

Response to Editor Peter Finke
Shepard C., Schaap MG., Pelletier JD., and Rasmussen C.

We thank the editor for his comments and recommendations on the manuscript titled “A probabilistic approach to quantifying soil property change through time integration of mass and energy input.” We have responded to and addressed the editor’s comments and remarks below and in the revised version of the manuscript.

Response to general remarks:

The model requires the inputs of time and effective energy and mass transfer (EEMT, Rasmussen et al., 2005; Rasmussen and Tabor, 2007; Rasmussen et al., 2011) to predict the probable range of a particular soil physical property at a given location. In this presentation, we focus on clay content as a physical property that reflects pedogenic change. EEMT is clearly rooted in the classical Jenny factorial approach for describing soil forming system, and as such does not describe any particular soil forming process. EEMT is a flux term, and is it not used here to parameterize a mechanistic model of pedogenesis as suggested by the editor. EEMT simply quantifies the energetic contributions from effective precipitation and net primary productivity as quantitative measures of climatic and biological forcing/input to the soil forming system. EEMT quantifies the energy transferred to the soil system that can perform pedogenic work, such as chemical weathering or carbon cycling, or any other soil forming process; it does not describe or quantify any one process, and this is indicated on lines 102-105.

Application of the present approach in the critical zone environment requires no soil information. Here we used an established geomorphically based numerical model that predicts local erosion rates, soil depth and local soil residence time from topography and a maximum rate of soil production (that can be assumed or based on local catchment derived denudation rates) (Pelletier and Rasmussen, 2009). The geomorphic model is mechanistic and process based, describing mass production and transport using established transport “laws”. The editor expressed doubt of the ability to predict soil information in the critical zone environment; however, we present clear model results that this approach can be used to predict clay content completely independent of soil data. This is a key piece of soil information to understanding critical zone function and evolution. We further argued that the present approach can greatly inform our understanding of the distributions of soil physical properties and facilitate further hypothesis generation. For example, the present approach did not accurately predict clay stocks at specific locations within the Santa Catalina Mountains-Jemez River Basin granite sub-catchment (Lines 329-339); any number of hypotheses and questions can be formulated and tested as to why the model failed to predict clay stocks at these locations, and the current model formulation can be updated to accommodate these findings. Further, the present results suggest an incomplete understanding of the soil-landscapes within these catchments, which may not have been found by using techniques such as digital soil mapping.

The strength of the current approach lies in the ability of the model to capture all soil forming factors into one relatively simple mathematical apparatus. We make no claims of modeling particular soil forming processes, a fact that we state clearly in lines 145-149 and in lines 151-152. As true of any factorial treatment of soil systems, the model captures either the net effect of all considered soil forming processes or rather the implicit result of soil forming processes, by

considering all soil profiles equally. This is the same foundation for any number of digital soil mapping exercises, as typified by the SCORPAN statement of McBratney and others – the model uses factors to predict soil properties and is not a mechanistic model of process. The model only indirectly captures soil forming processes by not restricting the model to any particular spatial or temporal extent or any particular parent material. We disagree with the editor’s comment about the delineation of the model domain. By restricting the model domain, either spatially, temporally, or with regards to parent material, entire suites of soil forming processes would not be captured, limiting the applicability of the present approach.

Response to Question 1. Why is the model forced to a bivariate pdf form? Techniques were on the shelf?

A bivariate normal density distribution was used for the present approach because it generally represents the mathematically simplest bivariate distribution and is easily parameterized. However, we did not consider other bivariate density functions, we wanted to demonstrate proof of concept before exploring complexities or refinements to the approach. We have added language to the revised manuscript at lines 131, indicating that we choose to force the data to a bivariate normal structure and that other density functions are available and may provide a better fit to the soil physical property of interest.

Response to Question 2. Question with regards to quantifying soil age and EEMT.

The editor is correct in that the model assumes EEMT is constant over the duration of pedogenesis. Further, we agree with the reviewer that climate throughout the Quaternary has not been constant (Zachos et al., 2001), and that this inconsistency likely has influenced our predicted soil property values. We directly addressed this model limitation in section 4.3, lines 503-514:

“Furthermore, global climate patterns have shifted dramatically over the last 65 Mya (Zachos et al., 2001). The majority of soils observed in the compiled chronosequence database span the Quaternary, including both the Holocene and Pleistocene. The Pleistocene was marked by a number of major glacial-interglacial cycles at approximately 100,000-year intervals (Imbrie et al., 1992; Wallace and Hobbs, 2006), which corresponded with shifting climatic conditions, e.g., for large portions of the northern mid-latitudes glacial periods were generally cooler and wetter, and interglacial periods were warmer and drier (Connin et al., 1998; Petit et al., 1999). Further, the Pleistocene climate shifts likely influenced the rates of weathering and clay production (Hotchkiss et al., 2000). Taking into account the differences in past and modern climate would likely diminish disparities between observed and modeled soil physical properties. Reconstructed global paleo-EEMT values would improve model accuracy, and limit uncertainty in the probabilistic ranges of soil properties for soils older than Holocene age.”

Further, we discussed a possible model correction in which paleo-EEMT values could be calculated and used to provide better estimates of TPE. However, in order to calculate an accurate accounting of paleo-EEMT values would require datasets of about past mean monthly air temperature, mean monthly precipitation and monthly net primary productivity (Rasmussen et al., 2005, 2011; Rasmussen and Tabor, 2007) for the entire duration of pedogenesis.

Unfortunately, few, if any, locations on the planet have spatially explicit paleoclimatic records with all the necessary data requirements to perform this calculation, although paleoclimate predictions are improving, e.g. the recent CIMP4 general circulation model application to predict global LGM climates, which represents an ongoing opportunity to incorporate such data into pedogenic models. As such, we made the simplifying assumption that the current climate can be used to represent of climates that many of the included soils evolved under. This is true of any factorial approach and representation of soil data that includes soils older than the Holocene. Any representation of soil properties relative to mean annual temperature or precipitation or any plot of soil property change vs time invariably includes past climate variation influence of soil property evolution. We clearly stated and recognized this in the text.

Defining soil age is a challenge in many landscapes as the editor suggests; however, there are simple techniques that can be used to estimate soil age without the need for expensive cosmogenic radionuclide dating. The age of geomorphic landforms can be estimated by using the cross-sectional shape of gully cuts or scarp-like surfaces and hillslopes and a known hillslope diffusivity value (Bucknam and Anderson, 1979; Hsu and Pelletier, 2004; Pelletier et al., 2006; Pelletier and Cline, 2007). Estimating geomorphic landform age requires only the use of either a digital elevation model (DEM), or profiles of scarp elevation, both of which are easily and inexpensively attained. Further, relative age dating is widely used in chronosequence studies and provides general estimates and constraints of soil-geomorphic surface ages (Schaetzl and Anderson, 2005). With regards to upland catchments, catchment averaged denudation rates can be estimated from cosmogenic radionuclides (CRN) using a smaller number of samples than would be necessary for quantifying full CRN depth profiles (Granger et al., 1996). Using a geomorphically based model of soil depth, spatially explicit soil ages can be calculated was discussed in lines 220-224. As such, we do not agree with the editor the target variables are more easily determined than soil age; for any chronosequence study, soil age would have to be determined regardless of the target variables of interest, assuming soil age is too expensive or indeterminable is not an appropriate or accurate critique of the presented model.

Response to Question 3. Removal of buried horizons.

Buried horizons were removed from the dataset, as we assumed that these buried horizons were not reflective of the relationship between the modern climate and the subaerial soil horizons. We decided to remove buried horizons, as the subaerial soil horizons likely are more correlated with the current climate, as compared to the buried horizons. Eolian horizons were removed from soils described in McFadden et al. (1986), because these horizons are likely significantly younger than the basaltic flow dates that were used to represent the soil age; however, based on the reviewer's suggestion we have removed these soils from the current dataset and updated lines 194 to reflect this change. Only buried horizon have been removed from the dataset presented in the revised manuscript.

Response to Question 4. Non-linear or transient soil formation.

We specifically addressed non-linear soil formation and internal or intrinsic feedbacks driving soil development in section 4.3, lines 490-502:

“Internal or intrinsic feedbacks and thresholds within the soil system drive pedogenic development without changes in the external state factors (Muhs, 1984; Chadwick and Chorover, 2001). For example, greater chemical weathering and clay production due to increased water residence time caused by argillic horizon development is the result of an internal feedback that is independent of the external climatic and biological system (Schaetzl and Anderson, 2005). These thresholds can operate as progressive or regressive processes, driving soil formation forward or hindering further development (Johnson and Watson-Stegner, 1987; Phillips, 1993). Internal soil development feedbacks were not explicitly considered in the present model formulation. The presence of these internal feedbacks may partially explain error within the model predictions. Changes in EEMT would not explain all observed differences in soil properties over the age of the soil. However, if these feedbacks were operating in the included soils, the influence of intrinsic thresholds was implicitly captured within the probability distributions, partially accounting for the role of internal soil development feedbacks on soil formation.”

The model does capture all soil forming processes implicitly, in that no one process is explicit expressed or quantified. Further, we agree with the reviewer that the model does produce a prediction of soil physical properties based on the net effect of these soil forming processes. We have edited lines 149-153 by removing the word “implicitly”.

Response to Question 5. Human impacts on soil formation.

We did not address human impacts within the current manuscript or even discuss anthropogenically driven changes in land use or climate. Here we demonstrate the use of a probabilistic model for quantifying the distribution of soil properties we observe currently on the Earth’s surface that has arisen during the Quaternary. Furthermore, the energetic contributions from human impacts and dust influx can and have been incorporated within the EEMT apparatus (Rasmussen et al., 2011). The energetic inputs from dust or fertilizer additions, for example, are generally orders of magnitude smaller than the energetic inputs from solar radiation, precipitation, or primary productivity into the soil system. The energetic inputs to the soil from other direct human activities such as the compression of soil due to farming equipment or the increased erosion due to construction or plowing (Rasmussen et al., 2011). We have added language to indicate the adaptability of the EEMT model for differing soil environments at lines 212-215 in the revised manuscript. In specific systems, both dust inputs and human impacts may be significant, however, the vast majority of the soils included in the presented dataset are not directly impacted by human activities or modern dust influx. As the model is probabilistic in nature, the model can simply predict a probable range of target soil physical properties, the domain is generally unconfined. As stated above, human and dust inputs to the soil system can be incorporated into EEMT allowing the inclusion of these soils within the model. Furthermore, the application of the model in this manuscript was to predict clay content, this is a soil property that does not readily change over human time-scales – but rather reflects geologic time scale pedogenic change.

Response to Question 6. Regards to use of energetic models.

Energetic approaches to quantifying soil physical properties and soil formation are able to deal with differing mixtures of the soil forming factors. The soil state factor model has the potential to

be expanded beyond the classical five soil forming factors to include influences from site-specific soil forming factors such as the addition of fertilizer to soils or increased erosion due to human activity (Jenny, 1941, 1961). All energetic pedogenic models are derived from the soil state factor model (Minasny et al., 2008), and as such have the potential to be expanded to accommodate additional soil forming factors. Energetic approaches account for the potential fluxes of matter and energy into the soil system that are associated with the soil forming factors, relating the energetics of the fluxes into the soil system to soil physical properties and structures (Volobuyev, 1964; Runge, 1973; Smeck et al., 1983; Rasmussen et al., 2005, 2011; Rasmussen and Tabor, 2007). The energetic input from fertilizer can be easily quantified and included with the model scheme for EEMT when appropriate, however, the energetic additions to the soil from fertilizer are orders of magnitude smaller when compared to the energetic additions of soil radiation, effective precipitation, and net primary productivity (Rasmussen et al., 2011).

The impact of anthropogenic climate change on soil physical properties can be incorporated into the EEMT model space. EEMT can be updated to include the impacts of increased atmospheric CO₂ on the fluxes of matter and to the soil system. Local changes in air temperature, precipitation, evapotranspiration, and net primary productivity due to increased atmospheric CO₂ are quantifiable, and can be easily incorporated into the EEMT model. Further, EEMT can be calculated on a range of temporal scales from near-real time through the use of eddy flux tower and meteorological flux measurements to annually (Rasmussen et al., 2015). With EEMT values updated to include the impacts of anthropogenic climate change, the presented model structure is capable of incorporating these influences on soil formation. As such, we disagree with the reviewer that energetic-based pedogenic models are not capable of handling changing earth surface conditions, and can be updated to accommodate human influences on the climate and landscapes.

Response to specific remarks

Response to remarks on Lines 94-97, interchangeability of P_x and L_o:

The soil state factor model developed by Jenny ($S=f(cl,o,r,p,t)$) was later formulated by Jenny as $S=f(P_x,L_o,t)$, recognizing that climate and biology are generally flux inputs into the soil system and relief and parent material are site factors. P_x influences pedogenesis and soil evolution over the lifetime of the soil and may be time dependent, whereas L_o generally represents the initial state of the soil forming system and is not time dependent (Jenny, 1961). Interchanging the influence of P_x and L_o is not possible. Relief or topography can vary over time, and in certain formulations of the soil state factor model may be considered time dependent, however, the chronosequence data used to parameterize the present approach are sited on low sloping surfaces, and changes in topography were minimized. Furthermore, as described by Jenny, and approximately 70 decades of soil science, the soil state factors do not describe soil forming processes, the state factors only describe the soil forming environment, i.e. climate is not a soil forming process, but a description of the conditions under which certain soil properties are observed and soil processes operate.

Response to remarks on Lines 182-183: Depth weighted percent clay calculation and bulk density values

We used depth weighted average percent clay in the prediction of clay stocks to account for the greater influence of thicker soil horizons on the account of clay stocks. By calculating a depth weighted average we are accounting for the distribution clay with depth, and summarizing those values into one value. Our model was trained on depth weighted average clay percentages from the chronosequence database; consequently, we also used depth weighted average clay values for predicting clay on a mass per area basis. We agree with the editor, bulk density is not constant throughout a profile, unfortunately, bulk density is difficult to measure, or is often not measured in the field. Further, bulk density data are not commonly reported within the soil science literature or in the available chronosequence literature. Without the necessary data, we chose to assume a constant value of 1500 kg m^{-3} for all soil profiles used in the calculation of predicted mass per area clay. If bulk density data were available, those data could be easily included in the prediction of mass per area, and likely the presented probabilistic-energetic would likely better predict clay stocks.

Response to remarks on Lines 238-240: Assumption of 1500 kg m^{-3} bulk density and use of RF% for calculating clay stocks.

Bulk density is not a commonly measured soil variable as it is often difficult to obtain measurements for bulk density from soil profiles, and values for bulk density are highly method dependent; there was low reporting of bulk density estimate in the available chronosequence literature. Due to a lack of measured values, a constant value was chosen for all profiles; if bulk density measurements are available than the measured values should be used in the predictions of clay stocks. Further, RF% data were used in the predicted clay stocks, as $(1-\text{RF}\%)$ in Eq. 9 describes the volume or fraction of the soil profile in which clay sized particles accumulate. Additionally, RF% did not influence the prediction of clay stocks (line 326); if RF% data were unavailable, a standard or constant value could be assumed for predicting clay stocks. Further, these simplifications for calculating soil properties on a mass per area basis are standard corrections and assumptions that are made throughout the available literature. With missing or incomplete data, the complexities of measuring soil properties in the field, educated assumptions are usually required.

Response to remarks on Section 3.1 Bias in sampling and stratification of L_o :

The editor did not fully understand the model background as presented in the manuscript. L_o was not used for stratification; L_o is not directly expressed within the model structure. We removed L_o from Eq. 4 to produce Eq. 5, justifying this simplification as time partially accounts for the influence of topography and parent material variation. Soil residence time on a landscape is proportional to slope or curvature (Heimsath et al., 1997, 2002; Yoo et al., 2007). Additionally, the degree of weathering or alteration of the parent material and the presence of secondary minerals and products are also proportional to the soil residence time (Brimhall and Dietrich, 1987; Chadwick et al., 1990; Brimhall et al., 1992). We chose to break down model predictions using leave one out cross validation by parent material in Fig 4 to demonstrate the model was insensitive to different parent materials or landforms, the predictive ability of the model did not vary significantly between the 3 broad parent material categories. We did not calculate model

parameters based on parent material, we presented global parameter values in Table 2. We have updated lines 124 and lines 155-157 to clarify this point.

Biased sampling due to the use of chronosequence studies is an issue faced in all of soil science. Soil pits are generally preferentially sited in locations where it is possible to dig a soil pit of sufficient depth to sample the soil profile. Any chronosequence study or synthesis of chronosequence data is hampered by biases within soil sampling and presentation of selected data in the literature. Biases in estimated model parameters are based upon sampling techniques and availability of chronosequence data in the literature not due to selective sampling of chronosequence data used to calculate model parameters. We did not limit the data used to calculate the model parameters from the chronosequence literature as a way to minimize errors within the presented model.

