 | ~~contents in the light fraction are in close alignment in most regions in Germany, implying that those~~
822 | ~~sites with a higher total SOC content also have a higher proportion of this content stored in the light~~
823 | ~~fraction.~~

824 4 Discussion

825 4.1 Contribution of soil organic carbon fractions to bulk soil organic carbon

826 The relative distribution of carbon among different fractions did not differ significantly between
827 croplands and grasslands (Fig. 2a in the calibration dataset (n=145)), which is in agreement with
828 previous findings for south-east Germany (Wiesmeier et al., 2014). There was a trend, however, for
829 slightly higher iPOM content in grasslands than in croplands. When taking the full dataset, including
830 the fractions predicted with NIRS, the difference was significant ($p < 0.05$), with higher proportions of
831 POM in grassland topsoils when compared to cropland (not shown)~~ame~~. Other studies, however,
832 found considerably higher differences between POM proportions in grassland and cropland soils.
833 ~~when soils~~(Christensen, (2001) estimated that, in grassland soils, 15-40% of SOC is stored in the light
834 fraction and (Poeplau and Don, (2013b) found the light fraction proportion to be twice as high in
835 grassland topsoils (0-10 cm) when compared to cropland soils in a study using paired land use
836 change sites. One possible reason for a larger light fraction in grassland soils is the permanent
837 vegetation cover and the high amount of roots, which provide a higher ~~input above~~ground and
838 belowground input of SOC (Christensen, 2001). This-The ~~smaller~~limited differences between in light
839 fraction between in cropland and grassland soils shown in our study may partly can possibly be due to
840 interfering factors, as ~~due to~~ historical ~~land use~~land-use changes which would need deeper
841 investigations to unravel.~~conversion of cropland to grassland still affecting carbon distribution in the~~
842 fractions. ~~Moreover,~~ Grasslands and croplands are ~~often generally~~ located on different soil types
843 which, again, interferes with other factors as soil moisture or texture. , ~~however;~~ and thus
844 ~~Therefore,~~ it is not always possible to draw direct conclusions on land-use change effects on carbon
845 fractions from such regional inventories. ~~In a previous study using paired land use change sites, the~~
846 POM proportion was found to be twice as high in grasslands as in croplands (Poeplau and Don,
847 2013b). Even though the fraction distribution did not differ significantly between croplands and

848 ~~grasslands in the present study, there was a trend for slightly higher fPOM content in grasslands than~~
849 ~~in croplands.~~

850 The significant differences observed in the absolute SOC content of fractions between different land
851 use/land-uses were to be expected, as grassland soils in Germany contain on average more than twice
852 as much SOC in the upper 10 cm as cropland soils (42 ± 16 g kg⁻¹ compared with 17 ± 9 g kg⁻¹, Fig. 2b).
853 This higher carbon content of grassland soils is often found and can mainly be attributed to the
854 higher SOC inputs and the lack of tillage induced SOC mineralization in the topsoil (Post and Kwon,
855 2000; Wiesmeier et al., 2014).

856 **4.2 Black sands in Germany**

857 All samples with medium or high proportions of SOC in the light fraction were found to originate
858 from northern Germany. This is the area in which the black sands are present, which store large parts
859 of their SOC in the light fraction. Springob & Kirchmann (2002a) examined the presence of black
860 sands in Lower Saxony in Germany and linked it to the land-use history. In Ap-horizons of soils
861 formerly used as heathland or plaggen, they found a high fraction of SOC resistant to oxidation with
862 HCl. This HCl-resistant fraction was positively correlated with the total SOC content, but soil microbial
863 biomass carbon content showed a negative relationship with total SOC and, when incubated, the
864 specific respiration rates were lowest for the soils with the highest SOC content (Springob &
865 Kirchmann, 2002a). Those authors concluded that a large-high proportion of the organic matter in
866 the former heathland soils is resistant to decomposition and suggested that low solubility of the SOC
867 could be responsible for its high stability. A recent study (Alcántara et al., 2016) reported similar
868 results for sandy soils under former heathland, which had lower respiration rates per unit SOC and a
869 wider range of C/N ratios than control soils without a heathland history. Certini *et al.* (2015) showed
870 that SOC under heathlands is rich in alkyl C and contains high contents of lipids, waxes, resins and
871 suberin, all of which hinder microbial degradation. This confirms the claim that sandy soils under
872 former heathland and contain high contents of stable SOC even though they also contain a large-high

873 amount of POM. In such soils, the POM fractions may not be directly linked to higher turnover rates
874 and lower stability.

875 “Historical” peatlands may have lost much of their former carbon stocks due to a number of reasons:
876 Drained peatlands emit huge amounts of CO₂ (German grasslands on average 27.7 to CO₂ ha⁻¹ yr⁻¹,
877 (Tiemeyer et al., 2016)) until the peat has virtually vanished. There might have also been peat
878 extraction, and the remaining peat layer might have been mixed with underlying sand. Finally, former
879 peatland soils were often mixed with large amounts of sand in order to make them usable for arable
880 cultivation, but still often contain substantial proportions of (degraded) peat and therefore have
881 relatively high SOC content, with a large part of the SOC in the light fraction. It has been found
882 elsewhere (Bambalov, 1999; Ross and Malcolm, 1988; Zaidelman and Shvarov, 2000) that the SOC
883 content in sand-mix cultures declines rapidly after mixing with sand and that the decline increases
884 with increasing intensity of mixing. In a 15-year long-term trial, Bambalov (1999) found that the SOC
885 content of a sand-mix culture could only be stabilised (at much lower SOC content than the original
886 peat) by adding organic and mineral fertilisers to the soil. In contrast, Leiber-Sauheitl et al. (2014)
887 found that a peat-sand mixture with a SOC content of 93 g kg⁻¹ emitted as much CO₂ as an adjacent
888 shallow “true” peat. Similarly, Frank *et al.* (2017) determined a higher contribution of soil-derived
889 dissolved organic carbon at a peat-sand mixture compared to the peat, which points to a low stability
890 of the SOC in this kind of soils. This means that, for the light fraction of the former peatlands in
891 northern Germany, enhanced stability of the POM cannot be assumed. Thus, for more accurate
892 interpretation of results, the black sands had to be divided into a former heathland group, containing
893 a relatively stable light fraction, and a former peatland group, containing a relatively labile light
894 fraction, although there are transitional vegetation types with heath on peatlands.

895 Land-use history clearly continues to influence soil SOC dynamics, since the light-fraction SOC
896 proportion and the bulk soil C/N ratio were higher in soils with a heathland or peatland history in the
897 present study. This supports findings by Sleutel *et al.* (2008) that the chemical composition of pairs
898 of relict heathland and cultivated former heathland soils is very similar. Unfortunately former

899 peatlands and heathlands are not necessarily distinguishable due to their SOC content and C/N ratio,
900 so that knowledge on the [land-use/land-use](#) history is necessary. In some cases, however, even the
901 distinction on site can be difficult, e.g. on dry peatlands with heath vegetation (*Calluna*, *Erica*). In
902 future studies it would therefore be interesting to incubate pairs of former heathland and peatland
903 in order to be able to make accurate claims on the vulnerability of the light fraction SOC in these
904 soils.

905 The presence of black sands poses a problem for interpretation of the SOC fractions. In most cases,
906 the SOC in the light fraction (~~fPOM-iPOM~~ + oPOM fractions) is seen as representing a labile carbon
907 pool with short turnover times. Therefore sites with high proportions of bulk SOC in the light fraction
908 would be seen as being at risk of losing this substantial part of their SOC stock quite rapidly and
909 easily. For the black sands, however, their former heathland [land-use/land-use](#) history has led to quite
910 stable and not easily degradable POM (Overesch, 2007; Sleutel et al., 2008; Springob and Kirchmann,
911 2002), while for former peatland that was drained and possibly mixed with sand the classification of
912 the light fraction into a labile SOC pool may well be justified (Leiber-Sauheitl et al., 2014). This implies
913 that the results need to be interpreted in a different way for black sands than for other soils.

