



# 1    **Feeding the world with soil science: embracing sustainability, complexity** 2    **and uncertainty**

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## 12    **Abstract**

13    Feeding a growing and wealthier population while providing other ecosystem  
14    services and meeting social and environmental goals poses serious challenges to  
15    soil scientists of the 21<sup>st</sup> Century. In particular, three dimensions inherent to  
16    agricultural systems shape the current paradigm under which science has to  
17    contribute knowledge and innovations: sustainability, complexity and  
18    uncertainty. The current model of agricultural production, which is also often the  
19    source of inspiration to propose solutions for future challenges, fails at  
20    internalizing these dimensions. It simply does not provide the necessary means  
21    to address sustainability, complexity or uncertainties. Part of the problem is that  
22    these are soft concepts, as opposed to hard goals, and so their definition and  
23    their translation into concrete actions is always subjective. They have to be  
24    sufficiently defined for soil science to embrace them in order to propose viable  
25    solutions to (i) produce food where it is most needed, (ii) decouple agricultural  
26    production from its dependence on non-renewable resources, (iii) recycle and  
27    make efficient use of available resources, (iv) reduce the risks associated with  
28    global change, and (v) restore the capacity of degraded soils to provide  
29    ecosystem services. This paper examines what the concepts of sustainability,  
30    complexity and uncertainty mean and imply for soil science, focusing on the five  
31    priorities enunciated above. It also summarizes and proposes new research  
32    challenges for soil scientists of the 21<sup>st</sup> Century.

33    **Keywords:** food security; nutrition; global change; soil degradation; agriculture



## 1    **1. Introduction**

2

3    Feeding a growing and increasingly affluent population while providing other  
4    ecosystem services, and meeting the social and environmental targets of the UN  
5    Sustainable Development Goals (SDG), poses serious challenges to the  
6    management of terrestrial ecosystems used for primary production – and hence  
7    to soil scientists of the 21<sup>st</sup> Century (Keesstra et al., 2016). Agroecosystems are  
8    complex, dynamic socio-ecological systems in which soils play roles that are  
9    central to their functioning (Walker et al., 2010). They exhibit a number of  
10    properties that can be characterized as structural and dynamic, and are  
11    governed by both biophysical processes and human agency (Conway, 1987). The  
12    design of soil management strategies to meet the SDGs requires knowledge and  
13    innovation, and embracing three dimensions that define the way we regard  
14    agroecosystems nowadays: the notions of sustainability, complexity and  
15    uncertainty. These dimensions describe respectively our aspirations, our  
16    understanding, and the challenges we face towards the future management of  
17    agroecosystems.

18

19    The currently hegemonic model of agricultural production issued from the green  
20    revolution, and more recently fuelled by advances in genetic engineering and the  
21    agrochemical industry, appears often as the primary source of a soil scientist's  
22    inspiration to propose solutions for future challenges. This is evident from the  
23    report prepared by the Thematic Group on Sustainable Agriculture and Food  
24    Systems of the Sustainable Development Solutions Network (SDSN, 2013), which  
25    offers new, 'improved' versions of old remedies. In other words, under the optic  
26    of this report, the solution to the problems *caused* by current agriculture  
27    intensification, or to the problems it *fails* to address, are to come from yet further  
28    agricultural intensification. Except that now this is branded as 'sustainable'  
29    intensification. Conspicuous examples of this type discourse are such concepts as  
30    precision agriculture, good agricultural practices, the development of toxin-  
31    producing GM plants supposed to replace pesticides, or more generally the eco-  
32    efficiency and sustainable intensification discourses (cf. Tiftonell, 2014a). As  
33    they fail to internalize the mere notions of sustainability, complexity and



1    uncertainty in agroecosystems these solutions have little chance to help us  
2    achieve the SDG.  
3  
4    Part of the problem is that sustainability, complexity and uncertainty are soft  
5    concepts, as opposed to hard goals. Their definition and their translation into  
6    concrete actions are unavoidably subjective (Meynard et al., 2012). Subjectivity  
7    governs the way in which we perceive and diagnose a problem, as well as the  
8    way in which we come up with solutions. A wrong diagnosis generally leads to  
9    proposing the wrong solution. In many cases the solution to a problem is even  
10    chosen *a priori*, without properly assessing the problem nor the potential impact  
11    of the proposed solution. Blame subjectivity. For example, a well-intentioned soil  
12    scientist working on crop nutrition and soil fertility is often inclined to think that  
13    the solution to food security, or more generally to achieving the SDGs, will likely  
14    come from ample adoption and proper use of fertilisers (e.g. the Fertiliser  
15    declaration of the Abuja Summit, 2006). Yet, after 40 years of such policies in  
16    places like sub-Saharan Africa, and exactly ten years after this new impetus was  
17    installed, we fail to see much progress in terms of agricultural productivity, in  
18    per capita income or food availability in rural areas (UNCTAD, 2013; 2014). Most  
19    conspicuously, and with the exception of very localised examples, there was  
20    weak progress in fertiliser adoption and use in spite of national policies and  
21    large international support to achieve that, while soil fertility continues to  
22    decline.  
23  
24    It is easy to blame policy makers or the social and economic context for lack of  
25    incentives to adoption of technologies, but why not questioning ourselves, soil  
26    scientists, whether the solutions we propose are in themselves real solutions to  
27    actual problems? This challenges us to revisit our research and its contribution  
28    to solving the world's problems from an operational perspective (how do we  
29    make sure that our research is sound and solid?), from an epistemological  
30    perspective (how do we know that we are addressing the right questions,  
31    employing the right methods to approximate the truth?), but also from its  
32    ontological grounds (how do we know what the right questions and relevant  
33    problems really are?). The concepts of sustainability, complexity and uncertainty



1 in the context of agroecosystems can shed some light on this. They have to be  
2 adequately defined and operationalized for soil science to contribute viable  
3 solutions to five priorities that I deem central to achieving food security:  
4 (i) produce food where it is most needed;  
5 (ii) decouple agricultural production from its dependence on non-  
6 renewable resources;  
7 (iii) recycle and make efficient use of available resources;  
8 (iv) reduce the risks associated with global change; and  
9 (v) restore the capacity of degraded soils to support food production and  
10 other ecosystem services.