Response to remarks on Line 346, clay content change:

In lines 151-152 we stated: “The model assumes all changes in soil physical properties are due to pedogenic processes.”, and in lines 345-348 we stated: “Weathering and clay production are primary pedogenic processes (Birkeland, 1999; Schaetzl and Anderson, 2005), and because the model assumed all changes in the soil profile are due to these processes, the model was the most effective at predicting clay content.” These statements are in agreement with each other. The model implicitly captures the net effect of all pedogenic processes, we assumed that all changes in the soil profiles are due to pedogenic processes, and the primary pedogenic processes are weathering and clay production, amongst a suite of other processes (Schaetzl and Anderson, 2005), meaning the model captures the influence of weathering and clay production in soil property change. There is no “pretending”, we are not misrepresenting the model or its predictive power in any way. We did not claim to model soil forming process, only the end result of all soil forming processes at specific times and energetic inputs based on the available chronosequence literature; this is true for any chronosequence or time based representation of soil data that has been published over the last 70+ years.

Response to remarks on Section 4.1.1, and where the model underperformed:

In many areas, estimated TPE values likely do not account for the total flux of mass and energy into the soil system. Error in predicted clay percentages are likely partially a function of error in TPE estimates. We discussed underestimations of TPE due to changing climate, topography, parent material differences and intrinsic thresholds in soil formation in Section 4.3. Further, we did not constrain the chronosequence data set used to calculate the model parameters, as the formulated model is capable of handling soil data from a wide range of environments and locations due to its probabilistic component. We highlighted where the model failed to predict soil property values as a way to highlight locations where we still have an incomplete understanding of soil formation, or places where parent material greatly influences resultant soil formation (i.e. coral reef terraces). The inability of the model to predict soil properties in particular areas, suggests that a soil-forming factor not included in EEMT or TPE is highly influencing soil formation in this area, not that input data used to calculate model parameters need to be constrained. Models are only representations of reality and there is no logical need for

a model to perform perfectly. It is generally beneficial to identify locations and conditions under which models do not work, as a way to identify potential model refinements.

We did not highlight model failures as an excuse to selectively choose data to achieve the best model predictions as suggested by the editor. Further, constraining data to achieve a successful model prediction is uninteresting, as one cannot identify locations or conditions under which the model breaks. Without the inclusion of coral reef terrace chronosequence, we would not have identified that the model has an inability to predict resultant soil formation under fine textured parent materials and tropical climates.

Response to remarks on Line 439, model assumptions about initial conditions:

The editor did not understand the model background as written in lines 115-127, we did not stratify the data by parent material:

“Explicitly including time in Eq. 4 through TPE partially captures variation in soil property change attributable to topography and parent material. Soil residence time may be directly related to landscape position through topographic control on soil production and sediment transport/deposition (Heimsath et al., 1997, 2002; Yoo et al., 2007). Additionally, parent material modulates soil residence time through control on soil depth (Rasmussen et al., 2005; Heckman and Rasmussen, 2011), soil production, and sediment transport rates (Andre and Anderson, 1961; Portenga and Bierman, 2011). The initial conditions of the soil forming system (L_0) are never fully known; however, representing the state of the soil system as a probable distribution of values, implicitly accounting for soil age, and not constraining the initial soil forming conditions, Eq. 4 can be stated simply as:

$$\mathbb{P}(s_1 \leq S \leq s_2) = f(\text{TPE}) \quad (5)$$

where the probability state of the soil, $\mathbb{P}(s_1 \leq S \leq s_2)$, bounded by a lower and upper limit, is a function of one quantifiable variable.”

We simply removed L_0 from Eq. 4 to write Eq. 5, TPE partially accounts for variation in L_0 due to the influence of topography and parent material on soil residence time, as discussed above. We did not use parent material to stratify model parameter estimates; we calculated model parameters for the entire chronosequence dataset. We did not make assumptions about the soil parent material, or include any data about the parent material within the presented model. The statement in Line 439 is accurate. We have updated lines 124 and lines 155-157 to clarify this point.

Response to remarks on Line 459, potential to model landscape evolution:

We strongly disagree with this statement. First, the application of the model that couples the geomorphic model of Pelletier and Rasmussen (2009) explicitly includes soil production and sediment transport to predict landscape variation in soil depth and residence time – these values coupled with TPE yield estimates of soil physical properties completely independent of any soil data. This coupled model may be used to predict soil and landscape evolution across any range of topographic and/or climate scenarios, and yield probabilistic estimates of soil clay content. As stated throughout the manuscript, the model was designed to capture Quaternary soil evolution;

additionally, the focus on clay content necessitates a geologic time scale perspective as this property changes not on human scales, but on pedogenic time scales. Changes induced by human activity could be incorporated into the sediment transport of the Pelletier and Rasmussen (2009) model, as well as incorporated into the energy and mass transfer terms, but in terms of changes in clay content over human time scales these changes will likely be insignificant. As stated in the manuscript, this approach could be used to investigate potential landscape scenarios. Using the geomorphic model (Pelletier and Rasmussen, 2009), potential landscape evolution scenarios could be investigated where changes in topography and soil thickness are used to determine changes in soil properties across small watersheds. We stress that potential landscape evolution scenarios could be investigated, assuming the landscape is at steady state, soil development and evolution could be teased apart using the presented approach; any predictions drawn from such hypothetical modeling exercises would only be a potential future for any landscape. Furthermore, as discussed in this review EEMT can be updated to include the influence from human impacts on the atmosphere and landscapes, and TPE can also be calculated to include the influence of a changing climate (Rasmussen et al., 2011).

As stated in the manuscript and repeatedly above, we used modern EEMT integrated over the age of the soil as the estimate of “total” pedogenic energy input as the best available data that we have. We clearly recognize that this does not incorporate past climate change, leading to what could be under/over estimates of TPE depending on how the local climate system changed at each included location during glacial periods. The majority of sites were from northern hemisphere mid-latitude sites suggesting modern EEMT, and hence, TPE likely underestimates the total pedogenic energy transferred to each location. As noted spatially explicit estimates of LGM climate conditions are now available, but we currently lack a time resolved estimate of paleoclimate variation. As such, we used modern values as proxies for soil forming factors. This is true for any study of soil properties relative to modern climate. Based on the editor suggestion we have removed the reference to investigation of potential soil forming environments at lines 540-541 in the revised manuscript.

References

- Andre, J., and H. Anderson. 1961. Variation of Soil Erodibility with Geology, Geographic Zone, Elevation, and Vegetation Type in Northern California Wildlands. *J. Geophys. Res.* 66(10): 8.
- Birkeland, P.W. 1999. *Soils and Geomorphology*. Third. Oxford University Press, New York, New York.
- Brimhall, G.H., O. a Chadwick, C.J. Lewis, W. Compston, I.S. Williams, K.J. Danti, W.E. Dietrich, M.E. Power, D. Hendricks, and J. Bratt. 1992. Deformational mass transport and invasive processes in soil evolution. *Science* 255(5045): 695–702 Available at <http://www.sciencemag.org/content/255/5045/695.short>.
- Brimhall, G.H., and W.E. Dietrich. 1987. Constitutive mass balance relations between chemical composition, volume, density, porosity, and strain in metasomatic hydrochemical systems: Results on weathering and pedogenesis. *Geochim. Cosmochim. Acta* 51: 567–587.

- Bucknam, R.C., and R.E. Anderson. 1979. Estimation of fault-scarp ages from a scarp-height - slope-angle relationship. *Geology* 7: 11–14.
- Chadwick, O.A., G.H. Brimhall, and D.M. Hendricks. 1990. From a black to a gray box — a mass balance interpretation of pedogenesis. *Geomorphology* 3(3-4): 369–390 Available at <http://linkinghub.elsevier.com/retrieve/pii/0169555X9090012F>.
- Chadwick, O.A., and J. Chorover. 2001. The chemistry of pedogenic thresholds. *Geoderma* 100(3-4): 321–353 Available at <http://linkinghub.elsevier.com/retrieve/pii/S0016706101000271>.
- Connin, S., J. Betancourt, and J. Quade. 1998. Late Pleistocene C4 plant dominance and summer rainfall in the southwestern United States from isotopic study of herbivore teeth. *Quat. Res.* 50: 179–193 Available at <http://www.sciencedirect.com/science/article/pii/S003358949891986X> (verified 15 February 2015).
- Granger, D.E., J.W. Kirchner, and R. Finkel. 1996. Spatially averaged long-term erosion rates measured from in situ-produced cosmogenic nuclides in alluvial sediment. *J. Geol.* 104(3): 249–257.
- Heckman, K., and C. Rasmussen. 2011. Lithologic controls on regolith weathering and mass flux in forested ecosystems of the southwestern USA. *Geoderma* 164(3-4): 99–111 Available at <http://linkinghub.elsevier.com/retrieve/pii/S0016706111001133> (verified 4 February 2015).
- Heimsath, A.M., J. Chappell, N.A. Spooner, and D.G. Questiaux. 2002. Creeping soil. *Geology* 30(2): 111 Available at [http://geology.gsapubs.org/cgi/doi/10.1130/0091-7613\(2002\)030<0111:CS>2.0.CO;2](http://geology.gsapubs.org/cgi/doi/10.1130/0091-7613(2002)030<0111:CS>2.0.CO;2).
- Heimsath, A.M., W.E. Dietrich, K. Nishiizumi, and R.C. Finkel. 1997. The soil production function and landscape equilibrium. *Nature* 388(July): 358–361.
- Hotchkiss, S., P.M. Vitousek, O.A. Chadwick, and J. Price. 2000. Climate Cycles, Geomorphological Change, and the Interpretation of Soil and Ecosystem Development. *Ecosystems* 3(6): 522–533 Available at <http://link.springer.com/10.1007/s100210000046> (verified 21 October 2014).
- Hsu, L., and J.D. Pelletier. 2004. Correlation and dating of Quaternary alluvial-fan surfaces using scarp diffusion. *Geomorphology* 60(3-4): 319–335.
- Imbrie, J., I.E.A. Boyle, S.C. Clemens, A. Duffy, I.W.R. Howard, G. Kukla, J. Kutzbach, D.G. Martinson, A. McIntyre, A.C. Mix, B. Molfino, J.J. Morley, N.G. Pisias, W.L. Prell, L.C. Peterson, and J.R. Toggweiler. 1992. On the structure and origin of major glaciation cycles 1. Linear responses to Milankovith forcing. *Paleoceanography* 7(6): 701–738.

- Jenny, H. 1941. *Factors of Soil Formation: A System of Quantitative Pedology*. Dover Publications, Inc, New York, New York.
- Jenny, H. 1961. Derivation of state factor equations of soils and ecosystems. *Soil Sci. Soc. Am. J.*: 385–388 Available at <https://dl.sciencesocieties.org/publications/sssaj/abstracts/25/5/SS0250050385> (verified 29 January 2015).
- Johnson, D., and D. Watson-Stegner. 1987. Evolution model of pedogenesis. *Soil Sci.* 143(5): 349–366 Available at http://journals.lww.com/soilsci/Abstract/1987/05000/Evolution_Model_of_Pedogenesis.5.a.spx (verified 6 November 2014).
- McFadden, L.D., S.G. Wells, J.C. Dohrenwend, and M. Park. 1986. Influences of Quaternary climatic changes on processes of soil development on desert loess deposits of the Cima Volcanic Field, California. *Catena* 13: 361–389.
- Minasny, B., A.B. McBratney, and S. Salvador-Blanes. 2008. Quantitative models for pedogenesis — A review. *Geoderma* 144(1-2): 140–157 Available at <http://linkinghub.elsevier.com/retrieve/pii/S0016706107003692> (verified 30 August 2014).
- Muhs, D.R. 1984. Intrinsic thresholds in soil systems. *Phys. Geogr.* 5: 99–110.
- Pelletier, J.D., and M.L. Cline. 2007. Nonlinear slope-dependent sediment transport in cinder cone evolution. *Geology* 35(12): 1067–1070.
- Pelletier, J.D., S.B. DeLong, a. H. Al-Suwaidi, M. Cline, Y. Lewis, J.L. Psillas, and B. Yanites. 2006. Evolution of the Bonneville shoreline scarp in west-central Utah: Comparison of scarp-analysis methods and implications for the diffusions model of hillslope evolution. *Geomorphology* 74(1-4): 257–270.
- Pelletier, J.D., and C. Rasmussen. 2009. Geomorphically based predictive mapping of soil thickness in upland watersheds. *Water Resour. Res.* 45(9): n/a–n/a Available at <http://doi.wiley.com/10.1029/2008WR007319> (verified 21 October 2014).
- Petit, J., J. Jouzel, D. Raynaud, and N. Barkov. 1999. Climate and atmospheric history of the past 420,000 years from the Vostok ice core, Antarctica. *Nature* 399: 429–436 Available at <http://www.nature.com/articles/20859> (verified 15 February 2015).
- Phillips, J.D. 1993. Progressive and Regressive Pedogenesis and Complex Soil Evolution. *Quat. Res.* 40: 169–176 Available at <http://www.sciencedirect.com/science/article/pii/S0033589483710690> (verified 6 November 2014).
- Portenga, E.W., and P.R. Bierman. 2011. Understanding earth's eroding surface with ^{10}Be . *GSA Today* 21(8): 4–10.

- Rasmussen, C., J.D. Pelletier, P.A. Troch, T.L. Swetnam, and J. Chorover. 2015. Quantifying Topographic and Vegetation Effects on the Transfer of Energy and Mass to the Critical Zone. *Vadose Zo. J.* Available at <https://dl.sciencesocieties.org/publications/vzj/abstracts/0/0/vzj2014.07.0102>.
- Rasmussen, C., R.J. Southard, and W.R. Horwath. 2005. Modeling Energy Inputs to Predict Pedogenic Environments Using Regional Environmental Databases. *Soil Sci. Soc. Am. J.* 69(4): 1266–1274 Available at <https://www.soils.org/publications/sssaj/abstracts/69/4/1266> (verified 3 November 2014).
- Rasmussen, C., and N.J. Tabor. 2007. Applying a Quantitative Pedogenic Energy Model across a Range of Environmental Gradients. *Soil Sci. Soc. Am. J.* 71(6): 1719 Available at <https://www.soils.org/publications/sssaj/abstracts/71/6/1719> (verified 27 October 2014).
- Rasmussen, C., P.A. Troch, J. Chorover, P. Brooks, J. Pelletier, and T.E. Huxman. 2011. An open system framework for integrating critical zone structure and function. *Biogeochemistry* 102(1-3): 15–29 Available at <http://link.springer.com/10.1007/s10533-010-9476-8> (verified 21 October 2014).
- Runge, E.C.A. 1973. Soil Development Sequences and Energy Models. *Soil Sci.* 115(3): 183–193.
- Schaetzl, R., and S. Anderson. 2005. *Soils: Genesis and Geomorphology*. First. Cambridge University Press, Cambridge, UK.
- Smeck, N., E. Runge, and E. Mackintosh. 1983. Dynamics and genetic modelling of soil systems. p. 51–81. *In* Wilding, L., Smeck, N., Hall, G. (eds.), *Pedogenesis and Soil Taxonomy I. Concepts and Interactions*. Elsevier, Amsterdam, ND.
- Volobuyev, V. 1964. *Ecology of soils*. Academy of Sciences of the Azerbaijan SSR. Institute of Soil Science and Agronomy. Israel Program for Scientific Translations., Jerusalem, Israel.
- Wallace, J.M., and P. V Hobbs. 2006. *Atmospheric Science: An Introductory Survey*. Second. Academic Press Inc., Amsterdam, ND.
- Yoo, K., R. Amundson, A.M. Heimsath, W.E. Dietrich, and G.H. Brimhall. 2007. Integration of geochemical mass balance with sediment transport to calculate rates of soil chemical weathering and transport on hillslopes. *J. Geophys. Res. F Earth Surf.* 112(2): F02013 Available at <http://doi.wiley.com/10.1029/2005JF000402>.
- Zachos, J., M. Pagani, L. Sloan, E. Thomas, and K. Billups. 2001. Trends, rhythms, and aberrations in global climate 65 Ma to present. *Science* (80-.). 292(April): 686–694 Available at <http://www.sciencemag.org/content/292/5517/686.short> (verified 14 February 2015).

Response to Reviewer J. Phillips.

