

1 **Feeding the world with soil science: embracing sustainability, complexity**
2 **and uncertainty**

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4 Pablo Tittonell^{1,2,*}

5 ¹Farming Systems Ecology, Wageningen University, The Netherlands

6 ²Natural Resources and Environment, Instituto Nacional de Tecnología
7 Agropecuaria (INTA), Argentina

8

9 *Modesta Victoria 4450, CC 277 (8400) San Carlos de Bariloche, Río Negro,
10 Argentina

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12 **Abstract**

13 Feeding a growing and wealthier population while providing other ecosystem
14 services and meeting social and environmental goals poses serious challenges to
15 soil scientists of the 21st Century. In particular, three dimensions inherent to
16 agricultural systems shape the current paradigm under which science has to
17 contribute knowledge and innovations: sustainability, complexity and
18 uncertainty. The current model of agricultural production, which is also often the
19 source of inspiration to propose solutions for future challenges, fails at
20 internalizing these dimensions. It simply does not provide the necessary means
21 to address sustainability, complexity or uncertainties. Part of the problem is that
22 these are soft concepts, as opposed to hard goals, and so their definition and
23 their translation into concrete actions is always subjective. They have to be
24 sufficiently defined for soil science to embrace them in order to propose viable
25 solutions to (i) produce food where it is most needed, (ii) decouple agricultural
26 production from its dependence on non-renewable resources, (iii) recycle and
27 make efficient use of available resources, (iv) adapt to and reduce the risks
28 associated with global change, and (v) restore the capacity of degraded soils to
29 provide ecosystem services. This paper examines what the concepts of
30 sustainability, complexity and uncertainty mean and imply for soil science,
31 focusing on the five priorities enunciated above. It also summarizes and
32 proposes new research challenges for soil scientists of the 21st Century.

33 **Keywords:** food security; nutrition; global change; soil degradation; agriculture

1 **1. Introduction**

2

3 Feeding a growing and increasingly affluent population while providing other
4 ecosystem services, and meeting the social and environmental targets of the UN
5 Sustainable Development Goals (SDG), poses serious challenges to the
6 management of terrestrial ecosystems used for primary production – and hence
7 to soil scientists of the 21st Century (Keesstra et al., 2016). Agroecosystems are
8 complex, dynamic socio-ecological systems in which soils play roles that are
9 central to their functioning (Walker et al., 2010). They exhibit a number of
10 properties that can be characterized as structural and dynamic, and are
11 governed by both biophysical processes and human agency (Conway, 1987). The
12 design of soil management strategies to meet the SDGs requires knowledge and
13 innovation, and embracing three dimensions that define the way we regard
14 agroecosystems nowadays: the notions of sustainability, complexity and
15 uncertainty. These dimensions describe respectively our aspirations, our
16 understanding, and the challenges we face towards the future management of
17 agroecosystems.

18

19 The currently hegemonic model of agricultural production issued from the green
20 revolution, and more recently fuelled by advances in genetic engineering and the
21 agrochemical industry, appears often as the primary source of a soil scientist’s
22 inspiration to propose solutions for future challenges. This is evident from the
23 report prepared by the Thematic Group on Sustainable Agriculture and Food
24 Systems of the Sustainable Development Solutions Network (SDSN, 2013), which
25 offers new, ‘improved’ versions of old remedies. In other words, under the optic
26 of this report, the solution to the problems *caused* by current agriculture
27 intensification, or to the problems it *fails* to address, are to come from yet further
28 agricultural intensification. Except that now this is branded as ‘sustainable’
29 intensification. Conspicuous examples of this type discourse are such concepts as
30 precision agriculture, good agricultural practices, the development of toxin-
31 producing GM plants supposed to replace pesticides, or more generally the eco-
32 efficiency and sustainable intensification discourses (cf. Tittonell, 2014a). In my
33 view, these solutions have little chance to help us achieve the SDG because they

1 fail to internalize what sustainability, complexity and uncertainty mean for the
2 management of agro-ecosystems.

3

4 Part of the problem is that sustainability, complexity and uncertainty are soft
5 concepts, as opposed to hard goals. Their definition and their translation into
6 concrete actions are unavoidably subjective (Meynard et al., 2012). Subjectivity
7 governs the way in which we perceive and diagnose a problem, as well as the
8 way in which we come up with solutions. A wrong diagnosis generally leads to
9 proposing the wrong solution. In many cases the solution to a problem is even
10 chosen *a priori*, without properly assessing the problem nor the potential impact
11 of the proposed solution. Blame subjectivity. Or, in the words of Prell et al.
12 (2007), "*if you have a hammer everything looks like a nail*". For example, a well-
13 intentioned soil scientist working on crop nutrition and soil fertility is often
14 inclined to think that the solution to food security, or more generally to achieving
15 the SDGs, will likely come from ample adoption and proper use of fertilisers (e.g.
16 the Fertiliser declaration of the Abuja Summit, 2006). Yet, after 40 years of such
17 policies in places like sub-Saharan Africa, and exactly ten years after this new
18 impetus was installed, we fail to see much progress in terms of agricultural
19 productivity, in per capita income or food availability in rural areas (UNCTAD,
20 2013; 2014). Most conspicuously, and with the exception of very localised
21 examples, there was weak progress in fertiliser adoption and use in spite of
22 national policies and large international support to achieve that, while soil
23 fertility continues to decline.

24

25 It is easy to blame policy makers or the social and economic context for lack of
26 incentives to the adoption of technologies, but why not questioning ourselves,
27 soil scientists, whether the solutions we propose are in themselves real solutions
28 to actual problems? This challenges us to revisit our research and its
29 contribution to solving the world's problems from an operational perspective
30 (how do we make sure that our research is sound and solid?), from an
31 epistemological perspective (how do we know that we are addressing the right
32 questions, employing the right methods to approximate the truth?), but also
33 from its ontological grounds (how do we know what the right questions and

1 relevant problems really are?). The concepts of sustainability, complexity and
2 uncertainty in the context of agroecosystems can shed some light on this. They
3 have to be adequately defined and operationalized for soil science to contribute
4 viable solutions to five priorities that I deem central to achieving food security:

- 5 (i) produce food where it is most needed;
- 6 (ii) decouple agricultural production from its dependence on non-
7 renewable resources;
- 8 (iii) recycle and make efficient use of available resources;
- 9 (iv) adapt to and reduce the risks associated with global change; and
- 10 (v) restore the capacity of degraded soils to support food production and
11 other ecosystem services.