11 This paper follows from the introductory paper to this special issue by Keestra et  
12 al. (2016), to examine specifically what the concepts of sustainability, complexity  
13 and uncertainty mean and imply for soil science focusing on the second one of  
14 UN Sustainable Development Goals, Food Security and Nutrition, while  
15 proposing new challenges for soil scientists of the 21<sup>st</sup> Century.

## 17 **2. Definitions**

### 19 **2.1 Sustainability**

21 Much has been said and written about sustainability (e.g., most recently: James et  
22 al., 2014; Kahle and Gurel-Atay, 2015). Here, I concentrate on the aspects of  
23 sustainability that can help us think through our soils research and its  
24 contribution to food security and nutrition. The most widely quoted definition of  
25 sustainability is that used by the Brundtland Commission of the United Nations  
26 (1987) to define sustainable development as: “[...] *development that meets the*  
27 *needs of the present without compromising the ability of future generations to*  
28 *meet their own needs.*” Yet, in ecology, sustainability has a more strict definition  
29 and means ‘the capacity to endure’, the ability of ecosystems to remain  
30 productive and diverse indefinitely, bearing a much closer relationship with its  
31 etymology. Sustainability derives from the Latin term *sustinere*, formed by the  
32 particles *sub-* (up from below) and *tenere* (to hold), which resulted in the terms  
33 *sostenir* (old French) and *sustain* (English). The term *sustain* appeared in the



1 western literature since c. 1300 with the following meanings: give support to,  
2 hold up, maintain, endure, bear, undergo, continue, keep up, endure without  
3 failing or yielding, etc. Sustainability is thus the ability to sustain. The adjective  
4 sustainable has been used to mean 'bearable' since 1610s, 'defensible' since  
5 1845, and 'capable of being continued at a certain level' since 1965, when it was  
6 used to describe sustainable growth (cf. Douglas-Harper Etymology Dictionary,  
7 2015).

8  
9 Well-functioning, resilient soils are those able to hold agroecosystems 'up from  
10 below', to sustain their ability to deliver ecosystem services now and in the  
11 future, and to endure the stresses and shocks – anthropogenic or from other  
12 origins – that agroecosystems may be subject to. Policies, management practices  
13 or technological innovations that compromise the ability of soils to sustain  
14 current and future agroecosystems functioning and endurance can be thus  
15 defined as *un*-sustainable. In line with the approach to agroecosystems as socio-  
16 ecological systems, sustainable development *sensu* Brundtland recognizes four  
17 interconnected domains: ecology, economics, politics and culture (Kates, 2011).  
18 Sustainable development implies tradeoffs between objectives derived from  
19 these four domains, and how societies chose between these tradeoffs is central  
20 to sustainability (e.g. Anderson et al., 2015). Let us amend the previous  
21 statement: Policies, management practices or technological innovations that  
22 compromise agroecosystem functioning and endurance, and/or that impact  
23 negatively on the wider societal and environmental objectives, can be regarded  
24 as unsustainable.

25  
26 There are a few grey zones associated with this definition of sustainability that  
27 deserve further thinking, particularly in the realm of soil science:

- 28 1. *Subjectivity*. Sustainability is a soft concept. It is basically a normative  
29 assertion about choices among societal values. Every social or interest  
30 group will define sustainability and choose its own indicators and  
31 thresholds to make it operational. Is preserving a native forest a  
32 sustainable activity? Is maintaining a living topsoil a sustainable choice?  
33 Is preventing crop residue grazing by livestock in order to restore organic



- 1 carbon to soil a sustainable practice? Societies, or power groups within
- 2 them, may be ready to trade-off the benefits that can be derived from
- 3 these management options in order to satisfy other priorities – to
- 4 everyone or just to a few – at any given moment in time.
- 5 2. *Boundaries*. Sometimes the causes and consequences of ‘sustainable’
- 6 decisions are delocalized. This depends on the boundary conditions
- 7 chosen to define an agroecosystem. A social group may agree on a certain
- 8 management practice or policy that leads to greater sustainability
- 9 outcomes within the boundaries of their own ecosystems, but their
- 10 choices may result in unsustainable outcomes elsewhere. This not
- 11 uncommon in a globalized economy and the examples are plenty. Such
- 12 externalities– often overlooked in sustainability assessments – include
- 13 aquifer pollution through leaching or the siltation of waterways due to
- 14 soil erosion and sediment transport beyond the boundaries of the
- 15 agroecosystem.
- 16 3. *Thresholds*. As societies trade-off among values to norm on what is
- 17 considered sustainable or not, the thresholds necessary to operationalize
- 18 these norms are also the result of negotiations and power balances. One
- 19 example that relates to soils is the acceptable pollution thresholds defined
- 20 for glyphosate concentrations in water. Tolerance levels are set at 700
- 21 ppb in the USA, at around 300 ppb in countries like Argentina or Canada
- 22 and at 0.01 ppb in Europe. Such broad discrepancies are not the result of
- 23 scientists in Europe and the Americas having found different empirical
- 24 evidence on Glyphosate toxicity in water. They are just the result of the
- 25 capacity for lobbying, negotiation, or weight throwing of different interest
- 26 groups in European and American societies.
- 27 4. *Objectives*. As the term sustainability is reminiscent of such notions as
- 28 maintaining, enduring, etc., it somehow falls short of describing other
- 29 important goals of agroecosystem management. Land degradation
- 30 assessments based on NDVI trends estimate that about 25% of the
- 31 agricultural soils in the world are in a severely degraded state (Vlek et al.,
- 32 2008). Much of this is explained by soil degradation (Bai et al., 2008).
- 33 Sustainability implies that in many cases it is not enough with



1 maintaining the *status quo* or ‘conserving’ soils. Sustainable soil  
 2 management may require soil restoration (degraded land) or soil  
 3 aggradation (inherently unproductive land) as well – i.e., a sort of  
 4 aggradation-conservation agriculture may be needed, cf. Tittonell et al.,  
 5 2012).

