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2 **Soil fauna: key to new carbon models**

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49 **Abstract**

50 Soil organic matter (SOM) is key to maintaining soil fertility, mitigating climate change,
51 combatting land degradation, and conserving above- and below-ground biodiversity and
52 associated soil processes and ecosystem services. In order to derive management options for
53 maintaining these essential services provided by soils, policy makers depend on robust, predictive
54 models identifying key drivers of SOM dynamics. Existing SOM models and suggested
55 guidelines for future SOM modelling are defined mostly in terms of plant residue quality and
56 input and microbial decomposition, overlooking the significant regulation provided by soil fauna.
57 The contribution of soil fauna activities to SOM decomposition can be as high as 40%, as they
58 control almost any aspect of organic matter turnover, foremost by regulating the activity and
59 functional composition of soil microorganisms and their physico-chemical connectivity with soil
60 organic matter. We suggest that inclusion of soil animal activities (plant residue consumption and
61 bioturbation altering the formation, depth, hydraulic properties and physical heterogeneity of
62 soils) can fundamentally affect the predictive outcome of SOM models. Understanding direct and
63 indirect impacts of soil fauna on nutrient availability, carbon sequestration, greenhouse gas
64 emissions and plant growth is key to the understanding of SOM dynamics in the context of global
65 carbon cycling models. We argue that explicit consideration of the soil fauna is essential to make
66 realistic modelling predictions on SOM dynamics and to detect expected non-linear responses to
67 global change, and we suggest that guidelines for future SOM modelling should implement the
68 role of soil fauna. Finally, we briefly introduce the new COST (European Cooperation in Science
69 and Technology) Action ES 1406 (KEYSOM – Soil fauna: key to new carbon models) which
70 brings together biogeochemists and soil ecologists from 21 EU countries. KEYSOM provides a
71 research network for improved SOM models by implementing the role of the soil fauna as a basis
72 for sustainable soil management. An interdisciplinary platform of experimentalists and modellers
73 will assure better access to experimental data, identify the most burning research gaps and inform
74 decision makers.
75

76 **Keywords**

77 Carbon turnover, soil organic matter modelling, soil invertebrates, aggregate formation, spatial
78 heterogeneity, regional differences, COST Action
79

80 **1. Introduction**

81 Despite continuous refinement over the past decades, estimates of the global carbon cycle still
82 show large discrepancies between potential and observed carbon fluxes (Ballantyne et al., 2012;
83 Schmitz et al., 2014). Soil organic matter (SOM) modelling is key to understand and predict
84 changes in global carbon cycling and soil fertility in a changing environment. SOM models can
85 facilitate a better understanding of the factors that underlie the regulation of carbon cycling and
86 the persistence of SOM. The predictive power of current global SOM models is, however,
87 limited, as the majority relies on a relatively restricted set of input parameters such as climate,
88 land use, vegetation, pedological characteristics and microbial biomass (Davidson and Janssens,
89 2006). In an overview on the performance of SOM models, none of 11 tested models could
90 predict global soil carbon accurately, nor were 26 regional models able to assess gross primary
91 productivity across the US and Canada (Luo et al., 2015). Recently, Schmidt et al. (2011)
92 proposed eight “key insights” to enrich model predictions on the persistence of SOM. However,
93 they ignored a major component of SOM dynamics, soil fauna, which play a fundamental role in
94 most of the insights they propose (e.g. Fox et al., 2006; Jimenez et al., 2006; Osler and
95 Sommerkorn, 2007; De Deyn et al., 2008; Wilkinson et al., 2009). By moving through and