12 Some of these priorities need to be further unpacked to be of real use. Priority
13 (iv) for example could be disaggregated into an array of global change adaptation
14 priorities. Yet this paper builds up from the introductory paper to this special
15 issue by Keestra et al. (2016), where many of these priorities were already
16 discussed in more detail. Here I examine specifically what the concepts of
17 sustainability, complexity and uncertainty mean and imply for soil science in
18 general and for these priorities in particular (cf. Table 2). I focus on the second
19 one of UN Sustainable Development Goals, Food Security and Nutrition, and
20 outline emerging challenges for us soil scientists of the 21st Century.

22 **2. Definitions**

24 **2.1 Sustainability**

25
26 Much has been said and written about sustainability (e.g., most recently: James et
27 al., 2014; Kahle and Gurel-Atay, 2015). Here, I concentrate on the aspects of
28 sustainability that can help us think through our soils research and its
29 contribution to food security and nutrition. The most widely quoted definition of
30 sustainability is that used by the Brundtland Commission of the United Nations
31 (1987) to define sustainable development as: “[...] *development that meets the*
32 *needs of the present without compromising the ability of future generations to*
33 *meet their own needs.*” Yet, in ecology, sustainability has a more strict definition

1 and means 'the capacity to endure', the ability of ecosystems to remain
2 productive and diverse indefinitely, bearing a much closer relationship with its
3 etymology. Sustainability derives from the Latin term *sustinere*, formed by the
4 particles *sub-* (up from below) and *tenere* (to hold), which resulted in the terms
5 *sostenir* (old French) and *sustain* (English). The term *sustain* appeared in the
6 western literature since c. 1300 with the following meanings: give support to,
7 hold up, maintain, endure, bear, undergo, continue, keep up, endure without
8 failing or yielding, etc. Sustainability is thus the ability to sustain. The adjective
9 sustainable has been used to mean 'bearable' since 1610s, 'defensible' since
10 1845, and 'capable of being continued at a certain level' since 1965, when it was
11 used to describe sustainable growth (cf. Douglas-Harper Etymology Dictionary,
12 2015).

13

14 Well-functioning, resilient soils are those able to hold agroecosystems 'up from
15 below', to sustain their ability to deliver ecosystem services now and in the
16 future, and to endure the stresses and shocks – anthropogenic or from other
17 origins – that agroecosystems may be subject to. Policies, management practices
18 or technological innovations that compromise the ability of soils to sustain
19 current and future agroecosystems functioning and endurance can be thus
20 defined as *un-sustainable*. In line with the approach to agroecosystems as socio-
21 ecological systems, sustainable development *sensu* Brundtland recognizes four
22 interconnected domains: ecology, economics, politics and culture (Kates, 2011).
23 Sustainable development implies tradeoffs between objectives derived from
24 these four domains, and how societies chose between these tradeoffs is central
25 to sustainability (e.g. Anderson et al., 2015). Let us amend the previous
26 statement: Policies, management practices or technological innovations that
27 compromise agroecosystem functioning and endurance, and/or that impact
28 negatively on the wider societal and environmental objectives, can be regarded
29 as unsustainable.

30

31 There are a few grey zones associated with this definition of sustainability that
32 deserve further thinking, particularly in the realm of soil science:

- 1 1. *Subjectivity.* Sustainability is a soft concept. It is basically a normative
2 assertion about choices among societal values. Every social or interest
3 group will define sustainability and choose its own indicators and
4 thresholds to make it operational. Is preserving a native forest a
5 sustainable activity? Is maintaining a living topsoil a sustainable choice?
6 Is preventing crop residue grazing by livestock in order to restore organic
7 carbon to soil a sustainable practice? Societies, or power groups within
8 them, may be ready to trade-off the benefits that can be derived from
9 these management options in order to satisfy other priorities – to
10 everyone or just to a few – at any given moment in time.
- 11 2. *Boundaries.* Sometimes the causes and consequences of ‘sustainable’
12 decisions are delocalized. This depends on the boundary conditions
13 chosen to define an agroecosystem. A social group may agree on a certain
14 management practice or policy that leads to greater sustainability
15 outcomes within the boundaries of their own ecosystems, but their
16 choices may result in unsustainable outcomes elsewhere. This is not
17 uncommon in a globalized economy and the examples are plenty. Such
18 externalities– often overlooked in sustainability assessments – include
19 aquifer pollution through leaching or the siltation of waterways due to
20 soil erosion and sediment transport beyond the boundaries of the
21 agroecosystem.
- 22 3. *Thresholds.* As societies trade-off among values to norm on what is
23 considered sustainable or not, the thresholds necessary to operationalize
24 these norms are also the result of negotiations and power balances. One
25 example that relates to soils is the acceptable pollution thresholds defined
26 for glyphosate concentrations in water. Tolerance levels are set at 700
27 ppb in the USA, at around 300 ppb in countries like Argentina or Canada
28 and at 0.01 ppb in Europe. Such broad discrepancies are not the result of
29 scientists in Europe and the Americas having found different empirical
30 evidence on Glyphosate toxicity in water. They are just the result of the
31 capacity for lobbying, negotiation, or weight throwing of different interest
32 groups in European and American societies.

- 1 4. *Objectives.* As the term sustainability is reminiscent of such notions as
2 maintaining, enduring, etc., it somehow falls short of describing other
3 important goals of agroecosystem management. Land degradation
4 assessments based on NDVI trends estimate that about 25% of the
5 agricultural land in the world is in a severely degraded state (Vlek et al.,
6 2008). Much of this is explained by soil degradation (Bai et al., 2008).
7 Sustainability implies that in many cases it is not enough with
8 maintaining the *status quo* or 'conserving' soils. Sustainable soil
9 management may require soil restoration (degraded land) or soil
10 aggradation (inherently unproductive land) as well – i.e., a sort of
11 aggradation-conservation agriculture may be needed, cf. Tittone et al.,
12 2012).
- 13 5. *Predictability.* It is hard to foresee what the next generations will need in
14 terms of resources and ecosystem services. Up until the 19th century salt
15 was an important asset and even wars were fought about its sources (e.g.
16 the Wars of the Pacific, 1879-1883). The efficient use and exploitation of
17 salt would have been a sustainability premise in the past, up until the
18 moment when other technologies replaced the need for salt. Likewise, it is
19 hard to foresee the actual consequences of our current soil use and
20 management for the future generations. How do we know if a current
21 disturbance will lead to a future problem or a future advantage? Think of
22 the high cultural value assigned today to formerly degraded landscapes,
23 such as the dune landscape in north-western Europe that originated after
24 massive peat extraction from the soil surface, or the high economic value
25 of okoumé (*Aucoumea klaineana*) trees in Equatorial west Africa, that
26 grow in places that were cleared through slash and burn agriculture in
27 the past.