914 **4.3.2 Driving factors for carbon distribution into fractions**

915 **4.3.2.1 'Normal' agricultural soils (non-black sands)**

916 The most important driver for the SOC distribution among the fractions in 'normal' soils was the soil
917 texture (Fig. 3a). This is well in line with the frequently reported relationship between clay content
918 and mineral-associated (heavy fraction) SOC, whereby clayey soils can stabilise SOC through
919 mechanisms that protect it against microbial decay by absorption or occlusion (v. Lützow et al., 2006;
920 Six et al., 2002). ~~(v. Lützow et al., 2006)~~ The SOC that is bound to the mineral phase is mostly
921 assigned to a conceptual stable SOC pool. The negative relationship between SOC content and
922 percentage of SOC in the heavy fraction (Fig. 4) may indicate SOC saturation of the mineral fraction
923 at rising SOC content, so that excess SOC can only be stored as particulate organic carbon.

924 The positive correlation between [soil C/N ratio](#) and C proportion in the light fraction (Fig. 4) is related
925 to the inherent higher C/N ratio of the light fraction compared with the heavy fraction. ~~Thus, so that~~
926 a higher share of light-fraction C leads to a higher C/N ratio of the ~~total-bulk~~ soil. Thus, ~~in 'normal'~~
927 ~~agricultural soils the~~ C/N ratio may be useful as an indicator of SOC stability: [A high C/N ratio](#)
928 [indicates a high proportion of labile SOC in the soil.](#) ~~in 'normal' agricultural soils in Germany. The light~~
929 ~~fraction generally has a higher C/N ratio than the other fractions as its material is less decomposed~~
930 ~~and therefore closer to the high C/N ratios of the originating materials than materials of the heavy~~
931 ~~fraction which have undergone a higher degree of decomposition.~~

932 The fact that [land use/land-use](#) is an important driver for the distribution of SOC among the fractions
933 is mainly due to the fact that [in the dataset containing all non-black sand sites](#) topsoils under
934 grassland store a significantly higher share of SOC in the light fraction than topsoils under cropland.
935 This is in line with higher inputs of roots, which make up part of the light fraction, into grassland
936 topsoils. The higher proportion of SOC in the light fraction was also noted in the calibration dataset
937 [\(n=145\)](#), but the difference was not significant in that case.

938 [Apart from texture, C/N ratio and land-use, another important driving factor for the distribution of](#)
939 [SOC into across among fractions was the soils carbonate content.](#) Most arable topsoils in Germany do
940 not contain carbonate. The 9% of arable soils that contained over 5% carbonate in this study
941 consistently had a high proportion of heavy-fraction carbon and were therefore classified as
942 containing mainly stabilised SOC (Fig. 4). Calcium bridges may foster absorption of SOC onto mineral
943 surfaces and, via an active soil fauna, high pH enhances the turnover and transformation of SOC from
944 recently added biomass to mineral-associated SOC that can be stabilised via absorption (Oades,
945 1984). In general, there was a trend for a higher proportion of SOC in the light fraction with lower pH
946 (Fig. 4), which is well in line with the finding by Rousk *et al.* (2009) that SOC mineralisation is slower
947 in soils with lower pH due to a higher ratio of fungal to bacterial biomass.

948 The influence of soil type is mainly due to the Podzol soils storing a much higher proportion of bulk
949 SOC in the light fraction than all other soil type classes (Fig. 6). Podzols often develop on sandy soils
950 and therefore do not have a high capacity for SOC stabilisation in the heavy fraction (Sauer et al.,
951 2007).

952 **4.3.2 Black sands**

953 In the dataset containing only the black sands, soil total SOC content was the most important driver
954 for the SOC distribution among the fractions, with increasing light fraction with increasing SOC
955 content (Fig. 4). On the one hand, this could indicate saturation of the heavy fraction at high SOC
956 contents, which would lead to further storage in the light fraction only, as already mentioned above
957 for 'normal' soils. Another possible explanation is that those soils with the highest SOC content in the
958 dataset are degraded peatlands, in which a high percentage of the SOC ends up in the light fraction.
959 On former heathlands, the soil total SOC content is also quite high compared with that in other sandy
960 soils and the light fraction is mainly built up from *Calluna vulgaris* litter, since *Calluna* vegetation
961 dominates on many heathlands. *Calluna* litter contains very stable SOC due to high contents of lipids,
962 long-chain aliphatics and sterols, and may persist in the light fraction of soil for decades or even
963 centuries (Sleutel et al., 2008).

964 There is a close link between land-use history as peatland and heathland and soil C/N ratio, with high
965 C/N ratio in former heathland soils (Alcántara et al., 2016; Certini et al., 2015; Rowe et al., 2006) and
966 also often in former peatlands (Aitkenhead and Mcdowell, 2000). Therefore it is evident that land-
967 use history is a main driver for the high proportions of bulk SOC found in the light fraction in these
968 soils. This is well in line with the significantly higher C/N ratios reported for soils in Lower-Saxony and
969 Mecklenburg-Western Pomerania, which were under heathland or peatland more than 100
970 years ago (Fig. 5). The influence of land-use history reinforces the relationship between C/N ratio and
971 the light fraction.

972 In black sands, there was a significant negative relationship between soil temperature and the light-
973 fraction SOC proportion, but this was not found for the other soils (Fig. 4). A negative relationship
974 was observed between soil bulk density and proportion of SOC in the light fraction, which was
975 evidently due to the low density of the light fraction affecting overall soil bulk density (Fig. 4).

976 | Even though the [land-use/land-use](#) history was part of the dataset and we could link several of the
977 | important driving factors to a history as peatland or heathland, the cforest algorithm did not identify
978 | the [land-use/land-use](#) history as important driver for the SOC distribution into fractions. This was the
979 | case because we did not have the detailed land-use history data for all sites. But even when running
980 | the cforest algorithm only for those sites with known land-use history, it was not selected as
981 | important driver. This is probably due to the fact that at the time of the land survey in 1873-1909
982 | some of the former heathland and peatland sites had already been cultivated. Therefore the land-
983 | use history would not prove as a reliable indicator. We [could not](#) confirm this by referring to an older
984 | land survey, dating back to 1764-1785. For sites that exhibited typical black sand features (e.g. high
985 | SOC proportions in light fractions, high sand content, and high C/N ratio) but were not a heathland
986 | and peatland in the 19th century, we often found a heathland or peatland signature on the maps
987 | from the 18th century. Unfortunately this land survey from the 18th century is incomplete and we
988 | could therefore not rely on it for all sites.

989 | **4.4.3 Hot regions of labile and stable carbon in Germany**

990 | ~~Taking together all the important explanatory variables discussed above, regions in which the SOC~~
991 | ~~can be classified as mostly labile were identified. These were soils with a high proportion of bulk SOC~~
992 | ~~in the light fraction and without a heathland history. Such soils are mainly located in northern~~
993 | ~~Germany and some have a peatland history (Fig. 7). These soils can be seen as vulnerable to losses of~~
994 | ~~a large proportion of their SOC in the topsoil easily and rapidly. Loss of SOC could occur e.g. through~~
995 | ~~a change in management that reduces carbon inputs to the soil and therefore fails to maintain the~~
996 | ~~light fraction, for example a [land-use/land-use](#) change from grassland to cropland (Poepplau et al.,~~

997 ~~2011) or reduced input of organic fertilisers or crop residues (Dalal et al., 2011; Srinivasarao et al.,~~
998 ~~2014). Losses of SOC could also occur due to higher temperatures, which could lead to enhanced~~
999 ~~microbial activity and therefore enhanced mineralisation of SOC in the light fraction (e.g. Knorr et al.,~~
1000 ~~2005). In the case of former peatlands many soils may already be losing significant parts of their SOC~~
1001 ~~(Leiber-Sauheitl et al., 2014; Tiemeyer et al., 2016).~~

1002 For a soil to be definitively identified as being vulnerable to SOC losses, it not only needs to have a
1003 high proportion of bulk SOC in the light fraction, but also a high absolute SOC content in this fraction.
1004 The map in Fig. 8 shows the absolute SOC content of the light fraction at sites of the German
1005 Agricultural Soil Inventory. Comparing Fig. 7 and Fig. 8, it is evident that sites which store a high
1006 proportion of their SOC in the light fraction generally also have high absolute SOC content in the light
1007 fraction. This implies that those sites are really the most vulnerable to SOC losses, as they not only
1008 have high proportions of SOC in the light fraction, but also the highest absolute SOC content in the
1009 light fractions to lose. As the SOC in former peatland soils has been shown to be easily mineralised
1010 (Bambalov, 1999), management of such sites should be aimed at stabilising the SOC stocks and
1011 preventing further degradation of the peat. When there is a heathland history, it can be assumed
1012 that the SOC in the light fraction is quite stable, but that does not imply that freshly added litter will
1013 also be stable. In fact, it is quite likely that it will not be stable if no heathland vegetation is planted.
1014 This implies that the SOC stocks on these sites will decline when the resistant litter is not
1015 replenished.