6 5. *Predictability*. It is hard to foresee what the next generations will need in  
 7 terms of resources and ecosystem services. Up until the 19<sup>th</sup> century salt  
 8 was an important asset and even wars were fought about its sources (e.g.  
 9 the Wars of the Pacific, 1879-1883). The efficient use and exploitation of  
 10 salt would have been a sustainability premise in the past, up until the  
 11 moment when other technologies replaced the need for salt. Likewise, it is  
 12 hard to foresee the actual consequences of our current soil use and  
 13 management for the future generations. How do we know if a current  
 14 disturbance will lead to a future problem or a future advantage? Think of  
 15 the high cultural value assigned today to formerly degraded landscapes,  
 16 such as the dune landscape in north-western Europe that originated after  
 17 massive peat extraction from the soil surface, or the high economic value  
 18 of okoumé (*Aucoumea klaineana*) trees in Equatorial west Africa, that  
 19 grow in places that were cleared through slash and burn agriculture in  
 20 the past.

21 One may well imagine that healthy soils and ecosystems will be as necessary for  
 22 food production in the future as they are today – as they have been for over 7  
 23 millennia – but it might well be that the prime functions and services they are  
 24 expected to provide will be other than those of today. These grey zones pose  
 25 important ontological questions to soil scientists of the 21<sup>st</sup> century. Yet they  
 26 should not be used as arguments to disturb ecosystems beyond acceptable  
 27 thresholds, which must be defined using our best available scientific knowledge.  
 28 It makes sense to be conservative when it comes to choosing thresholds to assess  
 29 sustainability, particularly in the case of practices or technologies that may have  
 30 long term impacts on society and the environment that are yet unknown or  
 31 poorly understood. The goal of sustainable development is to propend towards a  
 32 form of intergenerational equity, maintaining the ability of global ecosystems to  
 33 sustain future generations.



1

2 **2.2 Complexity**

3

4 We take for granted that agroecosystems are complex yet they are often a  
5 simplified version of a natural ecosystem. Through agriculture, we have  
6 simplified natural ecosystems so that the flows of solar energy, water and  
7 nutrients can be steered in the narrow direction of producing selected forms of  
8 plant and animal biomass. Complexity was inevitably lost in the process. When  
9 assessed through indicators such as heterogeneity, diversity, dynamics and  
10 feedbacks, we can attest dramatic losses in structural, organisational and  
11 functional complexity of ecosystems through agriculture. A conspicuous example  
12 would be the loss of structural and functional complexity of soil biodiversity  
13 when forest soils are put under grazing or cultivation (e.g. Lupatini et al., 2012).  
14 The human dimension of agroecosystems, however, introduces new forms of  
15 complexity that are hard to unravel using ecological knowledge and principles.  
16 The actual complexity inherent to agroecosystems can only be understood when  
17 they are perceived as social-ecological systems.

18

19 Complexity can be seen as the mere number of components and interrelations in  
20 a system, or defined by the nature of such relationships, or by the emerging  
21 properties associated with them. Complexity can be associated too with the level  
22 of knowledge we have about the functioning of a system: less understood  
23 systems tend to be considered more complex. In soil science, complexity has  
24 been most often studied in the various fields of soil biology, notably through the  
25 study of soil trophic networks, but also in the study of the physicochemical  
26 properties, functioning and spatial arrangement of the minerals and particles  
27 that define soil composition and structure. More recently, the notion of  
28 complexity and ways of assessing it have been applied in the study of the close  
29 links between soil management, spatial patterns and collective human decisions  
30 or social networks (Rufino et al., 2011; Isaac, 2012; Tiftonell, 2014b; Andrieu et  
31 al., 2014; Baudron et al., 2014; Speelman et al., 2014). The next examples will  
32 focus on these aspects of social-ecological systems, as they bear a close

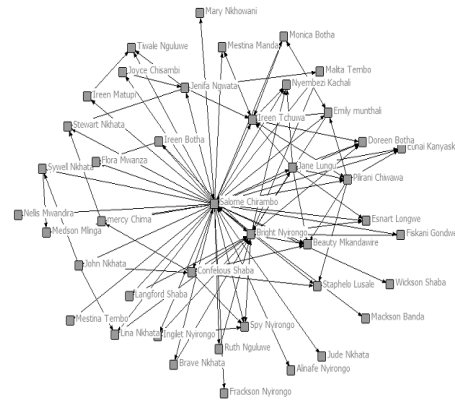




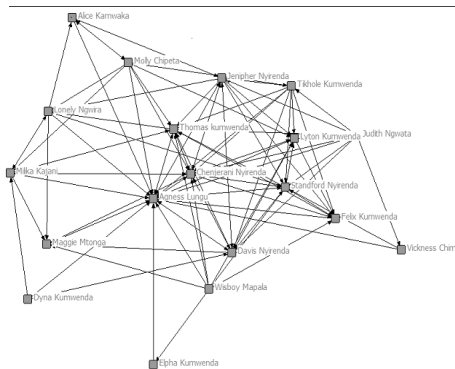
1 relationship with the challenge of achieving the UN Sustainable Development  
2 Goals through soil science.  
3  
4 *Collective action and decision-making*  
5 Introducing a technology or a set of soil management principles in a rural  
6 community is often a challenge. Lack of adoption is generally ascribed to the  
7 communities' poor understanding of the benefits of the technology, to their lack  
8 on interest in or need for it, or to the absence of economic, social or policy  
9 incentives to implement it. Often the problem is much more complex than that. In  
10 Malawi, soil and water conservation measures have been profusely promoted to  
11 halt soil degradation and increase agricultural productivity in the recent past.  
12 Some communities were more prone than others to adopt them. In-depth social  
13 studies in some of these communities of adopters and non-adopters revealed  
14 that the topology of the local social networks that characterised each community  
15 was closely associated with the level of adoption of soil and water conservation  
16 measures proposed to them (Khonje, 2012; Figure 1).  
17  
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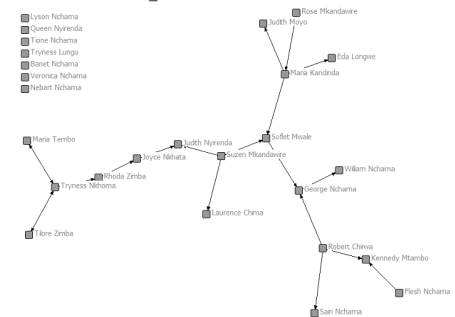
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5 Figure 1: Social network topologies of three villages in Malawi: (A) Ziwambara; (B) Kanyinbwa;  
 6 (C) Kamkondo (Source: K. Khonje 2012, Wageningen University).