28 One may well imagine that healthy soils and ecosystems will be as necessary for
29 food production in the future as they are today – as they have been for over 7
30 millennia – but it might well be that the prime functions and services they are
31 expected to provide will be other than those of today. These grey zones pose
32 important ontological questions to soil scientists of the 21st century. Yet they
33 should not be used as arguments to disturb ecosystems beyond acceptable

1 thresholds, which must be defined using our best available scientific knowledge.
2 It makes sense to be conservative when it comes to choosing thresholds to assess
3 sustainability, particularly in the case of practices or technologies that may have
4 long term impacts on society and the environment that are yet unknown or
5 poorly understood. The goal of sustainable development is to propend towards a
6 form of intergenerational equity, maintaining the ability of global ecosystems to
7 sustain future generations.

8

9 **2.2 Complexity**

10

11 We take for granted that agroecosystems are complex yet they are often a
12 simplified version of a natural ecosystem. Through agriculture, we have
13 simplified natural ecosystems so that the flows of solar energy, water and
14 nutrients can be steered in the narrow direction of producing selected forms of
15 plant and animal biomass. Complexity was inevitably lost in the process. When
16 assessed through indicators such as heterogeneity, diversity, dynamics and
17 feedbacks, we can attest dramatic losses in structural, organisational and
18 functional complexity of ecosystems through agriculture. A conspicuous example
19 would be the loss of structural and functional complexity of soil biodiversity
20 when forest soils are put under grazing or cultivation (e.g. Lupatini et al., 2012).
21 The human dimension of agroecosystems, however, introduces new forms of
22 complexity that are hard to unravel using ecological knowledge and principles.
23 The actual complexity inherent to agroecosystems can only be understood when
24 they are perceived as social-ecological systems.

25

26 Complexity can be seen as the mere number of components and interrelations in
27 a system, or defined by the nature of such relationships, or by the emerging
28 properties associated with them. Complexity can be associated too with the level
29 of knowledge we have about the functioning of a system: less understood
30 systems tend to be considered more complex. In soil science, complexity has
31 been most often studied in the various fields of soil biology, notably through the
32 study of soil trophic networks, but also in the study of the physicochemical
33 properties, functioning and spatial arrangement of the minerals and particles

1 that define soil composition and structure. More recently, the notion of
2 complexity and ways of assessing it have been applied in the study of the close
3 links between soil management, spatial patterns and collective human decisions
4 or social networks (Rufino et al., 2011; Isaac, 2012; Tittonell, 2014b; Andrieu et
5 al., 2014; Baudron et al., 2014; Speelman et a., 2014). The next examples will
6 focus on these aspects of social-ecological systems, as they bear a close
7 relationship with the challenge of achieving the UN Sustainable Development
8 Goals through soil science.

9

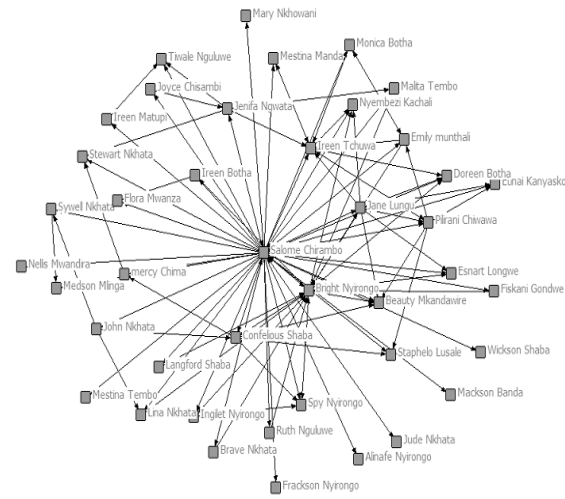
10 *Collective action and decision-making*

11 Introducing a technology or a set of soil management principles in a rural
12 community is often a challenge. Lack of adoption is generally ascribed to the
13 communities' poor understanding of the benefits of the technology, to their lack
14 on interest in or need for it, or to the absence of economic, social or policy
15 incentives to implement it. Often the problem is much more complex than that,
16 requiring fine-tuned adaptation, targeting, local ownership and embedding in
17 social-ecological contexts (cf. Coe et al., 2014). In Malawi, soil and water
18 conservation measures have been profusely promoted to halt soil degradation
19 and increase agricultural productivity in the recent past. Some communities
20 were more prone than others to adopt them. In-depth social studies in some of
21 these communities of adopters and non-adopters revealed that the topology of
22 the local social networks that characterised each community was closely
23 associated with the level of adoption of soil and water conservation measures
24 proposed to them (Khonje, 2012; Figure 1).

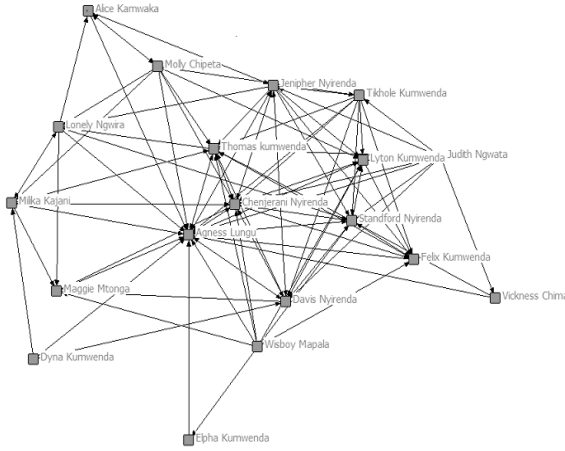
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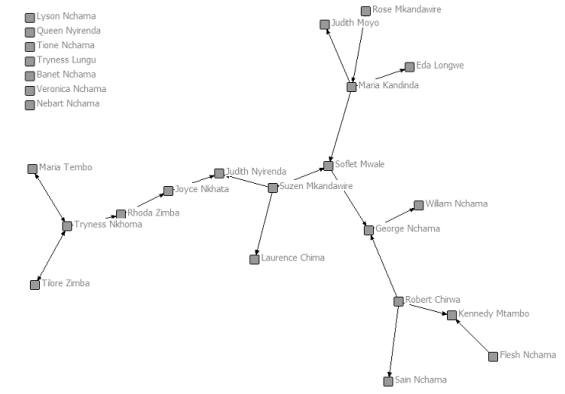
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5 Figure 1: Social network topologies of three villages in Malawi: (A) Ziwambera; (B) Kanyinbwa;
 6 (C) Kamkondo (Source: K. Khonje 2012, Wageningen University).