96 reworking soil, feeding on living plant roots, detritus and all types of microorganisms growing on
97 these, soil animals are intimately involved in every step of SOM turnover. Omission of soil fauna
98 from SOM models will, therefore, hamper the potential predictive power of these models.
99 In a review focusing mostly on large mammals, terrestrial herbivores and aquatic ecosystems,
100 Schmitz et al. (2014) recently called for “animating the carbon cycle”. Bardgett et al. (2013)
101 argued that differential responses of various trophic groups of aboveground and belowground
102 organisms to global change can result in a decoupling of plant-soil interactions, with potentially
103 irreversible consequences for carbon cycling. Other research has shown that including soil animal
104 activities could help clarifying the discrepancies in existing carbon models, based on a large-scale
105 correlative field study (de Vries et al., 2013). Similar attempts to connect animal activity to
106 carbon cycling occurred in the past (e.g. Lavelle and Martin, 1992; Lavelle et al., 1998; Lavelle
107 and Spain, 2006; Osler and Sommerkorn, 2007; Brussaard et al., 2007; Sanders et al., 2014),
108 without any further change in the structure of carbon models. This was partly due to a lack of
109 communication between modellers and experimenters, but also because the magnitude of animal
110 effects on SOM dynamics remains poorly quantified (Schmitz et al., 2014).
111 Here we use the ‘key insights’ proposed by Schmidt et al. (2011) as a basis to review current
112 evidence on the relationship of soil fauna to SOM dynamics and to justify the relevance of
113 incorporating the soil fauna into SOM models. How important animal activities are for manifold
114 geological and pedological processes has been reviewed repeatedly (e.g. Swift et al., 1979;
115 Wilkinson et al., 2009). Due to their prime role in most processes in soil (Briones, 2014) we
116 mostly focus on earthworms, but also give examples for other groups of soil fauna whose role in
117 C turnover appears to be much more relevant than thought thus far (e.g. David, 2014). We point
118 out regional differences in climate, soils and land use and derive implications for modelling.
119 Finally, we introduce a new COST Action (ES 1406) that is working on the implementation of
120 soil fauna into SOM models, also exploring the pros and caveats in such a process.
121

122 2. Key insights

123 The eight ‘key insights’ compiled by Schmidt et al., 2011 are shown in Fig. 1, together with the
124 most important activities of soil animals affecting them. As many animal-mediated processes are
125 tightly interconnected, they also matter for several of these insights. For instance, aggregate
126 formation in faeces simultaneously affects molecular structure, humic substances, physical
127 heterogeneity and soil microorganisms. In the following text we briefly summarize the role of
128 animal activities for each of the ‘key insights’. As a more detailed example of animal impacts on
129 SOM turnover, we consider their role on soil aggregate formation in a separate section. Table 1
130 contains quantitative examples of animal activity taken from different biomes and land use types,
131 showing that earthworms alone strongly affect each of the ‘key insights’. However, much smaller
132 soil animals can also have substantial effects on them (Table 1).

133 2.1. Molecular structure

134 Many soil animals ingest and process SOM (and accompanying microorganisms) in their gut
135 system, where it is partly assimilated with the help of mutualistic gut microflora and partly
136 egested. Metabolisation alters the chemical structure of ingested SOM (Jiménez and Lal, 2006;
137 Hedde et al., 2005; Coulis et al., 2009; Frouz, 2015b; Schmitz et al., 2014) and consequently, the
138 decomposition dynamics of animal faeces, which can be a substantial component of SOM
139 (humification). For instance, earthworm casts have species-specific NIR spectral signatures,
140 indicating presence of specific organic compounds (Hedde et al., 2005). Under grass/legume
141 pasture they are characterized by significant enrichment of slightly-altered plant residues in the
142 sand particle size (> 53 µm). CPMAS 13C NMR (Cross-Polarization Magic Angle Spinning
143 Carbon-13 Nuclear Magnetic Resonance) spectra showed that earthworm casts and surrounding
144 soil were dominated by carbohydrates, with a decrease of O-alkyl C and an increase of alkyl C



145 with decreasing particle size (Guggenberger et al. 1996). Moreover, earthworms likely possess a
146 unique capability of neutralizing plant polyphenols that otherwise strongly decrease
147 decomposition rates of fresh plant litter (Liebeke et al., 2015). Micro- and mesofauna excrete
148 ammonium or dissolved organic carbon (Filser, 2002; Fox et al., 2006; Osler and Sommerkorn,
149 2007), and affect the quantity of microbial metabolites (Bonkowski et al., 2009). Gut passage,
150 defecation, excretion together with bioturbation by macro- and mesofauna facilitate humification
151 and decomposition, altering also nutrient stoichiometry (Bohlen et al., 2004). These
152 modifications in the molecular structure of SOM due to soil fauna activity have significant effects
153 on its dynamics.