7

8 It must be recalled that several soil and water conservation measures, such as  
 9 building terraces, planting tree lines or digging ditches, require collective effort  
 10 by members of the community, especially when the landscape is fragmented into  
 11 very small properties (of often less than half a hectare). The community of  
 12 Ziwambara village, where the adoption of soil and water conservation measures



1 was successful, exhibited a traditional type of social organisation, in which it is  
2 possible to evidence the strong leadership of a local chief, who relates to the rest  
3 of the households often through family ties (Fig. 1A). Kanyimbwa village social  
4 network reveals a situation in which more than one strong node of relations  
5 exists, resulting in a more complex and diverse communal decision-making  
6 model (Fig. 1B). Kamkondo village social network points to a loosely connected  
7 society (Fig. 1C), composed largely by migrating families with rather weak ties  
8 amongst them, with unconnected households, with ties to nearby townships as  
9 well, and where community action is hard to coordinate. Non-surprisingly, soil  
10 and water conservation measures that required collective effort were least  
11 adopted in the latter community. Social network structures can also enhance or  
12 hinder the flow of information amongst members of the community and very  
13 strongly influence adoption (Khonje, 2012).

14

#### 15 *Resource flow networks*

16 At farm level, complexity is evident in the way diversity is organised.  
17 Diversification of farm activities *per se* brings about greater resilience and  
18 adaptability in the face of external shocks, such as low or volatile prices, a  
19 drought or a pest outbreak. This is due risk spreading, or the classical egg-in-  
20 different-baskets effect. Yet diversity *per se* is not enough to grant efficiency in  
21 resource use, as observed for example amongst family dairy farmers in Mexico  
22 by Cortez-Arriola et al. (2014) or in rice-based systems of Bangladesh by  
23 Arvidashkan et al. (2015). To be functional to a given objective, diversity must be  
24 organised in a certain way. In ecology as well as in agriculture, the relationship  
25 between biodiversity and efficiency, for example nutrient use efficiency, is  
26 generally elusive, and it may become evident only when moving across scales.  
27 Processes deemed inefficient at one scale may contribute to greater system  
28 efficiency at higher scales (van Noordwijk and Brussaard, 2014). The analysis of  
29 individual farms as ecological networks in crop-livestock agroecosystems of East  
30 and southern Africa revealed however that resource (nitrogen in this case) use  
31 efficiency was closely associated with the complexity and organisation of the  
32 internal network of resource flows (Rufino et al., 2009; Alvarez et al., 2012).  
33 Across household types and climatic regions, N use efficiency and food self-



sufficiency at farm level were better explained by the nature of the resource flow network complexity, in the form of path organisation and internal recycling, than by the total amount of externally-sourced N entering and flowing through the farm system annually (Table 1).

Table 1: Indicators of resource endowment, and of the size and organisation of the network of nitrogen flows within eight case study smallholder farms (from: Rufino et al., 2009; Alvarez et al., 2012)

Location/ Farm type	Cropped land (ha)	Livestock owned (TLU)	Farm N network size			Farm N network organisation		Farm N use efficiency (kg kg N <sup>-1</sup> )	Food self sufficiency ratio
			Total system throughput	Dependency on imports (%)	Finn's cycling index (%)	Average mutual information	Diversity of flows		
Ethiopia									
Poorer	0.3	1.2	230	72	2.9	1.1	2.2	23	0.4
Wealthier	2.4	10.0	1340	66	2.6	1.3	2.4	18	1.7
Kenya									
Poorer	1.0	0	45	45	2.2	1.1	2.5	74	0.3
Wealthier	2.9	3.5	190	34	11.0	1.7	3.3	216	1.2
Zimbabwe									
Poorer	0.9	0.3	40	65	0.9	1.0	2.2	44	0.5
Wealthier	2.5	5.4	480	45	5.5	1.5	2.9	86	3.4
Madagascar									
Poorer	2.7	3	110	33	3.5	1.2	2.6	122	1.9
Wealthier	6.9	12	400	31	2.5	1.4	3.4	198	4.7

Total system throughput is the sum of all N flows between all components (activities) of the farming system, expressed here in kg N per family member to allow for comparisons across farms of different size; Dependency on imports is the ratio between N flows into the farm system and total system throughput; Finn's cycling index is calculated as the ratio of the sum of all internal flows to total system throughput; Average mutual information (AMI) is the average number of connections of each system component and the diversity of flows (HR) or statistical uncertainty is the maximum number of possible connections between components, or the upper limit to AMI; both AMI and HR are measured in bits (binary decisions); if all the components of a system are connected and the total flow is equally distributed among all components, AMI will approach zero; typical values of AMI in natural ecosystems range between 0 and 6; Farm N use efficiency is the ratio of total biomass productivity to total N flowing into the system; Food self-sufficiency ratio is the ratio of edible calories produced on farm to caloric household needs.

