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5 **Soil Conservation in the 21st Century: Why we need**
6 **Smart Intensification**

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14



15 Abstract

16 While the implementation of soil conservation depends on a multitude of factors, it is also
17 clear that rapid change in agricultural systems only happens when a clear economic
18 incentive is present. This fact, as well as the fact that agriculture will change fundamentally
19 in the Global South over the next decades, need to be accounted for when developing a
20 vision on how we may achieve effective soil conservation in the Global South. In this paper
21 we argue that smart intensification is a necessary component of such strategy. Smart
22 intensification will not only allow to make soil conservation more economical, but will also
23 allow to make significant gains in term of soil organic carbon storage, water efficiency and
24 biodiversity, while at the same time lowering the overall erosion risk. While smart
25 intensification as such will not lead to adequate soil conservation, it will facilitate it and, at
26 the same time, allow to offer the farmers of the Global South a viable future



27 Introduction

28

29 The terrestrial land surface provides critical services to humanity and this is largely possible
30 because soils are present. Humanity uses ca. 15 million km² of the total Earth's surface as
31 arable farmland (Ramankutty et al., 2008). Besides this, ca. 30 million km² is being used
32 as grazing lands: on all these lands grow plants which are either directly (as food) or
33 indirectly (as feed, fiber or fuel) used by humans for nutrition and a large range of economic
34 activities. These numbers illustrate the central role played by agricultural soils in the
35 functioning of even the most sophisticated human societies: to a large extent, these areas
36 have been selected because they have soils that make them suitable for agriculture. But it
37 is not only the soils on agricultural land that do provide us with essential services. Also on
38 non-agricultural land soils provide the necessary rooting space for plants, store the water
39 necessary for their growth and provide nutrients in forms that plants can access. Both on
40 agricultural and non-agricultural land soils are host to an important fauna whose diversity
41 is, by some measures, larger than that of its aboveground counterpart (De Deyn and Van
42 der Putten, 2005). Both soils on agricultural and non-agricultural land store massive
43 amounts of organic carbon, the total amount of which (ca. 2500 Pg, Batjes, 1996; Hiederer
44 and Köchyl, 2012)) is much larger than the amount of carbon present in the atmosphere
45 (ca. 800 Pg): importantly, carbon storage per unit area is generally much more important
46 on non-agricultural land (Hiederer and Köchyl, 2012). By allowing plants to grow, soils
47 significantly contribute to the functioning of the terrestrial carbon sink, which removes an
48 amount equal to 30-40% of the carbon annually emitted by humans from the atmosphere
49 (Le Quere et al., 2009). Soils, both those on agricultural and non-agricultural lands, are
50 therefore a vital part of humanity's global life support system, just like the atmosphere
51 and the oceans. An Earth without soils would be fundamentally different from the Earth as
52 we know it and would, in all likelihood, not be able to support human life as we know it.

53

54 No further arguments should be necessary to protect soils from the different threats posed
55 to them by modern agriculture and other human activities. Yet, as is the case with other
56 natural resources, soils are under intensive pressure. Organic carbon change, salinization,
57 compaction and sealing all threaten the functioning of soils to different extents in different
58 areas of the world. One of the most important and perhaps the ultimate threat posed to
59 soils is accelerated erosion due to agricultural disturbance. When soils are used for farming
60 their natural vegetation cover is removed and they are often disturbed by tillage. The result
61 is that, under conventional tillage, erosion rates by water on arable land are, on average,
62 up to two orders of magnitude higher than those observed under natural vegetation. This
63 acceleration creates a major imbalance as soil production is outstripped by soil erosion by
64 a factor 10-100 (Johnson, 1987; Montgomery, 2007; Vanacker et al., 2007b). Eroded soil
65 is, in many cases, truly lost and cannot be restored (although there are exceptions to this
66 rule), which explains why land prices heavily affected by erosion may remain lower than
67 expected, even after excessive erosion has been halted for several decades (Hornbeck,
68 2012). Leaving agricultural erosion unchecked is synonymous to the unsustainable mining
69 the global soil resource.

70

71 It is rather surprising that agricultural soil erosion still is such an important threat to the
72 soil resource. The detrimental effects of erosion have been recognised for a long time: the
73 modern fight of industrialized countries against excessive erosion started in countries such
74 as Iceland and France. In France, environmental degradation by excessive water erosion
75 of mountain hillslopes literally ruined the livelihood of entire mountain communities at the



76 end of the 19th century (Robb, 2008). A similar situation developed in Iceland where
77 excessive wind and water erosion forced entire villages to be abandoned by the end of the
78 19th century. In both countries overexploitation of the natural environment by subsistence
79 farmers through excessive deforestation and overgrazing were key factors. Both countries
80 responded to this situation: in Iceland the first soil conservation service of the world was
81 founded in 1907 (Arnalds, 2005), while France started an extensive programme to restore
82 its mountain environments (RTM) as early as 1860 (Lilin, 1986). In the United States, the
83 Dust Bowl years (1930s) moved the erosion problem high up the political agenda: President
84 Franklin Roosevelt not only erected a Soil Conservation Service but also, famously, said 'A
85 nation that destroys its soils destroys itself' (FAO and ITPS, 2015).