We thank the reviewer for his helpful comments in the preparation of the manuscript titled: “A probabilistic approach to quantifying soil property change through time integration of energy and mass input”. Below we have detailed our response to the reviewer’s comments, including how the manuscript was edited.

Response to comments:

Lines 69-71: We have eliminated the first two “approaches”.

Lines 128-131; 152-154. We choose the bivariate normal density function for its simplicity and ease of parameterization. We did not consider other bivariate distributions. We wanted to demonstrate the use of bivariate probability functions for modeling soil properties from a probabilistic viewpoint. We have added language to lines 134-136 to indicate that only the bivariate normal density function was considered for the present approach.

Lines 144-154: Modeling soil properties over time requires a number of assumptions, as such every soil formation model is an approximation of reality. We made these assumptions to reduce model complexity and to make the model as mathematically simplistic and easily parameterized as possible. We are aware of many issues with these assumptions, and we discuss at length the implications of these assumptions in lines 464-514 on model outputs and model failures. We disagree with the reviewer that these assumptions are unrealistic, as the present approach is effective at prediction soil property across wide variety of environments and ecosystems.

Line 159: We have replaced “over” with “more than” based on the reviewer’s comment.

Line 161-162: We have edited the manuscript to reflect the reviewer’s comment, and removed one of the phrases “within the present study” from the revised manuscript.

Lines 164-166: We agree with the reviewer that Southern Hemisphere and mid-latitude sites are underrepresented within the current dataset; however, we are limited about the availability of published datasets. A number of studies from South America, Africa and the Tropics were initially identified, but only a small number of these studies included horizon-level texture data or numerical or approximate ages for the described soil profiles.

Lines 168-177: Based on the reviewer’s suggestion we have added additional explanation and description of EEMT, at lines 184-187 in the revised manuscript.

Lines 182: We agree there are many chemical and biological changes that occur over time that are not dependent on soil textural changes, we do discuss intrinsic changes in soil properties from lines 490-502. We have updated the manuscript based on the reviewer’s comments, replacing the word “proxies” with “examples of soil property change with time”.

Line 213: We have deleted the first “terrain” based on the reviewer’s comment at lines 236 in the revised manuscript.

Lines 232-236, Lines 389-390: As discussed in the manuscript and acknowledged by the reviewer, soil depth is correlated to and dependent upon topography and hillslope redistributive processes. Soil depth varies systemically across hillslope as indicated by the contemporary work of Dietrich, Heimsath, Pelletier, amongst many more, and was discussed in the manuscript. We only stated that soil depth incorporates the strength of these processes in lines 232-234, and we have changed “correct” to “incorporate” based on the reviewer’s comments. Further in lines 389-390, we simply state the soil depth acts as a proxy for hillslope processes, not that soil depth accounts for all hillslope processes or the complexity of sediment redistribution on a hillslope. We acknowledge the incomplete understanding of soil depth and weaknesses of soil depth predictions at lines 335-336. Soil depth only partly accounts for the complexity of hillslope processes.

Lines 248-263: The outputs from the process-based numerical soil depth model and the topographically resolved EEMT model were used to calculate the necessary model inputs to the probabilistic model. Soil depth was used to calculate soil residence time, and TPE values were calculated from topographically resolved EEMT and soil residence time values. TPE values were used in the probabilistic model to calculate depth weighted clay content values, and Eq. 9 was used along with predicted soil depth values to calculate mass per area clay across the small-forested catchment. Based on the reviewer’s suggestion we have added language to clarify Section 2.4.1 from lines 306-309 in the revised manuscript and a flow chart to the revised manuscript.

Line 271: We updated line 271 to indicate that Pearson’s correlation was used to parameterize the probability distributions. Further, we updated lines 276 and 281 to indicate the Pearson’s correlation is represented in the reported statistics.

Lines 322: We have added the word “regression” to indicate that we are discussing the slope of the regression line presented in Fig 6b.

Lines 359-372: We agree with the reviewer’s comment, the sand and silt fractions are both dominated by resistant primary minerals. We have added a statement to the revised manuscript at lines 423-425.

Lines 377-379: We have added additional references that indicate the non-linear dynamics of soil depth and soil deepening at lines 407 in the revised manuscript.

Lines 413: We agree with the reviewer that scale is likely an important factor in predicting soil properties. Finer spatial scales will likely better match the local variation in soil properties, but may also lead to greater potential for prediction errors. Further, finer scale information about local lithology differences and weathering rates are likely required. We have added discussion of the issue of scale in predicting soil properties in lines 464-467 in the revised manuscript. Further, the issue of scale in lithology and weathering rates is discussed from lines 468-479 in the revised manuscript.

Lines 421-424: We have added language clarifying the difficulty of including differing weathering rates based on lithology to the revised manuscript at lines 477-478 in the revised manuscript.

Lines 426-462: The model predicts ranges of clay contents. The bivariate normal distribution predicts the conditional parameters of a univariate normal distribution for the soil property of interest. With the conditional univariate parameters, the model user can determine the probability of observing a particular range of clay values. This approach represents a first attempt at a true probabilistic prediction of soil property values, more complex probabilistic approaches that incorporate explicit change with time are possible. However, these more complex probabilistic approaches would require an equation over which probabilistic predictions can be updated over time.

Lines 464-514: With the appropriate updates and additions to the probabilistic model many of these caveats and issues with the model are correctable. Further, many of these caveats specifically address the assumptions and simplifications that are discussed in lines 174-185 in the revised manuscript.

Lines 467-470: We agree with the reviewer's comment, parent material can greatly influence rates of pedogenesis or weathering, regardless of controlling for the other soil forming factors. We have added language to the revised manuscript at line 527 to reflect this issue.

Table 2: We have updated the caption for Table 2 with the explanations of the column headings. We use a "rho" or ρ to represent Pearson's correlation.

Response to Anonymous Reviewer #2

We thank the reviewer for their comments on the manuscript titled: “A probabilistic approach to quantifying soil property change through time integration of energy and mass input”. Below we have detailed our response to the reviewer’s comments, including how the manuscript was edited.

We disagree with a number of the assertions made by the reviewer. The objective of the presented work was to present the development of a probabilistic model of soil property change using time integrated energy and mass inputs. We added language clarifying this hypothesis at lines 78-80 in the revised manuscript. We think the title is appropriate for the manuscript as written; the manuscript discusses modeling the change in soil properties using energy and mass inputs integrated with time or the age of the soil system, as such we did not change the manuscript title. Further, we did not attempt to model any soil forming process. The presented probabilistic approach is based upon the soil state factor model, which only considers the state of the soil forming environment to understand soil formation or soil structures (Jenny, 1941, 1961). Similarly, the effective energy and mass transfer model only quantifies the amount of potential heat and chemical energy added to the soil, it does not describe any soil forming processes (Rasmussen et al., 2005, 2011, 2015; Rasmussen and Tabor, 2007). This is clearly stated in lines 85-87 and 145-152 in the original manuscript. The model simply quantifies the net effect of all soil forming processes operating within the soil forming system. The revised manuscript has been edited to clarify this point at lines 154. Further, the discussion focused on the reasons for poor or acceptable model fits for the modeled soil properties, and discusses advantages and disadvantages to the probabilistic approach. Nowhere within the discussion do we claim that the presented approach models any soil forming process or soil formation. As was stated in lines 145-152 of the original manuscript, the model assumes all changes within the soil are due to pedogenic processes, this aligns with what is stated in the discussion in lines 345-348 in the original manuscript. EEMT and TPE quantify the amount of energy added to the soil system capable of doing pedogenic work, e.g. chemical weathering, which is most representative of clay formation.

The influence of climatic variability on the model results is discussed in length in lines 503-514 in the original manuscript. While paleoclimatic reconstructions are available, such as the spatially explicit LGM paleoclimate reconstruction from the CIMP4 general circulation model, time resolved paleoclimatic reconstructions are still largely unavailable for many locations. We chose to use modern climate values as they represent the best available data. We did not discuss human or anthropogenic impacts on soil formation or evolution. We are exclusively focused on Quaternary soil formation. In this manuscript, we focused on clay formation, which occurs on a geologic timescale and does not readily change on human timescales. Additionally, the EEMT model is easily adaptable to accommodate human influences on the energy and mass inputs into soil, such as fertilizer inputs (Rasmussen et al., 2011).

We have added the age span and depth ranges to the revised manuscript at lines 179-180. Data on vegetation and stoniness were not available across all the sequences and were not included. Further, many of these data are included in Table S1, where all of the chronosequences are listed

along with location, dating method, mean annual precipitation, mean annual temperature, parent material and geomorphic surface.

Based on the reviewer's suggestion we have added additional language about the EEMT model at lines 184-187 in the revised manuscript.

The reviewer added comments about the influence of primary minerals on the sand fraction, variability of weathering, and poor prediction with regards to soil formation on amphibolite. The influence of parent material and lithology is discussed at length in lines 403-424 and lines 467-479 in the original manuscript. Further, we did not claim that the model was insensitive to parent material; we discussed the role of parent material and differential weathering rates as a possible explanation for poor model fits and suggest including parent material within the model apparatus as a possible correction. The model makes no assumptions about the parent material, in that parent material is not directly expressed within the probability distributions, all soils are considered equally and global values are used to parameterize the probability distributions. We have added language to the revised manuscript at lines 417-419 about the dominance of primary minerals in the sand and silt fractions and have revised lines 580-581 to reflect the role of initial conditions within the model.

References

- Jenny, H. 1941. *Factors of Soil Formation: A System of Quantitative Pedology*. Dover Publications, Inc, New York, New York.
- Jenny, H. 1961. Derivation of state factor equations of soils and ecosystems. *Soil Sci. Soc. Am. J.*: 385–388 Available at <https://dl.sciencesocieties.org/publications/sssaj/abstracts/25/5/SS0250050385> (verified 29 January 2015).
- Rasmussen, C., J.D. Pelletier, P.A. Troch, T.L. Swetnam, and J. Chorover. 2015. Quantifying Topographic and Vegetation Effects on the Transfer of Energy and Mass to the Critical Zone. *Vadose Zo. J.* Available at <https://dl.sciencesocieties.org/publications/vzj/abstracts/0/0/vzj2014.07.0102>.
- Rasmussen, C., R.J. Southard, and W.R. Horwath. 2005. Modeling Energy Inputs to Predict Pedogenic Environments Using Regional Environmental Databases. *Soil Sci. Soc. Am. J.* 69(4): 1266–1274 Available at <https://www.soils.org/publications/sssaj/abstracts/69/4/1266> (verified 3 November 2014).
- Rasmussen, C., and N.J. Tabor. 2007. Applying a Quantitative Pedogenic Energy Model across a Range of Environmental Gradients. *Soil Sci. Soc. Am. J.* 71(6): 1719 Available at <https://www.soils.org/publications/sssaj/abstracts/71/6/1719> (verified 27 October 2014).
- Rasmussen, C., P.A. Troch, J. Chorover, P. Brooks, J. Pelletier, and T.E. Huxman. 2011. An open system framework for integrating critical zone structure and function.

Biogeochemistry 102(1-3): 15–29 Available at <http://link.springer.com/10.1007/s10533-010-9476-8> (verified 21 October 2014).

List of Revisions

Christopher Shepard, Marcel G. Schaap, Jon D. Pelletier, and Craig Rasmussen.

Below is a complete list of revisions and changes that were made to the manuscript titled: “Probabilistic approach to quantifying soil property change through time integrated mass and energy input.”

We changed the title to reflect comments from the reviewers from “Probabilistic approach to quantifying soil property change through time integrated mass and energy input” to “Probabilistic approach to quantifying soil physical properties via time-integrated mass and energy input”.

Lines 73-74: We have eliminated the first two “approaches”.

Lines 84-86: The objective of the presented work was to present the development of a probabilistic model of soil property change using time integrated energy and mass inputs. We added language clarifying this hypothesis.

Lines 123-125, 172-173: We have updated the manuscript to clarify the role of parent material and initial conditions in the model structure.

Line 139-141: We have added language indicating that we choose to force the data to a bivariate normal structure and that other density functions are available and may provide a better fit to the soil physical property of interest.

Lines 167-169: We have removed the word “implicitly”.

Line 182: We have replaced “over” with “more than” based on the reviewer’s comment.

Line 161-162: We have edited the manuscript to reflect the reviewer’s comment, and removed one of the phrases “within the present study” from the revised manuscript.

Lines 163-164: Added language indicating the model does not consider the distribution of soil properties with depth.

Lines 168-177: Based on the reviewer’s suggestion we have added additional explanation and description of EEMT, at lines 184-187 in the revised manuscript.

Lines 194-197: We have added the age span and depth ranges to the revised manuscript.

Lines 222: We have updated the manuscript based on the reviewer’s comments, replacing the word “proxies” with “examples of soil property change with time”.

Lines 202-208: Based on the reviewer’s suggestion we have added additional language about the EEMT model.

Lines 196-197: Commented on biases within the available literature.

Line 226: Based on the reviewer's suggestion we have removed these soils from the current dataset. Only buried horizon have been removed from the dataset presented in the revised manuscript. We have updated all tables and figures to reflect this change accordingly.

Lines 205-208: We have added language to indicate the adaptability of the EEMT model for differing soil environments.

Line 265: We have deleted the first "terrain" based on the reviewer's comment at lines 236 in the revised manuscript.

Lines 291: We have changed "correct" to "incorporate" based on the reviewer's comments.

Line 271: We updated line 271 to indicate that Pearson's correlation was used to parameterize the probability distributions. Further, we updated lines 276 and 281 to indicate the Pearson's correlation is represented in the reported statistics.

Lines 319-322: Based on the reviewer's suggestion we have added language to clarify Section 2.4.1 from lines 306-309 in the revised manuscript and a flow chart to the revised manuscript as a Figure 3 and updated the figure numbers accordingly.

Lines 322: We have added the word "regression" to indicate that we are discussing the slope of the regression line presented in Fig 6b (now Fig 7b).

Lines 508-510: We have added additional references that indicate the non-linear dynamics of soil depth and soil deepening.

Lines 498-500: We have added language to the revised manuscript about the dominance of primary minerals in the sand and silt fractions and revised lines 580-581 to reflect the role of initial conditions within the model.

Lines 545-548: We have added discussion of the issue of scale in predicting soil properties.

Lines 558-559: We have added language clarifying the difficulty of including differing weathering rates based on lithology.

Lines 608-609: We agree with the reviewer's comment, parent material can greatly influence rates of pedogenesis or weathering, regardless of controlling for the other soil forming factors.

Lines 593: Based on the editor suggestion we have removed the reference to investigation of potential soil forming environments.

Lines 597-600: We commented on the potential of the current approach to predict future soil-landscapes.

Lines 635-645: We commented on the issue of human impacts on soil property

Lines 659-670: We commented on the issue of determining soil age relative to other soil properties.

Table 2: We have updated the caption for Table 2 with the explanations of the column headings. We use a “rho” or ρ to represent Pearson’s correlation.

1 | A probabilistic approach to quantifying soil physical properties, via,
2 | time-integrated energy and mass input

3
4 Christopher Shepard^{1*}, Marcel G Schaap¹, Jon D Pelletier², Craig Rasmussen¹

5
6 ¹Department of Soil, Water and Environmental Science, The University of Arizona, Tucson, AZ,
7 USA, 85721-0038

8 ²Department of Geosciences, The University of Arizona, Tucson, AZ, USA 85721-0077

9
10 *Correspondence to: Christopher Shepard, 1177 E Fourth St, Room 429, Shantz Building, The
11 University of Arizona, Tucson, AZ, 85721-0038

12
13
14

15

16

17

18

19

20

21

22

23

24

25

26

27

28

29

30

Christopher Shepard 2/1/17 11:05 AM

Deleted: y change

Christopher Shepard 2/1/17 11:05 AM

Deleted: through

Christopher Shepard 2/1/17 11:05 AM

Deleted:

Christopher Shepard 2/1/17 11:05 AM

Deleted: ion of

35 **Abstract**

36 Soils form as the result of a complex suite of biogeochemical and physical processes;
37 however, effective modeling of soil property change and variability is still limited, and does not
38 yield widely applicable results. We suggest that predicting a distribution of probable values
39 based upon the soil-forming state factors is more effective and applicable than predicting discrete
40 values. Here we present a probabilistic approach for quantifying soil property variability through
41 integrating energy and mass inputs over time. We analyzed changes in the distributions of soil
42 texture and solum thickness as a function of increasing time and pedogenic energy (effective
43 energy and mass transfer, EEMT) using soil chronosequence data compiled from literature.
44 Bivariate normal probability distributions of soil properties were parameterized using the
45 chronosequence data; from the bivariate distributions, conditional univariate distributions based
46 on the age and flux of matter and energy into the soil were calculated, and probable ranges of
47 each soil property determined. We tested the ability of this approach to predict the soil properties
48 of the original soil chronosequence database, and soil properties in complex terrain at several
49 Critical Zone Observatories in the U.S. The presented probabilistic framework has the potential
50 to greatly inform our understanding of soil evolution over geologic time-scales. Considering
51 soils probabilistically captures soil variability across multiple scales and explicitly quantifies
52 uncertainty in soil property change with time.