7

8 It must be recalled that several soil and water conservation measures, such as
 9 building terraces, planting tree lines or digging ditches, require collective effort
 10 by members of the community, especially when the landscape is fragmented into
 11 very small properties (of often less than half a hectare). The community of
 12 Ziwambera village, where the adoption of soil and water conservation measures

1 was successful, exhibited a traditional type of social organisation, in which it is
2 possible to evidence the strong leadership of a local chief, who relates to the rest
3 of the households often through family ties (Fig. 1A). Kanyimbwa village social
4 network reveals a situation in which more than one strong node of relations
5 exists, resulting in a more complex and diverse communal decision-making
6 model (Fig. 1B). Kamkondo village social network points to a loosely connected
7 society (Fig. 1C), composed largely by migrating families with rather weak ties
8 amongst them, with unconnected households, with ties to nearby townships as
9 well, and where community action is hard to coordinate. Non-surprisingly, soil
10 and water conservation measures that required collective effort were least
11 adopted in the latter community. Social network structures can also enhance or
12 hinder the flow of information amongst members of the community and very
13 strongly influence adoption (Khonje, 2012).

14

15 *Resource flow networks*

16 At farm level, complexity is evident in the way diversity is organised.
17 Diversification of farm activities *per se* brings about greater resilience and
18 adaptability in the face of external shocks, such as low or volatile prices, a
19 drought or a pest outbreak. This is due to risk spreading, or the classical egg-in-
20 different-baskets effect. Yet diversity *per se* is not enough to grant efficiency in
21 resource use, as observed for example amongst family dairy farmers in Mexico
22 by Cortez-Arriola et al. (2014) or in wheat-based systems of the eastern Indo-
23 Gangetic plains by Aravindakshan et al. (2015). To be functional to a given
24 objective, diversity must be organised in a certain way. In ecology as well as in
25 agriculture, the relationship between biodiversity and efficiency, for example
26 nutrient use efficiency, is generally elusive, and it may become evident only
27 when moving across scales. Processes deemed inefficient at one scale may
28 contribute to greater system efficiency at higher scales (van Noordwijk and
29 Brussaard, 2014). The analysis of individual farms as ecological networks in
30 crop-livestock agroecosystems of East and southern Africa revealed however
31 that resource (nitrogen in this case) use efficiency was closely associated with
32 the complexity and organisation of the internal network of resource flows
33 (Rufino et al., 2009; Alvarez et al., 2012). Across household types and climatic

1 regions, N use efficiency and food self-sufficiency at farm level were better
 2 explained by the nature of the resource flow network complexity, in the form of
 3 path organisation and internal recycling, than by the total amount of externally-
 4 sourced N entering and flowing through the farm system annually (Table 1).

Table 1: Indicators of resource endowment, and of the size and organisation of the network of nitrogen flows within eight case study smallholder farms (from: Rufino et al., 2009; Alvarez et al., 2012)

| Location/ Farm type | Cropped land (ha) | Livestock owned (TLU) | Farm N network size | | | Farm N network organisation | | Farm N use efficiency (kg kg N ⁻¹) | Food self sufficiency ratio |
|------------------------|-------------------------|-----------------------------|----------------------------|------------------------------|-----------------------------|-------------------------------|-----------------------|---|-----------------------------------|
| | | | Total system throughput | Dependency on imports (%) | Finn's cycling index (%) | Average mutual information | Diversity of flows | | |
| Ethiopia | | | | | | | | | |
| Poorer | 0.3 | 1.2 | 230 | 72 | 2.9 | 1.1 | 2.2 | 23 | 0.4 |
| Wealthier | 2.4 | 10.0 | 1340 | 66 | 2.6 | 1.3 | 2.4 | 18 | 1.7 |
| Kenya | | | | | | | | | |
| Poorer | 1.0 | 0 | 45 | 45 | 2.2 | 1.1 | 2.5 | 74 | 0.3 |
| Wealthier | 2.9 | 3.5 | 190 | 34 | 11.0 | 1.7 | 3.3 | 216 | 1.2 |
| Zimbabwe | | | | | | | | | |
| Poorer | 0.9 | 0.3 | 40 | 65 | 0.9 | 1.0 | 2.2 | 44 | 0.5 |
| Wealthier | 2.5 | 5.4 | 480 | 45 | 5.5 | 1.5 | 2.9 | 86 | 3.4 |
| Madagascar | | | | | | | | | |
| Poorer | 2.7 | 3 | 110 | 33 | 3.5 | 1.2 | 2.6 | 122 | 1.9 |
| Wealthier | 6.9 | 12 | 400 | 31 | 2.5 | 1.4 | 3.4 | 198 | 4.7 |

Total system throughput is the sum of all N flows between all components (activities) of the farming system, expressed here in kg N per family member to allow for comparisons across farms of different size; Dependency on imports is the ratio between N flows into the farm system and total system throughput; Finn's cycling index is calculated as the ratio of the sum of all internal flows to total system throughput; Average mutual information (AMI) is the average number of connexions of each system component and the diversity of flows (HR) or statistical uncertainty is the maximum number of possible connexions between components, or the upper limit to AMI; both AMI and HR are measured in bits (binary decisions); if all the components of a system are connected and the total flow is equally distributed among all components, AMI will approach zero; typical values of AMI in natural ecosystems range between 0 and 6; Farm N use efficiency is the ratio of total biomass productivity to total N flowing into the system; Food self-sufficiency ratio is the ratio of edible calories produced on farm to caloric household needs.

