Dear Editor,

We would like to thank you for your time and support in reviewing our manuscript. We carefully considered all your comments to the text and corrected it accordingly.

Thanks to your precious help and the input of the reviewers, we are confident that this new updated and improved version of the manuscript could be ready for its publication.

Please do not hesitate to contact us if you have any further questions or concerns.

Looking forward to hearing from you.

Yours sincerely,

Dr. Katrin Meusburger and Dr. Laura Arata

Decision support for the selection of reference sites using ¹³⁷Cs as a soil erosion tracer

Laura Arata¹⁻, Katrin Meusburger^{1*}, Alexandra Bürge¹, Markus Zehringer², Michael E. Ketterer³, ____ Formatted: German (Switzerland) Lionel Mabit⁴ and Christine Alewell¹ 5

¹Institute of Environmental Geosciences, Department of Environmental Sciences, University of Basel, Switzerland ² State Laboratory Basel-City, Basel, Switzerland ³ Chemistry Department, Metropolitan State University of Denver, Colorado, USA

10 ⁴ Soil and Water Management & Crop Nutrition Laboratory, FAO/IAEA Agriculture & Biotechnology Laboratory, Austria. Correspondence to: Katrin Meusburger (katrin.meusburger@unibas.ch)

Abstract. The classical approach to use ¹³⁷Cs as soil erosion tracer is based on the comparison between stable reference sites and sites affected by soil redistribution processes, and enables to derive soil erosion and deposition rates. The method is associated with potentially large sources of uncertainty with major parts of this uncertainty being associated with the

- selection of the reference sites. We propose a decision support tool to Check the Suitability of reference Sites (CheSS). 15 Commonly the variation among 137Cs inventories of spatial replicate reference samples are taken as sole criteria to decide on the suitability of a reference inventory. Here we propose an extension of this procedure using a repeated sampling approach, where the reference sites are resampled after a certain time period. Suitable reference sites are expected to present no significant temporal variation in their decay-corrected ¹³⁷Cs depth profiles. Possible causes of variation are assessed by a
- 20 decision tree. More specifically, the decision tree tests for (i) uncertainty connected to small scale variability of ¹³⁷Cs due to its heterogeneous initial fallout (such as in areas affected by the Chernobyl fallout), (ii) signs of erosion/deposition processes, (iii) artefacts due to the collection, preparation and measurement of the samples and (iv) finally, if none of the above can be assigned, this variation might be attributed to "turbation" processes (e.g. bioturbation, cryoturbation and mechanical turbation such as avalanches or rock falls).-CheSS was applied in a case study site in a Swiss alpine valley CheSS
- was exemplarily applied in one Swiss alpine valley, where the apparent temporal variability- was -questioning the suitability 25 of selected reference sites. In general we suggest the application of CheSS to implement first steps towards a comprehensible approach to test for the suitability of reference sites.

Keywords: FRN, fallout radionuclides, soil degradation, ²¹⁰Pbex, ²³⁹⁺²⁴⁰Pu, comparability of gamma spectrometers, Cesium-137 30

1 Introduction

Soil erosion is a global threat (Lal, 2003). Recent estimated erosion rates range from low rates of 0.001–2 t ha⁻¹ yr⁻¹ on flat relatively undisturbed lands (Patric, 2002) to high rates under intensive agricultural use of > 50 t ha⁻¹ yr⁻¹. In mountainous regions, rates ranging from 1–30 t ha⁻¹ yr⁻¹ have been reported (e.g. Descroix et al. 2003, Frankenberg et al. 1995, Konz et

- 35 al., 2012) where they often exceed the natural process of soil formation (Alewell et al., 2015). The use of the artificial radionuclide ¹³⁷Cs as <u>a</u> soil erosion tracer has been increasing during the last decades, and the method has been applied all over the world with success (e.g. Mabit et al., 2013; Zapata, 2002). The use of ¹³⁷Cs as soil erosion tracer allows an integrated temporal estimate of the total net soil redistribution rate per year since the time of the main fallout, including all erosion processes by water, wind and snow during summer and winter seasons (Meusburger et al., 2014).
- 40 ¹³⁷Cs was released <u>in-into</u> the atmosphere during nuclear bomb tests and as a consequence of nuclear power plant (NPP) accidents such as Chernobyl in April 1986. It reached the land surface by dry and wet fallouts and once deposited on the ground, it is strongly bound to fine particles at the soil surface. Due to its low vertical migration rates, it moves predominantly in association with fine soil particles through physical processes, and provides an effective track of soil and sediment redistribution processes (Mabit et al, 2008). The traditional approach in using the ¹³⁷Cs method is based on the
- 45 comparison between the inventory (total radionuclide activity per unit area) at a given sampling site and that of a so-called reference site, located in a flat and undisturbed/stable area. The method indicates the occurrence of erosion processes at sites with lower ¹³⁷Cs inventory as compared to the reference site, and sediment deposition processes at sites with a greater ¹³⁷Cs inventory (Figure 1, A). Specific mathematical conversion models allow then to derive from the latter comparison quantitative estimates of soil erosion and deposition rates (IAEA, 2014).





Figure 1: Concept of the fallout radionuclide (FRN) traditional method, in which the FRN content of a reference site located in a flat and undisturbed area (R) is compared to the FRN content of disturbed sites (E and D). If the FRN at the site under investigation is lower than at the reference site, the site has experienced erosion processes (E), while if the FRN content is greater than at the reference site, the site has experienced deposition processes (D).