154 2.2. Humic substances

155 The term “humic substances” nowadays is considered outdated: neither is the concept itself clear,
156 nor is there any evidence that the often mentioned highly complex large organic molecules play
157 any relevant role under natural conditions (Schmidt et al., 2011; Lehmann and Kleber, 2015).
158 However, here we stick to it when referring to the “insights”, simply for reasons of consistency
159 with the article our argumentation is based on (Schmidt et al., 2011). We acknowledge that
160 “humus” or “humic substances” represent a continuum of more or less decomposed dead organic
161 matter of which energy content and molecule size mostly should decrease over time, and that
162 water solubility, sorption to the mineral matrix and accessibility for microorganisms are highly
163 relevant for OM turnover (Lehmann and Kleber, 2015).

164 Humic substances are formed during the gut passage: organic matter in young soils and humic
165 horizons almost completely consists of soil animal faeces (Lavelle, 1988; Martin and Marinissen,
166 1993; Brussaard and Juma, 1996). Humus forms mainly comprise animal casts, e.g. casts of ants,
167 isopods, millipedes, beetle larvae or termites in deadwood, of insect larvae and spiders in leaf
168 litter, or casts of collembolans, mites and enchytraeids in raw humus. In his review, David (2014)
169 considered macroarthropod casts partially stabilized organic matter rather than hotspots of
170 microbial activity. The dark colour of casts (compared to the ingested organic material) visually
171 demonstrates the strong chemical modification in animal guts, which is accompanied by a
172 substantial physical modification. Clay-humus complexes, physically protecting organic matter
173 (Jiménez and Lal, 2006), are mainly faeces of earthworms and diplopods (see also Sect. 2.5 on
174 physical heterogeneity). Due to differences in feeding preferences, gut microflora, SOM
175 quantities consumed etc. of soil animals, their faeces vary in size, shape and quality not only
176 between fauna groups, but also between species within one group (see Sect. 3 on aggregate
177 formation). Discovering the important role of animal faeces in humification is essential to
178 improve our understanding of carbon dynamics in soil.

179 2.3. Fire-derived carbon

180 Microbial recolonisation of burned sites is mediated by wind and soil animals that survived in
181 soil or emigrated from neighbouring areas, e.g. by macro- and mesofauna, birds and mice
182 (Zaitsev et al., 2014; Malmström, 2012). Besides, soil fauna also ingest the charcoal particles
183 (Eckmeier et al., 2007; see Table 1). Due to animal activity, charcoal is sorted by size and
184 translocated down the soil profile. Mice and earthworms (Eckmeier et al., 2007) and the tropical
185 earthworm *Pontoscolex corethurus* (Topoliantz and Ponge 2003; Topoliantz et al., 2006) had
186 been suggested as responsible for rapid incorporation of charcoal into the soil. Quantitative data
187 are however scarce (Table 1). In spite of potentially great importance, the effect of soil animals
188 on the fate of the “black carbon” in soil remains practically unknown (Ameloot et al., 2013).

189 2.4. Roots

190 Plant roots preferably grow in existing soil cavities (Jiménez and Lal, 2006), mostly formed by
191 soil fauna (Wilkinson et al, 2009). Both burrowing and non-burrowing soil animals have a strong



192 impact on root growth, allocation, length and density (Brown et al., 1994; Bonkowski et al.,
193 2009; Arnone and Zaller, 2014). Animal grazing of root bacteria and mycorrhiza affects their
194 activity and community composition, and animal excreta are enriched in micronutrients and
195 selectively affect plant nutrition (Brown, 1995; Filser, 2002; Brussaard et al., 2007). Root
196 herbivores and rhizosphere grazers affect C allocation of roots (Wardle et al., 2004) and largely
197 regulate nutrient acquisition and plant productivity (Bonkowski et al., 2009). Not only root
198 herbivores, but also saprotrophic/microbivorous soil animals may obtain a significant proportion
199 of energy from plant roots (Pollierer et al., 2007). This suggests an animal-mediated regulatory
200 loop that connects plant roots and SOM.