86

87 One might therefore expect that, by now, detailed information would exist on the status of
88 the global soil resource and the necessary measures would have been taken to stop soil
89 degradation due to human action and/or mitigate the consequences. Yet, this is clearly not
90 the case: recent estimates of human-induced agricultural erosion amount to 25-40 Gt y⁻¹
91 for water erosion, ca. 5 Gt y⁻¹ for tillage erosion and 2-3 Gt y⁻¹ for wind erosion (Govers et
92 al., 2014b; Van Oost et al., 2007). Measured soil production rates are, on average, ca.
93 0.036±0.04 mm yr⁻¹ (Montgomery, 2007) and even lower on most agricultural soils
94 because agricultural soils can be expected to have a certain thickness (Stockmann et al.,
95 2014). Over all agricultural land (arable and pasture) total soil formation would amount
96 to maximum ca. 2 Gt which implies that the global soil reservoir is depleted by erosion at
97 a rate which is ca. 20 times higher than the supply rate. Although these numbers are only
98 approximate (for instance, they do not account for the fact that eroded soil may be re-
99 deposited on agricultural land) they clearly illustrate that we are still far away from a
100 sustainable situation: the rate at which the soil resource is being depleted is, over the
101 longer term, is a clear threat to agricultural productivity (FAO and ITPS, 2015). The loss
102 of mineral soil is not the only issue: soil erosion also mobilises 23-42 Tg of nitrogen and
103 14-26 Tg of phosphorous (Quinton et al., 2010): these numbers may be compared with
104 the annual application rate of mineral fertilizers, which are ca. 122 Tg for N and ca. 18 Tg
105 of mineral P respectively (see <http://www.ers.usda.gov/data-products/fertilizer-use-and-price.aspx>). At 2013 USA mineral fertilizer prices of ca. 1.35 USD/(kg N) and ca. 4.75
106 USD/(kg P) the amount of fertilizers mobilised by soil erosion is equivalent to ca. 35 billion
107 USD for N and ca. 80 billion USD for P: such amounts are considerable, even if once
108 considers that the total global agricultural food production is nowadays valued at ca. 4000
109 billion USD (<http://faostat.fao.org/site/613/DesktopDefault.aspx?PageID=613#ancor>).
110 Most of these soil and nutrient losses takes place in the hilly and mountain areas in the so-
111 called Global South: a recent scientific appraisal by FAO and ITPS showed that erosion
112 problems are still increasing in Africa, Latin-America and Asia. The situation is perceived
113 to be improving in Europe and North America (Table 1), albeit that also in these regions
114 soil losses are often still above the tolerable level (Verheijen et al., 2009). Thus, it is
115 especially the agriculture in the Global South, where it is often still the main economic
116 activity, which suffers excessively from these losses.

117

118
119 In this paper we will reflect on why, despite these clear facts, effective soil conservation is
120 not yet a done deal and soils continue to suffer from excessive degradation, especially in
121 the Global South (Latin America, Africa, the developing nations of Asia and the Middle East)
122 and what might be done about this. We will argue that, especially for the Global South,
123 there is a need for a novel vision on soil conservation, shifting the focus away not only
124 from the technical issues of soil conservation but also away from soil conservation as such.
125 Soil conservation efforts need to be framed into a general vision on how to develop



126 agriculture in the South: this vision needs to account for soil protection, but must also
127 guarantee food security and allow the development of an agricultural system that does
128 provide a sufficient income to farming communities. Before discussing different the
129 different building blocks of such a vision we will first assess possible reasons as to why
130 soils do not yet get the protection they deserve.

131

132 The status of soil conservation

133

134 *Do we have the necessary data to guide soil conservation ?*

135 Investing in the application of soil conservation measures is only meaningful when erosion
136 rates are higher than acceptable. This can most easily be established when erosion rates
137 can reliably be quantified. Quantitative is indeed is indeed available for North America and
138 Europe (Cerdan et al., 2010b; NRCS, 2010). However, the quality of our estimates of soil
139 erosion rates by water for other areas on the globe is often poor. Sometimes, estimates
140 are based on a limited number of data which are simply extrapolated to larger areas: this
141 often leads to bias, simply because erosion rates are generally measured at locations where
142 erosion intensity is much higher than average (Cerdan et al., 2010a). However, also when
143 models are used, estimates are often incorrect. This is mainly due to two interrelated
144 reasons: (i) the models that are used are often improperly calibrated, i.e. model
145 parameters are set to values that are not appropriate for the location under consideration
146 and (ii) the model parameterization may be correct, but the spatial data used to drive the
147 model are inappropriate (Govers et al., 2014a). Erroneous predictions do not only make it
148 sometimes difficult to identify the most vulnerable areas in which conservation measures
149 are most urgent: they may also invalidate the cost-benefit evaluations of soil conservation
150 programs and lead to disinformation of the general public about the extent and severity of
151 the problem.

152

153 Although there is a clear need for better, quantitative data on erosion rates, the lack of
154 such data is hardly the only explanation as to why excessive soil erosion often still goes
155 unchecked. While it may indeed be difficult to quantify erosion rates correctly, it is much
156 easier to identify those areas where intense soil erosion is indeed a problem and where
157 action is necessary, whatever the exact erosion rates are. This is, after all, what institutions
158 such as the soil conservation service of Iceland and the United States did, long before
159 accurate erosion measurements were possible. Simple visual observations on the presence
160 of rills and gullies or wind deflation areas allow in many cases to identify areas with
161 excessive erosion rates necessitating the implementation of conservation measures.
162 Another reason why an exact quantification is not always necessary is that conservation
163 measures are generally not proportional: their implementation is most often of a yes/no
164 type: one does decide whether or not to implement conservation tillage, but not by how
165 much.

166

167 *Do we have the necessary technology for soil conservation ?*

168

169 There is no doubt that soil conservation technology has matured over the last decades: we
170 now have the necessary tools at our disposal to effectively reduce erosion rates to
171 acceptable levels in many, if not all, agricultural systems. Conservation tillage is the tool
172 of choice in many areas, especially in the Americas. This is hardly surprising: erosion plot



173 research has consistently shown that water erosion rates under conservation tillage are
174 reduced by one to two orders of magnitude in comparison to conventional systems (Leys
175 et al., 2010; Montgomery, 2007). Moreover, the effectiveness of conservation tillage as
176 calculated by plot studies is likely to be underestimated: for various reasons the
177 effectiveness of conservation does increase if the slope length increases (Leys et al., 2010).
178 As a consequence, water erosion rates under conservation tillage are generally very low
179 (< 1 t ha y) and comparable to those occurring under natural vegetation (Montgomery,
180 2007). Conservation tillage may also be used to drastically control wind erosion because
181 not only because residue cover does reduce the shear stress to which soil particles are
182 exposed but also because the presence of residue helps to keep the surface soil layer moist,
183 thereby increasing its shear strength.