1016 Taking together all the important explanatory variables discussed above, regions in which the SOC
1017 can be classified as mostly labile were identified. These were soils with a high proportion of bulk-SOC
1018 in the light fraction and without a heathland history. Such soils are mainly located in northern
1019 Germany and many of those have a peatland history (Fig. 7). These soils can be seen as vulnerable to
1020 losses of a high proportion of their SOC in the topsoil easily and rapidly. Loss of SOC could occur e.g.
1021 through a change in management that reduces carbon inputs to the soil and therefore fails to
1022 maintain the light fraction, for example a land-use change from grassland to cropland (Poeplau et al.,

1023 [2011\) or reduced input of organic fertilisers or crop residues \(Dalal et al., 2011; Srinivasarao et al.,](#)
1024 [2014\). Losses of SOC could also occur due to higher temperatures, which could lead to enhanced](#)
1025 [microbial activity and therefore enhanced mineralisation of SOC in the light fraction \(e.g. Knorr et al.,](#)
1026 [2005\). In the case of former peatlands many soils may already be losing significant parts of their](#)
1027 [SOC \(Leiber-Sauheitl et al., 2014; Tiemeyer et al., 2016\).](#)

1028 Regions with soils with a high proportion of stable SOC are located mainly in central and southern
1029 Germany (Fig. 7). In these regions, soils consistently store over 60% of their SOC in the heavy
1030 fraction, in which the SOC is bound mostly to the mineral surfaces of clay minerals. Thus, these soils
1031 have the lowest vulnerability to losing their SOC, as losses mostly occur from the light fraction.
1032 However, even in these regions up to 40% of bulk SOC is stored in the light fraction and this may be
1033 lost. Therefore apparent lower vulnerability does not mean that SOC-conserving soil management is
1034 not needed in these regions. It should be noted that the quality of the SOC in the light fraction is
1035 probably not the same in all soils, land-use (history) and climate regions. Therefore, the vulnerability
1036 and turnover time of the light fraction may also vary considerably within different regions. This can
1037 be seen in the light fraction C/N ratio for example, which ranged between 11 and 43 for the 143
1038 calibration sites studied here.

1039 [Using the combination of SOC fractionation and prediction with NIRS, it is generally possible to](#)
1040 [identify regions that are more or less vulnerable to SOC losses. The results must be assessed with](#)
1041 [care, however, as phenomena like non-labile light fraction in the black sands can hamper the](#)
1042 [interpretation. It is therefore advisable to look at different driving factors when classifying sites as](#)
1043 [more vulnerable than others, because the light fraction, for example, is not always a labile fraction,](#)
1044 [as shown above for the black sands. We advise to treat this kind of Moreover, special soil](#)
1045 [phenomena are to be assessed separately from the 'normal' soils, as the driving factors for the](#)
1046 [fractions distribution may vary considerably. for regions in which phenomena like the black sands](#)
1047 [persist.](#)

1048 5 Conclusions

1049 Identification of the distribution of SOC fractions in German soils allowed clear identification of
1050 regions where the SOC in agricultural soils is most vulnerable to being lost. The cforest analysis
1051 provided indications of the factors driving the distribution of SOC into the different fractions. It was
1052 found that soil texture, bulk soil SOC content, bulk soil C/N ratio, land-use history and pH were the
1053 main drivers for this distribution in 'normal' soils. In 'black sand' soils in northern Germany, the SOC
1054 distribution into the fractions mainly depended on total SOC content and soil C/N ratio and was
1055 directly linked to the land-use history. Former peatland or heathland still has a great influence on the
1056 composition of soil SOC decades or even centuries after cultivation of the soil. In some regions of
1057 Germany the majority of bulk SOC is stored in the light fraction, but this does not always imply that
1058 this SOC is labile. Use of SOC fractionation techniques coupled with NIR spectroscopy to extrapolate
1059 to a national soil inventory dataset was successful in predicting POM fractions. However, additional
1060 knowledge on land-use history was required to determine whether this POM is vulnerable to losses
1061 or not. This study focused on the topsoil only, as it has comparatively high SOC stocks and is most
1062 vulnerable to changes in management. Future studies should also examine the SOC distribution in
1063 the subsoil, as this would enable exploitation of all possibilities for sequestering additional SOC in the
1064 soil, in order to mitigate the CO₂ content in the atmosphere. Regarding soil management measures,
1065 this study provided indications on where the most prudent and SOC-conserving management
1066 techniques are advisable for different regions of Germany, ~~w. ith the former peatland soils in~~
1067 ~~Northern Germany beingare most vulnerable and the former heathland soils in the same region~~
1068 ~~beingare less vulnerable at the moment, but being at risk of losing large parts of their SOC when the~~
1069 ~~relatively stable heathland litter is not replaced in the future.~~ The vulnerability of those heathland
1070 soils can change, however, when changes in soil management occur. This study showed that through
1071 the regionalspatial upscaling of SOC fraction distribution through the NIRS prediction of SOC
1072 fractions, it is possible to elucidate the SOC vulnerability and driving factors for SOC stability aton a
1073 national scale.

1074

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1076

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1088 use.