201 **2.5. Physical heterogeneity**

202 Animals fragment any organic residues, perform bioturbation, distribute organic matter and
203 generate smaller and larger organic matter hot spots (e.g. faecal pellets, ant and termite mounds).
204 According to body size, they act at various spatial scales, from micro-aggregates to landscapes
205 (Ettema & Wardle, 2002; Jouquet et al., 2006). Mounds and burrows made by soil fauna are
206 obvious signs of physical heterogeneity created by ecosystem engineers (Meysmann et al., 2006;
207 Wilkinson et al., 2009; Sanders et al., 2014), which significantly affect microorganisms, plants
208 (Chauvel et al., 1999; Frelich et al., 2006), aggregate stability (Bossuyt et al., 2005; 2006),
209 hydraulic properties (Bottinelli et al., 2015; Andriuzzi et al., 2016), sorption and degradation of
210 sparingly soluble organic compounds (Edwards et al., 1992; Bolduan and Zehe, 2006) and C
211 emissions (Wu et al., 2013; Lopes de Gerenyu et al., 2015). Earthworms in particular feed on
212 organic and mineral parts of the soil and mix them (Eckmeier et al., 2007; Wilkinson et al.,
213 2009). The resulting clay-organic matter complexes considerably increase SOM retention of soils
214 (Jiménez and Lal, 2006; Fox et al., 2006; Brussaard et al., 2007), although C loss from fresh casts
215 is much higher than from surrounding soil (Zangerlé et al., 2014). The impact on soil processes
216 and physical heterogeneity varies considerably between different groups of ecosystem engineers
217 (Jouquet et al., 2006; Bottinelli et al., 2015). For instance, some earthworm species strongly
218 affect their physical environment while others are more affected by the soil organic matter
219 content (Jiménez et al. 2012).

220 **2.6. Soil depth**

221 A considerable part of physical heterogeneity are animal burrows that can reach several meters
222 deep. Bioturbation (e.g. by earthworms, termites, ants, beetle and Diptera larvae, spiders, solitary
223 bees and wasps, snails, isopods and amphipods, ground owls, lizards, porcupines, pigs, moles,
224 voles, rabbits, foxes, or badgers) is a key process to the formation of soil depth, soil structure and
225 associated C translocation, as shown by several examples in Table 1 and reviewed e.g. by
226 Wilkinson et al. (2009).

227 **2.7. Permafrost**

228 Due to unfavourable environmental conditions (resulting in low animal biomass, activity and
229 diversity), there is only little impact of fauna in permafrost soils (De Deyn et al., 2008). However,
230 fauna invasions, especially of above-mentioned soil engineers, due to soil melting in tundra and
231 boreal forests are likely to have drastic effects (Frelich et al., 2006; Van Geffen et al., 2011). Data
232 on earthworm invasions in North American forests (Bohlen et al., 2004; Frelich et al., 2006;
233 Eisenhauer et al., 2007) show that they must be taken into consideration in carbon-rich soils,
234 particularly in melting permafrost soils (Frelich et al., 2006; Schmidt et al., 2011) where they
235 may affect many soil functions.