184

185 However, conservation tillage need not always be the weapon of choice for the soil
186 conservationist. It may be difficult or impossible to apply with certain crops, such as
187 potatoes grown on ridges and/or difficult to introduce into specific agricultural systems as
188 it may affect the overall workload or the gender balance of the workload (Giller et al.,
189 2009). In other cases it may also not be sufficient to implement conservation tillage as
190 processes such as gully erosion may not be effectively controlled and may in some cases
191 even be enhanced by conservation tillage as the latter is much more effective in reducing
192 erosion than in reducing surface runoff (Leys et al., 2010). However, also in such cases
193 technological solutions do exist: they can consist of infrastructural measures such as stone
194 bunds and terrace building but also proper land use allocation. Erosion rates can often be
195 reduced to acceptable levels through the combined use of stone bunds, exclosures, check
196 dams and modifications of tillage techniques and crop rotations (Nyssen et al., 2009;
197 Valentin et al., 2008). Wind erosion may also be controlled through a combination of
198 techniques, e.g. combining mulching and wind breaks (Sterk, 2003).

199

200 Not only arable land can be subjected to excessive erosion: grazing lands may also suffer
201 from a drastic reduction in vegetation cover, again resulting in water and/or wind erosion.
202 Reduction of grazing pressure (at least in a first stage) and the introduction of controlled
203 grazing are key strategies to (i) restore the vegetation cover and (ii) allow these lands to
204 become productive again so that they can be sustainably used (Mekuria et al., 2007). Such
205 measures can be further supported by the planting of trees (Sendzimir et al., 2011).
206 Reforestation may also be a solution as it reduces erosion rates to near-natural levels but
207 it has evident implications for the type of agriculture that can be supported (Vanacker et
208 al., 2007b). Thus, as is the case on arable land, the key to erosion reduction on grasslands
209 is in most cases the maintenance or restoration of a good vegetation cover, possibly
210 supported by technical measures.

211

212 Erosion in agricultural areas is often not directly related to agricultural activities but to the
213 infrastructure related to these activities such as roads and field boundaries. Unpaved roads
214 on sloping surfaces are not only important sources of sediment in many agricultural areas
215 (Rijsdijk et al., 2007; Vanacker et al., 2007a) but also in cities (Imwangana et al., 2015).
216 Water is often concentrated at field boundaries, again leading to gully formation (Poesen
217 et al., 2003). Again, the necessary technological know-how to control such erosion
218 phenomena is available: check dams, better water drainage infrastructure, the
219 implementation of field buffer zones and a better landscape organisation all help to reduce
220 sediment production on road networks and in built-up areas.

221

222



223 *Why then is soil conservation not more generally adopted?*

224

225 Thus, the lack of conservation technology nor the lack of data on the erosion hazard can
226 fully explain why efficient soil conservation measures are still not implemented on most
227 agricultural land, especially in the Global South. It has long been clear that several factors
228 other than (the lack of) scientific knowledge or data hamper the adoption of conservation
229 tillage. These factors include farmers training level, farm size and work organisation as
230 well as access to information. Many studies do suggest that these factors indeed play a
231 significant role in the adoption of soil conservation technology, but the analysis by Knowler
232 and Bradshaw (2007) showed that the effect of variables was often ambiguous (when
233 different studies are compared) and that few, if any variables showed a consistent effect.
234 One might conclude from this that changing farming practices must be inherently difficult,
235 as our understanding of controlling factors is relatively poor and many barriers to the
236 adoption of novel technology need to be overcome. This is not only a problem in the Global
237 South: also in Europe the adoption of conservation tillage is slow in many countries due to
238 a multitude of factors, including the fact that soil tillage is deeply rooted in the culture of
239 many farmers (Lahmar, 2010).

240

241 The studies discussed above suggest that farming systems are, to some extent, 'locked
242 in': they rely on well-trying technology, division of labour and crop types and are therefore
243 difficult to change. There are, nevertheless, also cases where farming systems change
244 rapidly and conservation technology is quickly incorporated. Once the necessary
245 technology was available, conservation tillage spread very rapidly through most of
246 Argentina and Brazil: in Argentina, it took ca. 20 years (from 1990 to 2010) to bring 78.5%
247 of the arable land under no-till (Peiretti and Dumanski, 2014), thereby effectively halting
248 excessive soil erosion on most of the arable land of the country. In Brazil, more than 25
249 million ha of land was under no-tillage in 2006, while the technique was virtually unused
250 before 1990 (Derpsch et al., 2010). Rapid changes in agricultural systems are not limited
251 to the adoption of conservation tillage. When farmers in remote subsistence systems gain
252 access to profitable markets, very rapid changes can occur, even in areas where existing
253 technology is poor: such changes can have very negative effects in terms of soil
254 degradation rates as a switch to cash cropping may introduce crops to which a much higher
255 erosion risk is associated (Valentin et al., 2008). Thus, while cultural and technological
256 barriers to change certainly do exist in agriculture, farmers and farming systems are, most
257 certainly, capable of rapid change. The capacity to change will obviously depend on a
258 multitude of factors, but what appears to be certain is that farmers will change practices
259 rapidly if they perceive a clear personal benefit. In other conditions, change may indeed
260 be or may not even happen at all.