1089 **Literature**

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Figures

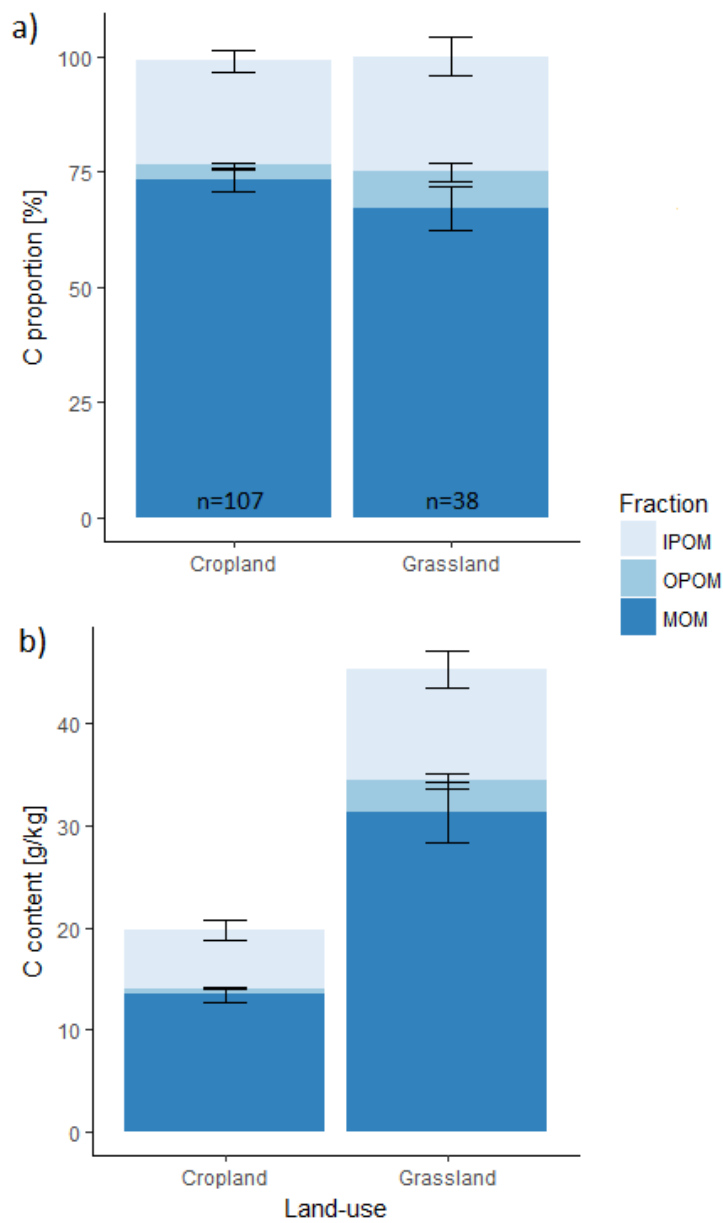


Fig. 1: a) Proportion (%) and b) absolute content (g kg^{-1}) of soil organic carbon (SOC) in the *intra-aggregate-free* particulate organic matter (iPOM), occluded particulate organic matter (oPOM) and mineral-associated organic matter (MOM) fraction in soils under cropland and grassland for the 145 calibration sites that were fractionated. Error bars denote standard error of the mean.

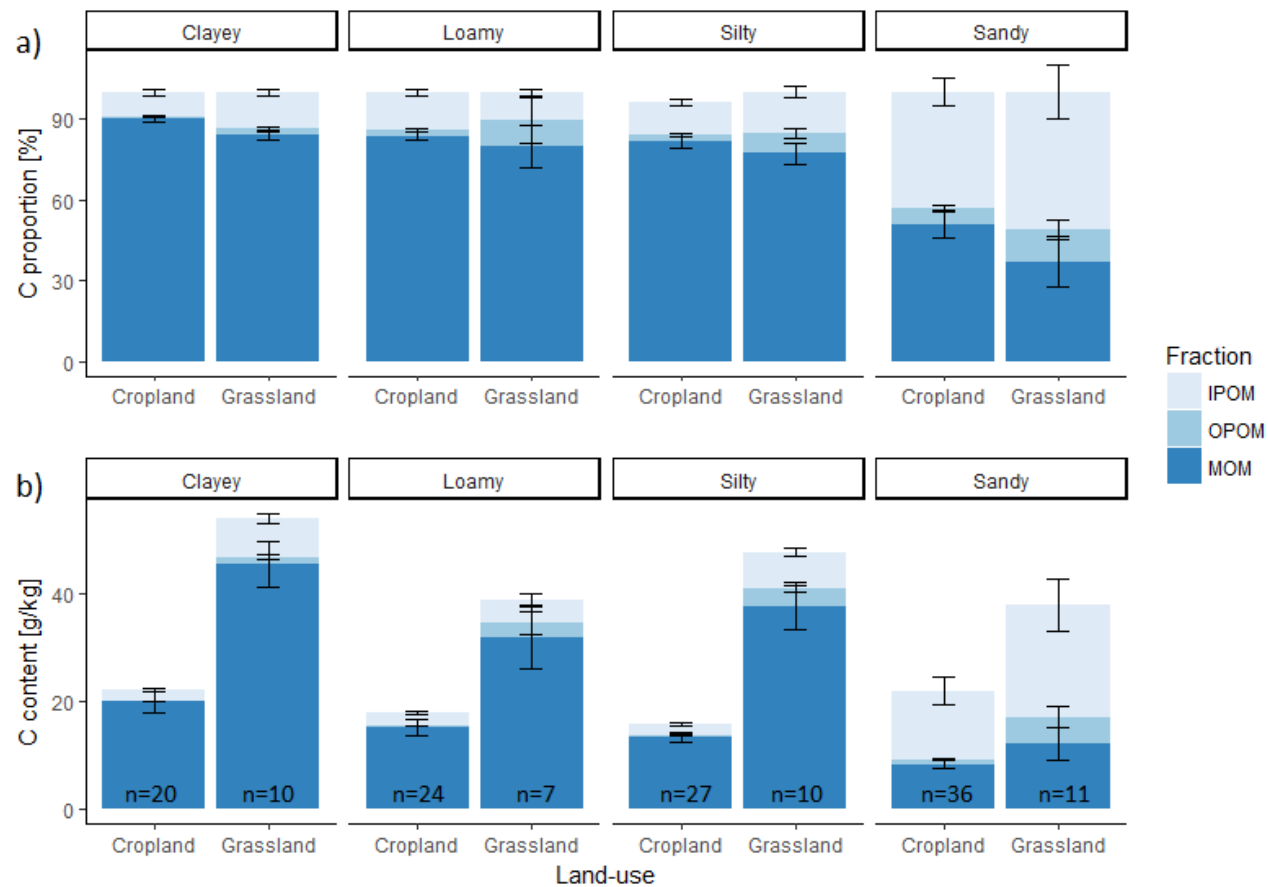


Fig. 2: a) Proportion (%) and b) absolute content (g kg^{-1}) of soil organic carbon (SOC) in the free-intra-aggregate particulate organic matter (fPOM/iPOM), occluded particulate organic matter (oPOM) and mineral-associated organic matter (MOM) fraction in different soil texture classes for the 145 calibration sites that were fractionated. Error bars denote the standard error of the mean.