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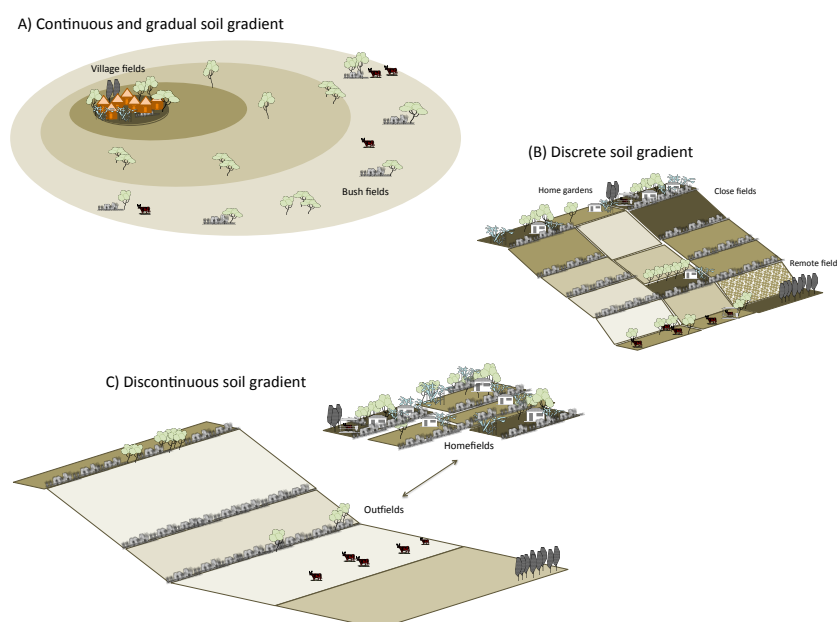
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## 8 *Spatial patterns*

9 At field scale, soil complexity is often reflected in its spatial heterogeneity, which  
 10 is both inherent to soils and also the result – intentional or not – of human  
 11 agency. I have worked extensively on the study of spatial soil heterogeneity, its  
 12 causes and consequences, in smallholder African agriculture (e.g. Tittonell et al.,  
 13 2005a,b; 2008; 2010; 2013; 2015; etc.). Figure 2 summarises schematically the  
 14 three most common patterns of soil heterogeneity that can be found across sub-  
 15 Saharan Africa. Fig. 2A represents the somewhat classical ring effect documented  
 16 by Prudencio (1993) in Sudano-sahelian zones of West Africa, which describes a  
 17 gradual decline in soil fertility at increasing distances from the homesteads,  
 18 organised in villages or *hameaux*. Fig. 2B illustrates discrete patterns of spatial  
 19 heterogeneity in highly populated and fragmented landscapes, in which  
 20 homesteads are not centralised in a village but located within individually owned  
 21 farms, and where soil fertility declines at increasing distances to them (e.g.  
 22 Tittonell, 2003). One of the most common patterns in less populated regions of  
 23 East and southern Africa is the discontinuous gradient, which strictly speaking is  
 24 not really a gradient (Fig. 2 C). In these patterns, described in Zimbabwe by e.g.  
 25 Carter and Murwira (1995), village fields and outfields are not necessarily  
 26 contiguous as in the West African case. Due to the rapid increase in population



1 densities that can be seen in rural Africa the first and the last pattern are  
 2 gradually disappearing, tending towards more fragmented, spatially  
 3 heterogeneous situations as that illustrated in Fig. 2 B.  
 4



5  
 6 Figure 2: Schematic representation of common spatial patterns of soil heterogeneity in  
 7 smallholders farming systems of sub-Saharan Africa. Modified from Tittonell et al., 2015.  
 8

9 Spatial soil heterogeneity is important because it is associated to a variable  
 10 extent with heterogeneity in crop productivity, in their response to nutrient  
 11 additions, or in the performance of soil improving technologies (Vanlauwe et al,  
 12 2006; Wopereis et al., 2006; Zingore et al., 2007; Fermont et al., 2009; Tittonell  
 13 and Giller, 2013; Bruelle et al., 2015; Diarisso et al., 2015). Fertiliser  
 14 recommendations tend to fail in sub-Saharan Africa due to this mere reason. The  
 15 agronomic and economic efficiencies of fertiliser use can vary from benefit to  
 16 failure within a single, spatially heterogeneous farm. N-fixing legumes may not  
 17 be able to grow – let alone to fix N – in the poorest or degraded fields of small  
 18 heterogeneous farms (Giller et al., 2011). But spatial heterogeneity is also  
 19 important because in some cases it is the result of farmers' intention. Farmers  
 20 may create 'islands of soil fertility' by concentrating resources in small portions



1 of land, where yields are secured through more intense crop husbandry, early  
2 planting and nutrient additions from diverse sources (Henry et al., 2009;  
3 Castellanos-Navarrete et al., 2014; Diarisso et al., 2015). Such fields tend to  
4 exhibit greater soil organic matter, nutrient availability and water holding  
5 capacity, and a great diversity of plant species grown in association, which  
6 contribute to household nutrition through diverse diets (Figueroa-Gomez et al.,  
7 2008). These fields can be also seen as a bank or an insurance asset to secure  
8 food production in years of scarcity or erratic rainfall, thereby contributing to  
9 whole-system resilience (cf. Titttonell, 2014b).

10

11 The above examples indicate that agroecosystem complexity is not scale-  
12 agnostic. Understanding complex social relations at village or landscape level,  
13 complex networks of resource flows at farm scale, or complex patterns of natural  
14 or human-induced soil spatial heterogeneity at field scale are central to the  
15 design of soil management strategies towards the achievement of the SDG. The  
16 approaches to be used in soil science must therefore embrace scale-dependency.  
17 A classical way of dealing with scales and integration levels in soil science, and  
18 agricultural science in general has been through the model of hierarchical  
19 confinement (e.g. Fresco and Westphal, 1988). Although this model became  
20 obsolete after the notion of *panarchy* emerged in ecology (Gunderson and  
21 Holling, 2001), it is still widely applied in soil science and must be revised in the  
22 light of new insights from complex systems theory (Vandermeer and Perfecto,  
23 2013) – another white glove lying on the ground of soil scientist of the 21<sup>st</sup>  
24 century.