261

262 This is where the problem lies. Under some conditions, the adoption of conservation
263 technology is indeed clearly economically beneficial to the farmer: this appears to be true
264 for large farming operations in (sub-) tropical regions growing cash crops such as soy
265 beans (Peiretti and Dumanski, 2014). But in most other cases the direct benefits of the
266 implementation of conservation agriculture and/or other soil conservation measures are
267 small, if they exist at all. This appears true for both large-scale mechanised agriculture in
268 the temperate zone as well as for marginal hillslope farming in developing countries
269 (Knowler et al., 2001). In both cases, potential savings are offset by additional costs: in
270 mechanized systems the cost of machinery and agrochemicals offsets savings in fuel costs
271 (Janosky et al., 2002; Zentner et al., 1996) while in hillslope farming extra work hours are



272 needed to maintain conservation structures and some land has to be sacrificed to
273 implement these structures, thereby reducing overall yields (Nyssen et al., 2007; Quang
274 et al., 2014). Importantly and contrary to common belief, crop yields do not rise
275 significantly in conservation systems if no additional inputs are provided: this is true for
276 advanced technological systems (Pittelkow et al., 2015; Van den Putte et al., 2010) as well
277 as for tropical smallholder farming (Brouder and Gomez-Macpherson, 2014). As a
278 consequence, farmers often do not have direct incentives to implement soil conservation
279 measures and change becomes difficult to implement.

280

281 One may argue that benefits should not only be considered at the level of the individual
282 farmer, but also at the societal level, where soil conservation may generate co-benefits.
283 Often carbon storage and biodiversity protection under conservation systems are
284 mentioned as an important services for which farmers could be paid. Research in the last
285 decade has consistently shown that carbon storage gains in conservation systems are lower
286 than was anticipated two decades ago (Christopher et al., 2009; Govers et al., 2013a) and
287 that paying farmers to store carbon would only be viable at much higher carbon prices
288 than the current market prices (Govers et al., 2013a; Grace et al., 2012) . Thus, paying
289 farmers for the carbon they store at market prices can only generate a relatively small
290 economic benefit for the farmer and carbon prices would have to rise significantly for soil
291 carbon storage to become an important element on the farmers' balance sheet. On the
292 other hand, soil conservation generally has a positive impact on (soil) biodiversity on the
293 farm land as soils are less frequently disturbed (Mader et al., 2002; Verbruggen et al.,
294 2010). Where agriculture is interspersed with densely populated areas, additional co-
295 benefits may consist of a reduction of flooding and/or siltation of sewage systems and
296 water treatment plants. These benefits, however, are difficult to convert to financial income
297 for the farmer. This is not only because the economic value of increased biodiversity on
298 farmland is difficult to quantify but also because such on-farm benefits have to be weighed
299 against possible off-farm losses. The reduction in flooding risk, on the other hand, will
300 generally not be considered as a benefit by society but rather as damage repair: the
301 problems were caused by agriculture in the first place. It is therefore not so surprising that
302 in the Global North administrations often opt for coercion to make sure the necessary soil
303 conservation measures are implemented (Napier et al., 1990).

304

305 The way forward

306

307 How then should we proceed to stimulate a more rapid adoption of soil conservation
308 measures to protect the world's soil resource? The answer to this question will obviously
309 be dependent of the local agro-ecological system: indeed, it is not only true that the factors
310 impeding the adoption of conservation tillage vary locally (Knowler and Bradshaw, 2007),
311 also the tools that societies have at their disposal vary greatly.

312

313 Western societies with highly developed information systems tackle the problem by a policy
314 combining regulation (e.g. by forbidding the cultivation of certain crops on land that is very
315 erosion-prone) and subsidies or compensations in combination with well-guided campaigns
316 to inform farmers on the potential benefits and risks for themselves as well as for the
317 broader society. Such combined approaches do have demonstrable success in various parts
318 of Europe and North America where farmers are not only well trained and highly specialized
319 but also depend to a large extent on subsidies, giving the administrations the necessary
320 financial leverage to stimulate or even coerce farmers. As a result erosion rates in North



321 America have gone down considerably over the last decade and are still declining (Kok et
322 al., 2009). One may therefore assume that in these societies erosion rates can be reduced
323 to tolerable levels provided that the necessary policies are maintained and/or
324 strengthened. Countries having a strong central government that can impose decisions on
325 land use and soil conservation, as is the case in China, can successfully reduce erosion:
326 the excessive erosion rates on the Chinese Loess Plateau were strongly reduced through
327 massive government programs implementing erosion control measures (Chen et al., 2007;
328 Zhao et al., 2015)

329

330 These approach is, at present, not possible in most countries of the Global South. Here,
331 the baseline data that are necessary to develop such a sophisticated soil conservation
332 policy system are lacking, making it hard to identify the farmers and areas requiring the
333 most urgent action. Even if such data would be available, many governments in the Global
334 South would not be able to implement a soil conservation do not dispose of the necessary
335 political and societal instruments to do so. At first sight, it may therefore appear unlikely
336 that soils will become effectively protected in most of the developing world within a
337 foreseeable time span. Yet this conclusion foregoes the fact that agriculture in the Global
338 South, and especially in sub-Saharan Africa, will see fundamental changes in the next
339 decades. At least three fundamental tendencies can be identified that will change the face
340 of agriculture in the South for good over the next decades and which should be accounted
341 for when developing a vision on soil conservation in the 21st century.

342

343 *In most areas where soils are most seriously threatened, the human population will*
344 *continue to grow strongly* in the next decades. In the next decades, the world population
345 will continue to grow but the locus of population growth will shift though, in an
346 unprecedented manner. Population growth in the North has stopped and many regions in
347 the Global South will follow suit in the next decades: Asia is expected to reach its maximum
348 population around 2050: China's population will peak around 2030 and that of India no
349 later than 2070. Latin America will follow around 2060 (<http://esa.un.org/unpd/wpp/>,
350 Gerland et al., 2014; Lutz and KC, 2010). Africa is a different matter altogether: in sub-
351 Saharan Africa, where the demographic transition started only after the second World War
352 the population will continue to grow rapidly during most of the 21st century. As a result of
353 these diverging tendencies the distribution of the world's population will have changed
354 beyond recognition in 2100: Europe's share in the global population will have fallen from
355 its maximum of ca. 22 % in 1950 down to just 5.7 % in 2100, while Africa will rise from
356 ca. 9 % in 1950 to ca. 39 % in 2100 (<http://esa.un.org/unpd/wpp/>).