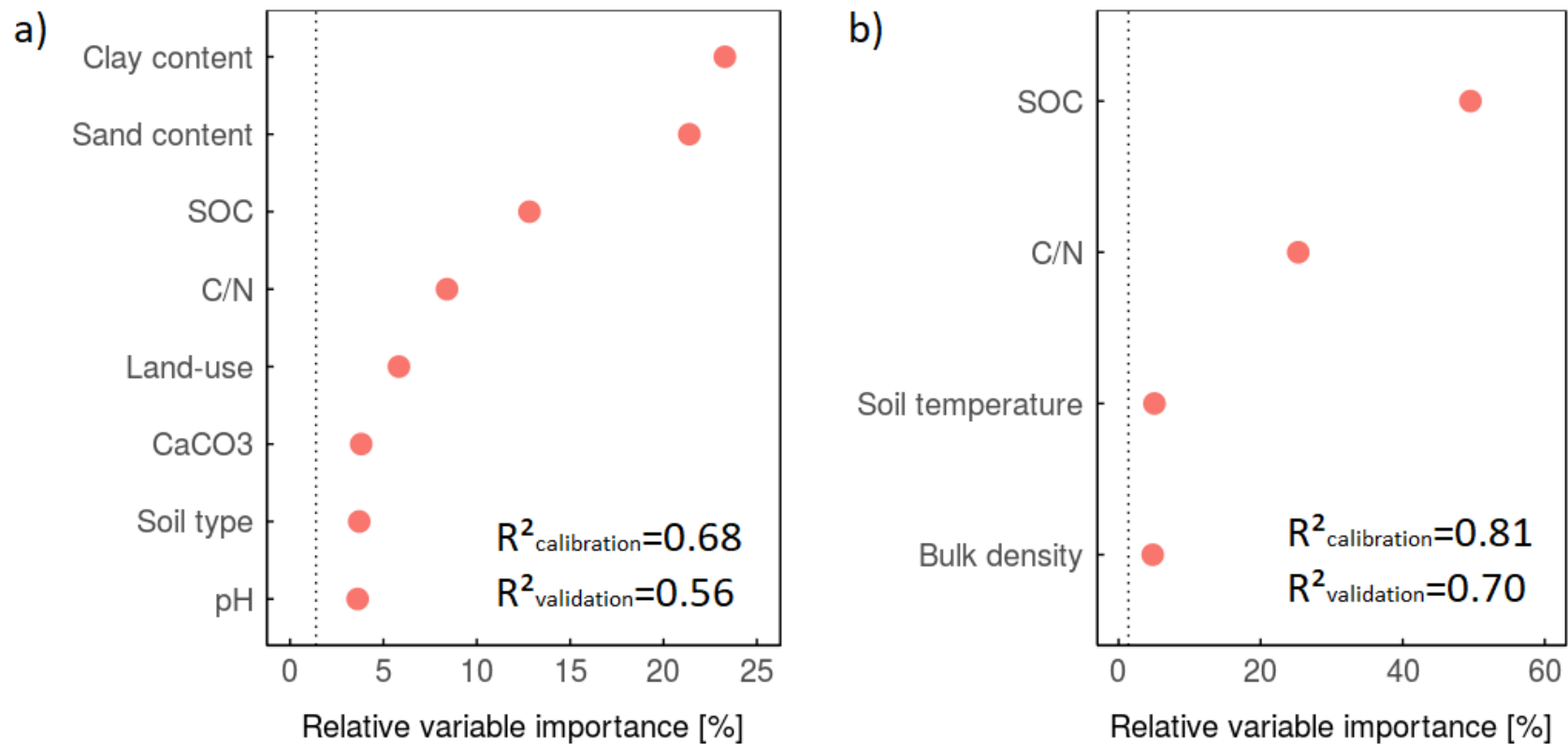


Fig. 3: Mean relative variable importance according to conditional inference forest (cforest) algorithm for predicted proportion of soil organic carbon (SOC) in the light fraction. The vertical line indicates the threshold value of relative variable importance above which a variable was regarded as important. a) Variable importance for all soils that are not black sands and b) variable importance for only black sands.

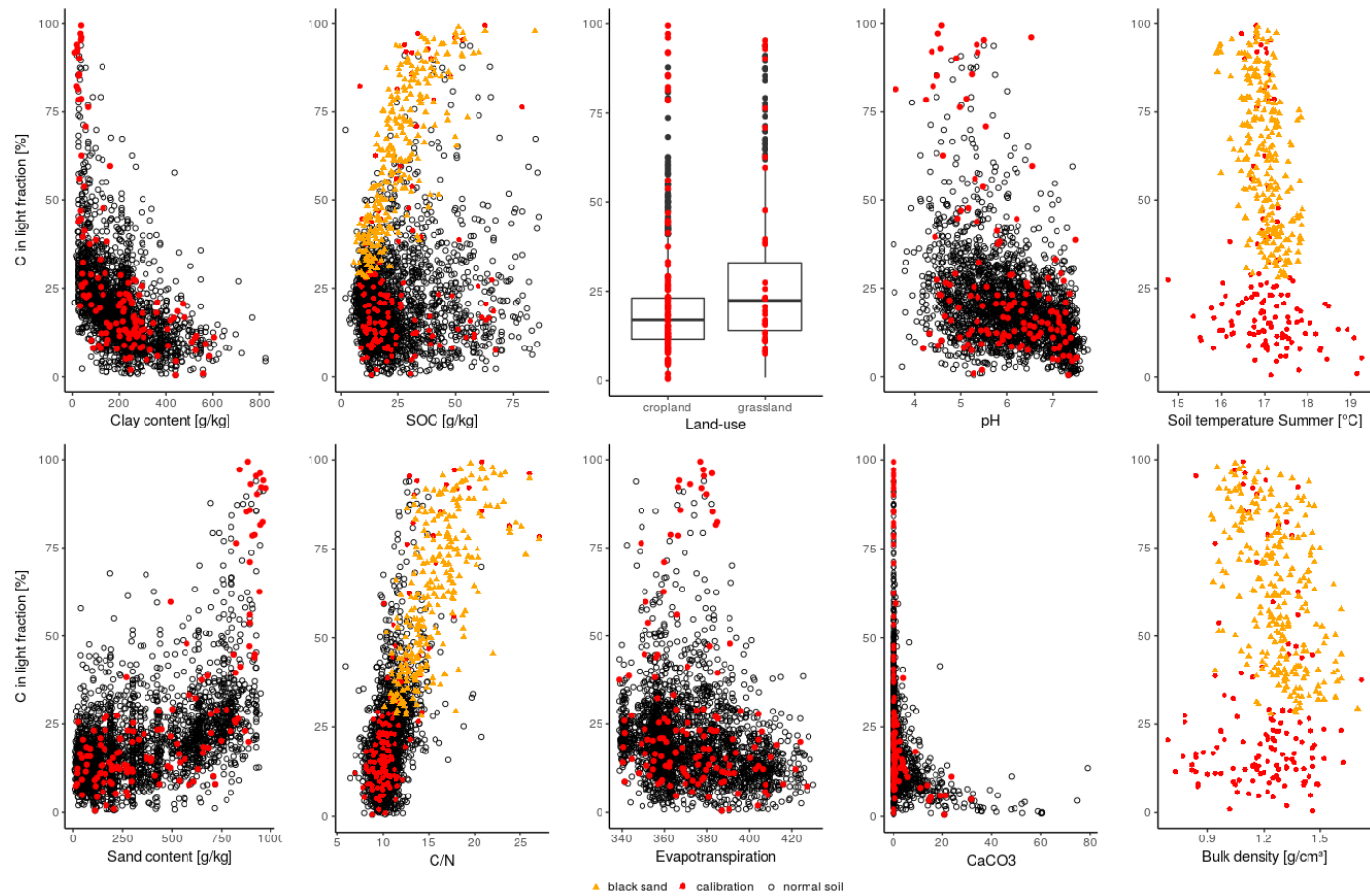


Fig. 4: Relationship between soil organic carbon (SOC) proportion in the light fraction and influential variables. Calibration sites are shown as red dots, normal soils as black dots and black sands as orange triangles.