6

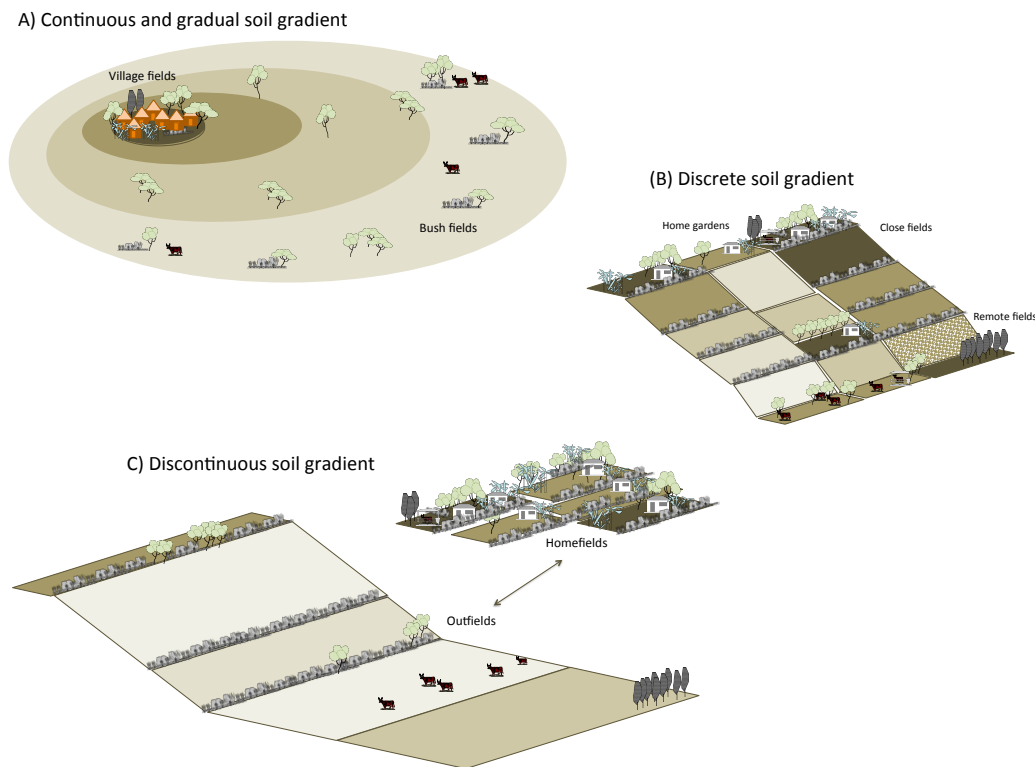
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8 *Spatial patterns*

9 At field scale, soil complexity is often reflected in its spatial heterogeneity, which
 10 is both inherent to soils and also the result – intentional or not – of human
 11 agency. I have worked extensively on the study of spatial soil heterogeneity, its
 12 causes and consequences, in smallholder African agriculture (e.g. Tittonell et al.,
 13 2005a,b; 2008; 2010; 2013; 2015; etc.). Figure 2 summarises schematically the
 14 three most common patterns of soil heterogeneity that can be found across sub-
 15 Saharan Africa. Fig. 2A represents the somewhat classical ring effect documented
 16 by Prudencio (1993) in Sudano-sahelian zones of West Africa, which describes a
 17 gradual decline in soil fertility at increasing distances from the homesteads,
 18 organised in villages or *hameaux*. Fig. 2B illustrates discrete patterns of spatial
 19 heterogeneity in highly populated and fragmented landscapes, in which
 20 homesteads are not centralised in a village but located within individually owned
 21 farms, and where soil fertility declines at increasing distances to them (e.g.
 22 Tittonell, 2003). One of the most common patterns in less populated regions of
 23 East and southern Africa is the discontinuous gradient, which strictly speaking is
 24 not really a gradient (Fig. 2 C). In these patterns, described in Zimbabwe by e.g.
 25 Carter and Murwira (1995), village fields and outfields are not necessarily
 26 contiguous as in the West African case. Due to the rapid increase in population

1 densities that can be seen in rural Africa the first and the last pattern are
2 gradually disappearing, tending towards more fragmented, spatially
3 heterogeneous situations as that illustrated in Fig. 2 B.

4



5

6 Figure 2: Schematic representation of common spatial patterns of soil heterogeneity in
7 smallholders farming systems of sub-Saharan Africa. Modified from Tittonell et al., 2015.

8

9 Spatial soil heterogeneity is important because it is associated to a variable
10 extent with heterogeneity in crop productivity, in their response to nutrient
11 additions, or in the performance of soil improving technologies (Vanlauwe et al,
12 2006; Wopereis et al., 2006; Zingore et al., 2007; Fermont et al., 2009; Tittonell
13 and Giller, 2013; Bruelle et al., 2015; Diarisso et al., 2015). Fertiliser
14 recommendations tend to fail in sub-Saharan Africa due to this mere reason. The
15 agronomic and economic efficiencies of fertiliser use can vary from benefit to
16 failure within a single, spatially heterogeneous farm. N-fixing legumes may not
17 be able to grow – let alone to fix N – in the poorest or degraded fields of small
18 heterogeneous farms (Giller et al., 2011). But spatial heterogeneity is also
19 important because in some cases it is the result of farmers' intention. Farmers
20 may create 'islands of soil fertility' by concentrating resources in small portions

1 of land, where yields are secured through more intense crop husbandry, early
2 planting and nutrient additions from diverse sources (Henry et al., 2009;
3 Castellanos-Navarrete et al., 2014; Diarisso et al., 2015). Such fields tend to
4 exhibit greater soil organic matter, nutrient availability and water holding
5 capacity, and a great diversity of plant species grown in association, which
6 contribute to household nutrition through diverse diets (Figueroa-Gomez et al.,
7 2008). These fields can be also seen as a bank or an insurance asset to secure
8 food production in years of scarcity or erratic rainfall, thereby contributing to
9 whole-system resilience (cf. Tiftonell, 2014b).

10

11 The above examples indicate that agroecosystem complexity is not scale-
12 agnostic. Understanding complex social relations at village or landscape level,
13 complex networks of resource flows at farm scale, or complex patterns of natural
14 or human-induced soil spatial heterogeneity at field scale are central to the
15 design of soil management strategies towards the achievement of the SDG. The
16 approaches to be used in soil science must therefore embrace scale-dependency.
17 A classical way of dealing with scales and integration levels in soil science, and
18 agricultural science in general has been through the model of hierarchical
19 confinement (e.g. Fresco and Westphal, 1988). Although this model became
20 virtually obsolete after the notion of *panarchy* emerged in ecology (Gunderson
21 and Holling, 2001), it is still widely applied in soil science and must be revised in
22 the light of new insights from complex systems theory (Vandermeer and
23 Perfecto, 2013) – another white glove lying on the ground of soil scientist of the
24 21st century.

25

26 **2.3 Uncertainty**

27

28 Uncertainty in agroecosystems is associated with the probability of occurrence
29 of a certain event and with the sensitivity to and type of response to this event
30 that may be expected. Events are normally associated with the behaviour of
31 external driving variables, such as market or climatic ones, but also with a
32 systems' own structure and function. Uncertainty may arise from stochastic
33 processes and/or unforeseeable futures, but also from our inability to yet

1 understand how things work in nature. There are thus cases in which the type of
2 response to be expected is not known *a priori*, and there are others in which
3 possible responses are known but their patterns, in terms of extent or intensity
4 are not known. These two types of cases define two different domains of
5 research questions relevant to soil science and agriculture. The first one, the
6 uncertainty about the unknown, relates to science's continuous quest to unravel
7 reality and how it works. The second one refers to the probability of occurrence
8 of a known response, which in agriculture often boils down to risk assessments.
9 Climatic risks and price risks are inherent to agriculture, and proper soil
10 management may help curtail them. But the risk of not obtaining the expected
11 response to a given investment is a serious matter for resource-constrained
12 farmers, for whom the consequences of a years' failure may be felt over more
13 than one season. I will explore through a few examples the implications for soil
14 science of uncertainties about the unknown and uncertainties about responses,
15 recognising that there is still a third domain of research questions not addressed
16 here that is concerned with how to reduce uncertainty in agroecosystems.