53

54

55

56

57

58 **1. Introduction**

59 The need for pedogenic models that can be widely applied and easily utilized is
60 paramount for understanding soil-landscape evolution, soil property change with time, and
61 predicting future soil conditions. A mathematically simple, easily parameterized approach has
62 yet to be developed that is capable of predicting current soil properties or recreating potential soil
63 evolution with time. Here we address this knowledge gap through development of a probabilistic
64 model of soil property change capable of predicting soil properties across a wide range of
65 terrains, climates, and ecosystems.

66 The state factor approach has been one of the primary pedogenic models since it's
67 development in the late 1800's and early 1900's (Dokuchaev, 1883; Jenny, 1941). The soil state
68 factor approach (Jenny, 1941) assumes the state of the soil system or specific soil properties (S)
69 may be described as a function of the external environment, represented by climate (cl), biology
70 (o), relief (r), parent material (p), and time (t): $S = f(cl, o, r, p, t)$. This approach increased our
71 understanding of soil variation across each factor, but more complex, multivariate approaches are
72 generally not possible or difficult to derive from this formulation (Yaalon, 1975). From the
73 original state factor model have evolved pedogenic models that include functional (Jenny, 1961),
74 energetic (Rasmussen and Tabor, 2007; Rasmussen et al., 2005, 2011; Runge, 1973; Smeck et
75 al., 1983; Volobuyev, 1964), and mechanistic approaches (Finke, 2012; Minasny and
76 McBratney, 1999; Salvador-Blanes et al., 2007; Vanwalleghem et al., 2013). However, many of
77 these approaches are either limited to a site-specific basis, require a high degree of
78 parameterization, or lack wide-scale applicability.

79 Here we develop a simple probabilistic approach to predict soil physical properties using
80 a large dataset of chronosequence studies. The model compresses state factor variability into two

Christopher Shepard 12/19/16 9:43 PM
Deleted: approaches
Christopher Shepard 12/19/16 9:43 PM
Deleted: approaches

Christopher Shepard 2/15/17 5:11 PM
Deleted: s
Christopher Shepard 2/15/17 3:52 PM
Deleted: 2

85 key components (parent material and total pedogenic energy, defined in Section 1.1) that were
86 parameterized and calibrated using the chronosequence database. We hypothesized that a
87 probabilistic approach predicts accurate ranges of soil physical properties based on the soil-
88 forming environment. Additionally, we modified the model to include soil depth to capture the
89 influence of redistributive hillslope processes to predict soil properties. We hypothesized that by
90 including soil depth, the model would effectively predict the clay content in an independent
91 dataset synthesizing soil and landscape variability in complex, hilly terrain from a wide range of
92 environments.

93

94 **1.1 Probabilistic model of soil property change**

95 The model presented here is based on a reformulated state-factor model, where a location
96 has a probability of displaying a range of differing soil morphologies and properties based upon
97 the state factors, with some range of values more probable than others, meaning the state-factor
98 model (Jenny, 1941) may be restated as:

$$99 \quad \mathbb{P}(s_1 \leq S \leq s_2) = f(\text{cl}, o, r, p, t) \quad (1)$$

100 where, the left hand side of the equation, $\mathbb{P}(s_1 \leq S \leq s_2)$, represents the probability that a given
101 soil will have a value located between a lower limit (s_1) and an upper limit (s_2) (Phillips, 1993b).
102 Eq. 1 can be restated more simply as:

$$103 \quad \mathbb{P}(s_1 \leq S \leq s_2) = f(L_o, P_x, t) \quad (2)$$

104 where, the original soil forming state factors have been simplified to represent the fluxes of
105 matter and energy into the soil system (P_x), incorporating the influence of climate and biology,
106 and the initial state of the soil forming conditions (L_o), incorporating the influence of the initial
107 topography and original soil parent material, and time or age of the soil system (t) (Jenny, 1961).

108 Equation 2 was further simplified to make the approach operational. A quantitative
109 measure of climate and biology was needed to represent the influence of P_x on soil formation.
110 We used a quantification of P_x calculated from effective precipitation and biological
111 productivity, termed effective energy and mass transfer (EEMT, $J m^{-2} yr^{-1}$)(Rasmussen and
112 Tabor, 2007; Rasmussen et al., 2005, 2011). EEMT provides a measure of the energy transferred
113 to the subsurface, in the form of reduced carbon from primary productivity and heat transfer
114 from effective precipitation, which has the potential to perform pedogenic work, e.g., chemical
115 weathering and carbon cycling. Using EEMT as a simplification of P_x , Eq. 2 was restated as
116 (Rasmussen et al., 2011):

$$117 \quad \mathbb{P}(s_1 \leq S \leq s_2) = f(L_o, EEMT, t) \quad (3)$$

118 We further simplified Eq. 3 by combining the flux term EEMT and the age of the soil system (t).
119 EEMT multiplied by the age of the soil system, i.e. EEMT*t, provides an estimate of the total
120 energy transferred to the soil system over the course of its evolution, referred to here as “total
121 pedogenic energy” (TPE, $J m^{-2}$). The TPE provides an estimate of P_x that incorporates soil age,
122 thus Eq. 3 may be restated as:

$$123 \quad \mathbb{P}(s_1 \leq S \leq s_2) = f(L_o, TPE) \quad (4)$$

124 where at a certain point in time the probability of a soil property existing between s_1 and s_2 is a
125 function of L_o and TPE. L_o controls the spread or variation of the probability distribution
126 $\mathbb{P}(s_1 \leq S \leq s_2)$ over time and the potential observable soil states, whereas TPE is proportional
127 to the internal soil state at a given time (Jenny, 1961). Explicitly including time in Eq. 4 through
128 TPE partially captures variation in soil property change attributable to topography and parent
129 material. Soil residence time may be directly related to landscape position through topographic
130 control on soil production and sediment transport/deposition (Heimsath et al., 1997, 2002; Yoo et

131 al., 2007). Additionally, parent material modulates soil residence time through control on soil
132 depth (Heckman and Rasmussen, 2011; Rasmussen et al., 2005), soil production, and sediment
133 transport rates (Andre and Anderson, 1961; Portenga and Bierman, 2011). The initial conditions
134 of the soil forming system (L_0) are never fully known; however, representing the state of the soil
135 system as a probable distribution of values, implicitly accounting for soil age, and not
136 constraining the initial soil forming conditions, the influence of initial conditions can be partially
137 ignored and hence herein focus on modeling soil properties using only TPE.

138 Quantitatively realizing Eq. 4 required the use of predetermined joint probability density
139 functions parameterized with TPE and a selected soil physical property. Bivariate normal density
140 functions were calculated to determine the probability of a soil property range given a TPE
141 value. The bivariate density function was selected due to its simplicity and ease of
142 parameterization, other bivariate density functions are available that may better fit the selected
143 soil property data but are not considered here. The bivariate normal density distribution (Ugarte
144 et al., 2008) was calculated as:

$$145 \quad f(x, y) = \frac{1}{2\pi\sigma_x\sigma_y\sqrt{1-\rho^2}} \exp\left(-\frac{1}{2(1-\rho^2)}\left[\frac{(x-\mu_x)^2}{\sigma_x^2} + \frac{(y-\mu_y)^2}{\sigma_y^2} - \frac{2\rho(x-\mu_x)(y-\mu_y)}{\sigma_x\sigma_y}\right]\right) \quad (5)$$

146 where, ρ represents the Pearson correlation coefficient, μ_x is the mean of TPE, μ_y is the mean of
147 the selected soil physical property, σ_x is the standard deviation of TPE, σ_y is the standard
148 deviation of the selected soil physical property. Using the bivariate normal density functions,
149 conditional mean and variance values were calculated given a value of TPE; the conditional
150 means and variances parameterized conditional univariate normal distributions for the selected
151 soil physical properties. The conditional mean (Ugarte et al., 2008) was calculated as:

$$152 \quad \mu_{Y|X=x} = \mu_y + \rho \frac{\sigma_y}{\sigma_x} (x - \mu_x) \quad (6)$$

Christopher Shepard 12/15/16 4:36 PM
Deleted: , Eq. 4 can be stated simply ... [1]
Christopher Shepard 2/6/17 4:06 PM
Deleted: 5

Christopher Shepard 2/7/17 1:39 PM
Deleted: 6

Christopher Shepard 2/7/17 1:39 PM
Deleted: 7

158 where, $\mu_{Y|X=x}$ is the conditional mean soil property value given a value for TPE. The conditional
159 variance (Ugarte et al., 2008) was calculated as:

160
$$\sigma_{Y|X=x}^2 = \sigma_y^2(1 - \rho^2) \quad (7)$$

161 where, $\sigma_{Y|X=x}^2$ is the conditional variance of the soil property given a value of TPE.

162 Applying this approach required certain assumptions and simplifications. The model
163 assumes that climate was constant over the entire duration of pedogenesis. The model makes no
164 assumptions about the progressive and regressive processes that drive pedogenesis; by weighing
165 all profiles equally, the net effects of both progressive (e.g., horizonation, clay accumulation,
166 reddening, etc.) and regressive (e.g., haplodization, erosion, pedoturbation, etc.) pedogenic
167 processes (Johnson and Watson-Stegner, 1987; Phillips, 1993a), are captured in the model
168 structure. The model also does not consider the net effect of progressive and regressive
169 pedogenic processes on the distribution of selected soil properties with depth. The model makes
170 no assumptions about the initial soil forming system, and we did not constrain the model to any
171 particular initial condition for either parent material or geomorphic landform; the model simply
172 describes the probability of a location exhibiting a range of soil properties based on TPE. The
173 model assumes all changes in soil physical properties are due to pedogenic processes. We used a
174 bivariate normal distribution; consequently the model assumes the data conforms to a normal
175 distribution.

176

177 2. Methods

178 2.1 Data collection and preparation

179 The probability distributions were parameterized using an extensive literature review of
180 chronosequence studies. More than 140 chronosequence publications were identified using

Christopher Shepard 2/7/17 1:39 PM

Deleted: 8

Christopher Shepard 2/2/17 12:10 PM

Deleted: s

Christopher Shepard 11/17/16 12:05 PM

Deleted: both

Christopher Shepard 11/17/16 12:05 PM

Deleted: implicitly

Christopher Shepard 12/19/16 9:56 PM

Deleted: Over

186 Google Scholar (scholar.google.com) and ThomsonReuters Web of Science
187 (webofknowledge.com), forty-four of which contained the required data. Inclusion within the
188 present study required: profile descriptions with horizon-level clay, sand, and silt content, soil
189 depth; well-defined ages of the soil-geomorphic surfaces; and geographic coordinates or maps
190 showing locations of the described profiles. The chronosequences spanned a wide range of
191 geographic locations, ecosystems, climates, rock types, and geomorphic landforms (Fig 1, Table
192 S1). The chronosequence soils spanned ages from 10 years to 4.35 Myr and depth ranges from
193 3.0 cm to 1460 cm, with mean annual temperature and precipitation ranging from -11.2 to 28.0
194 °C and 3.0 to 400 cm yr⁻¹, respectively. We were limited in site selection by the available data; as
195 such we could not control for any bias that may exist with regards to site selection and reported
196 soil property values.

198 2.2 Total Pedogenic Energy

199 The influence of both climate and vegetation at the locations of each soil profile was
200 determined using effective energy and mass transfer (EEMT) (Rasmussen and Tabor, 2007;
201 Rasmussen et al., 2005). EEMT quantifies the heat and chemical energy from effective
202 precipitation and net primary productivity added to the soil system (Rasmussen and Tabor, 2007;
203 Rasmussen et al., 2005, 2011). EEMT describes the energy added to the soil system that can
204 perform pedogenic work, such as chemical weathering and carbon cycling. EEMT is adaptable to
205 include specific energetic inputs to the soil system based upon the prevailing soil forming
206 environment, e.g. the energetics from added fertilizer in an agriculture field or the impact of
207 human induced erosion (Rasmussen et al., 2011). The EEMT values for each soil profile were
208 extracted from a global map of EEMT derived from the monthly global climate dataset of New et

Christopher Shepard 2/15/17 5:12 PM

Deleted: ive

Christopher Shepard 12/19/16 10:09 PM

Deleted: data required for inclusion within the present study

212 al. (1999) at 0.5°x0.5° resolution using ArcMap 10.1 (ESRI, Redlands, CA) (Rasmussen et al.,
213 2011). For the chronosequence soils, EEMT values ranged from 2,235 to >200,000 kJ m⁻² yr⁻¹.
214 Total pedogenic energy (TPE, J m⁻²) was derived simply by multiplying EEMT (J m⁻² yr⁻¹) for
215 each soil profile by its reported age (yr). TPE was used because it was a better predictor of soil
216 physical properties relative to mean annual temperature, mean annual precipitation, or net
217 primary productivity (Table 3).

218

219 2.3 Application to chronosequence data

220 The chronosequence database included 44 distinct chronosequences representing 405,
221 different soil profiles. We focused here on changes in sand, silt, and clay content and solum
222 thickness as examples of soil property change, with time. We tested the approach on depth
223 weighted (DWT) sand, silt and clay content (reported as weight %), as well as the maximum
224 measured value of sand, silt, and clay content within each soil profile. Buried horizons were
225 removed from the soil profiles before either the maximum or DWT content values were
226 calculated. Solum thickness was extracted for each profile, defined as the thickness of the
227 horizons influenced by pedogenic processes or the depth to C horizons (Schaetzl and Anderson,
228 2005). The site RW-14 from McFadden and Weldon (1987) was not included in the solum
229 thickness model calculations, the measured solum thickness of RW-14 was 1460 cm, an order of
230 magnitude greater than all other soil profiles included in the study. Four hundred and five,
231 profiles reported clay content data, only 387 profiles reported sand and silt content, and 399 soil
232 profiles contained a developed solum. We classified the soil profiles by parent material in terms
233 of igneous, metamorphic, or sedimentary and by geomorphic landform, e.g., alluvial surface,

Christopher Shepard 2/7/17 4:26 PM
Deleted: 5

Christopher Shepard 2/7/17 4:26 PM
Deleted: 16

Christopher Shepard 12/19/16 10:14 PM
Deleted: proxies

Christopher Shepard 12/19/16 10:14 PM
Deleted: for

Christopher Shepard 12/19/16 10:14 PM
Deleted: change

Christopher Shepard 12/15/16 4:43 PM
Deleted: For soils reported in McFadden et al. (1986), surficial modern-aged eolian horizons were removed; the reported ages of the soil-geomorphic surface more closely matched the buried horizons under the eolian horizons.

Christopher Shepard 2/7/17 4:26 PM
Deleted: sixteen

Christopher Shepard 2/7/17 4:26 PM
Deleted: 98

Christopher Shepard 2/7/17 4:26 PM
Deleted: 410

Christopher Shepard 2/15/17 3:58 PM
Deleted: (

249 marine terrace, or moraine, etc. (Shoeneberger et al., 2012); for example, if a soil was formed on
250 an alluvial fan from granitic parent material, it would be defined as alluvial and igneous.

Christopher Shepard 2/15/17 3:58 PM
Deleted:)

251 Using the soils data, we calculated bivariate normal probability distributions using TPE
252 and the soil physical properties (Eq. 5). The soil data were transformed using logarithmic and
253 square root transformations when appropriate to meet the normality assumption of the bivariate
254 normal probability distribution. Conditional univariate normal distributions (Eqs. 6, 7) were
255 calculated to approximate probable ranges of soil properties using leave one out cross validation
256 (LOOCV). Each of the soil chronosequences was removed from the model dataset, with the all
257 remaining chronosequence data used to calculate the parameters of the bivariate and conditional
258 univariate normal distributions. The conditional univariate normal distributions were calculated
259 using the TPE values for the profiles within the left-out chronosequence.