55 The efficacy of the method relies on an accurate selection of representative reference sites (Mabit et al., 2008; Owens and Walling, 1996, Sutherland, 1996). The measured total ¹³⁷Cs inventory at the reference sites represents the baseline fallout (i.e. reference inventory), a fundamental parameter for the qualitative and quantitative assessment of soil redistribution rates (Loughran et al., 2002). It is used for the comparison with the total ¹³⁷Cs inventories of the sampling sites, and <u>it</u> therefore determines if and how strongly a site is eroding or accumulating sediments. Moreover, the depth profile of the ¹³⁷Cs distribution in the soil at the reference site plays a very important role, as the shape of this profile is used in the conversion models to convert changes in ¹³⁷Cs inventory changes to <u>into</u> quantitative estimates of soil erosion rates (Walling et al., 2002). Recent studies demonstrated the sensitivity of conversion models to uncertainties or even biases in the reference inventory (e.g. Arata et al., 2016; Iurian et al., 2014; Kirchner, 2013).

A close proximity of a reference site to the area under investigation is required to meet the assumption that both experienced similar initial fallout. The latter is particularly important if the study area was strongly affected by Chernobyl fallout, which is, besides global fallout from nuclear weapons testing, the major input of ¹³⁷Cs in many regions of Europe. Because of different geographical situations and meteorological conditions at the time of passage of the radioactive cloud, the contamination associated with Chernobyl fallout was very <u>heterogeneousinhomogeneous</u> (Chawla et al., 2010, Alewell et al., 2014). Therefore, in some areas a significant small scale variability of ¹³⁷Cs distribution may be expected and, as already

- 70 pointed out by Lettner et al. (1999) and Owens and Walling (1996), might impede the comparison between reference and sampling sites. To consider adequately the spatial variability of the FRN fallout, multiple reference sites should be selected and the variability within the sites properly tackled (Kirchner, 2013, Mabit et al., 2013, Pennock and Appleby, 2002). In addition, the reference site should not have experienced any soil erosion or deposition processes since the main ¹³⁷Cs fallout (which generally requires that it was under continuous vegetation cover such as perennial grass). Different forms of
- 75 turbation, including animal-, anthropogenic- and cryoturbation or snow processes may also affect the ¹³⁷Cs soil depth distribution at the reference site. Finally, the collection of the samples, the preparation process and the gamma analysis might introduce a certain level of uncertainty, which should be carefully considered. For instance, Lettner et al. (1999) estimated that the preparation and measuring processes contribute 12.2% to the overall variability of the reference inventory. Guidance in form of independent indicators (e.g. stable isotopes as suggested by Meusburger et al., 2013) for the suitability of
- 80 reference sites might help to assist with the selection of reference-these sites. All in all the suitability or unsuitability of references site is crucial, maybe even the most crucial step, in all FRN based erosion assessments. The general suitability of ¹³⁷Cs based erosion assessment has been recently discussed very controversially (Parsons and Forster 2011, 2013; Mabit et al., 2013). We would like to propose that the FRN community needs to agree on general concepts and sampling strategies to test the suitability of reference sites in order to improve the
- 85 method as well as <u>increase the confidenceestablish trust</u> in this useful erosion assessment method. <u>Up-toUntil</u> now, the variability among spatial replicate samples at reference sites are commonly the sole criteria to decide on the suitability of a reference value. We propose an extended method to Check the Suitability of reference Sites (CheSS) using a repeated sampling strategy and as such an assessment of the temporal variability of reference sites. The suitability of reference sites for an accurate application of ¹³⁷Cs as soil erosion tracer is tested at Urseren Valley (Canton Uri, Swiss Central Alps).

90 2 CheSS (Check the Suitability of reference Sites): a concept to assess the suitability of reference sites for application of ¹³⁷Cs as soil erosion tracer

2.1 Repeated sampling strategy and calculation of inventories

The time period for the repeated sampling of reference sites needed for the application of ¹³⁷Cs as soil erosion tracer will be site- and case-specific and depends on the initial small scale spatial variability and the depth distribution of the reference
inventory. The time span should be of sufficient length to cause an inventory change that it larger than the uncertainty related to the inventory assessment e.g. larger(e.g. > 35%). In our study site being effected by anthropogenic disturbance and snow erosion of several mm per winter already 2 years can be considered sufficient (Meusburger et al., 2014). Several spatial repetitions following the suggestion of Sutherland et al. (1996) are necessary and should be analysed separately to investigate the small scale variability of ¹³⁷Cs in the area. As we detected measurement differences between different detectors (see belowsection 3.4), all samples should ideally be measured for ¹³⁷Cs activity using the same analytical

4

facilities. Finally, ¹³⁷Cs activity needs to be decay_-corrected to the same date (either the period of the first sampling campaign or the second one), considering the half-life of ¹³⁷Cs (30.17 years).