17

18 *Uncertainty about the unknown*

19 In the 1940s a group of competent toxicologists led by William B. Deichmann
20 conducted a number of thorough studies using state-of-the-art methods to
21 conclude that the active ingredient dichloro-diphenyl-trichloroethane, or DDT,
22 could be safely released to the environment for its use as insecticide. DDT was
23 one of the first wide spread synthetic pesticides, and its widespread use led to
24 resistance in many insect species. In the early 1970s, a paper authored by
25 Deichmann (1972) himself and other studies provided enough evidence for the
26 US Environmental Protection Agency to finally forbid the use of DDT as it became
27 known to be toxic to humans, persistent in the environment, travel long
28 distances in the upper atmosphere, and accumulate in fatty tissues of living
29 organisms. What did actually happen between the 1940s and the 1970s? Why
30 was DDT first considered innocuous or degradable and 30 years later banned
31 and labelled as poisonous for humans, wildlife and the environment?

32 There are several possible answers to these questions. In the first place, the
33 ecotoxicity of certain chemicals when applied in small doses may only appear

1 through cumulative effects (cf. <http://www.efsa.europa.eu/fr/node/872721>).
2 Time is needed for problems to arise, or to become evident. Second, and most
3 importantly, the capacity of science to detect the adverse effects of a certain
4 molecule released to the environment can progress substantially in 30 years.
5 Problems that were overlooked or remained undetected in the past could be
6 later on well understood and documented. (And the amount of scientific
7 evidence that needs to be accumulated to be able to bend the arm of the chemical
8 industry in court cases is not a minor detail). Examples such as this one should
9 teach us about the long-term risk (uncertainty) associated with the widespread
10 release of synthetic molecules, either as chemical formulations or produced by
11 genetically modified organisms (cf. Cheeke et al., 2012), into the environment.
12 Alarming ideas such as the commercial release of genetically engineered
13 microorganisms for soil amendment have been underway for a while (e.g.
14 Viebahn et al., 2009), with unknown consequences for soils and the environment.

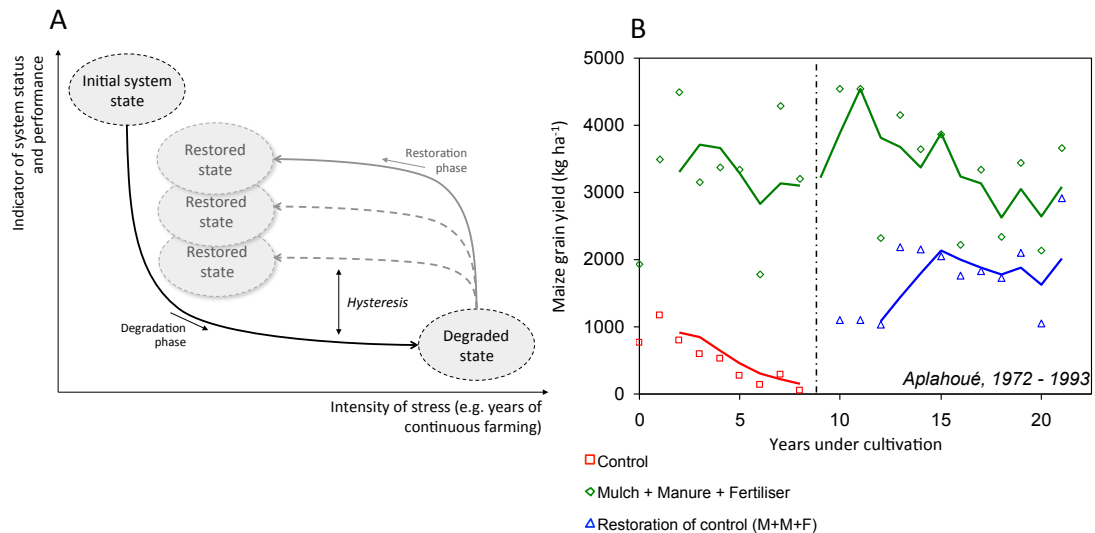
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16 The adverse effects of certain soil management practices will only arise once a
17 certain sustainability threshold is crossed. This is the case, for example, of
18 turbidity regimes in shallow lakes as affected by the continuous charge of
19 incoming nutrients in regions of intensive crop and animal agriculture (e.g.,
20 Scheffer et al., 2001). Such thresholds are often termed 'tipping-points'
21 associated with non-linear, irreversible or hardly reversible dynamics that
22 describe multiple possible equilibriums (e.g., Walker et al., 2010). Evidence of
23 such complex dynamics in agroecosystems is analysed in Tiftonell (2014b),
24 where household dynamics and their livelihood strategies are portrayed as
25 complex systems that follow non-linear trajectories, with impacts on soil
26 productivity and spatial heterogeneity. Lower equilibrium situations, in which
27 farms are caught within vicious cycles of soil fertility depletion, low productivity,
28 meagre incomes and poor investment capacity to restore soils are often termed
29 poverty traps (Tiftonell and Giller, 2013). Further evidence on the dynamics of
30 smallholder African households and their impact on soils was presented by
31 Valbuena et al. (2015), who suggested that they often behave as 'moving targets'
32 for soil research for development.

33

1 *Uncertainty about response patterns*

2 Why would farmers invest in actions to restore degraded soils if they do not
3 know the possible outcomes of such actions? The dynamics of soil
4 responsiveness to restorative measures can be characterised by two attributes:
5 hysteresis and time lags (Tittonell et al., 2012). Hysteretic responses are
6 desirable, so that the trajectory of soil recovery diverges as much as possible
7 from the one of degradation, and soils may in time recover properties that are as
8 close as possible to those exhibited by non-degraded soils (Figure 3). In a long-
9 term study in Benin diverse soil management practices, from a control without
10 soil amendments and crop residue removal, to a full treatment with application
11 of mineral and organic fertilisers and crop residue incorporation to soil, were
12 compared for 20 consecutive years. After 10 years, however, soil in the control
13 treatment degraded to such an extent that maize yields became virtually nil (red
14 markers in Fig. 3B). During the next 10 years, in which these soils received
15 amendments of organic and mineral fertilisers and of crop residues at the same
16 level as the full treatment (blue markers in Fig. 3B) their productivity recovered,
17 but never reached the level recorded in soils that received such inputs
18 throughout the 20 years of the experiment (green markers in Fig. 3B); the
19 relative yield was about 60%. In some cases, although hysteretic responses are
20 achievable and soils can potentially be restored, the first signs of response to
21 restorative measures only show up after a number of years of farmers'
22 investment (e.g. Corral et al., 2015). Such time lags often deter farmers'
23 investments in soil restoration measures. Note now in Fig. 3B that when the
24 degraded soil started receiving organic matter and nutrient inputs it
25 immediately produced a yield that was comparable with the initial yield without
26 inputs, and it took four years before a substantial response was obtained.
27