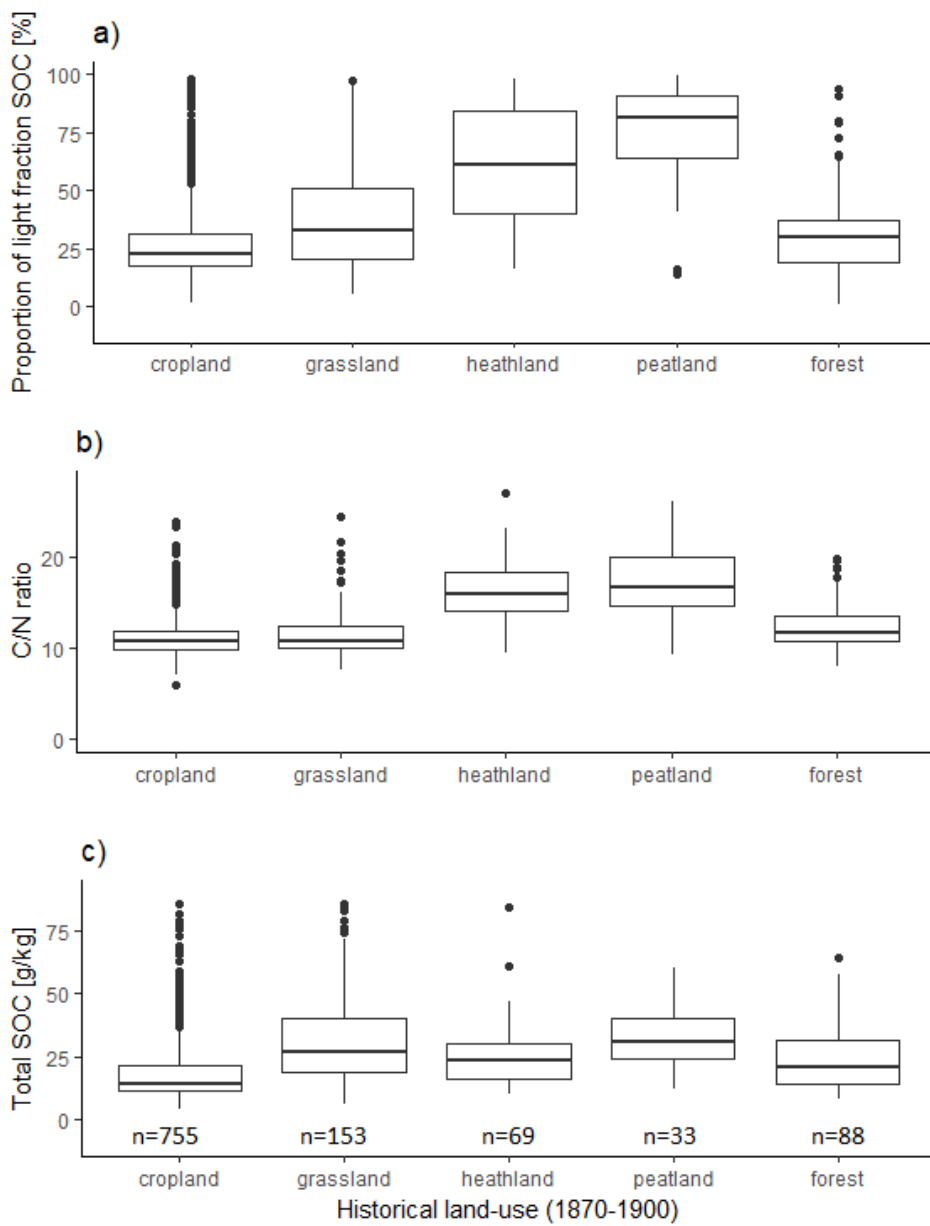


Fig. 5: Relationship between land-use history and a) proportion of light fraction soil organic carbon (SOC), b) carbon/nitrogen (C/N) ratio and c) total SOC content for all sites in the federal states of Lower-Saxony, Mecklenburg-Western Pomerania, North-Rhine Westphalia, Saxony-Anhalt, Rhineland-Palatinate and Schleswig-Holstein.

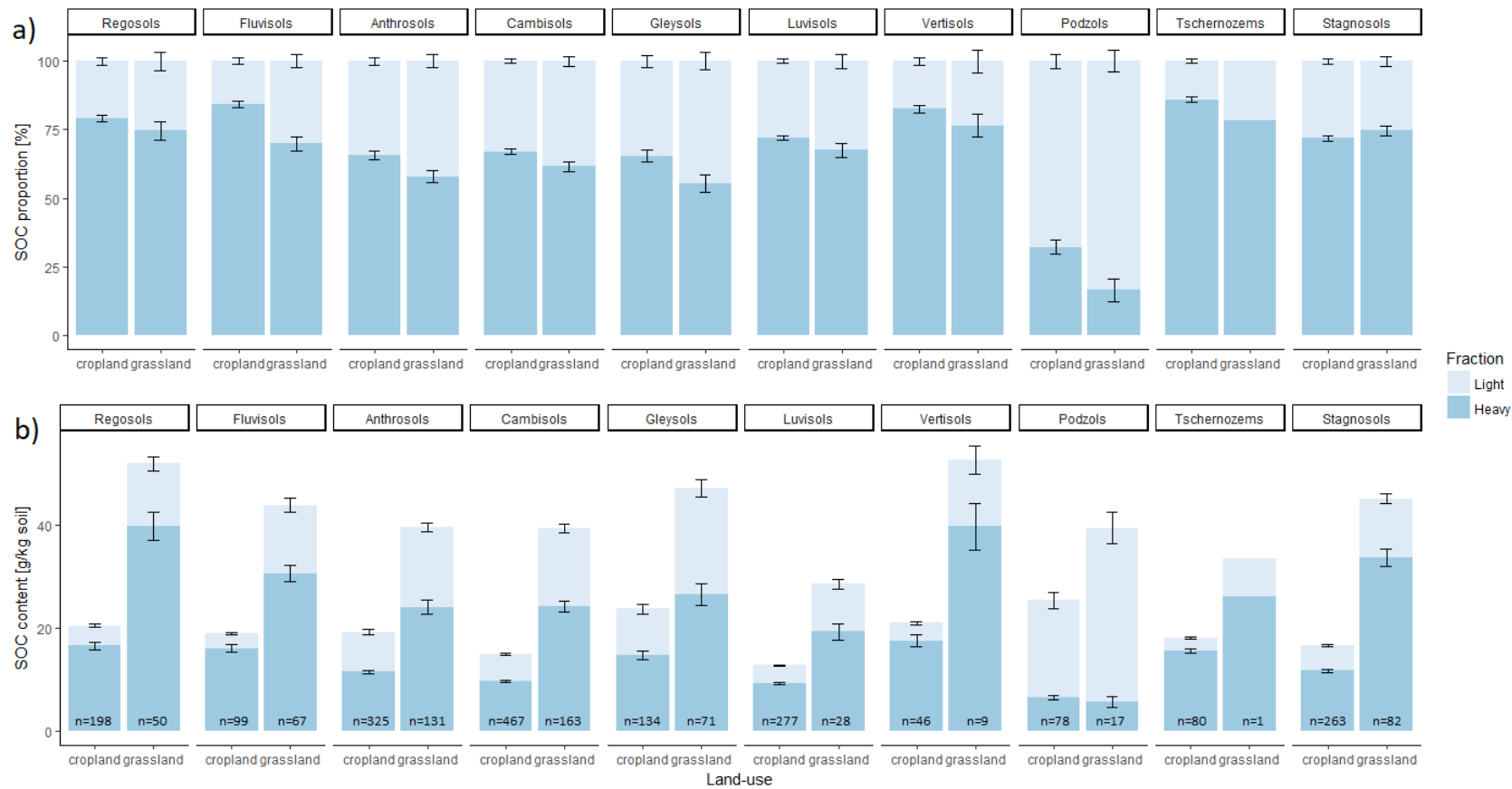
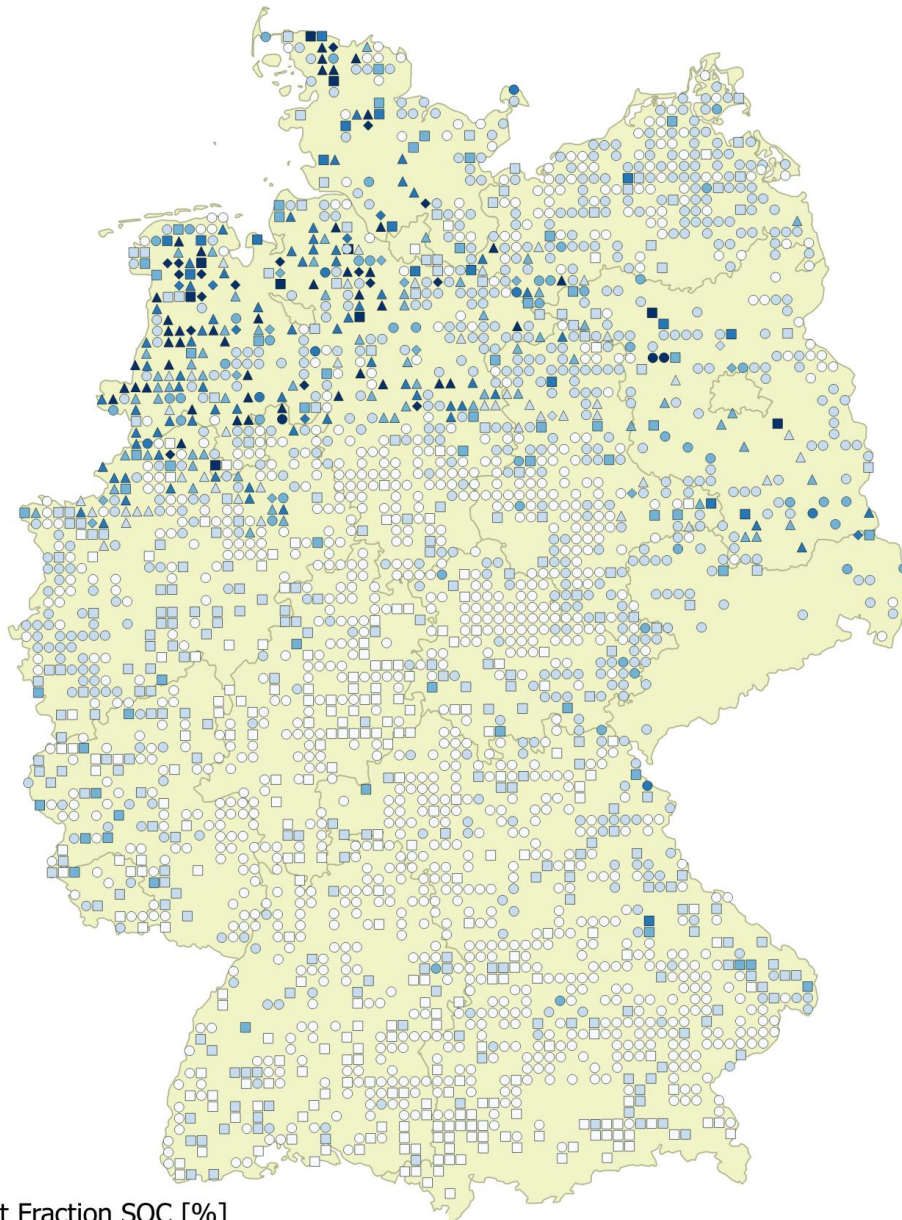