Christopher Shepard 2/7/17 1:39 PM
Deleted: 6

Christopher Shepard 2/7/17 1:39 PM
Deleted: 7

Christopher Shepard 2/7/17 1:39 PM
Deleted: 8

261 2.4 Application to complex terrain

262 By design, soil chronosequences are generally sited on gentle, low sloping terrain to
263 minimize the influence of topography and erosion/deposition on soil formation (Harden, 1982).
264 However, much of the Earth's surface is characterized by complex topography with high relief,
265 steep slopes, and differences in slope aspect. Any predictive soil model or approach must be
266 effective in both simple and complex terrain. To test the ability of the model to predict soil
267 properties in complex terrain, we compiled data from upland catchments with variable parent
268 material and topography from the literature, as well as data available from the US NSF Critical
269 Zone Observatory Network (CZO, www.criticalzone.org) (Table 1) (Bacon et al., 2012;
270 Dethier et al., 2012; Foster et al., 2015; Holleran et al., 2015; Lybrand and Rasmussen, 2015;
271 Rasmussen, 2008; West et al., 2013). Data from several additional studies from complex terrain

Christopher Shepard 12/19/16 10:20 PM
Deleted: terrain

277 were also included to test the model (Table 1) (Dixon et al., 2009; Yoo et al., 2007). These data
 278 were accessed from: www.criticalzone.org, or Google Scholar (scholar.google.com). These
 279 studies were included because they all contained horizon-level soil texture data, soil depth,
 280 percent volume rock fragment data, and ¹⁰Be or U-series measures of soil erosion rates or
 281 residence time, where mean residence time (MRT) was calculated as: MRT=h/E, where h is soil
 282 depth (m) and E is erosion rate (m/yr) (Pelletier and Rasmussen, 2009b). We used published
 283 coordinates to extract EEMT values, calculated from New et al. (1999), for each soil profile
 284 using ArcGIS 10.1, and used EEMT and MRT to calculate TPE. It should be noted the coarse
 285 resolution of New et al. (1999) EEMT values do not account for local scale variation in water
 286 redistribution and primary productivity that can lead to significant topographic variation in
 287 EEMT (Rasmussen et al., 2015). Using Eq. 5 and the parameters generated from the
 288 chronosequence database, conditional mean depth weighted clay content was calculated for each
 289 profile.

Christopher Shepard 2/7/17 1:39 PM
 Deleted: 6

290 Due to the influence of redistributive hillslope processes on soil development (Yoo et al.,
 291 2007), soil depth varies systematically across hillslopes (Heimsath et al., 1997); thus, soil depth
 292 can be used to incorporate information about these processes within the model calculations. We
 293 calculated the mass per area clay content of these profiles using soil depth to incorporate this
 294 variation, as:

Christopher Shepard 12/19/16 10:35 PM
 Deleted: correct for

Christopher Shepard 2/15/17 3:58 PM
 Deleted: ese processes

$$295 \quad \text{Mass per area clay (kg m}^{-2}\text{)} = (\rho_b)(h) \left(\frac{\mu_{Y|X=x, \text{DWT CLAY}}}{100} \right) \left(1 - \left(\frac{\text{RF}\%}{100} \right) \right) \quad (8)$$

Christopher Shepard 2/7/17 1:39 PM
 Deleted: 9

296 where, ρ_b is the soil bulk density assumed to be 1500 kg m⁻³ for all soil profiles, $\mu_{Y|X=x, \text{DWT CLAY}}$
 297 is the predicted conditional mean for depth weighted clay content (DWT CLAY) using Eq. 6,
 298 RF% is the measured depth weighted percent volume rock fragments within the soil, when no
 299 RF% data were available we assumed a value of 41.7%, which was the average RF% for profiles

Christopher Shepard 2/7/17 1:39 PM
 Deleted: 7

305 | with reported values, and h is the soil depth in meters. Using Eq. 8, mass per area clay was
306 | calculated for each soil profile. Further, we examined the impact of depth, rock fragment
307 | percentage, and predicted conditional mean DWT clay on the predicted mass per area clay
308 | predictions using multiple linear regression.

Christopher Shepard 2/7/17 1:39 PM
Deleted: 9

309

310 2.4.1 Coupling geomorphic model with probabilistic model

311 | Additionally, we applied the [probabilistic](#) model independent of measured soil data,
312 | across a small complex catchment in the Santa Catalina Mountains (Catalina-Jemez CZO, Fig
313 | 2a-b, Table 1) (Holleran et al., 2015; Lybrand and Rasmussen, 2015). The ~6 ha catchment is
314 | located at an elevation between 2300-2500 m with mixed conifer vegetation, approximately 30
315 | km northeast of Tucson, AZ (Fig 2, Table 1). The approach utilized soil depth and residence time
316 | output from a process-based numerical soil depth model (Pelletier and Rasmussen, 2009a). The
317 | model used high resolution LiDAR derived topographic data to estimate 2 m pixel resolution soil
318 | depth and erosion rates (Fig 2c) (Pelletier and Rasmussen, 2009a). These data were coupled with
319 | topographically resolved EEMT values that accounted for local hillslope scale variation in water
320 | redistribution and primary productivity at a 10 m pixel resolution (Rasmussen et al., 2015) (Fig
321 | 2d). We used [calculated](#) TPE [from the topographically-resolved](#) EEMT and soil residence time
322 | [values](#) to predict DWT clay, and coupled [predicted DWT clay values](#) with modeled depth [from](#)
323 | [Pelletier and Rasmussen \(2009a\)](#) in Eq. 8 to predict mass per area clay at 2 m pixel resolution;
324 | [the data processing and model apparatus are shown in Fig 3](#). We assumed a constant 50% rock
325 | fragment value for each location. The coupled geomorphic-TPE model outputs were compared
326 | with point measures of mass per area clay from Holleran et al. (2015) and Lybrand and

Christopher Shepard 12/22/16 10:49 AM

Deleted: based on

Christopher Shepard 12/22/16 10:49 AM

Deleted: modeled

Christopher Shepard 2/15/17 5:19 PM

Deleted:

Christopher Shepard 2/7/17 1:40 PM

Deleted: 9

332 Rasmussen (2015). Model data were completely independent from the Holleran et al. and
333 Lybrand and Rasmussen datasets such that they served as validation data for the modeled output.

Christopher Shepard 2/15/17 4:00 PM

Deleted: and these

Christopher Shepard 2/15/17 4:00 PM

Deleted: a

Christopher Shepard 2/3/17 2:00 PM

Formatted: Indent: First line: 0"

335 2.5 Model domain

336 The model was parameterized using chronosequence studies; as such, the model is best
337 suited for generally low, sloping terrain. The model was extended to complex terrain using the
338 described correction above (Section 2.4), widening the model domain to steeply sloping terrain.
339 The model does not consider human activities or aeolian additions, and should not be extended to
340 soils significantly impacted by either humans or dust. The model was trained on a diverse array
341 of parent materials and ecosystems, and could be utilized in climates with MAT ranging from -
342 10 to 28°C and MAP ranging from 3 to 400 cm yr⁻¹. The model could be utilized on soils
343 spanning multiple magnitudes in age, from 10 yr to greater than 4Myr.

345 **3. Results**

346 **3.1 Application and parameterization to chronosequences**

347 The relationships between TPE and soil texture and solum thickness were used to
348 calculate the bivariate probability distributions. The bivariate probability distributions (Eq. 5)
349 were parameterized using the means, standard deviations and Pearson's correlation from the
350 chronosequence database (Table 2). Furthermore, the relationship between TPE and the soil
351 properties was stronger than just using age, NPP, MAP, or MAT alone (Table 3). Age was
352 expected to strongly correlate to the soil properties due to the design of chronosequence studies;
353 however, comparing age and TPE separately, the percent increase in Spearman rank correlations
354 (r) ranged from 8.7% (DWT Silt) to 25.6% (Max Sand). Maximum and depth weighted silt

Christopher Shepard 2/7/17 1:40 PM

Deleted: 6

Christopher Shepard 12/19/16 10:30 PM

Deleted: using

Christopher Shepard 2/15/17 5:15 PM

Deleted: 1.9

Christopher Shepard 2/15/17 5:15 PM

Deleted: 22.4

361 content were weakly correlated to both age and TPE and exhibited only a minimal change in
362 Spearman's rank correlation with TPE relative to age.

363 The correlation between TPE and maximum clay content (Fig 4, Pearson's $\rho=0.78$,
364 $r^2=0.62$, $\sqrt{\text{Max Clay}} = -7.38 + 1.37 * \log(\text{TPE})$, $df=403$) was highly significant, and presented
365 the strongest probabilistic relationship determined between TPE and the soil properties. The
366 bivariate probability surface displayed the greatest probability around the joint means between
367 TPE and maximum clay content (Fig 4). Solum thickness and TPE were also strongly related,
368 but weaker relative to the maximum clay-TPE relationship (Fig S1, Pearson's $\rho=0.65$, $r^2=0.42$,
369 $\log(\text{solum thickness}) = -0.58 + 0.27 * \log(\text{TPE})$, $df=397$). The relationships between TPE
370 and max sand (Fig S2) and silt (Fig S3) contents were generally weaker, relative to clay and
371 solum thickness, with little to no relationship between TPE and silt content.

372 The conditional univariate normal distribution parameters were determined for the soil
373 physical properties from the bivariate distribution and using Eqs. 6 and 7. The bivariate normal
374 distribution effectively predicted maximum clay content (Fig 5) with an $r^2 = 0.54$
375 (RMSE=14.8%) between the measured maximum clay content and predicted conditional mean
376 maximum clay content (Eq. 6) across all sites based on LOOCV (Fig 5d). The model effectively
377 predicted maximum clay content regardless of parent material with r^2 of 0.61 (RMSE=14.4%),
378 0.56 (RMSE=12.0%), and 0.59 (RMSE=16.8%), for igneous, metamorphic, and sedimentary
379 parent materials, respectively. The r^2 between the measured values and predicted values for
380 solum thickness, max sand, and max silt were 0.28 (RMSE=101.0 cm, Fig S4), 0.17
381 (RMSE=23.4%, Fig S5), and 0.04 (RMSE=18.0%, Fig S6), respectively.

382 The relationship of predicted to actual maximum clay content varied significantly across
383 individual studies. The predicted values represent the predicted conditional means (Eq. 6)

- Christopher Shepard 12/22/16 3:43 PM Deleted: 3
- Christopher Shepard 2/7/17 4:24 PM Deleted: 1
- Christopher Shepard 2/7/17 4:24 PM Deleted: 5
- Christopher Shepard 2/7/17 4:24 PM Deleted: 6
- Christopher Shepard 2/7/17 4:24 PM Deleted: 14
- Christopher Shepard 12/22/16 3:43 PM Deleted: 3
- Christopher Shepard 2/7/17 4:25 PM Deleted: 7
- Christopher Shepard 2/7/17 4:24 PM Deleted: 408
- Christopher Shepard 2/7/17 1:40 PM Deleted: 7
- Christopher Shepard 2/7/17 1:40 PM Deleted: 8
- Christopher Shepard 12/22/16 3:44 PM Deleted: 4
- Christopher Shepard 2/8/17 11:36 AM Deleted: 7
- Christopher Shepard 2/7/17 4:25 PM Deleted: 7
- Christopher Shepard 12/22/16 3:44 PM Deleted: 4
- Christopher Shepard 12/15/16 4:41 PM Deleted: for each
- Christopher Shepard 2/8/17 11:36 AM Deleted: 0
- Christopher Shepard 2/8/17 11:36 AM Deleted: 1
- Christopher Shepard 2/8/17 11:36 AM Deleted: 11.9
- Christopher Shepard 2/8/17 11:36 AM Deleted: 7
- Christopher Shepard 2/8/17 11:37 AM Deleted: 99.8
- Christopher Shepard 2/8/17 11:37 AM Deleted: 2
- Christopher Shepard 2/7/17 1:40 PM Deleted: 7

406 | bounded by the conditional standard deviation (Eq. 7), which approximates a 50% probability
407 | that the measured maximum clay content will be within 1 standard deviation of the conditional
408 | mean (Fig 6). The individual studies presented in Fig 6, were selected to represent a broad range
409 | of climates and landforms, and demonstrate both the strengths and weaknesses of the model. For
410 | Harden (1987) (Fig 6a, $r^2=0.88$, $p<0.0001$, $df=20$, $RMSE=9.4\%$) and Howard et al. (1993) (Fig
411 | 6b, $r^2=0.86$, $p<0.001$, $df=6$, $RMSE=10.2\%$), the model was generally successful at predicting the
412 | maximum clay content values; both the Harden (1987) and Howard et al. (1993) sequences were
413 | located in alluvial deposits but in vastly different climates, xeric (winter-dominated annual
414 | rainfall regime) vs. udic (evenly distributed annual rainfall regime), respectively. The model was
415 | capable of predicting maximum clay content values for glacial moraine deposits, in a frigid
416 | climate (Fig 6c, $r^2=0.87$, $p<0.0001$, $df=12$, $RMSE=6.0\%$ Birkeland, 1984) and on marine terraces
417 | in Northern California with a xeric climate (Fig 6f, $r^2=0.98$, $p<0.001$, $df=4$, $RMSE=8.9\%$,
418 | Merritts et al., 1991). The model was incapable of predicting clay accumulation on marine
419 | terraces in hot, wet climates in Barbados (Fig 6d, $r^2=0.31$, $p=0.08$, $df=9$, $RMSE=44.9\%$ Muhs,
420 | 2001) or Taiwan (Fig 6e, $r^2=0.67$, $p<0.001$, $df=11$, $RMSE=23.1\%$, Huang et al., 2010).

421

422 3.2 Application in complex terrain

423 | The model was much less effective in complex terrain and highly overpredicted DWT
424 | clay contents in soils located in complex landscapes (Fig 7a, $r^2=0.26$, $y=0.39x+7.36$, $p<0.0001$,
425 | $RMSE=5.4\%$). The model highly over predicted the clay content of the South Carolina site and
426 | the Gordon Gulch soils, and under predicted the clay content of the Rincon, Santa Catalina,
427 | Jemez sites.

Christopher Shepard 2/7/17 1:40 PM
Deleted: 8

Christopher Shepard 12/22/16 3:44 PM
Deleted: 5

Christopher Shepard 12/22/16 3:44 PM
Deleted: 5

Christopher Shepard 12/22/16 3:44 PM
Deleted: 5

Christopher Shepard 2/8/17 11:37 AM
Deleted: 3

Christopher Shepard 12/22/16 3:44 PM
Deleted: 5

Christopher Shepard 2/8/17 11:38 AM
Deleted: 9.8

Christopher Shepard 12/22/16 3:44 PM
Deleted: 5

Christopher Shepard 2/8/17 11:38 AM
Deleted: 1

Christopher Shepard 12/22/16 3:44 PM
Deleted: 5

Christopher Shepard 2/8/17 11:38 AM
Deleted: 7

Christopher Shepard 12/22/16 3:44 PM
Deleted: 5

Christopher Shepard 2/8/17 11:38 AM
Deleted: 5

Christopher Shepard 2/8/17 11:38 AM
Deleted: 1

Christopher Shepard 12/22/16 3:44 PM
Deleted: 5

Christopher Shepard 2/8/17 11:39 AM
Deleted: 2

Christopher Shepard 12/22/16 3:45 PM
Deleted: 6

Christopher Shepard 2/8/17 12:39 PM
Deleted: 27

Christopher Shepard 2/8/17 12:39 PM
Deleted: 3

447 When correcting for the influence of hillslope processes by explicitly including soil depth
448 and calculating mass per area clay, the approach effectively predicted clay content, with an
449 $r^2=0.81$ (Fig 7b, $y=1.58x-15.5$, $p<0.0001$, $RMSE=86.4$ kg clay m^{-2}), only slightly overpredicting
450 clay content, with a regression slope of 1.58. Soil depth was the strongest contributing factor to
451 the mass per area clay prediction with the greatest sums of squares in a simple multiple linear
452 regression including depth, RF%, and DWT clay% (Table 4); predicted conditional mean clay
453 content percentage was the second strongest contributing factor to the mass per area clay
454 prediction. Rock fragment percentage did not influence the mass per area clay content prediction.

- Christopher Shepard 12/22/16 3:45 PM Deleted: 6
- Christopher Shepard 2/8/17 12:39 PM Deleted: 6
- Christopher Shepard 2/8/17 12:39 PM Deleted: 2
- Christopher Shepard 2/8/17 12:39 PM Deleted: 4.4
- Christopher Shepard 2/8/17 12:40 PM Deleted: 6

456 3.3 Coupled geomorphic-TPE model

457 The coupled geomorphic-TPE model effectively predicted mass per area clay for the
458 majority of soils located within the Marshall Gulch subcatchment with an $r^2=0.74$ (Fig 8a,
459 $y=0.86x-5.06$, $p<0.0001$, $RMSE=17.7$ kg clay m^{-2}). For a subset of soils, the model did not
460 effectively predict mass per area clay, and were excluded from the regression in Fig 8a; four of
461 these soils were located on the east-facing ridge of the catchment, and an additional two soils
462 were formed on amphibolite rather than the granite or quartzite materials that all of the other
463 soils in the catchment were derived from. All of these locations also exhibited a poor fit between
464 modeled and measured soil depth (Fig 2e). The spatial distribution of mass per area clay was also
465 predicted across the catchment (Fig 8b), independently of measured data, and generally
466 conformed to previously predicted spatial distribution of clay stocks in the Marshall Gulch
467 catchment (Holleran et al., 2015).