236
237



238 2.8. Soil microorganisms

239 Soil fauna comprise ecosystem engineers as well as an armada of mobile actors connecting
240 elements of the soil system, mediating microbial processes (Briones, 2014). Countless isopods,
241 ants, termites, enchytraeids, microarthropods, nematodes or protozoans make large contributions
242 to SOM turnover underground (Persson, 1989; Filser, 2002; Wardle et al., 2004; Fox et al., 2006;
243 Osler and Sommerkorn, 2007; Wilkinson et al., 2009; Wu et al., 2013). They affect the activity
244 and community composition of soil microorganisms in multiple ways such as feeding, burrowing,
245 facilitating the coexistence of different fungal species (Crowther et al., 2011) or by modifying
246 micro-habitat conditions. Litter comminution by detritivores increases SOM accessibility for
247 microorganisms, and propagules are dispersed with body surface and casts. Gut incubation
248 provides protected microsites with modified environmental conditions and increases bacterial
249 abundance substantially – e.g. three orders of magnitude in earthworm guts (Edwards and
250 Fletcher, 1988). Grazing affects microbial biomass, activity and community composition, and
251 animal excreta modify nutrient availability for microorganisms (Brown, 1995; Filser, 2002).
252

253 3. Aggregate formation

254 The modern view on the stability of organic matter on soils requires a thorough understanding of
255 aggregate structure and formation including the role of soil biota (Lehmann and Kleber, 2015).
256 Soil aggregation is the process by which aggregates of different sizes are joined and held together
257 by different organic and inorganic materials. Thus, it includes the processes of formation and
258 stabilization that occur more or less continuously, and can act at the same time. With clay
259 flocculation being a pre-requisite for soil aggregation, the formation of aggregates mainly occurs
260 as a result of physical forces, while their stabilization results from a number of factors, depending
261 in particular on the quantity and quality of inorganic and organic stabilizing agents (Amézqueta,
262 1999).

263 By bioturbation, feeding and dispersal of microbial propagules soil animals regulate all of the
264 above forces and agents, and are therefore a crucial factor in the formation and stabilisation of
265 soil aggregates. Earthworms, many insect larvae and other larger fauna may stabilize aggregate
266 structure by ingesting soil and mixing it intimately with humified organic materials in their guts,
267 and egesting it as casts or pellets (Tisdall and Oades, 1982; Oades, 1993).

268 Earthworms have a direct and fast impact on microaggregate formation and the stabilization of
269 new C within these microaggregates (Bossuyt et al., 2005) (Table 1). There are several
270 mechanisms to explain the increase of micro- and macroaggregate stability by earthworms, but no
271 mechanism has been quantified in relation to population size yet. Effects are related to ecological
272 groups of earthworms, associated with feeding habit, microhabitat in the soil profile, and burrow
273 morphology. However, irrespective of this classification, species may enhance or mitigate soil
274 compaction (Blanchart et al., 1997; Guéi et al., 2012). The tensile strength of casts appears to be
275 species dependent: for example, the casts of *Dendrobaena octaedra* have a lower tensile strength
276 compared to those of *L. terrestris* (Flegel et al., 1998). Similarly, organic carbon and water-stable
277 aggregation was significantly higher in casts of *L. terrestris* than in casts of *A. caliginosa*
278 (Schrader and Zhang, 1997).

279 Some research, however, suggests that earthworm activity can also evoke soil degradation.
280 Shipitalo and Protz (1988) proposed that ingestion of soil by earthworms results in disruption of
281 some existing bonds within micro-aggregates and realignment of clay domains. Therefore, fresh
282 casts are more dispersible than uningested soil, contributing to soil erosion and crusting.
283 Significant improvement in the water stability of fresh, moist casts only occurs when
284 incorporated organic debris from the food sources is present and when moist casts are aged or
285 dried. Nevertheless, in the long term, casting activity enhances soil aggregate stability.

286 Our understanding of the contribution of soil fauna to aggregate formation and stabilization is
287 limited, however, and mostly qualitative in nature. Different methodologies complicate the