1
 2 Figure 3: (A) Schematic representation of hysteretic responses to soil rehabilitation in which
 3 three alternative 'restored states' are represented; (B) Maize yields without nutrient inputs and
 4 crop residue removal (control) and with additions of manure, fertiliser and crop residues from
 5 the beginning or after year 10 (restoration of control) in a long term experiment in Aplahoué,
 6 Benin. Source: *Institut National de Recherches Agronomiques du Benin (INRAB)*.

7
 8 Farmers perceive uncertainty in responses mostly in the short term. Farmers
 9 engaged in a 4-year development project supporting sustainable agricultural
 10 intensification practices in 3600 upland rice fields in Madagascar were trained
 11 and encouraged to adapt conservation agriculture and nutrient management
 12 practices as they saw fit (Bruelle et al., 2015). As initial responses to synthetic
 13 fertiliser applications were highly variable, with yields ranging from 0.4 to 4.2 t
 14 ha⁻¹ on the most risky hillside fields, farmers adopted conservative fertiliser
 15 rates, intuitively targeting the highest efficiency zone of a fertiliser response
 16 curve. Farmers' fertiliser N rates on hillside fields (n = 857) were in the order of
 17 20 to 35 kg ha⁻¹ on average. The variability in crop responses observed was large
 18 and multivariate, which is a common phenomenon observed on smallholder
 19 farms (e.g. Vanlauwe et al, 2006; Wopereis et al., 2006; Zingore et al., 2007;
 20 Tittonell et al., 2008; Fermont et al., 2009; Diarisso et al., 2015). The analysis of
 21 yield variability using classification and regression trees revealed that significant
 22 yield responses to N fertilisers (>15%) were only observed in 55% of the fields
 23 and only in two out of four years, with a cut-off threshold of 28 kg ha⁻¹ N applied
 24 (cf. Bruelle et al., 2015). Amongst the fields that received less than 28 kg ha⁻¹,

1 13% of them exhibited higher yields than the average of fields receiving greater
 2 fertiliser rates. It is not surprising that such uncertainty in crop responses to
 3 synthetic fertilisers deters resource-constrained farmers from investments in
 4 supposedly 'quick fixes' for soil fertility management.

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7 **3. Soil science priorities for food security and nutrition (SDG 2)**

8

9 In the introduction to this paper I proposed five priority areas that soil science
 10 needs to address in order to contribute to food security and nutrition (SDG 2):

- 11 (i) Produce food where food is most needed;
- 12 (ii) Decouple agricultural production from its dependence on non-
 13 renewable resources;
- 14 (iii) Recycle and make efficient use of available resources;
- 15 (iv) Adapt to and reduce the risks associated with global change; and
- 16 (v) Restore the capacity of degraded soils to provide food and ecosystem
 17 services.

18 It takes much more than just good soil management to achieve food and
 19 nutritional security, and addressing these priorities will often exceed the realm
 20 of soil science, calling for trans-disciplinary research. Non-exhaustively,
 21 however, Table 2 provides some examples of pathways that could be followed
 22 and possible research for development approaches to address these priorities
 23 from the perspectives of sustainability, complexity and uncertainty.

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| Table 2: Soil research for development priorities to achieve UN Sustainable Development Goal 2 Food Security and Nutrition, and examples of approaches from the perspectives of sustainability (what needs to happen), complexity (what do we know/ should consider) and uncertainty (foresee and minimise what could go wrong) | | | |
|---|--|---|---|
| Priority | Sustainability | Complexity | Uncertainty |
| (i) Produce food where food is most needed(*) | Design food production systems and soil management technologies adapted to the context of resource-poor, less favourable, or less developed regions; Think beyond major | Transdisciplinary understanding of institutional, societal (cultural) and ecological interactions in resource-poor, less favourable or less developed regions; Understanding and | How to make food production systems more resilient and adaptable to shocks and stresses through diversification? What kind of credit and insurance |

| | | | |
|---|---|--|---|
| | <p>staple foods to design diverse, nutrition-sensitive landscapes;</p> <p>Promote local autonomy and belligerence in food systems governance;</p> | <p>exploiting the role of man-made 'fertility islands' in agroecosystems and other forms of indigenous precision agriculture;</p> | <p>policies/mechanisms are there to assist in case of natural and human-made disasters?</p> |
| (ii) Decouple agriculture from non-renewable resources | <p>Intensification of food production systems through diversification and ecological replacement;</p> <p>Foster the provision of soil-mediated ecosystem services (e.g. nutrient regulation, biological N fixation)</p> | <p>Understanding of soil trophic networks and biodiversity, and their contribution to nutrient capture and cycling;</p> <p>Understanding to what extent N fixation by organisms other than legume plants contribute to ecosystem's N budget (e.g. in grasslands);</p> | <p>How to foster the provision of ecosystem services to reduce pest impacts (e.g., pest regulation, soil suppressiveness)?</p> <p>How to minimize agriculture's dependence on fossil fuels and volatile oil markets?</p> |
| (iii) Recycle and make efficient use of available resources | <p>Look beyond partial efficiencies at plot/crop scale to embrace whole-system efficiency at farm and landscape levels;</p> <p>Improve the recycling of energy and matter within and between agroecosystems, and between rural and urban areas;</p> | <p>Efficiency is not scale-agnostic: new theory is needed, perhaps ascendancy (cf. Ulanowicz, 2001), to measure systems' efficiencies at farm and landscape level;</p> <p>Understanding the links between biodiversity and efficiency at different scales;</p> | <p>Introduce the notion of risks in the calculation of efficiencies;</p> <p>Can risks of leakages be minimized through recycling?</p> <p>What are the tradeoffs associated with pursuing efficiency at one single scale/component?</p> |
| (iv) Adapt to and reduce risks from global change | <p>Foster the provision of soil-mediated ecosystem services (e.g. water regulation);</p> <p>Ecological intensification of food production systems through diversification and exploitation of niche-complementarities (e.g. crop-livestock-tree systems);</p> | <p>Understanding the relationship between functional diversity of soil organisms and adaptive capacity in the face of climate change;</p> <p>Analysis of nature's structure-function relations and adaptability mechanisms to inform agroecosystems design (e.g. ligneous components in grasslands);</p> | <p>How can soil act as a reactor to detoxify the environment?</p> <p>What are meaningful indicators and tipping points to monitor soil functioning and service provision?</p> <p>How can soil information best contribute to insurance policies and mechanisms?</p> |
| (v) Restore degraded soils | <p>Reduce time lags and initial investments;</p> <p>Whenever possible, restore soils while producing;</p> <p>Make use of locally available resources and knowledge for soil restoration;</p> <p>Promote local autonomy and ownership in soil restoration initiatives;</p> | <p>Understanding of mechanisms behind hysteretic and non-hysteretic responses to soil restoration;</p> <p>Understanding of sequential processes in soil and associated functions during a restoration phase.</p> | <p>What are the costs and benefits associated with restoring soils?</p> <p>How can smallholder farmers overcome time lags?</p> <p>What are the institutional and governance mechanisms necessary to ensure long-term engagement in soil restoration?</p> |