The decay_corrected ¹³⁷Cs activities (*act*, Bq kg⁻¹), of each soil layer of the depth profile are converted into inventories (*inv*, Bq m^{-2}) with the following equation:

105 $Inv = act \times xm$

(1)

where *xm* is the measured mass depth of fine soil material (<2 mm fraction) (kg m⁻²) of the respective<u>each</u> soil sample. The depth profile of each reference site is then displayed as inventory (Bq m⁻²) against the depth of each layer (cm). The repeated-sampling inventory change (Inv_{change}) can then be defined as:

$$Inv_{change} = \frac{Inv_{to} - Inv_{t1}}{Inv_{to}} \times 100$$
(2)

110 where t_0 and t_1 are the dates of the first and the second sampling campaigns respectively, Inv_{tl} is the ¹³⁷Cs inventory (Bq m²) at t_1 , and Inv_{t0} is the ¹³⁷Cs inventory at t0. Positive values of Inv_{change} indicate erosion, whereas negative values stand forcorrespond to deposition.

2.2 A decision tree to assess the suitability of reference sites

We evaluated the suitability of the reference sites by analyzing in addition to the spatial variability the temporal

115 variationboth the spatial and the temporal variability of the ¹³⁷Cs inventory. Given the assumption that no additional deposition of ¹³⁷Cs occurred at the sites during the investigated time window (which is valid worldwide except for the areas affected by the Fukushima-Daiichi fallout, <u>Winiarek et al., 2014, Oh et al., 2014</u>), any temporal variation of the ¹³⁷Cs content should be attributable to different forms of soil disturbance or to artefacts in the preparation/measurement of the samples. The potential causes of the spatial and temporal variation in the ¹³⁷Cs total inventories and depth profiles are examined through a decision tree which includes three main nodes (Figure 2).

Node 1: Spatial variation of FRN total inventory

Firstly, the spatial variation of the ¹³⁷Cs total inventory at each reference site is tested. Ideally, several replicates have been collected. If the coefficient of variation (CV) exceeds 35% as suggested by Sutherland (1996), this could be a sign of unsuitability of the reference site, but although it leaves the possibility of i) increasing sampling numbers, ii) analysing the
 causes for the spatial variation (see CheSS A to D) and iii) moving to node 2 and 3 in CheSS.

Node 2: Variation of the ¹³⁷Cs depth profile

Secondly, it is tested whether there is a significant variation between the ¹³⁷Cs depth profiles measured as spatial or temporal (in *t0* and *t1*) replicates. In theory, at a stable site, the shape of the depth profile should not change between replicates.

Consequently, a regression between the FRN activity depth profiles collected as spatial or temporal replicates should follow
a 1:1 line and the variability should lie within the range of the observed spatial uncertainty (node 1). A deviation of the linear regression coefficient from the 1:1 line in combination with high residues and low R² values (<0.5 R²) indicates an immediate and significant change of the profile, which is typically caused by anthropogenic disturbance. For the FRN application at ploughed sites the reference site might still be considered appropriate if the total inventory is not affected, because conversion models used for ploughed sites are less sensitive to the shape of the FRN depth distribution. For

135 unploughed soils, again the analysis of the other causes <u>(see the causes labelled A to D in Figure 2)A to D</u> might help to <u>understand identify</u> the eauses for the<u>sources of this</u> variability. Alternative options would be to take temporal replicates to evaluate the stability and thus suitability of the reference site (node 3).

Node 3: Temporal variation of FRN total inventory

If the CV of all replicates taken in t0 and t1 is <35%, the reference site might be used for the FRN method. The longer the time period between the first and second sampling is the more reliable the yielded assessments will be. Further a suitable test for significant differences should confirm or reject the hypothesis of ¹³⁷Cs total inventory stability over time. If the potential causes for variation (A to D) do not apply, the site is not suitable for the traditional FRN approach. Still a repeated sampling approach could be used to assess soil redistribution rates based on FRN methods (Porto et al., 2014; Kachanoski & de Jong, 1984).

Formatted: English (United States)



Figure 2: The CheSS decision tree to evaluate the suitability of a reference site for using ¹³⁷Cs as <u>a</u> soil erosion tracer.

A: Signs of disturbance associated with erosion and deposition processes

A variation in the ¹³⁷Cs depth profile may have been caused by soil movement processes affecting the site (Figure 2, A). If 150 the site experienced a loss of soil due to erosion, we expect to observe a removal of the top soil layers of the profile

measured for instance during the second sampling campaign (Figure 3, red values below the reference profile). Further, the regression coefficient of the reference site that was affected by erosion will tend to be <0.9 when plotted against a suitable reference profile or (for node 3) the reference profile before the disturbance (Figure 3). In case of deposition, a sedimentation layer should be found on the top of the reference depth profile, assuming that no ploughing operations affected the site (Figure 3, red values above the reference profile). In this case, the regression coefficient will be >1.1. Information on the depth distribution of another FRN might provide additional reliable confirmation. If redistribution processes are confirmed.

165

the site is not suitable as a reference site and other location or a repeated FRN sampling approach to estimate erosion rates between the two sampling campaign should be considered (Kachanoski & de Jong, 1984).