25

### 26 **2.3 Uncertainty**

27

28 Uncertainty in agroecosystems is associated with the probability of occurrence  
29 of a certain event and with the sensitivity to and type of response to this event  
30 that may be expected. Events are normally associated with the behaviour of  
31 external driving variables, such as market or climatic ones, but also with a  
32 systems' own structure and function. Uncertainty may arise from stochastic  
33 processes and/or unforeseeable futures, but also from our inability to yet



1 understand how things work in nature. There are thus cases in which the type of  
2 response to be expected is not known *a priori*, and there are others in which  
3 possible responses are known but their patterns, in terms of extent or intensity  
4 are not known. These two types of cases define two different domains of  
5 research questions relevant to soil science and agriculture. The first one, the  
6 uncertainty about the unknown, relates to science's continuous quest to unravel  
7 reality and how it works. The second one refers to the probability of occurrence  
8 of a known response, which in agriculture often boils down to risk assessments.  
9 Climatic risks and price risks are inherent to agriculture, and proper soil  
10 management may help curtail them. But the risk of not obtaining the expected  
11 response to a given investment is a serious matter for resource-constrained  
12 farmers, for whom the consequences of a years' failure may be felt over more  
13 than one season. I will explore through a few examples the implications for soil  
14 science of uncertainties about the unknown and uncertainties about responses,  
15 recognising that there is still a third domain of research questions not addressed  
16 here that is concerned with how to reduce uncertainty in agroecosystems.

17

### 18 *Uncertainty about the unknown*

19 In the 1940s a group of competent toxicologists led by William B. Deichmann  
20 conducted a number of thorough studies using state-of-the-art methods to  
21 conclude that the active ingredient dichloro-diphenyl-trichloroethane, or DDT,  
22 could be safely released to the environment for its use as insecticide. DDT was  
23 one of the first wide spread synthetic pesticides, and its widespread use led to  
24 resistance in many insect species. In the early 1970s, a paper authored by  
25 Deichmann (1972) himself and other studies provided enough evidence for the  
26 US Environmental Protection Agency to finally forbid the use of DDT as it became  
27 known to be toxic to humans, persistent in the environment, travel long  
28 distances in the upper atmosphere, and accumulate in fatty tissues of living  
29 organisms. What did actually happen between the 1940s and the 1970s? Why  
30 was DDT first considered innocuous or degradable and 30 years later banned  
31 and labelled as poisonous for humans, wildlife and the environment?  
32 There are several possible answers to these questions. In the first place, the  
33 ecotoxicity of certain chemicals when applied in small doses may only appear



1 through cumulative effects (cf. <http://www.efsa.europa.eu/fr/node/872721>).

2 Time is needed for problems to arise, or to become evident. Second, and most

3 importantly, the capacity of science to detect the adverse effects of a certain

4 molecule released to the environment can progress substantially in 30 years.

5 Problems that were overlooked or remained undetected in the past could be

6 later on well understood and documented. (And the amount of scientific

7 evidence that needs to be accumulated to be able to bend the arm of the chemical

8 industry in court cases is not a minor detail). Examples such as this one should

9 teach us about the long-term risk (uncertainty) associated with the widespread

10 release of synthetic molecules, either as chemical formulations or produced by

11 genetically modified organisms (cf. Cheeke et al., 2012), into the environment.

12 Alarming ideas such as the commercial release of genetically engineered

13 microorganisms for soil amendment have been underway for a while (e.g.

14 Viebahn et al., 2009), with unknown consequences for soils and the environment.

15

16 The adverse effects of certain soil management practices will only arise once a

17 certain sustainability threshold is crossed. This is the case, for example, of

18 turbidity regimes in shallow lakes as affected by the continuous charge of

19 incoming nutrients in regions of intensive crop and animal agriculture (e.g.,

20 Scheffer et al., 2001). Such thresholds are often termed ‘tipping-points’

21 associated with non-linear, irreversible or hardly reversible dynamics that

22 describe multiple possible equilibriums (e.g., Walker et al., 2010). Evidence of

23 such complex dynamics in agroecosystems is analysed in Tittonell (2014b),

24 where household dynamics and their livelihood strategies are portrayed as

25 complex systems that follow non-linear trajectories, with impacts on soil

26 productivity and spatial heterogeneity. Lower equilibrium situations, in which

27 farms are caught within vicious cycles of soil fertility depletion, low productivity,

28 meagre incomes and poor investment capacity to restore soils are often termed

29 poverty traps (Tittonell and Giller, 2013). Further evidence on the dynamics of

30 smallholder African households and their impact on soils was presented by

31 Valbuena et al. (2015), who suggested that they often behave as ‘moving targets’

32 for soil research for development.

33





1    *Uncertainty about response patterns*

2    Why would farmers invest in actions to restore degraded soils if they do not

3    know the possible outcomes of such actions? The dynamics of soil

4    responsiveness to restorative measures can be characterised by two attributes:

5    hysteresis and time lags (Tittonell et al., 2012). Hysteretic responses are

6    desirable, so that the trajectory of soil recovery diverges as much as possible

7    from the one of degradation, and soils may in time recover properties that are as

8    close as possible to those exhibited by non-degraded soils (Figure 3). In a long-

9    term study in Benin diverse soil management practices, from a control without

10   soil amendments and crop residue removal, to a full treatment with application

11   of mineral and organic fertilisers and crop residue incorporation to soil, were

12   compared for 20 consecutive years. After 10 years, however, soil in the control

13   treatment degraded to such an extent that maize yields became virtually nil (red

14   markers in Fig. 3B). During the next 10 years, in which these soils received

15   amendments of organic and mineral fertilisers and of crop residues at the same

16   level as the full treatment (blue markers in Fig. 3B) their productivity recovered,

17   but never reached the level recorded in soils that received such inputs

18   throughout the 20 years of the experiment (green markets in Fig. 3B); the

19   relative yield was about 60%. In some cases, although hysteretic responses are

20   achievable and soils can potentially be restored, the first signs of response to

21   restorative measures only show up after a number of years of farmers'

22   investment (e.g. Corral et al., 2015). Such time lags often deter farmers'

23   investments in soil restoration measures. Note now in Fig. 3B that when the

24   degraded soil started receiving organic matter and nutrient inputs it

25   immediately produced a yield that was comparable with the initial yield without

26   inputs, and it took four years before a substantial response was obtained.