357

358 The population in the South *will also become more urban*. By 2050 ca. 2/3 of the global
359 population is expected to live in cities (as compared to ca. 55% at this moment).
360 Urbanisation rates are especially high in Africa, the fraction of urban population is expected
361 to increase from 40% in 2014 to 55% in 2050 and in Asia, where urbanisation will increase
362 from ca. 47.5% to ca. 65% over the same period (Nations, 2014). There is no alternative
363 for this evolution: despite all their problems, cities are the engines of modern economic
364 development as they allow a population to create the added value that is so desperately
365 needed through advantages of scale, intense interaction and exchange (Glaeser, 2011).
366 This is the fundamental reason of the attractiveness of cities and the major factor
367 explaining rural to urban migration. Poor rural populations perceive the city as a place of
368 opportunity and moving their as an opportunity to improve their own lives or at least those
369 of their children (Perlman, 2006; Saunders, 2011). A consequence of this massive
370 migration movement is that rural populations rapidly age. The average farm worker is



371 significantly older than the average non-farm worker (40 vs. 34 years in Africa,
372 <http://www.gallup.com/poll/168593/one-five-african-adults-work-farms.aspx>) and that
373 rural populations are indeed rapidly aging, both in the developing and in the developed
374 world.

375

376 It is not overly optimistic to expect that, while population growth continues, at the same
377 time *these populations will gain in purchase power*. While it is definitely true that incomes
378 in southern Asia and especially sub-Saharan Africa are nowadays much smaller than those
379 in the North, their growth rates are, fortunately, much bigger. Ethiopia's economy has,
380 over the last decade, consistently been growing at 8 to 10% per year, leading to a rise of
381 the per capita Gross National Income from 110 USD (2015) in 2004 to 550 USD in 2015
382 (<http://data.worldbank.org/country/ethiopia>).

383

384 The combined impact of these tendencies will clearly lead to an increased demand for food.
385 Apart from this, the nature of the demand will shift as diets will shift away from a diet
386 largely based on cereals towards a more varied (but not necessarily healthier) food palate
387 in which meat will have a larger share than is currently the case. Global estimates therefore
388 often predict that global food production (in terms of kcal) will more or less double in the
389 first half of the 21st century (e.g. (Tilman et al., 2011) As (relatively) more people will live
390 in cities, there will be relatively less people working on the land to produce the food that
391 is necessary. Furthermore, as most of future population growth will take place in sub-
392 Saharan Africa, food demand will rise most rapidly in this area.

393

394 Thus, agriculture in the Global South will be fundamentally different from what it is now in
395 less than a century. More food will have to be produced with less people and the
396 increasingly urban population will more and more have to rely on markets to obtain the
397 food it needs and this will change traditional tropical smallholder farmer beyond
398 recognition. This begs the basic question: how can we make sure that the soils necessary
399 to produce all this food are sustainably managed and preserved for future generations?

400

401 Soil conservation in a changing context

402

403 A key point is, of course, that more food will have to be produced in the Global South. Two
404 contrasting pathways can be followed to meet the expected increase in food demand. More
405 food can be produced either by extending the area over which current food production
406 systems are applied or by agricultural intensification, i.e. by increasing the amount of food
407 produced per unit of land.

408

409 Both pathways are, in principle, possible: until present, Africa has followed the first path.
410 Over the last five decades, the increasing food demand of African populations has mainly
411 been met by increasing the area used for farming, while yields per unit of surface area
412 remained stable and very low (Henao and Baanante, 2006). This evolution sharply
413 contrasts with the one observed in most parts of Asia: here agricultural production was
414 mainly increased through intensification (Henao and Baanante, 2006). Here, the so-called
415 Green Revolution led to a dramatic rise in agricultural yields through the combination of
416 new varieties, better farming technology and the increased use of fertilizers. As a
417 consequence, Asia now manages to feed its population much better than it did in 1970:
418 the amount of available kcal rose from ca. 2000 kcal to ca. 2400 kcal (South Asia) or even
419 3000 kcal (East Asia) in 2005 (Alexandratos and Bruinsma, 2012) despite the fact that the



420 amount of land used for agriculture did only marginally increase (Henao and Baanante,
421 2006) and despite the fact that the population in these regions increased from 0.978 billion
422 to 1.53 billion in Eastern Asia and from 1.055 billion to 2.20 billion in south Asia over the
423 same period (<http://esa.un.org/unpd/wpp/>).

424
425 While the challenge for African agriculture is not dissimilar to that of Asia in the 1960s,
426 Africa does not necessarily have to go down the same route. In principle, it could continue
427 to follow the areal extension strategy policy for some time to come. At present, ca. 291
428 million ha of agricultural land is in use in Africa, but there is still another 400 million ha of
429 land on the continent that is suitable (good) of very suitable (prime) for agriculture
430 (Alexandratos and Bruinsma, 2012). Therefore, there is scope for a strategy whereby
431 significantly more land would be used for agriculture than is the case at present.

432
433 Such a strategy may, at first sight, be attractive from the point of view of soil conservation.
434 One might indeed argue that a further areal extension would be based on agricultural
435 technology that has been in use for decades, and may therefore be best suited to increase
436 agricultural production without causing excessive soil degradation. Indeed, the occurrence
437 of erosion in mechanised, intensive agricultural systems is often attributed to the loss of
438 traditional soil conservation methods (Bocco, 1991). Foregoing intensification and aiming
439 at area extension may therefore seem attractive to avoid excessive soil degradation as
440 traditional farming methods can be maintained and optimised to be as environmentally
441 friendly as possible. Many organisations that are working do indeed stress environmental
442 protection and sustainability as key issues to be addressed in the further development of
443 African agriculture and explicitly state that Africa should indeed follow a path different from
444 the Asian Green Revolution (De Schutter, 2011).

445
446 While it is evident that we should learn from agricultural developments in Asia and avoid
447 the dramatic negative effects the Asian Green Revolution had in some places, we argue
448 here that African agriculture in particular and tropical smallholder farming in general do
449 need judicious intensification for soil conservation to become successful. Furthermore,
450 what might be call smart intensification would allow to reap additional environmental
451 benefits that may be lost when a less-intensive development path is chosen. In other
452 words, smart intensification will make tropical farming more eco-efficient and hence more
453 sustainable.