160 Figure 3: Signs of sheet erosion (A) and deposition (B) on a depth profile of a hypothetical reference site.

B: Sampling or preparation artefacts

One very common artefact which might bias the comparison between the samples collected at different sites or at t0 and t1 is the difference in the skeleton content (the percentage of soil fractions > 2mm) (Figure 2, B). The presence of stones might <u>create preferential pathways for waterdetermine pass ways of water</u> as well as <u>for very</u> fine particles and solutes <u>in-through</u> the soil and thus influences the accumulation/migration of ¹³⁷Cs through the soil layers. As ¹³⁷Cs reaches the soil by <u>the</u> fallout from the atmosphere, the common shape of the ¹³⁷Cs distribution along the undisturbed depth profile can be described

8

by an exponential function, with the highest ¹³⁷Cs concentrations located in the uppermost soil layers (Mabit *et al.*, 2008; Walling *et al.*, 2002). This is particularly the case for soils with low skeleton content (Figure 4, A) since the presence of stones may affect ¹³⁷Cs depth distribution either through (i) impeding the ¹³⁷Cs downward migration (¹³⁷Cs activity could then be concentrated in the layer above the stone (Figure 4, B) or (ii) creating macro- and micro-pores favouring ¹³⁷Cs associated with fine particles to "migrate" to deeper layers (Figure 4, C) or causing lateral movement_a which will induce a lower ¹³⁷Cs content in our samples.





170

- 175 As such, thea spatial or of a temporal variation in the depth profile within the soil samples might be caused by a different skeleton content and/or by a different bulk densities among the samples seemingly spatial or temporal variation in the depth profile might indeed be a spatial variation induced by differences in skeleton content and/or bulk densities. Higher bulk densities will result in higher increment inventories even if ¹³⁷Cs activities at in the layers are comparable. Thus, a thorough control (eventually through a statistical test such as <u>a</u> paired <u>T</u>test) if skeleton content and bulk densities are comparable.
- 180 between replicates is suggested. Finally, sampling, preparation artefacts and <u>measurement proceduresmeasuring processes</u> may produce various sources of errors between different sites and years. The latter is especially the case, if <u>when</u> different <u>people-persons</u> prepared the samples. An estimation of possible errors might be considered, for example through a simulation of different increment assignments along the profile. If different detectors or different calibration sources and/or geometriesy are used in the two sampling campaigns, a comparability check of the measurements is advisable. For instance,
- 185 a subset of samples could be measured with the two different detectors and any potential discrepancy of the results should be properly reported.



C: Signs of soil disturbance

Different forms of disturbance, such as bio-, cryoturbation or even human induced soil perturbation (e.g. tillage, seed bed preparation, digging etc.) might have influenced the ¹³⁷Cs depth distribution between different sites and *t0* and *t1* (Figure 2, C). Occurrences of turbation are-is often difficult to identify prior to sampling but mightaltough it may eventually be detected by using other tracing approaches, such as the δ¹³C depth distribution (Meusburger *et al.*, 2013; Schaub and Alewell, 2009). In case of turbation the shape of the depth profile will be highly variable and should not be considered in the estimation of soil redistribution rates for unploughed soils. Nonetheless, the total inventory of ¹³⁷Cs at a ploughed site could still be used in combination with simple and basic mathematical conversion models, such as the proportional model (Ritchie and McHenry, 1990, IAEA, 2014), which require information only about the total reference inventory of ¹³⁷Cs, and do not need detailed information about the ¹³⁷Cs depth distribution.

D: Signs of a heterogeneous initial fallout of ¹³⁷Cs over the area

Finally_a a significant difference between reference replicates may be caused by a high small scale spatial variability of ¹³⁷Cs distribution at the site, due to heterogeneous initial fallout over the study area (Figure 2, D). In Europe, significant small scale variability of ¹³⁷Cs distribution is known to be due to the Chernobyl fallout, which was characterized by a high ¹³⁷Cs 200 deposition associated with few rain events. Compared to the nuclear bomb tests fallout, the Chernobyl fallout was significantly more heterogeneous (e.g. Alewell et al., 2014). Therefore, in the areas affected by the Chernobyl fallout, sites sampled closely to each other may present very different ¹³⁷Cs contents. It is therefore necessary to investigate the small scale spatial variability (e.g. the same scale as distance between reference site replicates) measured at both or at least one 205 sampling campaign, looking at the CV again, as presented in the previous sections, or through a statistical test (for example the Analysis of the Variance, ANOVA). If the spatial variability is highly significant, the site should not be envisaged as a reference site for the application of the ¹³⁷Cs method unless the number of samples collected for the determination of the reference baseline is large enough (at least 10) to counterweight the small scale variability within the site (Mabit et al., 2012; Sutherland, 1996, Kirchner, 2013). A possible validation of this cause of heterogeneity might be a comparison with the spatial distribution of another FRN such as ²³⁹⁺²⁴⁰Pu or ²¹⁰Pbex (Porto et al., 2013). (Figure 2, D). As the fallout deposition of 210 ²³⁹⁺²⁴⁰Pu after the Chernobyl accident was confined to a restricted area in the vicinity of the Nuclear Power Plant (Ketterer et al., 2004), the origin of Plutonium fallout in the rest of Europe is linked to the past nuclear bomb tests only. Consequently, Pu fallout distribution was more homogeneous (Alewell et al., 2014; Ketterer et al., 2004; Zollinger et al., 2015). If the

Put randout distribution was more nonlogeneous (Arewen *et al.*, 2014, Kettere *et al.*, 2004, Zohnger *et al.*, 2013). If the ²³⁹⁺²⁴⁰Pu depth profiles do not vary significantly between the two sampling years, there should be no disturbance (e.g. turbation, erosion) or measurement artefacts. As such, it might be concluded that the heterogeneous deposition of ¹³⁷Cs at the time of the fallout prejudices-may prevent the use of Cs at this site.