(*) Although current agriculture is producing enough calories to feed everyone on earth (2720 Kcal person⁻¹ year⁻¹ produced versus 1800-2100 K Cal person⁻¹ year⁻¹ required), and although food security depends on food availability as much as on access to food, stability and utilisation, there is undisputable evidence that addressing current food shortages is not just a matter of improving food distribution worldwide (e.g. UNCTAD, 2014). For as long as we leave the responsibility of distributing food to the international market forces, increasing global food production will not be enough to satisfy the needs of the poorest families in remote, hardly accessible rural areas. Paradoxically, the majority of people who suffer from hunger worldwide are smallholder farmers and/or rural dwellers that have the potential to produce enough food to meet (in part or fully) the requirements of their families. Producing food where it is most needed is hence a priority to address food security, nutritional voids, and income generation in rural areas.

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Let us now think critically, in the light of sustainability, complexity and uncertainties, about the model of agricultural intensification that several international organisations are pushing – with good intentions, no doubt – in developing countries: What is the reasoning behind the idea that a mono-culture of a uniform maize hybrid receiving large inputs of synthetic fertilisers and other agrochemicals is the most appropriate cropping system for a subsistence, sometimes illiterate household in a remote, food- and land-insecure, hardly accessible rural village exposed to climatic risks and demographic pressure, and located in a fragile ecosystem in the tropics, where agrochemical prices are ten times higher than those paid by farmers in e.g. Europe? (On input prices in rural Africa cf. Tittonell et al., 2007). Can such simplified and risk-prone cropping system really contribute to food security, namely availability, access, stability and utilization of food, and nutritional diversity in smallholder contexts? Let us think about the sustainability of this intensification strategy, beyond considering the ecological consequences of simplifying tropical ecosystems in this way, and just from a mere economic perspective: What would happen with rural food security following the uniform hybrid + fertiliser strategy if, for example, oil prices (and chemical fertilisers) sky rocket or if multinational seed companies manage to enforce payments for intellectual property upon farmers as is now happening in other parts of the world? Will this strategy be truly sustainable?

Sustainability, complexity and uncertainty represent respectively our aspirations, our understanding, and the challenges we face towards the future management of agroecosystems to achieve the UN Sustainable Development Goals. Although several epistemological questions remain unanswered around these notions, particularly around sustainability as a guiding concept, they represent three complementary perspectives from where we can analyse the

1 potential of our contributions from soil science. On the basis of this, here are
2 three lines of action that may help us soil scientists of the 21st Century make our
3 research more impact-oriented towards the achievement of food security and
4 nutrition:

- 5 1. Let us invest effort and creativity in ‘translating’ the new insights and
6 understandings coming from soil science into knowledge-intensive
7 innovations that can contribute to food security. Exciting research results
8 on formerly unknown soil interactions in the root zone are a sure ticket to
9 a high impact publication. Yet the path from understanding processes in
10 soil to implementing this knowledge in the design of sustainable
11 agroecosystems is a long and tortuous one. We can contribute to make it
12 shorter. But doing research on applied science, moving from questions
13 such as ‘*how does this work*’ (analysis) towards ‘*how to make it work*’
14 (design) penalises soil scientists, as design-oriented research is hard to
15 publish in high impact journals – an obvious call to rethinking the
16 scientific reward systems in research and the academia;
- 17 2. Let us dare to challenge the establishment. Many of us in soil science are
18 under the influence of those who were our mentors, who provided
19 guidance and/or trained us as researchers. They are the ones who
20 nowadays act as consultants for international organisations, who direct
21 research institutes, participate in boards, act as journal editors, evaluate
22 projects, etc. Most soil scientists of that generation were educated and
23 developed their careers within the paradigm of the green revolution:
24 improved germplasm, fertilisers and agrochemicals can fix anything.
25 Some scientist of that generation knew how to adapt and change their
26 views and discourses as new evidence and methods came along. For
27 others, a change of view at this point is felt in a way as being equivalent to
28 accepting that what they did in the past was wrong. Let us engage in
29 persuasive actions to break such inertia, and let us not be shy when it
30 comes to defending our new insights – provided that they are supported
31 by sound scientific evidence;
- 32 3. Let us contribute to creating credible narratives that bring important
33 messages to society and/or install useful ideas among agroecosystem

1 managers, policy and decision-makers. Narratives are well-packed pieces
2 of information that convey simple, common sense messages. A popular
3 one is this: "*it will be impossible to feed the world without GM crops*".
4 Although this assertion does not resist any serious scientific scrutiny, the
5 industry managed to install this message successfully amongst some
6 policy makers, the public opinion in general and, most disquietingly,
7 among certain members of the scientific community. I propose to liaise
8 with communication experts to develop simple narratives to convey
9 meaningful messages from soil science to society, with the ultimate
10 intention of influencing policy and research agendas;

11

12 The complexity of the social-ecological systems where food is produced and
13 consumed requires trans-disciplinary research, knowledge brokerage, and a
14 permanent dialogue with policy makers. The relationship between scientific
15 evidence and policy development and implementation is not rectilinear, but a
16 rocky path (Caceres et al., 2016; Keesstra et al., 2016). It would be naïve to think
17 that we can feed the world just with soil science, as the title of this paper
18 ironically claims. Hunger is not the result of insufficient agricultural production.
19 Hunger is the result of poverty and inequality. Feeding the world, or achieving
20 the second of the UN Sustainable Development Goals, requires much more than
21 soil science and it certainly exceeds agricultural research. Yet it helps to be
22 aware of the role we can play in this puzzle, a role that is not minor.

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