454
455 *Smart intensification will allow to spare the most erosion-prone land from agriculture*
456 *thereby reducing landscape-scale erosion rates.* When farmers select land for arable
457 production, they will select the most suitable land that is available. In general this means
458 that flatter land is preferred over steeper land for obvious reasons (Bakker et al., 2005;
459 Van Rompaey et al., 2001). Steep lands are generally much more difficult to cultivate than
460 flatter areas and yields can be expected to be lower in comparison to yields (for the same
461 amount of inputs) on flat land. The combination of both effects (more labour required and
462 lower yields) invariably implies that the net returns of arable farming decrease with
463 increasing terrain steepness. The amount of erosion per unit of crop yield will therefore
464 necessarily increase when area expansion is preferred over intensification (Figure 1).

465
466 Increasing agricultural production in Africa through areal extension alone would therefore
467 imply that overall soil losses would increase much more rapidly than agricultural production
468 would. If, on the other hand agricultural yields on good agricultural land would be
469 improved, it may be possible to set aside some of the marginal land that is currently used



470 for arable farming. The somewhat counterintuitive result of this will be that, even if erosion
471 rates on the arable land that remains in production would increase due to intensification,
472 the overall soil loss (at the landscape scale) would still increase.

473

474 *Smart intensification will increase the added value of the land used for agricultural*
475 *production and hence make the implementation of conservation measures economically*
476 *sound.* Clearly the economic value of a good such as arable land depends on the economic
477 return that can be gained from the use of it. Intensification will allow to increase these
478 returns. This is especially true for sub-Saharan Africa where yields are still abysmally low.
479 While there are many reasons for this, a key factor is that African soils are chronically
480 underfertilized (Henao and Baanante, 2006; Keating et al., 2010). The amount of fertilizer
481 used per unit of surface area of agricultural land in Africa only 10% of what is being used
482 in Europe or the United States: the consequence is that, in many cases, the nutrient
483 balance of many African agricultural systems is negative, i.e. more nutrients are removed
484 through harvesting than there are supplied by fertilization (Henao and Baanante, 2006).
485 This negative balance is further aggravated by soil erosion, which annually mobilises more
486 nutrients than are applied in sub-Saharan Africa (Quinton et al., 2010) Even a modest
487 increase in fertilizer use may therefore allow to significantly boost agricultural yields in
488 sub-Saharan Africa, at least if this increase would be accompanied by other measures such
489 as the introduction of high-yield varieties and the necessary training for the farmers
490 (Mueller et al., 2012; Sanchez, 2010; Twomlow et al., 2010).

491

492 Higher agricultural yields will clearly increase the added value that may be produced per
493 unit of agricultural land and hence its value. A consequence of this is that the economic
494 stimulus to implement conservation measures on this land will increase as land will become
495 a more precious resource. Furthermore, intensification will also reduce the overall
496 conservation investment that has to be made as the acreage that needs to be treated will
497 be smaller which will allow to concentrate the available resources on a smaller area. Finally,
498 many conservation strategies are based on the use of crop residue to (i) return nutrients
499 and carbon to the soil and (ii) reduce the soil erosion risk. Such strategies are likely to be
500 more successful when more residue per unit of area is available.

501

502 *Smart intensification will conserve soil carbon which will, on its turn, reduce erosion risks.*
503 Over the last decades, a significant body of scientific literature has emerged on the
504 potential of agricultural land to store additional soil organic carbon through the use of
505 appropriate management techniques. While findings do indeed suggest that some gains
506 are indeed possible, most studies report modest gains at best. Under conservation tillage,
507 reported average sequestration rates are between 0 and 0.14 Mg C ha⁻¹ yr⁻¹ in Canada
508 (VandenBygaart et al., 2010) and 0.12 Mg C ha⁻¹ yr⁻¹ in the USA (Eagle et al., 2012). In a
509 study covering 12 study sites in three Midwestern states of the USA (Christopher et al.,
510 2009) did not find any significant increase in soil organic carbon storage under no-till in
511 real farming conditions. Experimental studies also showed that under agroforestry gains
512 are very small. (Table 2) These findings contrast with the observation that carbon
513 inventories on natural (or undisturbed) land are generally much higher than those observed
514 on arable land (Poeplau et al., 2011). The latter is related to two main factors (i) biomass
515 is not removed from natural land, which results in larger organic carbon inputs and (ii)
516 these lands are not mechanically disturbed which reduces carbon respiration rates. Thus,
517 more soil carbon will be conserved when the amount of agricultural land is reduced and
518 more land is preserved under or restored towards natural conditions. An additional
519 beneficial effect of the latter is that, on agricultural land, soil organic carbon inventories



520 may increase with increasing agricultural yields, provided that the residual biomass is
521 adequately managed (Minasny et al., 2012; VandenBygaart et al., 2010): this, in turn, will
522 reduce the erosion and degradation risk (Torri and Poesen, 1997). Thus, again
523 intensification will allow to preserve more carbon than areal extension (Figure 1). The fact
524 that intensification is beneficial for soil carbon conservation has also been demonstrated at
525 the global level: agricultural intensification has allowed to avoid ca. 161 Pg of carbon
526 emissions between 1960 and 2005 (Burney et al., 2010).