Fig. 6: a) Proportion (%) and b) absolute content (g kg^{-1}) of soil organic carbon (SOC) in the light and heavy fractions in different soil types in the 'normal' soils (non-black sands) dataset. Error bars denote standard error of the mean.



Light Fraction SOC [%]

Cropland Normal

- 0.5-20
- 20 - 40
- 40 - 60
- 60 - 80
- 80 - 100

Grassland Normal

- 0-20

Cropland Black Sand

- △ 0 - 20

Grassland Black Sand

- ◇ 0-20

0 50 100 150 200 km



Fig. 7: Predicted soil organic carbon (SOC) proportion range (%) in the light fraction of soil at sites in the German Agricultural Soil Inventory.

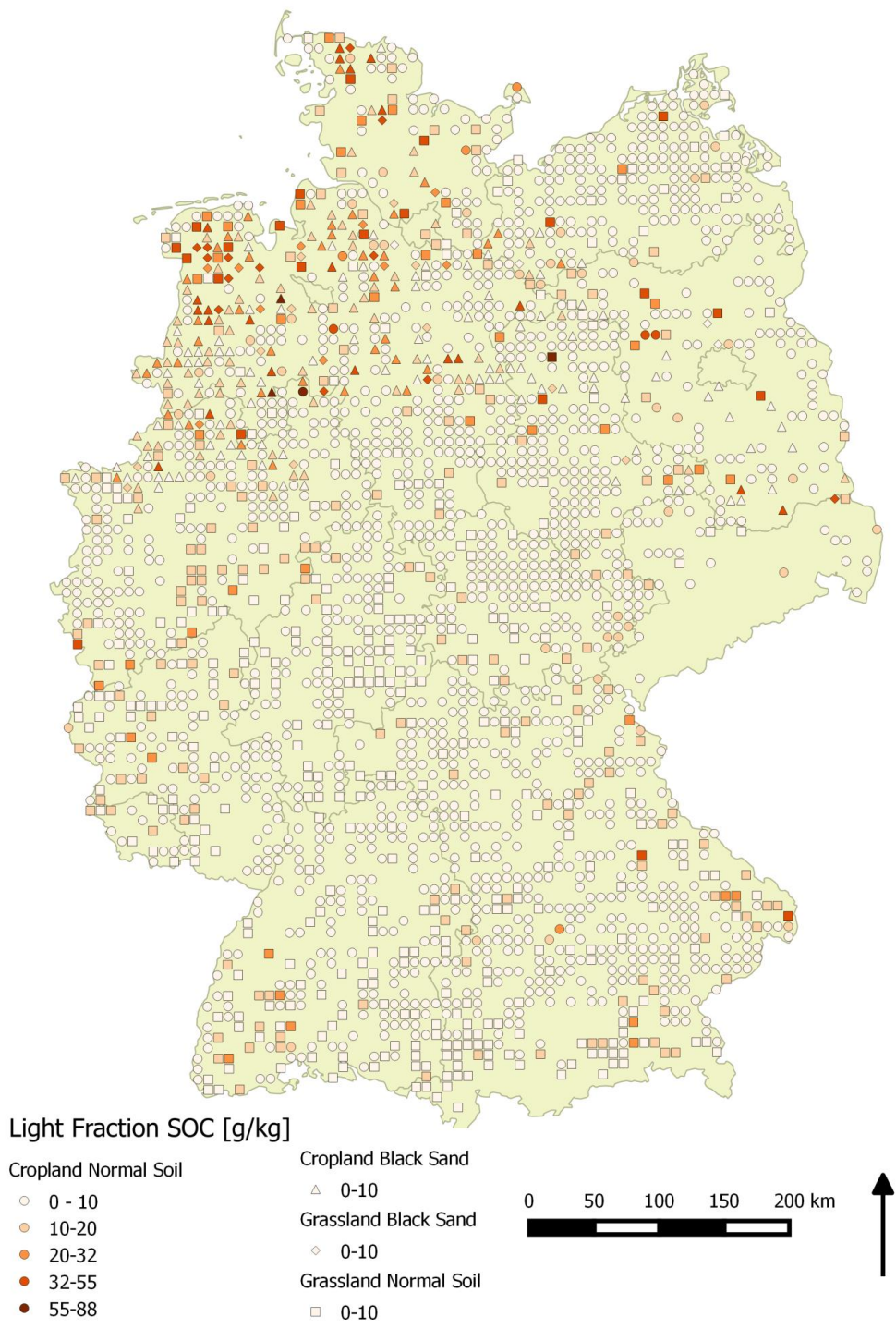


Fig. 8: Predicted absolute soil organic carbon (SOC) content range (g kg^{-1}) in the light fraction at sites in the German Agricultural Soil Inventory.