3 The application of the CheSS decision tree

3.1 Study area

To test the methodology described above, we used a dataset from an alpine study area, the Urseren Valley (30 km²) in 220 Central Switzerland (Canton Uri), which has an elevation ranging from 1440 to 3200 m a.s.l. At the valley bottom (1442 m a.s.l.), average annual air temperature for the <u>years-period</u> 1980–2012 is around 4.1 ± 0.7 °C and the mean annual precipitation is 1457 ± 290 mm, with 30% falling as snow (MeteoSwiss, 2013). The U-formed valley is snow-covered from November to April. On the slopes, pasture is the dominant land use, whereas hayfields are <u>prevalent-dominant</u> near the valley bottom.

225 3.2 Sampling design

Supportive information was provided by the local landowners to select the reference sites in both valleys. Sites used for ploughing and grazing activities were excluded. A first sampling campaign was undertaken in autumn 2010 for ²³⁹⁺²⁴⁰Pu and 2013 for ¹³⁷Cs measurements. Six reference sites (REF1 to REF6) were identified in flat and undisturbed areas along the valley. At each site 3 cores (40 cm depth), <u>collected 1 m apart from each other</u>, were sampled. The cores were cut <u>in-into 3</u> cm increments, to derive <u>information on the ¹³⁷Cs depth profile</u>. The three cores from each site were bulked to provide one composite sample per site. During the second sampling campaign in spring 2015, all six reference sites were resampled. Considering the typical and high soil redistribution dynamics of the valley of >1cm per year caused by snow-_induced soil removal (Meusburger et al., 2014), the <u>time spanperiod considered</u> is sufficiently long to ensure the possibility to observe changes in the depth profiles if soil erosion and deposition processes affected the area. At each site, we collected three replicates, which were analyzed separately, to investigate the small scale variability of the FRN content. All cores were air-dried (40°C for 72h), sieved (<2 mm) to remove coarse particles and <u>the to determine the</u> skeleton content_ <u>as well as tT</u>he bulk density (BD) was <u>also</u> determined.

3.3 Measurement of anthropogenic FRN activities and inventories

The measurements of the ¹³⁷Cs activity measurements (Bq kg⁻¹) were performed with high resolution HPGe detectors. The ¹³⁷Cs activity (Bq kg⁻¹) from from the samples collected in 2013 were analysed at the Institute of Physics of the University of Basel using a coaxial, high resolution germanium lithium detector (Princeton Gammatech) with a relative efficiency of 19% (at 1.33 MeV, ⁶⁰Co). Counting time was set to 24 hours per sample. Samples collected in 2015 were analysed at the state laboratory Basel-City using coaxial high resolution germanium detectors having 25% to 50% relative efficiencies (at 1.33 MeV, ⁶⁰Co). Counting times were set to provide a precision of less than ±10% for ¹³⁷Cs at the 95% level of confidence.

245 All soil samples were counted in sealed discs (65 mm diameter, 12 mm height, 32 cm³) and the measurements were corrected for sample density and potential radioactivity background. The detectors located at the state laboratory Basel-City were calibrated with a reference solution of the same geometry. The reference contained ¹⁵²Eu and ²⁴¹Am (2.6 kBq rsp. 7.7

kBq) to calibrate the detectors from 60 to 1765 keV. It was obtained from the Czech Metrology Institute, Prague. This solution was bound in <u>a</u>_silicon resin <u>of-with</u> a density of 1.0. The efficiency functions were corrected for coincidence summing of the ¹⁵²Eu lines using a Monte Carlo simulation program (Gespecor). The ¹³⁷Cs was counted at 662 keV with an emission probability of 0.85 and a (detector) resolution of 1.3 to 1.6 keV (FWHM). All measurements and calculations were performed with the gamma software Interwinner 7. The ¹³⁷Cs activity measurements were all decay_corrected to the year 2015.

To compare the ¹³⁷Cs results to-with those obtained with another artificial FRN, all samples were also measured analyzed for ²³⁹⁺²⁴⁰Pu activity. The determination of Plutonium isotopes from both valleys and for both sampling years were performed using a Thermo X Series II quadrupole ICP-MS at the Northern Arizona University, USA. Detailed description of the ICP-MS specifications and sample preparation procedure can be found in Alewell *et al.*, 2014. The activities of ¹³⁷Cs and ²³⁹⁺²⁴⁰Pu (*act*, Bq kg⁻¹) were converted into inventories (Bq m⁻²) according to equation (1).

3.4 Application of the CheSS decision support tool to the reference sites

250

260 Because the ¹³⁷Cs activity of the samples was measured with different detectors for the two sampling years, we investigated the potential variability between the <u>results obtained from these</u> two detectors. A selected subset of samples (n= 24) was analysed using both detectors (i.e. the one located at the Institute of Physics of the University of Basel and the other located at the State Laboratory Basel-City). The results highlight a high correspondence of the measurements <u>held-obtained withby</u> the two analytical systems (R² = 0.97; p < 0.005), <u>however-altough</u> the detector of the State Laboratory Basel-City returns

265 slightly lower ¹³⁷Cs activities (Figure 5). Thus, the ¹³⁷Cs activities of the samples measured in 2013 were corrected <u>to be</u> comparable to those obtained withto the values of the detector of State Laboratory Basel-City (higher efficiency) to allow comparability between the different data sets.