527

528

529 *Smart intensification is beneficial for biodiversity at the landscape scale.* Environments
530 where intensive agriculture is dominant are often very poor in terms of biodiversity. One
531 might therefore suggest that, in order to preserve biodiversity, one should avoid
532 intensification and maintain a certain biodiversity on agricultural lands. Again, such a
533 strategy would necessarily imply that more land would be needed to produce the same
534 amount of agricultural goods. Recent studies have consistently shown that such a strategy
535 would not be beneficial for biodiversity at a larger scale: indeed, the biodiversity gained on
536 agricultural land is in most cases not sufficient to compensate for the additional biodiversity
537 loss due to agricultural land expansion (Phalan et al., 2011). Thus, land sparing and
538 concentrating intensive agriculture on designated areas is generally a better strategy than
539 land sharing with low-intensity agriculture that will occupy a much larger fraction of the
540 available land (Figure 1). Sparing will not always be the best strategy as this will invariably
541 depend on local conditions: for instance, wildlife-friendly agriculture is the best solution in
542 the buffer zones around wildlife reserves.

543

544 *Smart intensification will help to make agriculture in the South more water-efficient.*
545 Agriculture is by far the largest global consumptive user of blue water (water extracted
546 from rivers and groundwater): at the global scale, over 80% of all consumptive water use
547 is related to agricultural activities (Doll et al., 2009). As the amount of available water will
548 not increase in the future, a more efficient water use is a prerequisite to increase
549 agricultural production in the South. Less productive systems are often more water-
550 intensive, i.e. more units of water are needed for each unit of crop that is produced.
551 Striving towards higher yields will remedy this problem as it allows to increase the amount
552 of crop produced per unit of water (Rockström et al., 2007). Higher yields are therefore a
553 means to increase water conservation and to make sure that more water is available for
554 the functioning of non-agricultural ecosystems. Clearly, the realisation of this potential
555 requires other measures as well such as a realistic pricing of water and water use
556 monitoring in areas where water scarcity is a problem so that inefficient use of this scarce
557 resource can be prevented. Again, the implementation of such systems will be far more
558 efficient in high-yield systems as the return per unit of capital cost will be higher.

559

560 *Smart intensification will help to create the market opportunities needed for sustainable*
561 *agriculture.* The dramatic increase in population that will occur in the South over the next
562 century, in combination with rapid urbanisation and economic growth, make the transition
563 towards a market-oriented agriculture inevitable. This is not a bad thing: all too often we
564 have a far too rosy view on the potential of subsistence agriculture: the truth is that
565 subsistence farming does not generate the necessary financial means for the farmers to
566 get out of poverty, although improvements in agricultural technology may contribute to
567 increased food security (Harris and Orr, 2014). Only when farmers have access to markets
568 they can generate an income that allows them to fully participate in society so that they
569 can not only benefit from the material perks of modern life but also provide a high quality



570 education to their children and the necessary health care to those who need it: soil
571 conservation as such cannot achieve this (Posthumus and Stroosnijder, 2010). Again, the
572 transition from a subsistence to a market-oriented system will almost inevitably be have
573 to be accompanied by intensification as the latter will, again, allow a better return on both
574 capital and input investment.

575

576 *Smart intensification will not be sufficient to achieve adequate soil conservation (but it will*
577 *help)*. The points raised above illustrate that adequate soil conservation is much more likely
578 to be achieved if more intensive agricultural systems are developed in the Global South as
579 the economic and environmental stimuli to implement soil conservation measures will be
580 much larger. Yet, the experiences in Europe and Northern America illustrate that this may
581 not be sufficient to achieve adequate soil conservation and that government stimulation
582 (through financial measures) and/or coercion may be necessary to further reduce soil
583 degradation. It is, however, the magnitude of such efforts and their effectiveness that
584 should be considered. The societal efforts and costs that will be needed to achieve
585 adequate soil conservation will be far smaller when less land is used for agriculture as
586 much less land will need treatment. Furthermore, one may also imagine that efforts to
587 convince farmers to adopt conservation measures will be more successful in an intensive,
588 market-oriented agricultural system as they will, generally, be more open to changes and
589 both governments and other stakeholders will have more leverage in discussions on how
590 the agricultural system needs to be organised. This is, obviously, no guarantee for success
591 as potential direct financial benefits may seduce the stakeholders to neglect the necessary
592 investments to achieve long-term sustainability. The latter is a problem that occurs
593 everywhere where environmental and economic concerns conflict and, while general
594 principles to resolve such problems have been formulated (Ostrom, 2009), specific policies
595 to deal with this conflict will need to be developed everywhere such conflicts occur.

596

597 Conclusions

598

599 All too often, soil conservation is discussed in isolation, whereby much attention is given
600 to the effectiveness of technical solutions in reducing excessive soil and water losses at a
601 given location. Agriculture, however, is a system wherein lateral connections at different
602 scales are very important: consequently actions at a certain locations will necessarily have
603 implications at other locations. Agricultural systems are also subject to constant change as
604 they respond to changes in population numbers, population spreading, economic wealth
605 and cultural preferences. A coherent vision on the development of soil conservation in 21st
606 century needs to account for this context and needs to consider both the spatial and
607 temporal dynamics of agricultural systems.

608

609 While it most certainly true that conservation technology can be further developed other
610 considerations may be more important for the successful implementation of soil
611 conservation programs. In our view, smart intensification is an essential ingredient of any
612 strategy seeking efficient soil conservation while at the same time meeting the growing
613 food demands of a strongly increasing, more urbanised global population. Smart
614 intensification will help to reduce the land surface area exposed to a high soil degradation
615 risk while it will, at the same time, increase the return on the soil conservation measures
616 that will still be necessary. Smart intensification will also allow to reap additional
617 environmental benefits in terms of soil carbon storage, biodiversity and water availability.
618 It is therefore no surprise that, when considering these other angles, people have reached



619 similar conclusions, stating that agriculture in the Global South and particularly in Africa
620 needs to intensify.