- Christopher Shepard 12/22/16 3:45 PM Deleted: 7
- Christopher Shepard 2/8/17 12:50 PM Deleted: 5
- Christopher Shepard 2/8/17 12:50 PM Deleted: 0
- Christopher Shepard 12/22/16 3:45 PM Deleted: 7

- Christopher Shepard 12/22/16 3:45 PM Deleted: 7

469 4. Discussion

480 **4.1 Model effectiveness**

481 **4.1.1 Model results for chronosequences**

482 The model predicted maximum clay content across a diverse range of lithologies,
483 climates, and landforms. Weathering and clay production are primary pedogenic processes
484 (Birkeland, 1999; Schaetzl and Anderson, 2005), and because the model assumed all changes in
485 the soil profile are due to these processes and TPE is closely related to degree of weathering, the
486 model was the most effective at predicting clay content. For initial soil states that begin
487 pedogenesis with a potentially significant amount of clay-sized particles the model was much
488 less effective. The soils of the Taiwanese chronosequence formed from conglomerates (Huang et
489 al., 2010); conglomerates are typically poorly sorted, such that these soils initially formed with
490 high clay contents slowing clay accumulation, limiting the effectiveness of the model to predict
491 clay contents in these soils. Additionally, the model highly underestimated the clay content of
492 soils located on coral reef terraces in tropical environments (Maejima et al., 2005; Muhs, 2001).
493 Coral reef terraces represent a relatively unique landform that weathers rapidly to fine sized
494 particles, especially under tropical climates, and generally have complicated parent material
495 compositions (Muhs et al., 1987). The combination of these factors limited the ability of the
496 model to predict the soil properties on these surfaces.

497 Sand and silt displayed weaker relationships with increasing total pedogenic energy. The
498 lack of correlation of sand and silt to TPE may result in part from the definitions of the particle
499 size classes. Sand sized particles span several orders of magnitude difference in particle size,
500 ranging from particles of 2 mm to 0.05 mm (Soil Survey Staff, 2010), whereas clays are
501 constrained to particles less than 0.002 mm. The sequential weathering of rock fragments and
502 coarse sand to fine and very fine sands therefore is not reflected in total sand content and likely

503 diminishes the relationship between sand content and total pedogenic energy and time (Pye and
504 Sperling, 1983; Pye, 1983; Sharmeen and Willgoose, 2006). The relationship between silt
505 content and pedogenic energy was the weakest of the three broad particles size classes (Tables 2,
506 3). Similar to sand, the silt size fractions span an order of magnitude in particle size ranging from
507 0.05 to 0.002 mm in diameter. Further, the sand and silt fractions are dominated by resistant
508 primary minerals (Pye, 1983), and would not change greatly in response to increased TPE or
509 weathering, which may partly account for the weaker correlations with TPE. Additionally, the
510 silt fraction may also be heavily influenced by deposition of eolian material and thereby
511 introduce an additional mass of silt that was not derived from the direct weathering of the initial
512 soil forming system (McFadden et al., 1987) effectively uncoupling silt content from total
513 pedogenic energy.

514 Solum thickness displayed a relatively strong relationship with increasing pedogenic
515 energy, with TPE explaining up to 42% of the variance in solum thickness (Tables 2, 3). Soil
516 production is related to climatic variation (Amundson et al., 2015), with this variation partly
517 captured by EEMT and TPE, leading to the slightly stronger predictive power of the model.
518 However, soil production is also highly influenced by redistributive hillslope process, chemical
519 and physical weathering, and tectonic uplift (Heimsath et al., 1997; Riebe et al., 2004; Yoo and
520 Mudd, 2008b), and can be a highly non-linear process (Pelletier and Rasmussen, 2009a). These
521 factors were not directly accounted for in this study in that topography was not a quantified
522 factor, which likely represents a large proportion of the remaining unexplained variance in solum
523 thickness.

524

525 **4.1.2 Model results in complex terrain**

526 Due to using soil chronosequence data to parameterize the approach, the influence of
527 redistributive hillslope processes was not captured. Additionally, in the amount of time required
528 to transport soil across a hillslope, chemical and physical alterations of the soil particles are
529 possible and may not be reflected in mean residence time calculations (Yoo and Mudd, 2008a;
530 Yoo et al., 2007). Soil thickness is highly dependent upon hillslope position and landscape
531 morphology (Dietrich et al., 2003; Heimsath et al., 1997; Pelletier and Rasmussen, 2009a). By
532 using soil thickness as a proxy for the strength of these redistributive hillslope processes, and
533 converting the predicted conditional mean clay content value to a mass per area basis, the model
534 was able to capture differences in clay content across complex terrain for a variety of lithologies
535 and climates. The differing lithologies, climates, or vegetation types did not appear to impact the
536 ability of the model to predict clay contents, likely because [local variation in](#) soil depth accounts
537 for many of these controls. Parent material and climate influence the weathering process and
538 production of clay in soils (Harden and Taylor, 1983; Muhs et al., 2001); however, these factors
539 are collinear with soil depth (Heckman and Rasmussen, 2011; Lybrand and Rasmussen, 2015;
540 Pelletier and Rasmussen, 2009a), such that by including soil depth, differences due to lithology
541 or climate were partly incorporated in the model prediction.

542

543 **4.1.3 Results from coupled geomorphic-TPE model**

544 For the majority of sites in the Marshall Gulch sub-catchment, the coupled geomorphic-
545 TPE model was highly effective at predicting clay content, and the spatial distribution of clay
546 stocks. Large differences were found for four soils located on the east-facing ridge of the
547 catchment underlain by granite with the model generally over-predicting soil depth and clay
548 content. Discrepancies between the modeled and measured depths were likely the primary

549 | sources of error within the mass per area clay predictions for the four east-facing ridge soils (Fig
550 | 2e). The geomorphic model predicted deeper soil depths due to the presence of an apparent
551 | convergent zone on the east-facing ridge of the sub-catchment; however, this convergent zone is
552 | only a small feeder tributary to the larger catchment drainage. The inability of the model to
553 | effectively predict clay contents and the mismatch between modeled and actual soil depths in the
554 | four soils located on the east-facing ridge is likely due to this local, fine-scale topographic
555 | variation. The fine-scale topographic variation may indicate that the scale of soil property
556 | predictions is important in achieving accurate predictions. Fine spatial scales match the scale of
557 | local soil-landscape variation and processes, but fine scale variation in weathering rates and
558 | lithology is also required to better predict soil depth within the catchment (McKenzie and Ryan,
559 | 1999).

560 | Error in predicted soil depths due to fine-scale differences in lithology within the
561 | Marshall Gulch sub-catchment partly explains the discrepancies between measured and predicted
562 | mass per area clay contents. For two amphibolite-derived soils, the model greatly underestimated
563 | mass per area clay. The geomorphic soil depth model assumed a uniform weathering rate based
564 | on the granitic soils (Pelletier and Rasmussen, 2009a); due to differences in primary mineral
565 | assemblage, the amphibolite materials are likely weathering at a faster rate compared to the
566 | granite derived soils (White et al., 2001; Wilson, 2004), resulting in greater clay production and
567 | likely explaining the underestimated clay contents. Inclusion of differential weathering rates for
568 | varying lithologies within the geomorphic model would likely lead to better prediction of clay
569 | contents, but in areas of complex lithology this would require detailed information about
570 | distributions of differing lithologies. With these adjustments, the coupled geomorphic-TPE
571 | model represents an effective, independent prediction of clay stocks.

Christopher Shepard 2/15/17 4:01 PM
Deleted: 4

Christopher Shepard 2/15/17 4:02 PM
Deleted: 4

574

575 **4.2 Advantages of probabilistic approach**

576 Simplifying and representing the soil-forming factors as multivariate distributions and
577 probabilities has the potential to quantitatively represent the general state-factor model, making
578 the approach universally applicable. The initial state of the soil can likely never be fully known,
579 leading to variability in soil properties over time that cannot necessarily, or ever, be attributed to
580 any external factor (Phillips, 1989, 1993b). A probabilistic approach utilizes that variability to
581 drive predictions and understanding of these systems. Similar to the approach taken here,
582 building distributions of the soil-forming state factors that are associated with distributions of
583 particular soil properties could yield probabilistic predictions of soil formation and change. We
584 selected to use a representation of climate and biology (EEMT), however, depending on the soil
585 property of interest the variables needed to parameterize the distributions would likely change;
586 for example, if interested in organic matter content, aboveground net primary productivity or
587 normalized difference vegetation index may be better predictors of organic matter accumulation.
588 The strength of this approach lies in the fact that no assumptions are made about the initial
589 conditions of the soil forming system or the specific soil forming processes. Predicting probable
590 distributions of soil physical properties implicitly acknowledges that our understanding of any
591 system is incomplete, but explicitly quantifies uncertainty in predictions and constrains the
592 potential observable values to a predicted range. Utilizing this approach will require the
593 necessary data to build distributions that are widely representative and applicable to most
594 locations (Yaalon, 1975). With wide accessibility to large databases of soil information, such as
595 the US National Soil Information System (NASIS) and the FAO Harmonized World Soil
596 Database, access to the required amount and quality of data may be possible. Similar to the

597 present study, simple bivariate distributions could be solved to calculate conditional distributions
598 based on the soil-forming state factors, effectively producing quantitative probabilistic
599 representations of Jenny's original equation (Jenny, 1941).

600 The simplicity of the present approach allows easy integration into pre-existing
601 geomorphic models of landscape evolution. Past approaches that have combined pedogenic and
602 landscape evolution models have generally focused on producing hypothetical soil-landscape
603 relationships that progress forward through time (Minasny and McBratney, 2001; Vanwallegem
604 et al., 2013), or have focused on idealized landscapes (Temme and Vanwallegem, 2015).
605 However, by combining probabilistic approaches parameterized using known landscapes, and
606 geomorphically based landscape evolution models, predictions of the current state of the soil-
607 landscape can be investigated. As was demonstrated in Fig 7B, combining the present approach
608 with geomorphically based soil depth models generated from DEMs has great potential to predict
609 soil properties across a diverse range of environments, without needing prior knowledge of the
610 landscape other than topography and climate. Further, potential soil-landscapes can be
611 investigated by updating EEMT values to incorporate future climate scenarios available from
612 predictive climate models (Gent et al., 2011; Taylor et al., 2012) and topographic and
613 hydrological impacts due to changes in topography over time (Rasmussen et al., 2015).

614 615 **4.3 Limitations and potential refinements**

616 There are obvious limitations within the current model: lack of consideration of parent
617 material influences, topographic variation, human impacts, internal soil feedbacks and
618 thresholds, determination of landscape and soil age, and differences in paleoclimate variation.
619 Parent material control on the relative proportion of weatherable minerals and mineral

Christopher Shepard 12/27/16 8:41 PM

Deleted: both potential soil-landscape evolution scenarios can be investigated, as well as

Christopher Shepard 2/15/17 4:02 PM

Deleted: or

624 weathering rates (Jackson et al., 1948) can manifest as vastly different soil morphologies and
625 rates of pedogenesis when controlling for other soil forming factors or even without controlling
626 for other factors (Heckman and Rasmussen, 2011; Parsons and Herriman, 1975; Phillips, 1993b),
627 The current approach implicitly assumes no information about the initial conditions, only that all
628 clay production is a pedogenic process. Applying this approach to parent materials, where a large
629 fraction of clay-sized particles formed through non-pedogenic processes, is thus limited and may
630 explain why the model was ineffective for some soils. Refining the current approach would
631 require normalization of soil to the particle size distribution of the soil parent material. Past
632 studies have utilized highly characterized parent material data to model soil property change with
633 time (Chadwick et al., 1990; Harden, 1982), but these data are generally difficult to obtain and
634 often not reported in the available chronosequence literature.

635 Topography dictates soil chemical and physical properties and residence times, especially
636 in complex terrain (Almond et al., 2007; Egli et al., 2008; Lybrand and Rasmussen, 2015), where
637 non-linear diffusive hillslope processes control the fluxes of matter and energy into and out of
638 the soil system (Heimsath et al., 1997; Pelletier and Rasmussen, 2009a; Rasmussen et al., 2015;
639 Yoo and Mudd, 2008b; Yoo et al., 2007). Using earlier versions of EEMT (Rasmussen and
640 Tabor, 2007; Rasmussen et al., 2005), the current formulation of the model and TPE does not
641 explicitly quantify topographic variation, which may account for error within current soil
642 property distributions and predictions. With the inclusion of topographic variation in EEMT
643 (Rasmussen et al., 2015) and topographic control of soil residence times (Foster et al., 2015;
644 West et al., 2013), we were able to correct this error with the present approach and effectively
645 predicted clay stocks in complex terrain.

Christopher Shepard 12/22/16 11:53 AM
Deleted:
Christopher Shepard 12/22/16 11:53 AM
Deleted: (Heckman and Rasmussen, 2011;
Parsons and Herriman, 1975)

Christopher Shepard 2/15/17 4:03 PM
Deleted: with

Christopher Shepard 2/15/17 4:03 PM
Deleted: in
Christopher Shepard 2/15/17 4:03 PM
Deleted: ,
Christopher Shepard 2/15/17 4:03 PM
Deleted: particularly in complex terrain,

653 Human activities significantly alter soil physical properties (Grieve, 2001; Neff et al.,
654 2005; Pouyat et al., 2007). For example, differences in land use and increased grazing activity
655 can alter soil physical properties such as clay and sand content across landscapes (Neff et al.,
656 2005; Pouyat et al., 2007), or compaction from farming equipment leading to increased bulk
657 density and increased erosion rates (Fullen, 1985; Hamza and Anderson, 2005). Human impacts
658 on soil physical properties were not included in the presented model. The energetic contributions
659 due to human impacts can be incorporated within the EEMT apparatus, and adjusted model
660 parameters can be calculated (Rasmussen et al., 2011). Human impacts on soil physical
661 properties may be locally important, but for the majority of locations, human energetic
662 contributions to the soil system are generally orders of magnitude smaller compared to the
663 energetic inputs from solar radiation, precipitation, or primary productivity.

664 Internal or intrinsic feedbacks and thresholds within the soil system drive pedogenic
665 development without changes in the external state factors (Chadwick and Chorover, 2001; Muhs,
666 1984). For example, greater chemical weathering and clay production due to increased water
667 residence time caused by argillic horizon development is the result of an internal feedback that is
668 independent of the external climatic and biological system (Schaeztl and Anderson, 2005). These
669 thresholds can operate as progressive or regressive processes, driving soil formation forward or
670 hindering further development (Johnson and Watson-Stegner, 1987; Phillips, 1993a). Internal
671 soil development feedbacks were not explicitly considered in the present model formulation. The
672 presence of these internal feedbacks may partially explain error within the model predictions.
673 Changes in EEMT would not explain all observed differences in soil properties over the age of
674 the soil. However, if these feedbacks were operating in the included soils, the influence of

675 intrinsic thresholds was implicitly captured within the probability distributions, partially
676 accounting for the role of internal soil development feedbacks on soil formation.

677 Soil age is typically unmeasured in most geomorphological and pedological studies,
678 limiting the applicability of the current model. Numerical age dating, e.g. cosmogenic
679 radionuclides or optically stimulated luminescence, is expensive and requires time-consuming
680 preparation to be broadly utilized and can be complicated by transport and burial histories of soil
681 and sediment (Anderson et al., 1996; Bierman, 1994; Gosse and Phillips, 2001; Granger and
682 Muzikar, 2001; Schaetzl and Anderson, 2005). Fortunately, relative age dating methods using
683 landscape position are easily utilized and can provide the necessary age constraint needed to
684 make model predictions (Burke and Birkeland, 1979; Favilli et al., 2009; Huggett, 1998;
685 Matthews and Shakesby, 1984; Nicholas and Butler, 1996; Schaetzl and Anderson, 2005). Age
686 constraint may also be achieved using landscape or hillslope morphology derived from elevation
687 transects or digital elevation models to estimate a “diffusivity age” for the soil (Hsu and Pelletier,
688 2004; Pelletier et al., 2006).