Figure 5: The comparison between the ¹³⁷Cs measurements of a subset of samples (n=16) performed with two different HpGe detectors, where detector 1: detector hosted at the Physics department of the University of Basel (CH) and detector 2: detector hosted at the State-Laboratory of Basel (CH).

Total ¹³⁷Cs inventories (decay_corrected to the year-2015) of the six reference sites collected in the Urseren Valley in 2013 ranged from 3858 to 5057 Bq m⁻², with a mean value of 4515 Bq m⁻² and a standard deviation (SD) of 468 Bq m⁻². Data from 2015 ranged between 3925 to 8619 Bq m⁻², with a mean value of 5701 Bq m⁻² and a SD of 1730 Bq m⁻² (Figure 6).





Figure 6: Temporal variation between the total ¹³⁷Cs inventories measured at the reference sites in the Urseren Valley, where Time 0 = 2013 and Time 1 = 2015. The errors bars indicate the standard deviations of the inventories among the replicates collected at each reference site in 2015.

When following the CheSS decision tree, we investigated the variation in the ¹³⁷Cs total inventories at each reference site 280 (node 1). The replicate samples were analyzed separately only during the second sampling campaign (t1), while during the first sampling campaign (t0) only composite samples were analysed. Reference sites 3, 5 and 6 presented signs of high small scale variability, as expressed by <u>a</u> CV of 48 % (Table 1). Such variability excluded them from any further application-use as reference sites without subsequent additional sampling. For reference sites 1, 2 and 4, the CV was between 19 – 31%.

Passing to node 2 of the CheSS decision tree, the analysis focuses-focused on the variation of the shape of the ¹³⁷Cs depth
profile (Figure 7). Here, we examined the regression between the reference depth profiles in t0 and t1. For the three sites with acceptable spatial variability (i.e. reference site 1, 2 and 4) the site REF4 showsed sign of deposition with a regression coefficient between t0 and t1 = 1.34. The deposition was confirmed by field observation of construction works that were conducted between the two samplings campaigns. Thus, after this disturbance, the site is not a suitable reference site anymore. Among the sites with high spatial variability REF6 showed signs of erosion with a regression coefficient between t0 and t1 = 0.79.

In node 3_a the temporal differences in total inventories between t0 and t1 were assessed. Here_a only REF4 showed a significant difference of the total ¹³⁷Cs inventories between t0 and t1. <u>Thus This confirmed, confirming</u> the unsuitability of the site to provide a reference value after the construction works.



295 Figure 7: The ¹³⁷Cs depth profiles of the six investigated reference sites in the Urseren Valley for the two different sampling campaigns. The errors bars indicate the standard deviations of the inventories among the replicates collected at each reference site in 2015. Further tThe regression equation between the depth profile at t0 and t1 is displayed together with the R².

To further investigate the causes for the spatial variation, $^{239+240}$ Pu inventories measured at the three replicates of each site 300 were analysed for t0 = 2010 and t1 = 2015 (Figure 8). Clearly, deposition for REF4 and erosion processes for REF6 were confirmed with an increase of 46% and a decrease of 27% in the total $^{239+240}$ Pu inventory between t0 and t1, respectively.



Figure 8: Temporal variation between the total $^{239+240}$ Pu inventories measured at the reference sites in the Urseren Valley, where 305 Time 0 = 2010 and Time 1 = 2015. The errors bars indicate the standard deviations of the inventories among the replicates collected at each reference <u>site</u> in 2015.

Further, the depth profiles of the three replicates at reference site 1 presented also significant differences. We then looked at the differences in the skeleton content of the three replicates (Figure 2, B). An ANOVA test showed a significant difference
 (p-value of 0.025), thus, which indicates that a difference in the presence of stones in the three soil cores might have affected the FRN depth distribution. In particular, a Tukey's HSD (Honest Significant Difference) Post-hoc pairwise comparison identified the replicate number 3 at REF1 as a potential outlier. To validate the suitability of REF1 as a reference site, more replicates should be collected and measured, in order to compare their ¹³⁷Cs depth profiles to the those results obtained during the first sampling campaign. In summary, REF2, REF4 (before the construction works) seem-appeared to be most suitable for ¹³⁷Cs-based studies. Form-As for sites REF3 and REF5, a visual inspection of their soil profiles excluded that

any soil disturbance affected the sites (Figure 2, C). Consequently, at those sites, the variation in their depth profiles is due to a heterogeneous fallout with high spatial variability (Figure 2, D). be could exclude the cause C and consequently the final cause of heterogeneous fallout with high spatial variability (D) applies for the sites REF3 and REF5. These sites may be suitable for studies based on other FRNs or even on for ¹³⁷Cs if more samples are collected to constrain the spatial heterogeneity that was introduced by the ¹³⁷Cs Chernobyl fallout.

4 Conclusions

320

With the decision tree CheSS, a support tool was proposed to verify the suitability of reference sites for a ¹³⁷Cs based soil erosion assessment-is presented. Great attention has to be given to the analysis of the small scale variability of ¹³⁷Cs 325 distribution in the reference areas, especially in those regions affected by-<u>heterogeneous post-accidental nuclear</u> falloutnuclear accident fallout. To cope with a small scale variability, sampling numbers might be increased, or the temporal variation of 137Cs or another radionuclide, such as 239+240Pu_a might be analysed. The CheSS test in the Urseren Valley indicated that the heterogeneity and disturbance of ¹³⁷Cs distribution prejudiced the suitability of some reference sites. Additionally In addition, the presence of stones affected the shapes of the depth profile in at least one replicate sample at 330 reference site 1. Including unsuitable reference sites, the application of the traditional ¹³⁷Cs approach, based on a spatial comparison between reference and sampling sites, is compromised. To derive soil redistribution rates, a 137Cs repeated sampling approach should be preferred. This approach is based on a temporal comparison of the FRN inventories measured at the same site in different times_(Kachanoski & de Jong, 1984). It doesn't require the selection of reference sites, because the inventory documented by the initial sampling campaign is used as the reference inventory for that point (Porto et al., 335 2014).