288 comparison among aggregate stability data (Amézketa, 1999). Data in terms of functional
289 response to density are limited as many studies have been conducted in arable systems, where the
290 diversity and abundance of soil animals is reduced as a consequence of tillage, mineral fertilizers
291 and pesticide use. Recently, some studies have emerged. A negative correlation between
292 earthworm abundance and total macroaggregates and microaggregates within macroaggregates in
293 arable treatments without organic amendments could be linked to the presence of high numbers
294 of *Nematogena lacuum*, an endogeic species that feeds on excrements of other larger epigeic
295 worms and produces small excrements (Ayuke et al., 2011). Under the conditions studied,
296 differences in earthworm abundance, biomass and diversity were more important drivers of
297 management-induced changes in aggregate stability and soil C and N pools than differences in
298 termite populations. Another study highlighted that in fields converted to no-tillage earthworms
299 incorporated C recently fixed by plants and moved C from soil fragments and plant residues to
300 soil aggregates of >1 mm (Arai et al., 2013). Thus, soil management practices altering fauna
301 activities may have a significant effect on the re-distribution of soil organic matter in water-stable
302 aggregates, impacting agronomically favourable size fractions of water-stable macro-aggregates,
303 and water-stable micro-aggregates which are the most important source of carbon sequestration
304 (Šimanský and Kováčik, 2014).
305

306 **4. Regional differences in climate, soils and land use**

307 Many of our examples refer to earthworms and temperate regions as they have been studied most
308 intensively. However, we suggest that any dominant group of soil fauna, irrespective of body size
309 or the ability to create larger soil structures, may substantially affect carbon dynamics. Table 1
310 gives a number of respective case studies. More information is needed on how existing abiotic
311 and biotic constraints to SOM decomposition will vary with changing climate and in different
312 regions (Davidson and Janssens, 2006). The key players and specific effects of soil animals vary
313 across space (Fig. 2), with increasing importance for SOM dynamics in humid-warm and
314 nutrient-limited conditions (Persson, 1989; Filser, 2002; Wardle et al., 2004; Fox et al., 2006;
315 Osler and Sommerkorn, 2007; De Deyn et al., 2008; Briones, 2014). Also ecosystem engineers
316 differ between soil types, biomes and land-use types, from rodents and ants in dry areas to
317 termites, earthworms and millipedes in tropical rainforests. They consume different types of
318 organic matter, make deep or shallow, narrow or wide burrows, and differ in aggregation
319 behaviour (e.g. more or less regularly distributed earthworms versus distinct ant nests and termite
320 mounds). Accordingly, their role in SOM re-distribution and turnover differs as well.
321 In cold ecosystems – where, together with wetlands and peatlands, the majority of terrestrial
322 carbon is stored (Davidson and Janssens, 2006) – the response of detritivores to climatic change
323 is expected to be most pronounced (Blankinship et al., 2011). Melting of permafrost soil might
324 lead to northward expansion of soil macro-invertebrates, associated with accelerated
325 decomposition rates (van Geffen et al., 2011). Further examples are shown in Table 1.
326

327 **5. Implications for modelling**

328 As there is no scientific support for the widespread belief in “humic substances” (here defined as
329 very large and highly complex, poorly degradable organic molecules with manifold aromatic
330 rings; Lehmann and Kleber, 2015), the question how long organic carbon remains in soil is
331 largely related to a) physical protection and b) how often the once photosynthesized dead organic
332 matter is recycled in the soil food web. For both processes soil animals are of great importance,
333 as we have shown above. The thermodynamic viewpoint makes the issue even more relevant:
334 reaction speed increases with temperature, but most soil organisms are rather adapted to relatively

335 cool conditions and might thus be pushed beyond their niche limits – with negative consequences
336 on their activity.

337 Changes in climate (Blankinship et al., 2011), land use (Tsiafouli et al., 2014), resource
338 availability and biotic interactions (De Vries et al., 2012) alter the distribution, community
339 composition, activity and associated impact of soil animals on distribution and turnover rate of
340 SOM (Wall et al., 2008) to the extent that underlying assumptions of SOM models may no longer
341 be valid (Swift et al., 1998; Bardgett et al., 2013; Schmitz et al., 2014). Therefore it is opportune
342 to include approaches that have been developed during the past decades (Filser, 2002; Jiménez
343 and Lal, 2006; Osler and Sommerkorn, 2007; Brussaard et al., 2007; Meysmann et al., 2006;
344 Wall et al., 2008; Sanders et al., 2014). For instance, implementing earthworm activity in the
345 CENTURY model (Lavelle et al., 2004) revealed a 10% loss of the slow C pool without
346 earthworms within 35 years.