689 Global climate patterns have shifted dramatically over the last 65 Myr, (Zachos et al.,
690 2001). The majority of soils observed in the compiled chronosequence database span the
691 Quaternary, including both the Holocene and Pleistocene. The Pleistocene was marked by a
692 number of major glacial-interglacial cycles at approximately 100,000-year intervals (Imbrie et
693 al., 1992; Wallace and Hobbs, 2006), which corresponded with shifting climatic conditions, e.g.,
694 for large portions of the northern mid-latitudes glacial periods were generally cooler and wetter,
695 and interglacial periods were warmer and drier (Connin et al., 1998; Petit et al., 1999). Further,
696 the Pleistocene climate shifts likely influenced the rates of weathering and clay production
697 (Hotchkiss et al., 2000). Taking into account the differences in past and modern climate would

Christopher Shepard 2/6/17 12:53 PM
Formatted: Indent: First line: 0"

Christopher Shepard 2/15/17 5:17 PM
Deleted: Furthermore, g

Christopher Shepard 2/15/17 4:05 PM
Deleted: a

700 | ~~partially, reduce prediction errors,~~ between observed and modeled soil physical properties.
701 Reconstructed global paleo-EEMT values would improve model accuracy, and limit uncertainty
702 in the probabilistic ranges of soil properties for soils older than Holocene age.

Christopher Shepard 2/1/17 11:45 AM

Deleted: likely

Christopher Shepard 2/1/17 11:46 AM

Deleted: diminish

Christopher Shepard 2/1/17 11:45 AM

Deleted: disparities

704 5. Conclusion

705 The present approach effectively predicts soil physical properties across a diverse range
706 of geomorphic surfaces, lithologies, ecosystems, and climates. Further, this approach is
707 mathematically simple and only requires knowledge of the probable age of a geomorphic surface
708 and the effective energy and mass transfer value associated with a given location, making this
709 approach universally applicable. The simplicity of the probabilistic approach ~~lies in~~ the lack of
710 ~~the need to consider~~ the initial conditions of the soil forming state or the processes driving soil
711 property change. A probabilistic approach does not exactly predict a soil physical property value
712 at a given location, but constrains the probable values based upon the state of the external
713 environment to the soil. Using probabilistic approaches, we can model probable soil-landscape
714 evolution scenarios, greatly informing our understanding of the evolution of critical zone
715 structure.

Christopher Shepard 12/27/16 1:39 PM

Deleted: is

Christopher Shepard 12/27/16 1:39 PM

Deleted: assumptions about

717 Acknowledgements

718 We thank Molly Holleran, Rebecca Lybrand, and Ashlee Dere for providing data for this study.
719 Support for C.S. was provided by the University Fellows program at the University of Arizona
720 ~~and by the University of Arizona/NASA Space Grant Graduate Fellowship.~~ This research was
721 funded by the U.S. National Science Foundation grant no. EAR-1331408 provided in support of

727 the Catalina-Jemez Critical Zone Observatory. LiDAR data acquisition was supported by U.S.
728 National Science Foundation grant no. EAR-0922307 (P.I. Qinghua Guo).

729

730 **References**

731 Almond, P., Roering, J. and Hales, T. C.: Using soil residence time to delineate spatial and
732 temporal patterns of transient landscape response, *J. Geophys. Res.*, 112(F3), F03S17,
733 doi:10.1029/2006JF000568, 2007.

734 Amundson, R., Heimsath, A., Owen, J., Yoo, K. and Dietrich, W. E.: Hillslope soils and
735 vegetation, *Geomorphology*, 234, 122–132, doi:10.1016/j.geomorph.2014.12.031, 2015.

736 Anderson, R. S., Repka, J. L. and Dick, G. S.: Explicit treatment of inheritance in dating
737 depositional surfaces using in situ ¹⁰Be and ²⁶Al, *Geology*, 24(1), 47–51, 1996.

738 Andre, J. and Anderson, H.: Variation of Soil Erodibility with Geology, Geographic Zone,
739 Elevation, and Vegetation Type in Northern California Wildlands, *J. Geophys. Res.*, 66(10), 8,
740 1961.

741 Bacon, A. R., Richter, D. D., Bierman, P. R. and Rood, D. H.: Coupling meteoric ¹⁰Be with
742 pedogenic losses of ⁹Be to improve soil residence time estimates on an ancient North American
743 interfluvium, *Geology*, 40(9), 847–850, doi:10.1130/G33449.1, 2012.

744 Bierman, P. R.: Using in situ produced cosmogenic isotopes to estimate rates of landscape
745 evolution: A review from the geomorphic perspective, *J. Geophys. Res.*, 99(B7), 13885–13896,
746 doi:10.1029/94JB00459, 1994.

747 Birkeland, P. W.: Holocene soil chronofunctions, Southern Alps, New Zealand, *Geoderma*,
748 34(2), 115–134, doi:10.1016/0016-7061(84)90017-X, 1984.

749 Birkeland, P. W.: *Soils and Geomorphology*, Third., Oxford University Press, New York, New
750 York., 1999.

751 Burke, R. M. and Birkeland, P. W.: Reevaluation of multiparameter relative dating techniques
752 and their application to the glacial sequence along the eastern escarpment of the Sierra Nevada,
753 California, *Quat. Res.*, 11(1), 21–51, doi:10.1016/0033-5894(79)90068-1, 1979.

754 Chadwick, O. A. and Chorover, J.: The chemistry of pedogenic thresholds, *Geoderma*, 100(3-4),
755 321–353, doi:10.1016/S0016-7061(01)00027-1, 2001.

- 756 Chadwick, O. A., Brimhall, G. H. and Hendricks, D. M.: From a black to a gray box — a mass
757 balance interpretation of pedogenesis, *Geomorphology*, 3(3-4), 369–390, doi:10.1016/0169-
758 555X(90)90012-F, 1990.
- 759 Connin, S., Betancourt, J. and Quade, J.: Late Pleistocene C4 plant dominance and summer
760 rainfall in the southwestern United States from isotopic study of herbivore teeth, *Quat. Res.*, 50,
761 179–193 [online] Available from:
762 <http://www.sciencedirect.com/science/article/pii/S003358949891986X> (Accessed 15 February
763 2015), 1998.
- 764 Dethier, D. P., Birkeland, P. W. and McCarthy, J. A.: Using the accumulation of CBD-
765 extractable iron and clay content to estimate soil age on stable surfaces and nearby slopes, *Front*
766 *Range, Colorado, Geomorphology*, 173-174, 17–29, doi:10.1016/j.geomorph.2012.05.022, 2012.
- 767 Dietrich, W. E., Bellugi, D. G., Heimsath, A. M., Roering, J. J., Sklar, L. S. and Stock, J. D.:
768 Geomorphic Transport Laws for Predicting Landscape Form and Dynamics, *Geophys. Monogr.*,
769 135(D24), 1–30, doi:10.1029/135GM09, 2003.
- 770 Dixon, J. L., Heimsath, A. M. and Amundson, R.: The critical role of climate and saprolite
771 weathering in landscape evolution, *Earth Surf. Process. Landforms*, 34, 1507–1521,
772 doi:10.1002/esp.1836, 2009.
- 773 Dokuchaev, V. V.: *Russian Chernozem*, edited by S. Monson, Israel Program for Scientific
774 Translations Ltd. (For USDA-NSF), 1967. (Translated from Russian to English by N. Kaner).,
775 Jerusalem, Israel., 1883.
- 776 Egli, M., Merkli, C., Sartori, G., Mirabella, A. and Plotze, M.: Weathering, mineralogical
777 evolution and soil organic matter along a Holocene soil toposequence developed on carbonate-
778 rich materials, *Geomorphology*, 97(3-4), 675–696, doi:10.1016/j.geomorph.2007.09.011, 2008.
- 779 Favilli, F., Egli, M., Brandova, D., Ivy-Ochs, S., Kubik, P., Cherubini, P., Mirabella, A., Sartori,
780 G., Giaccari, D. and Haeblerli, W.: Combined use of relative and absolute dating techniques for
781 detecting signals of Alpine landscape evolution during the late Pleistocene and early Holocene,
782 *Geomorphology*, 112(1-2), 48–66, doi:10.1016/j.geomorph.2009.05.003, 2009.
- 783 Finke, P. A.: Modeling the genesis of luvisols as a function of topographic position in loess
784 parent material, *Quat. Int.*, 265, 3–17, doi:10.1016/j.quaint.2011.10.016, 2012.
- 785 Foster, M. A., Anderson, R. S., Wyshnytsky, C. E., Ouimet, W. B. and Dethier, D. P.: Hillslope
786 lowering rates and mobile-regolith residence times from in situ and meteoric ¹⁰Be analysis,
787 *Boulder Creek Critical Zone Observatory, Colorado, Geol. Soc. Am. Bull.*, 127(5-6), 862–878,
788 doi:10.1130/B31115.1, 2015.
- 789 Fullen, M. A.: Compaction, hydrological processes and soil erosion on loamy sands in east
790 Shropshire, England, *Soil Tillage Res.*, 6(1), 17–29, doi:10.1016/0167-1987(85)90003-0, 1985.

- 791 Gent, P. R., Danabasoglu, G., Donner, L. J., Holland, M. M., Hunke, E. C., Jayne, S. R.,
792 Lawrence, D. M., Neale, R. B., Rasch, P. J., Vertenstein, M., Worley, P. H., Yang, Z. L. and
793 Zhang, M.: The community climate system model version 4, *J. Clim.*, 24(19), 4973–4991,
794 doi:10.1175/2011JCLI4083.1, 2011.
- 795 Gosse, J. C. and Phillips, F. M.: Terrestrial in situ cosmogenic nuclides: theory and application,
796 *Quat. Sci. Rev.*, 20, 1475–1560, doi:10.1016/S0277-3791(00)00171-2, 2001.
- 797 Granger, D. E. and Muzikar, P. F.: Dating sediment burial with in situ-produced cosmogenic
798 nuclides: Theory, techniques, and limitations, *Earth Planet. Sci. Lett.*, 188(1-2), 269–281,
799 doi:10.1016/S0012-821X(01)00309-0, 2001.
- 800 Grieve, I. C.: Human impacts on soil properties and their implications for the sensitivity of soil
801 systems in Scotland, *Catena*, 42(2-4), 361–374, doi:10.1016/S0341-8162(00)00147-8, 2001.
- 802 Hamza, M. A. and Anderson, W. K.: Soil compaction in cropping systems: A review of the
803 nature, causes and possible solutions, *Soil Tillage Res.*, 82(2), 121–145,
804 doi:10.1016/j.still.2004.08.009, 2005.
- 805 Harden, J.: A quantitative index of soil development from field descriptions: Examples from a
806 chronosequence in central California, *Geoderma*, 28, 1–28 [online] Available from:
807 <http://www.sciencedirect.com/science/article/pii/0016706182900374> (Accessed 10 March 2014),
808 1982.
- 809 Harden, J.: Soils Developed in Granitic Alluvium near Merced, California, USGS Bulletin 1590-
810 A, Washington, DC., 1987.
- 811 Harden, J. W. and Taylor, E. M.: A quantitative comparison of Soil Development in four
812 climatic regimes, *Quat. Res.*, 20(3), 342–359, doi:10.1016/0033-5894(83)90017-0, 1983.
- 813 Heckman, K. and Rasmussen, C.: Lithologic controls on regolith weathering and mass flux in
814 forested ecosystems of the southwestern USA, *Geoderma*, 164(3-4), 99–111,
815 doi:10.1016/j.geoderma.2011.05.003, 2011.
- 816 Heimsath, A. M., Dietrich, W. E., Nishiizumi, K. and Finkel, R. C.: The soil production function
817 and landscape equilibrium, *Nature*, 388(July), 358–361, 1997.
- 818 Heimsath, A. M., Chappell, J., Spooner, N. A. and Questiaux, D. G.: Creeping soil, *Geology*,
819 30(2), 111, doi:10.1130/0091-7613(2002)030<0111:CS>2.0.CO;2, 2002.
- 820 Holleran, M., Levi, M. and Rasmussen, C.: Quantifying soil and critical zone variability in a
821 forested catchment through digital soil mapping, *Soil*, 1(1), 47–64, doi:10.5194/soil-1-47-2015,
822 2015.

- 823 Hotchkiss, S., Vitousek, P. M., Chadwick, O. A. and Price, J.: Climate Cycles,
824 Geomorphological Change, and the Interpretation of Soil and Ecosystem Development,
825 *Ecosystems*, 3(6), 522–533, doi:10.1007/s100210000046, 2000.
- 826 Howard, J., Amos, D. and Daniels, W.: Alluvial soil chronosequence in the Inner Coastal Plain,
827 Virginia, *Quat. Res.*, 39, 201–213 [online] Available from:
828 <http://www.sciencedirect.com/science/article/pii/S0033589483710239> (Accessed 28 May 2014),
829 1993.
- 830 Hsu, L. and Pelletier, J. D.: Correlation and dating of Quaternary alluvial-fan surfaces using
831 scarp diffusion, *Geomorphology*, 60(3-4), 319–335, doi:10.1016/j.geomorph.2003.08.007, 2004.
- 832 Huang, W.-S., Tsai, H., Tsai, C.-C., Hseu, Z.-Y. and Chen, Z.-S.: Subtropical Soil
833 Chronosequence on Holocene Marine Terraces in Eastern Taiwan, *Soil Sci. Soc. Am. J.*, 74(4),
834 1271, doi:10.2136/sssaj2009.0276, 2010.
- 835 Huggett, R. J.: Soil chronosequences, soil development, and soil evolution: a critical review,
836 *Catena*, 32(3-4), 155–172, doi:10.1016/S0341-8162(98)00053-8, 1998.
- 837 Imbrie, J., Boyle, I. E. A., Clemens, S. C., Duffy, A., Howard, I. W. R., Kukla, G., Kutzbach, J.,
838 Martinson, D. G., McIntyre, A., Mix, A. C., Molfino, B., Morley, J. J., Pisias, N. G., Prell, W. L.,
839 Peterson, L. C. and Toggweiler, J. R.: On the structure and origin of major glaciation cycles 1.
840 Linear responses to Milankovith forcing, *Paleoceanography*, 7(6), 701–738, 1992.
- 841 Jackson, M., Tyler, S., Willis, A., Bourbeau, G. and Pennington, R.: Weathering sequence of
842 clay-size minerals in soils and sediments. I. Fundamental Generalizations, *J. Phys. Colloid*
843 *Chem.*, 52(7), 1237–1260 [online] Available from:
844 <http://pubs.acs.org/doi/abs/10.1021/j150463a015> (Accessed 13 February 2015), 1948.
- 845 Jenny, H.: *Factors of Soil Formation: A System of Quantitative Pedology*, Dover Publications,
846 Inc, New York, New York. [online] Available from:
847 [http://books.google.com/books?hl=en&lr=&id=orjZZS3H-](http://books.google.com/books?hl=en&lr=&id=orjZZS3H-hAC&oi=fnd&pg=PP1&dq=Factors+of+Soil+Formation:+A+System+of+Quantitative+Pedology&ots=ffM5fWkk&sig=e6Ev-CJgsMYaO8DzFszbQK6Sss)
848 [hAC&oi=fnd&pg=PP1&dq=Factors+of+Soil+Formation:+A+System+of+Quantitative+Pedolog](http://books.google.com/books?hl=en&lr=&id=orjZZS3H-hAC&oi=fnd&pg=PP1&dq=Factors+of+Soil+Formation:+A+System+of+Quantitative+Pedology&ots=ffM5fWkk&sig=e6Ev-CJgsMYaO8DzFszbQK6Sss)
849 [y&ots=ffM5fWkk&sig=e6Ev-CJgsMYaO8DzFszbQK6Sss](http://books.google.com/books?hl=en&lr=&id=orjZZS3H-hAC&oi=fnd&pg=PP1&dq=Factors+of+Soil+Formation:+A+System+of+Quantitative+Pedology&ots=ffM5fWkk&sig=e6Ev-CJgsMYaO8DzFszbQK6Sss) (Accessed 6 November 2014),
850 1941.
- 851 Jenny, H.: Derivation of state factor equations of soils and ecosystems, *Soil Sci. Soc. Am. J.*,
852 385–388 [online] Available from:
853 <https://dl.sciencesocieties.org/publications/sssaj/abstracts/25/5/SS0250050385> (Accessed 29
854 January 2015), 1961.
- 855 Johnson, D. and Watson-Stegner, D.: Evolution model of pedogenesis, *Soil Sci.*, 143(5), 349–
856 366 [online] Available from:
857 http://journals.lww.com/soilsci/Abstract/1987/05000/Evolution_Model_of_Pedogenesis.5.aspx
858 (Accessed 6 November 2014), 1987.