27

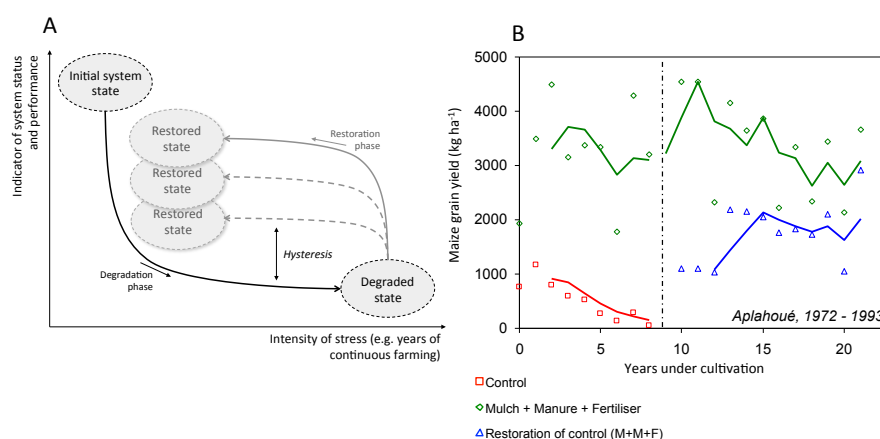


Figure 3: (A) Schematic representation of hysteretic responses to soil rehabilitation in which three alternative 'restored states' are represented; (B) Maize yields without nutrient inputs and crop residue removal (control) and with additions of manure, fertiliser and crop residues from the beginning or after year 10 (restoration of control) in a long term experiment in Aplahoué, Benin. Source: *Institut National de Recherches Agronomiques du Benin* (INRAB).

Farmers perceive uncertainty in responses mostly in the short term. Farmers engaged in a 4-year development project supporting sustainable agricultural intensification practices in 3600 upland rice fields in Madagascar were trained and encouraged to adapt conservation agriculture and nutrient management practices as they saw fit (Bruelle et al., 2015). As initial responses to synthetic fertiliser applications were highly variable, with yields ranging from 0.4 to 4.2 t ha<sup>-1</sup> on the most risky hillside fields, farmers adopted conservative fertiliser rates, intuitively targeting the highest efficiency zone of a fertiliser response curve. Farmers' fertiliser N rates on hillside fields (n = 857) were in the order of 20 to 35 kg ha<sup>-1</sup> on average. The variability in crop responses observed was large and multivariate, which is a common phenomenon observed on smallholder farms (e.g. Vanlauwe et al., 2006; Wopereis et al., 2006; Zingore et al., 2007; Tittonell et al., 2008; Fermont et al., 2009; Diarisso et al., 2015). The analysis of yield variability using classification and regression trees revealed that significant yield responses to N fertilisers (>15%) were only observed in 55% of the fields and only in two out of four years, with a cut-off threshold of 28 kg ha<sup>-1</sup> N applied (cf. Bruelle et al., 2015). Amongst the fields that received less than 28 kg



ha<sup>-1</sup>, 13% of them exhibited higher yields than the average of fields receiving greater fertiliser rates. It is not surprising that such uncertainty in crop responses to synthetic fertilisers deters resource-constrained farmers from investments in supposedly ‘quick fixes’ for soil fertility management.

### 3. Soil science priorities for food security and nutrition (SDG 2)

In the introduction to this paper I proposed five priority areas that soil science needs to address in order to contribute to food security and nutrition (SDG 2):

- (i) Produce food where food is most needed;
- (ii) Decouple agricultural production from its dependence on non-renewable resources;
- (iii) Recycle and make efficient use of available resources;
- (iv) Reduce the risks associated with global change; and
- (v) Restore the capacity of degraded soils to provide food and ecosystem services.

It takes much more than just good soil management to achieve food and nutritional security and addressing these priorities will often exceed the realm of soil science and call for trans-disciplinary research. Non-exhaustively, Table 2 provides some examples of pathways that could be followed and possible research approaches to address these priorities from the perspectives of sustainability, complexity and uncertainty.

Table 2: Soil research priorities to achieve UN Sustainable Development Goal 2 Food Security and Nutrition, and examples of approaches from the perspectives of sustainability (what needs to happen), complexity (what do we know/ should consider) and uncertainty (foresee and minimise what could go wrong)			
Priority	Sustainability	Complexity	Uncertainty
Produce food where food is most needed	Design food production systems and soil management technologies adapted to the context of resource-poor, less favourable, or less developed regions;  Think beyond major staple foods to design	Transdisciplinary understanding of institutional, societal (cultural) and ecological interactions in resource-poor, less favourable or less developed regions;  Understanding and exploiting the role of	Make food production systems more resilient and adaptable to shocks and stresses through diversification, and credit and insurance policies to assist in case of natural and human-made disasters;



	diverse, nutrition-sensitive landscapes;  Promote local autonomy and belligerence in food systems governance;	man-made 'fertility islands' in agroecosystems and other forms of indigenous precision agriculture;	
Decouple agriculture from non-renewable resources	Intensification of food production systems through diversification and ecological replacement;  Foster the provision of soil-mediated ecosystem services (e.g. nutrient regulation, biological N fixation)	Understanding of soil trophic networks and biodiversity, and their contribution to nutrient capture and cycling;  Understanding to what extent N fixation by organisms other than legume plants contribute to ecosystem's N budget (e.g. in grasslands);	Foster the provision of ecosystem services to reduce pest impacts (e.g., pest regulation, soil suppressiveness);  Minimize agriculture's dependence on fossil fuels and volatile oil markets;
Recycle and make efficient use of available resources	Look beyond partial efficiencies at plot/crop scale to embrace whole-system efficiency at farm and landscape levels;  Improve the recycling of energy and matter within and between agroecosystems, and between rural and urban areas;	Efficiency is not scale-agnostic: new theory is needed, perhaps ascendancy (cf. Ulanowicz, 2001), to measure systems' efficiencies at farm and landscape level;  Understanding the links between biodiversity and efficiency at different scales;	Introduce the notion of risks in the calculation of efficiencies;  Can risks of leakages be minimized through recycling?  What are the tradeoffs associated with pursuing efficiency at one single scale/component?
Reduce risks from global change	Foster the provision of soil-mediated ecosystem services (e.g. water regulation);  Ecological intensification of food production systems through diversification and exploitation of niche-complementarities (e.g. crop-livestock-tree systems);	Understanding the relationship between functional soil biodiversity and its adaptive capacity in the face of climate change;  Analysis of nature's structure-function relations and adaptability mechanisms to inform agroecosystems design (e.g. ligneous components in grasslands);	How can soil act as a reactor to detoxify the environment?  What are meaningful indicators and tipping points to monitor soil functioning and service provision?  How can soil information best contribute to insurance policies and mechanisms?
Restore degraded soils	Reduce time lags and initial investments;  Whenever possible, restore soils while producing;  Make use of locally available resources and knowledge for soil restoration;  Promote local autonomy and ownership in soil restoration initiatives;	Understanding of mechanisms behind hysteretic and non-hysteretic responses to soil restoration;  Understanding of sequential processes in soil and associated functions during a restoration phase.	What are the costs and benefits associated with restoring soils?  How can smallholder farmers overcome time lags?  What are the institutional and governance mechanisms necessary to ensure long-term engagement in soil restoration?