Supplementary Material

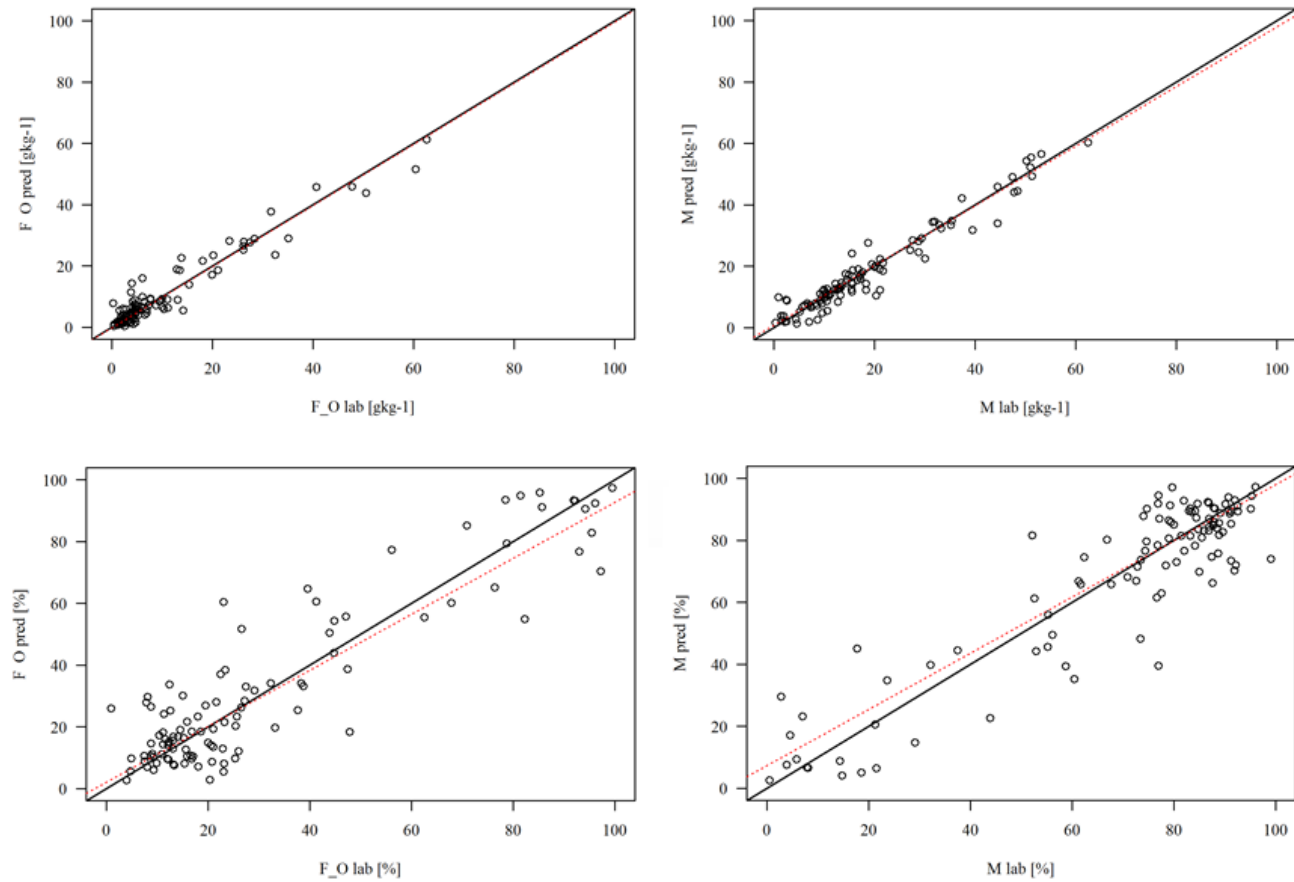


Figure S1: Measured (lab) versus predicted (pred) values for absolute content (g/kg) and proportion (%) of soil organic carbon (SOC) in fractions. M denotes the MOM fraction, whereas FO denotes the light fraction ([fPOM-iPOM](#) and oPOM)

S2: Table of all predictors used for the cforest prediction

Driver	Variable type (no. of categories)	Explanation
Preuss_Nutzung1	categorical (6)	Historical land-use/land-use (1870-1900)
K1950_Nutzung1	categorical (6)	Historical land-use/land-use (1950)
K1970_Nutzung1	categorical (6)	Historical land-use/land-use (1970)
K1990_Nutzung1	categorical (6)	Historical land-use/land-use (1990)
BT_Bewirtet	integer	Length of time that the present farmer has farmed this field
BT_OekoWirt	categorical (2)	Conventional or organic farming
BP_Kalkung	categorical (2)	Does the soil receive lime?
BP_Stickstoff	categorical (2)	Does the soil receive mineral N fertiliser?
Landnutzung_aktue		
ll	categorical (2)	Current land-use/land-use
EC_H2O	numeric	Soil electrical conductivity
pH_CaCl2	numeric	Soil pH measured in CaCl ₂
TOC	numeric	Soil SOC content
C_N_Verhaeltnis	numeric	Soil C/N ratio
CaCO3	numeric	Soil carbonate content
TRD_FB	numeric	Soil bulk density
Wassergehalt	numeric	Soil water content
Neigung	integer	Slope of sample point
Exposition	categorical (8)	Exposition of sample point
Woelbung	categorical (9)	Curvature of sample point
Microrelief	categorical (7)	Microrelief of sample point
LageImRelief	categorical (9)	Relief position of sample point
BodenAbtrag	categorical (3)	Has there been soil removal?
AnthropoVeraen	categorical (5)	Have anthropogenic disturbances taken place?
Bodenfeuchte	categorical (5)	Soil moisture at sampling
Gefuegeform1	categorical (11)	Soil aggregation1: Spatial distribution of aggregates
Gefuegeform2	categorical (13)	Soil aggregation2: Type of aggregates
Risse	categorical (8)	Width of cracks in soil horizon
RoehrenArt	categorical (5)	Type of tubes in soil horizon
RoehrenBelebt	categorical (7)	Are tubes in soil horizon occupied?
RoehrenFlaeche	categorical (7)	Surface proportion of tubes in soil horizon
Feinwurz	numeric	Mass proportion of fine roots
GrobWurz	numeric	Mass proportion of thick roots
SumSkelett	numeric	Estimated stone content in soil horizon
Substanziell1	categorical (2)	Substantial soil inhomogeneities
Strukturell1	categorical (4)	Structural soil inhomogeneities
Stratigraphie	categorical (18)	Stratigraphy
GrundwaStufe	categorical (8)	Groundwater class

GrundwaStand	numeric	Groundwater table
Moormaechtig	numeric	Peat thickness
BodentypKlasse	categorical (14)	Class of soil type
chep	numeric	C inputs-export through main crop products
cnep	numeric	C inputs through byproduct
cewr	numeric	C inputs through roots
cod	numeric	C inputs through organic fertiliser
nhep	numeric	N inputs-export through main crop products
nnep	numeric	N inputs through byproducts
newr	numeric	N inputs through roots
nod	numeric	N inputs through organic fertilisers
nmin	numeric	N inputs through mineral fertilisers
EvapotransPot	numeric	Potential evapotranspiration
EvapotransReal	numeric	Real evapotranspiration
DroughtIndexMean	numeric	Drought index
PrecYearMean	numeric	Mean annual precipitation (30 y mean)
TempYearMean	numeric	Mean annual temperature (30 y mean)
SoilMoistSummer	numeric	Soil moisture in 5 cm soil depth in summer
SoilTempSummer	numeric	Soil temperature in 5 cm depth in summer
NDVI_July	numeric	Mean NDVI in July
slope_100	numeric	Slope from digital elevation model with resolution 100m Topographical wetness index from digital elevation model with resolution 100 m
topoidx_100	numeric	
BodenAusMatKlasse	categorical (14)	Class of parent material
e		
LN	categorical (7)	Reported land-use changes
MR	categorical (5)	Meliorative management measures
Jahre_wendend	integer	Number of years with full inversion tillage over the past 10 years
Jahrenichtwendend	integer	Number of years with reduced tillage over the past 10 years
Jahre_Getreide	integer	Number of years with grains in the rotation over the past 10 years
Jahre_FeldgrasKlee	integer	Number of years with clover in the rotation in the last 10 years
gleicheKultur5Jahre	integer	Where there five or more consecutive years with the same crop grown?
Anz_Kulturgruppen	integer	Number of different crops grown in last 10 years
Schluff	numeric	Soil silt content
Ton	numeric	Soil clay content
Sand	numeric	Soil sand content

Table S3:

Indicators of model performance for soil C fractions particulate organic carbon (POM) and mineral associated organic carbon (MOM) with calibration and independent validation dataset (mean values of 100 iterations with random selection). Table a) is for values in g C kg soil⁻¹ and table b) is for the proportion (relative values).

a)

	Q ²	Calibration dataset					Validation dataset					
		RMSECV, g C kg soil ⁻¹	ρc^*	Bias, g C kg soil ⁻¹	RPD	RPIQ	R ²	RMSEP, g C kg soil ⁻¹	ρc^*	Bias, g C kg soil ⁻¹	RPD	RPIQ
POM	0.83	4.92	0.91	0.34	2.5	1.8	0.82	5.38	0.89	0.44	2.5	2.0
MOM	0.87	4.92	0.93	-0.34	2.9	2.9	0.85	5.38	0.91	-0.44	2.7	2.6

ρc^* - Lin's concordance correlation coefficient

b)

	Q ²	Calibration dataset					Validation dataset					
		RMSECV, %	ρc^*	Bias, %	RPD	RPIQ	R ²	RMSEP, %	ρc^*	Bias, %	RPD	RPIQ
POM	0.78	13.15	0.88	1.07	2.09	2.56	0.73	15.04	0.84	1.6	1.9	2.4
MOM	0.78	13.15	0.88	-1.07	2.00	2.48	0.72	15.04	0.83	-1.6	2.0	2.3

ρc^* - Lin's concordance correlation coefficient