- 859 Lybrand, R. A. and Rasmussen, C.: Quantifying Climate and Landscape Position Controls on
860 Soil Development in Semiarid Ecosystems, *Soil Sci. Soc. Am. J.*, 79(1), 104–116,
861 doi:10.2136/sssaj2014.06.0242, 2015.
- 862 Maejima, Y., Matsuzaki, H. and Higashi, T.: Application of cosmogenic ¹⁰Be to dating soils on
863 the raised coral reef terraces of Kikai Island, southwest Japan, *Geoderma*, 126(3–4), 389–399,
864 doi:10.1016/j.geoderma.2004.10.004, 2005.
- 865 Matthews, J. A. and Shakesby, R. A.: The status of the “Little Ice Age” in southern Norway:
866 relative-age dating of Neoglacial moraines with Schmidt hammer and lichenometry, *Boreas*, 13,
867 333–346, doi:10.1111/j.1502-3885.1984.tb01128.x, 1984.
- 868 McFadden, L. and Weldon, R.: Rates and processes of soil development on Quaternary terraces
869 in Cajon Pass, California, *Geol. Soc. Am. Bull.*, 98, 280–293 [online] Available from:
870 <http://gsabulletin.gsapubs.org/content/98/3/280.short> (Accessed 28 May 2014), 1987.
- 871 McFadden, L., Wells, S. and Jercinovich, M.: Influences of eolian and pedogenic processes on
872 the origin and evolution of desert pavements, *Geology*, 15(June), 504–508 [online] Available
873 from: <http://geology.gsapubs.org/content/15/6/504.short> (Accessed 30 May 2015), 1987.
- 874 McKenzie, N. J. and Ryan, P. J.: Spatial prediction of soil properties using environmental
875 correlation, *Geoderma*, 89(1–2), 67–94, doi:10.1016/S0016-7061(98)00137-2, 1999.
- 876 Merritts, D., Chadwick, O. and Hendricks, D.: Rates and processes of soil evolution on uplifted
877 marine terraces, northern California, *Geoderma*, 51, 241–275 [online] Available from:
878 <http://www.sciencedirect.com/science/article/pii/0016706191900733> (Accessed 5 February
879 2015), 1991.
- 880 Minasny, B. and McBratney, A.: A rudimentary mechanistic model for soil production and
881 landscape development, *Geoderma*, 90, 3–21 [online] Available from:
882 <http://www.sciencedirect.com/science/article/pii/S0016706198001153> (Accessed 6 November
883 2014), 1999.
- 884 Minasny, B. and McBratney, A.: A rudimentary mechanistic model for soil formation and
885 landscape development II. A two-dimensional model incorporating chemical weathering,
886 *Geoderma*, 103, 161–179 [online] Available from:
887 <http://www.sciencedirect.com/science/article/pii/S0016706101000751> (Accessed 13 February
888 2015), 2001.
- 889 Muhs, D. R.: Intrinsic thresholds in soil systems., *Phys. Geogr.*, 5, 99–110,
890 doi:10.1080/02723646.1984.10642246, 1984.
- 891 Muhs, D. R.: Evolution of Soils on Quaternary Reef Terraces of Barbados, West Indies, *Quat.*
892 *Res.*, 56(1), 66–78, doi:10.1006/qres.2001.2237, 2001.

- 893 Muhs, D. R., Crittenden, R. C., Rosholt, J. N., Bush, C. A. and Stewart, K.: Genesis of marine
894 terrace soils, Barbados, West Indies: evidence from mineralogy and geochemistry., *Earth Surf.*
895 *Process. Landforms*, 12, 605–618, 1987.
- 896 Muhs, D. R., Bettis, E. a., Been, J. and McGeehin, J. P.: Impact of Climate and Parent Material
897 on Chemical Weathering in Loess-derived Soils of the Mississippi River Valley, *Soil Sci. Soc.*
898 *Am. J.*, 65(6), 1761, doi:10.2136/sssaj2001.1761, 2001.
- 899 Neff, J., Reynolds, R., Belnap, J. and Lamothe, P.: Multi-decadal impacts of grazing on soil
900 physical and biogeochemical properties in southeast Utah, *Ecol. Appl.*, 15(1), 87–95 [online]
901 Available from: <http://www.esajournals.org/doi/abs/10.1890/04-0268> (Accessed 5 March 2014),
902 2005.
- 903 New, M., Hulme, M. and Jones, P.: Representing Twentieth-Century Space – Time Climate
904 Variability. Part I: Development of a 1961 – 90 Mean Monthly Terrestrial Climatology, *J. Clim.*,
905 12, 829–856 [online] Available from: [http://journals.ametsoc.org/doi/full/10.1175/1520-](http://journals.ametsoc.org/doi/full/10.1175/1520-0442(1999)012%3C0829:RTCSTC%3E2.0.CO;2)
906 [0442\(1999\)012%3C0829:RTCSTC%3E2.0.CO;2](http://journals.ametsoc.org/doi/full/10.1175/1520-0442(1999)012%3C0829:RTCSTC%3E2.0.CO;2) (Accessed 21 July 2015), 1999.
- 907 Nicholas, J. W. and Butler, D. R.: Application of Relative-Age Dating Techniques on Rock
908 Glaciers of the La Sal Mountains, Utah: An Interpretation of Holocene Paleoclimates, *Geogr.*
909 *Ann. Ser. A, Phys. Geogr.*, 78(1), 1–18, 1996.
- 910 Parsons, R. and Herriman, R.: A Lithosequence in the Mountains of Southwestern Oregon, *Soil*
911 *Sci. Soc. Am. J.*, 39, 943–948 [online] Available from:
912 <https://dl.sciencesocieties.org/publications/sssaj/abstracts/39/5/SS0390050943> (Accessed 13
913 February 2015), 1975.
- 914 Pelletier, J. D. and Rasmussen, C.: Geomorphically based predictive mapping of soil thickness in
915 upland watersheds, *Water Resour. Res.*, 45(9), n/a–n/a, doi:10.1029/2008WR007319, 2009a.
- 916 Pelletier, J. D. and Rasmussen, C.: Quantifying the climatic and tectonic controls on hillslope
917 steepness and erosion rate, *Lithosphere*, 1(2), 73–80, doi:10.1130/L3.1, 2009b.
- 918 Pelletier, J. D., DeLong, S. B., Al-Suwaidi, a. H., Cline, M., Lewis, Y., Psillas, J. L. and Yanites,
919 B.: Evolution of the Bonneville shoreline scarp in west-central Utah: Comparison of scarp-
920 analysis methods and implications for the diffusions model of hillslope evolution,
921 *Geomorphology*, 74(1-4), 257–270, doi:10.1016/j.geomorph.2005.08.008, 2006.
- 922 Petit, J., Jouzel, J., Raynaud, D. and Barkov, N.: Climate and atmospheric history of the past
923 420,000 years from the Vostok ice core, Antarctica, *Nature*, 399, 429–436 [online] Available
924 from: <http://www.nature.com/articles/20859> (Accessed 15 February 2015), 1999.
- 925 Phillips, J. D.: An evaluation of the state factor model of soil ecosystems, *Ecol. Modell.*, 45,
926 165–177 [online] Available from:
927 <http://www.sciencedirect.com/science/article/pii/030438008990080X> (Accessed 26 December
928 2014), 1989.

- 929 Phillips, J. D.: Progressive and Regressive Pedogenesis and Complex Soil Evolution, *Quat. Res.*,
930 40, 169–176 [online] Available from:
931 <http://www.sciencedirect.com/science/article/pii/S0033589483710690> (Accessed 6 November
932 2014a), 1993.
- 933 Phillips, J. D.: Stability implications of the state factor model of soils as a nonlinear dynamical
934 system, *Geoderma*, 58(1-2), 1–15, doi:10.1016/0016-7061(93)90082-V, 1993b.
- 935 Portenga, E. W. and Bierman, P. R.: Understanding earth's eroding surface with ¹⁰Be, *GSA*
936 *Today*, 21(8), 4–10, doi:10.1130/G111A.1, 2011.
- 937 Pouyat, R. V., Yesilonis, I. D., Russell-Anelli, J. and Neerchal, N. K.: Soil chemical and physical
938 properties that differentiate urban land-use and cover types, *Soil Sci. Soc. Am. J.*, 71(3), 1010–
939 1019, doi:DOI 10.2136/sssaj2006.0164, 2007.
- 940 Pye, K.: Formation of quartz silt during humid tropical weathering of dune sands, *Sediment.*
941 *Geol.*, 34, 267–282 [online] Available from:
942 <http://www.sciencedirect.com/science/article/pii/0037073883900507> (Accessed 30 May 2015),
943 1983.
- 944 Pye, K. and Sperling, C. H. B.: Experimental investigation of silt formation by static breakage
945 processes: the effect of temperature, moisture and salt on quartz dune sand and granitic regolith,
946 *Sedimentology*, 30(1), 49–62, doi:10.1111/j.1365-3091.1983.tb00649.x, 1983.
- 947 Rasmussen, C.: Mass balance of carbon cycling and mineral weathering across a semiarid
948 environmental gradient, *Geochim. Cosmochim. Acta*, 72(2008), A778, 2008.
- 949 Rasmussen, C. and Tabor, N. J.: Applying a Quantitative Pedogenic Energy Model across a
950 Range of Environmental Gradients, *Soil Sci. Soc. Am. J.*, 71(6), 1719,
951 doi:10.2136/sssaj2007.0051, 2007.
- 952 Rasmussen, C., Southard, R. J. and Horwath, W. R.: Modeling Energy Inputs to Predict
953 Pedogenic Environments Using Regional Environmental Databases, *Soil Sci. Soc. Am. J.*, 69(4),
954 1266–1274, doi:10.2136/sssaj2003.0283, 2005.
- 955 Rasmussen, C., Troch, P. A., Chorover, J., Brooks, P., Pelletier, J. and Huxman, T. E.: An open
956 system framework for integrating critical zone structure and function, *Biogeochemistry*, 102(1-
957 3), 15–29, doi:10.1007/s10533-010-9476-8, 2011.
- 958 Rasmussen, C., Pelletier, J. D., Troch, P. A., Swetnam, T. L. and Chorover, J.: Quantifying
959 Topographic and Vegetation Effects on the Transfer of Energy and Mass to the Critical Zone,
960 *Vadose Zo. J.*, doi:10.2136/vzj2014.07.0102, 2015.
- 961 Riebe, C. S., Kirchner, J. W. and Finkel, R. C.: Erosional and climatic effects on long-term
962 chemical weathering rates in granitic landscapes spanning diverse climate regimes, *Earth Planet.*
963 *Sci. Lett.*, 224(3-4), 547–562, doi:10.1016/j.epsl.2004.05.019, 2004.

- 964 Runge, E. C. A.: Soil Development Sequences and Energy Models, *Soil Sci.*, 115(3), 183–193,
965 doi:10.1097/00010694-197303000-00003, 1973.
- 966 Salvador-Blanes, S., Minasny, B. and McBratney, a. B.: Modelling long-term in situ soil profile
967 evolution: application to the genesis of soil profiles containing stone layers, *Eur. J. Soil Sci.*,
968 58(6), 1535–1548, doi:10.1111/j.1365-2389.2007.00961.x, 2007.
- 969 Schaetzl, R. and Anderson, S.: *Soils: Genesis and Geomorphology*, First., Cambridge University
970 Press, Cambridge, UK., 2005.
- 971 Sharmeen, S. and Willgoose, G.: The interaction between armouring and particle weathering for
972 eroding landscapes, *Earth Surf. Process. Landforms*, 31, 1195–1210, doi:10.1002/esp, 2006.
- 973 Shoeneberger, P., Wysocki, D., Benham, E. and Soil Survey Staff: Field book for describing and
974 sampling soils, Version 3., Natural Resources Conservation Service, National Soil Survey
975 Center, Lincoln, NE. [online] Available from:
976 [http://scholar.google.com/scholar?hl=en&btnG=Search&q=intitle:Field+Book+for+Describing+](http://scholar.google.com/scholar?hl=en&btnG=Search&q=intitle:Field+Book+for+Describing+and+Sampling+Soils#2)
977 [and+Sampling+Soils#2](http://scholar.google.com/scholar?hl=en&btnG=Search&q=intitle:Field+Book+for+Describing+and+Sampling+Soils#2) (Accessed 24 June 2015), 2012.
- 978 Smeck, N., Runge, E. and Mackintosh, E.: Dynamics and genetic modelling of soil systems, in
979 *Pedogenesis and Soil Taxonomy I. Concepts and Interactions*, edited by L. Wilding, N. Smeck,
980 and G. Hall, pp. 51–81, Elsevier, Amsterdam, ND., 1983.
- 981 Soil Survey Staff: *Keys to Soil Taxonomy*, 11th ed., United States Department of Agriculture,
982 National Resources Conservation Service., 2010.
- 983 Taylor, K. E., Stouffer, R. J. and Meehl, G. a.: An overview of CMIP5 and the experiment
984 design, *Bull. Am. Meteorol. Soc.*, 93(4), 485–498, doi:10.1175/BAMS-D-11-00094.1, 2012.
- 985 Temme, A. J. A. M. and Vanwalleghem, T.: LORICA – A new model for linking landscape and
986 soil profile evolution: Development and sensitivity analysis, *Comput. Geosci.*,
987 doi:10.1016/j.cageo.2015.08.004, 2015.
- 988 Ugarte, M., Militino, A. and Arnholt, A.: *Probability and Statistics with R*, CRC Press, Boca
989 Raton, FL., 2008.
- 990 Vanwalleghem, T., Stockmann, U., Minasny, B. and McBratney, A. B.: A quantitative model for
991 integrating landscape evolution and soil formation, *J. Geophys. Res. Earth Surf.*, 118(2), 331–
992 347, doi:10.1029/2011JF002296, 2013.
- 993 Volobuyev, V.: *Ecology of soils*, Academy of Sciences of the Azerbaijan SSR. Institute of Soil
994 Science and Agronomy. Israel Program for Scientific Translations., Jerusalem, Israel., 1964.
- 995 Wallace, J. M. and Hobbs, P. V.: *Atmospheric Science: An Introductory Survey*, Second.,
996 Academic Press Inc., Amsterdam, ND., 2006.

997 West, N., Kirby, E., Bierman, P., Slingerland, R., Ma, L., Rood, D. and Brantley, S.: Regolith
998 production and transport at the Susquehanna Shale Hills Critical Zone Observatory, part 2:
999 Insights from meteoric ^{10}Be , *J. Geophys. Res. Earth Surf.*, 118(3), 1877–1896,
1000 doi:10.1002/jgrf.20121, 2013.

1001 White, A. F., Bullen, T. D., Schulz, M. S., Blum, A. E., Huntington, T. G. and Peters, N. E.:
1002 Differential rates of feldspar weathering in granitic regoliths, *Geochim. Cosmochim. Acta*, 65(6),
1003 847–869, doi:10.1016/S0016-7037(00)00577-9, 2001.

1004 Wilson, M. J.: Weathering of the primary rock-forming minerals: processes, products and rates,
1005 *Clay Miner.*, 39(3), 233–266, doi:10.1180/0009855043930133, 2004.

1006 Yaalon, D.: Conceptual models in pedogenesis: Can soil-forming functions be solved?,
1007 *Geoderma*, 14, 189–205 [online] Available from:
1008 <http://www.sciencedirect.com/science/article/pii/0016706175900014> (Accessed 14 February
1009 2015), 1975.

1010 Yoo, K. and Mudd, S. M.: Discrepancy between mineral residence time and soil age:
1011 Implications for the interpretation of chemical weathering rates, *Geology*, 36(1), 35–38,
1012 doi:10.1130/G24285A.1, 2008a.

1013 Yoo, K. and Mudd, S. M.: Toward process-based modeling of geochemical soil formation across
1014 diverse landforms: A new mathematical framework, *Geoderma*, 146(1-2), 248–260,
1015 doi:10.1016/j.geoderma.2008.05.029, 2008b.

1016 Yoo, K., Amundson, R., Heimsath, A. M., Dietrich, W. E. and Brimhall, G. H.: Integration of
1017 geochemical mass balance with sediment transport to calculate rates of soil chemical weathering
1018 and transport on hillslopes, *J. Geophys. Res. F Earth Surf.*, 112(2), F02013,
1019 doi:10.1029/2005JF000402, 2007.

1020 Zachos, J., Pagani, M., Sloan, L., Thomas, E. and Billups, K.: Trends, rhythms, and aberrations
1021 in global climate 65 Ma to present, *Science* (80-.), 292(April), 686–694 [online] Available
1022 from: <http://www.sciencemag.org/content/292/5517/686.short> (Accessed 14 February 2015),
1023 2001.

1024