Accurate soil erosion assessments is are crucially needed to validate soil erosion modelling, which can help prevent and mitigate soil losses on larger spatial scales. In this context, FRN could play a decisive role, if we are able to overcome its potential pitfalls, especially those related to the selection of suitable reference sites. The decision tree CheSS provides a new concept for an objective and comparable reference site testing, which enables to exclude those sites which present signs of uncertainty and should therefore not be used as reference sites. With this approach we are convinced to contribute improving

the reliability of the FRN_based soil erosion assessments.

Authors contributions.

L. Arata, K. Meusburger, L. Mabit and C. Alewell designed the concept of the method and analysed the data. A. Bürge contributed to the collection and preparation of the soil samples, and to the analysis of the data. M. Zehringer measured the

17

Formatted: English (United States)

¹³⁷Cs activity of the soil samples and analysed the results. M. E. Ketterer measured the ²³⁹⁺²⁴⁰Pu activity of the soil samples.
 L. Arata prepared the manuscript with contributions from all co-authors.

Acknowledgements

The authors would like to thank Annette Ramp, Gregor Juretzko, Simon Tresch, Carmelo La Spada and Axel Birkholz for
 support during field work. This work was financially supported by the Swiss National Science Foundation (SNF), project no.
 200021-146018, and has been finalized in the framework of the IAEA Coordinated Research Project (CRP) on "Nuclear techniques for a better understanding of the impact of climate change on soil erosion in upland agro-ecosystems" (D1.50.17).

References

- Alewell, C., Egli, M., & Meusburger, K. 2015. An attempt to estimate tolerable soil erosion rates by matching soil formation with denudation in Alpine grasslands. Journal of Soils and Sediments, 15(6), 1383-1399.
 Alewell, C., Meusburger, K., Juretzko, G., Mabit, L., & Ketterer, M. E. (2014). Suitability of 239+ 240 Pu and 137 Cs as tracers for soil erosion assessment in mountain grasslands. Chemosphere, 103, 274-280.
 Arata, L., Meusburger, K., Frenkel, E., A'Campo-Neuen, A., Juran, A.R., Ketterer, M.E., Mabit, L., Alewell, C., 2016b.
- Modelling Deposition and Erosion rates with RadioNuclides (MODERN) Part 2: A comparison of different models to convert ²³⁹⁺²⁴⁰Pu inventories into soil redistribution rates at unploughed sites. Journal of environmental radioactivity, 162, 97-106.

Chawla, F., Steinmann, P., Pfeifer, H.R., Froidevaux, P., 2010. Atmospheric deposition and migration of artificial radionuclides in Alpine soils (Val Piora, Switzerland) compared to the distribution of selected major and trace elements.
Science of the Total Environment, 408(16), 3292–3302.

Descroix, L., & Mathys, N., 2003. Processes, spatio-temporal factors and measurements of current erosion in the French southern Alps: a review. Earth Surface Processes and Landforms, 28(9), 993-1011.
 Frankenberg, P., Geier, B., Proswitz, E., Schütz, J., & Seeling, S., 1995. Untersuchungen zu Bodenerosion und Massenbewegungen im Gunzesrieder Tal/Oberallgäu. Forstwissenschaftliches Centralblatt vereinigt mit Tharandter

370 forstliches Jahrbuch, 114(1), 214-231.

JAEA (International Atomic Energy Agency), 2014. Guidelines for using Fallout radionuclides to assess erosion and effectiveness of soil conservation strategies. IAEA-TECDOC-1741. IAEA publication. Vienna, Austria. 213 p.

Iurian, A. R., Mabit, L., Cosma, C., 2014. Uncertainty related to input parameters of ¹³⁷Cs soil redistribution model for undisturbed fields. Journal of environmental radioactivity, 136, 112-120.



Formatted: English (United Kingdom)

Formatted: German (Switzerland)

Formatted: English (United Kingdom)

375 Kachanoski, R. G., & De Jong, E., 1984. Predicting the temporal relationship between soil cesium-137 and erosion rate. Journal of Environmental Quality, 13(2), 301-304.

Ketterer, M.E., Hafer, K.M., Jones, V.J., Appleby, P.G., 2004. Rapid dating of recent sediments in Loch Ness: ICPMS measurements of global fallout Pu. Science of the Total Environment, 322, 221–229.

Kirchner, G., 2013. Establishing reference inventories of 137 Cs for soil erosion studies: methodological aspects. Geoderma 211, 107–115.

Konz, N., Prasuhn, V., Alewell, C., 2012. On the measurement of alpine soil erosion. Catena, 91, 63-71.

Lal, R., 2003. Soil erosion and the global carbon budget. Environ. Int. 29, 437-450.

Lettner, H., Bossew, P., Hubmer, A.K., 1999. Spatial variability of fallout Caesium-137 in Austrian alpine regions. J. Environ. Radioact. 47, 71–82.