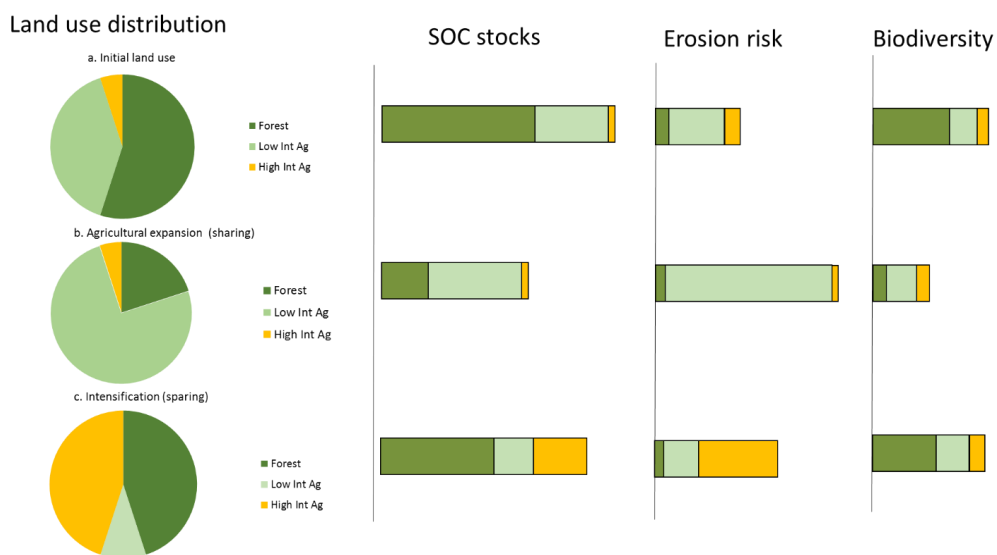
621

622 Clearly, intensification brings risks. Striving towards higher crop yields will require the use
623 of more external inputs, including the use of mineral fertilizers. This is often assumed to
624 be detrimental to the environment: yet this only will be true if fertilizers are used
625 excessively, as is the case now in many areas of the world (Foley et al., 2011). However,
626 the environmental benefits of judicious mineral fertilizer use will far outweigh their
627 potential negative impacts (Tilman et al., 2011).

628

629 Clearly this does not mean that smart intensification as such will be sufficient: also in
630 intensive systems, soil losses are often higher than is tolerable and conflicts between (long-
631 term) environmental and (short-term) economical goals will be present. Yet, they will
632 be easier to tackle when we give smart intensification adequate consideration in any plan on
633 future agricultural development in the Global South.

634



635

636 *Figure 1* The effects of an increase of agricultural production through intensification (sparing) vs.
 637 agricultural expansion (sharing) on soil organic carbon storcsks, erosion risks and biodiversity.
 638 Intensification is, at the landscape scale, beneficial for all aspects.



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Region	Condition	Trend
Asia	Poor	Negative
Latin America	Poor	Negative
Near East and North Africa	Very Poor	Negative
Sub-Saharan Africa	Poor	Negative
Europe and Eurasia	Fair	Positive
Northern America	Fair	Positive
Southwest Pacific	Fair	Positive

651 *Table 1* Conditions and trends with respect to soil erosion as assessed by experts (data from FAO
652 and ITPS, 2015)

653



654

Reference	Location	System	SOC sequestration rate (Mg C ha ⁻¹ yr ⁻¹)	Sampling depth	Comment
(Lenka et al., 2012)	Eastern India	Various techniques to reclaim degraded land	0	0-0.3m	Reclamation led to significant SOC storage (2-3 Mg C ha ⁻¹ yr ⁻¹ , but presence of trees did not help
(Veum et al., 2011)	Missouri, claypan region	No-till vs. grass filter strips vs. agroforestry filter strips, 10 yrs	0	NA	No significant differences in total SOC between systems
(Salazar et al., 2011)	Chile, Mediterranean zone	Agroforestry in combination with water harvesting vs. other systems, 12 yrs	0	NA	No significant differences in total SOC between systems
(Peichl et al., 2006)	Southern Ontario, Canada	Tree-based intercropping (poplars), 13 yrs	ca. 1	NA	Significant SOC increase under poplars
(Peichl et al., 2006)	Southern Ontario, Canada	Tree-based intercropping (spruce), 13 yrs	0	NA	Spruce did not lead to any SOC enhancement
(Sharrow and Ismail, 2004)	Western Oregon, USA	Agroforests vs. pastures, 10 yrs	0		No significant difference in SOC content
(Oelbermann et al., 2004)	Canada	Alley cropping, 10 yrs	0	0-0.2	No significant differences in SOC stocks
(Oelbermann, 2002)	Costa Rica	Alley cropping, 10 yrs	0	0-0.2	No significant differences in SOC stocks
(Oelbermann, 2002)	Costa Rica	Alley cropping, 19 yrs	0.25	0-0.2	Same tree as study above (<i>E. poeppigiana</i>) but longer monitoring time
(Oelbermann, 2002)	Costa Rica	Alley cropping, 10 yrs	1.1	0-0.2	<i>G. Sepium trees</i>
(Oelbermann, 2002)	Costa Rica	Alley cropping, 19 yrs	0.42	0-0.2	<i>G. Sepium trees</i>



(Schroth et al., 2002)	Amazonia	Multistrata system, 7 yrs	0.45	0-0.15	
(Chander et al., 1998)	India	Alley cropping, 13 yrs	0	0-0.15	<i>Dalbergia sissoo</i>
(Mazzarino et al., 1993)	Costa Rica	Alley cropping, 10 yrs	0.47	0-0.1	<i>E. poeppigiana</i>
(Mazzarino et al., 1993)	Costa Rica	Alley cropping, 10 yrs	0.44	0-0.1	<i>G. Sepium</i>
(Haggar, 1990)	Costa Rica	Alley cropping, 6 yrs	0.81	0-0.2	<i>E. poeppigiana</i>
(Haggar, 1990)	Costa Rica	Alley cropping, 6 yrs	0.2	0-0.2	<i>G. Sepium</i>
(Diels et al., 2004)	Ibadan, Nigeria	Alley cropping, 16 yrs	0.12	0.4	No net gain in SOC: decrease in SOC was less rapid under alley cropping (<i>L. leucocephala</i> and <i>Senna siamea</i>) in comparison to treeless systems

655 Table 2 Soil organic sequestration rates under agroforestry systems (Govers et al., 2013b)

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