1  
2 In the light of sustainability, complexity and uncertainties, let us think critically  
3 about the model of agricultural intensification that several international  
4 organisations – with good intentions – are pushing in developing countries: what  
5 is the reasoning behind the idea that a mono-culture of a uniform maize hybrid  
6 receiving large inputs of synthetic fertilisers and other agrochemicals is the most  
7 appropriate cropping system for a subsistence, sometimes illiterate household in  
8 a remote, food- and land-insecure, and inaccessible rural village exposed to  
9 climatic risks and demographic pressure, and located in a fragile ecosystem in  
10 the tropics, where input prices are ten times higher than those paid by farmers in  
11 e.g. Europe? (On input prices cf. Tittonell et al., 2007). Can such simplified and  
12 risk-prone cropping system really contribute to food security, namely  
13 availability, access, stability and utilization of food, and nutritional diversity in  
14 smallholder contexts? Beyond any ecological consideration about the  
15 consequences of simplifying tropical ecosystems in this way, and just from a  
16 mere economic perspective, what would happen with rural food security and its  
17 sustainability under the uniform hybrid scenario if for example oil prices (and  
18 fertilisers) sky rocket, or if multinational seed companies manage to enforce  
19 payments for intellectual property upon farmers as is happening in other parts of  
20 the world?

21  
22 Sustainability, complexity and uncertainty represent respectively our  
23 aspirations, our understanding, and the challenges we face towards the future  
24 management of agroecosystems to achieve the UN Sustainable Development  
25 Goals. Although several epistemological questions remain unanswered around  
26 these notions, particularly around sustainability as a guiding concept, they  
27 represent three complementary perspectives from where we can analyse the  
28 potential of our contributions from soil science. On the basis of this, here are  
29 three lines of action that may help us soil scientists of the 21<sup>st</sup> Century make our  
30 research more impact-oriented towards the achievement of food security and  
31 nutrition:

- 32 1. Let us invest effort and creativity in ‘translating’ the new insights and  
33 understandings coming from soil science into knowledge-intensive



- 1 innovations that can contribute to food security. Exciting research results  
2 on formerly unknown soil interactions in the root zone are a sure ticket to  
3 a high impact publication. Yet the path from understanding processes in  
4 soil to implementing this knowledge in the design of sustainable  
5 agroecosystems is a long and tortuous one. We can contribute to make it  
6 shorter. But doing research on applied science, moving from questions  
7 such as '*how does this work*' (analysis) towards '*how to make it work*'  
8 (design) penalises soil scientists, as design-oriented research is hard to  
9 publish in high impact journals. This requires also to rethink the scientific  
10 reward systems in research and the academia;
- 11 2. Let us dare to challenge the establishment. Many of us in soil science are  
12 under the influence of those who were our mentors, who provided  
13 guidance and/or trained us as researchers. They are the ones who  
14 nowadays act as consultants for international organisations, who direct  
15 research institutes, participate in boards, act as journal editors, evaluate  
16 projects, etc. Most soil scientists of that generation were educated and  
17 developed their careers within the paradigm of the green revolution:  
18 improved germplasm, fertilisers and agrochemicals can fix anything.  
19 Some scientist of that generation knew how to adapt and change their  
20 views and discourses as new evidence and methods came along. For  
21 others, a change of view at this point is felt in a way as being equivalent to  
22 accepting that what they did in the past was wrong. Let us engage in  
23 persuasive actions to break such inertia, and let us not be shy when it  
24 comes to defending our new insights – provided that they are supported  
25 by sound scientific evidence;
- 26 3. Let us contribute to creating credible narratives that bring important  
27 messages to society and/or install useful ideas among agroecosystem  
28 managers, policy and decision-makers. Narratives are well-packed pieces  
29 of information that convey simple, common sense messages. A popular  
30 one is this: "*it will be impossible to feed the world without GM crops*".  
31 Although this assertion does not resist any serious scientific scrutiny, the  
32 industry managed to install this message successfully amongst some  
33 policy makers, the public opinion in general and, most disquietingly,



1 among certain members of the scientific community. I propose to liaise  
 2 with communication experts to develop simple narratives to convey  
 3 meaningful messages from soil science to society, with the ultimate  
 4 intention of influencing policy and research agendas;  
 5  
 6 The complexity of the social-ecological systems where food is produced and  
 7 consumed requires trans-disciplinary research, knowledge brokerage, and a  
 8 permanent dialogue with policy makers. The relationship between scientific  
 9 evidence and policy development and implementation is not rectilinear, but a  
 10 rocky path (Caceres et al., 2016; Keesstra et al., 2016). It would be naïve to think  
 11 that we can feed the world just with soil science, as the title of this paper  
 12 ironically claims. Hunger is not the result of insufficient agricultural production.  
 13 Hunger is the result of poverty and inequality. Feeding the world, or achieving  
 14 the second of the UN Sustainable Development Goals, requires much more than  
 15 soil science and it certainly exceeds agricultural research. Yet it helps to be  
 16 aware of the role we can play in this puzzle, a role that is not minor.

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