 Loughran, R.J., Pennock, D.J., Walling, D.E., 2002. Spatial distribution of caesium-137, in: Handbook for the Assessment of Soil Erosion and Sedimentation Using Environmental Radionuclides. Springer, pp. 97–109.
 Mabit, L., Benmansour, M., Walling, D. E., 2008. Comparative advantages and limitations of the fallout radionuclides ¹³⁷Cs,

 210 Pb_{ex} and 7 Be for assessing soil erosion and sedimentation. Journal of Environmental Radioactivity, 99(12), 1799-1807.

Mabit, L., Chhem-Kieth, S., Toloza, A., Vanwalleghem, T., Bernard, C., Amate, J.I., de Molina, M.G., Gómez, J.A., 2012.
Radioisotopic and physicochemical background indicators to assess soil degradation affecting olive orchards in southern Spain. Agric. Ecosyst. Environ. 159, 70–80.

Mabit, L., Meusburger, K., Fulajtar, E., Alewell, C., 2013. The usefulness of ¹³⁷Cs as a tracer for soil erosion assessment: A critical reply to Parsons and Foster (2011). Earth-Science Reviews, 127, 300–307.

Meusburger, K., Leitinger, G., Mabit, L., Mueller, M.H., Walter, A., Alewell, C., 2014. Soil erosion by snow gliding–a first quantification attempt in a subalpine area in Switzerland. Hydrology and Earth System Sciences, 18(9), 3763–3775.

Meusburger, K., Mabit, L., Park, J.H., Sandor, T., Alewell, C., 2013. Combined use of stable isotopes and fallout radionuclides as soil erosion indicators in a forested mountain site, South Korea. Biogeosciences 10, 5627–5638. Oh. J. S., Lee, S. H., Choi, J. K., Lee, J. M., Lee, K. B., & Park, T. S. (2014). Atmospheric input of 137 Cs and 239,240 Pu

--- Formatted: English (United States)

400 Owens, P.N., Walling, D.E., 1996. Spatial variability of caesium-137 inventories at reference sites: an example from two contrasting sites in England and Zimbabwe. Appl. Radiat. Isot. 47, 699–707.

isotopes in Korea after the Fukushima nuclear power plant accident. Applied Radiation and Isotopes, 87, 53-56.

Parsons, A. J. and I. D. L. Foster,2011. "What can we learn about soil erosion from the use of 137Cs?" Earth Science Reviews 108: 13.

Pennock, D.J., Appleby, P.G., 2002. Site selection and sampling design, in: Handbook for the Assessment of Soil Erosionand Sedimentation Using Environmental Radionuclides. Springer, pp. 15–40.

Porto, P., Walling, D.E., Alewell, C., Callegari, G., Mabit, L., Mallimo, N., Meusburger, K., Zehringer, M., 2014. Use of a 137 Cs re-sampling technique to investigate temporal changes in soil erosion and sediment mobilisation for a small forested catchment in southern Italy. J. Environ. Radioact. 138, 137–148.

Porto P, Walling DE, Callegari G., 2013. Using ¹³⁷Cs and ²¹⁰Pb_{ex} measurements to investigate the sediment budget of a small
 forested catchment in southern Italy. Hydrological Processes; 27: 795-806.

Ritchie, J. C., Ritchie, C. A., 2001. Bibliography of publications of Cesium-137 studies related to erosion and sediment deposition. USDA-ARS Hydrology and Remote Sensing Laboratory.

Schaub, M., Alewell, C., 2009. Stable carbon isotopes as an indicator for soil degradation in an alpine environment (Urseren Valley, Switzerland). Rapid Commun. Mass Spectrom. 23, 1499–1507.

415 Sutherland, R.A., 1996. Caesium-137 soil sampling and inventory variability in reference locations: A literature survey. Hydrol. Process. 10, 43–53.

Walling, D.E., He, Q., Appleby, P.G., 2002. Conversion models for use in soil-reosion, soil-redistribution and sedimentation investigations. In: Zapata, F. (Ed.) Handbook for the assessment of soil erosion and sedimentation using environmental radionuclides. Kluwer, Dordrecht. Netherlands. pp. 111–164.

- Winiarek, V., Bocquet, M., Duhanyan, N., Roustan, Y., Saunier, O., & Mathieu, A. (2014). Estimation of the caesium-137
 F source term from the Fukushima Daiichi nuclear power plant using a consistent joint assimilation of air concentration and deposition observations. *Atmospheric environment*, 82, 268-279.
- Zapata, F. (Ed.), 2002. Handbook for the assessment of soil erosion and sedimentation using environmental radionuclides (Vol. 219). Dordrecht: Kluwer Academic Publishers.
- 425 Zollinger, B., Alewell, C., Kneisel, C., Meusburger, K., Brandová, D., Kubik, P., Schaller M., Ketterer M., Egli, M., 2014. The effect of permafrost on time-split soil erosion using radionuclides (¹³⁷Cs, ²³⁹⁺²⁴⁰Pu, meteoric ¹⁰Be) and stable isotopes (δ ¹³C) in the eastern Swiss Alps. Journal of Soils and Sediments, 15(6), 1400–1419.

Formatted: French (Switzerland)
Formatted: English (United States)

Formatted: German (Switzerland)

Formatted: German (Switzerland)