347 Without considering the role of animals, models are less accurate: in a field study spanning four
348 countries from Sweden to Greece, soil food web properties were equally important as abiotic
349 factors and predicted C and N cycling processes better than land use (De Vries et al., 2013). In
350 their study, earthworms enhanced CO₂ production whereas Collembola and bacterivorous
351 nematodes increased leaching of dissolved organic carbon. Mechanistic experiments confirm that
352 earthworms have a detrimental effect on the greenhouse gas balance under nitrogen-rich
353 conditions (Lubbers et al., 2013) and under no-till (Lubbers et al., 2015). Inclusion of group-
354 specific diversity of mesofauna in models of global-scale decomposition rates increased
355 explained variance from 70% to 77% over abiotic factors alone (Wall et al., 2008). These
356 examples indicate that the actors that play an important role in SOM dynamics should be
357 considered in SOM models.

358 Model parameters are often measured *in situ* at relatively large spatial scales – at least compared
359 with the size or activity range of most soil animals. As a result, the fauna effect is *de facto*
360 included, although not appreciated (Swift et al., 1998). However, in many cases parameters are
361 measured or extrapolated by combining *in situ* methods (e.g. monitoring of gas flux or litterbag
362 experiments) and *ex situ* techniques such as laboratory experiments at controlled, highly
363 simplified conditions. Especially the results of the latter may be sensitive to neglecting soil fauna.
364 On the other hand, not taking explicitly into account the spatial heterogeneity created by soil
365 fauna in field measurements might lead to substantial errors in calculating carbon budgets (Wu et
366 al., 2013; Lopes de Gerenyu et al, 2015). It is thus crucial to develop sound (and biome-specific)
367 strategies for combining *in-* and *ex-situ* measurements as parameters in more realistic SOM
368 models.

369 Another fundamental issue in the large-scale approach is often neglected: When including the
370 effects of the soil fauna implicitly, this assumes that the soil fauna will always have the same
371 effects under the same conditions, and hence that the soil fauna is essentially static. This
372 assumption is increasingly unrealistic in a fast-changing world where both biodiversity and the
373 climate are changing at accelerated paces, and where we are likely to witness major
374 reorganisations of plant, animal and microbial communities. Therefore explicit representation of
375 the soil fauna, where possible, should increase the predictive ability of soil models.

376

377 6. Conclusions and outlook

378 Understanding and modelling SOM is essential for managing the greenhouse gas balance of the
379 soil, for land restoration from desertification, for sustaining food production and for the
380 conservation of above- and belowground biodiversity and associated ecosystem services (Nielsen
381 et al., 2015). Soil animal abundance, biodiversity, species traits and interactions are crucial for
382 SOM turnover (Chauvel et al., 1999; Bohlen et al., 2004; Wardle et al., 2004; Wall et al., 2008;
383 Uvarov, 2009). In Table 2 we give recommendations how the known impact of soil fauna on
384 SOM turnover could be used for improving carbon models. Due to the pronounced differences
385 with respect to climate, soil and land use outlined above, it is important that these



386 recommendations are considered region-specific, taking into account the key players and their
387 specific activities in the respective area.

388 Based on the arguments compiled here, a COST Action entitled “Soil fauna - Key to Soil Organic
389 Matter Dynamics and Modelling (KEYSOM)” was established in March 2015
390 (http://www.cost.eu/COST_Actions/essem/ES1406). An interdisciplinary consortium of soil
391 biologists and biogeochemists, experimenters and modellers from 21 European countries plus the
392 Russian Federation and the USA cooperates to implement soil fauna in improved SOM models as
393 a basis for sustainable soil management. A number of workshop will address key challenges in
394 experimentation and modelling of SOM and soil fauna and support research exchange and access
395 to experimental data. Special attention will be given to education of young scientists. The Action
396 comprises four Working Groups with the following topics:

- 397 1. Knowledge gap analysis of SOM – soil fauna interactions;
- 398 2. Potentials and limitations for inclusion of soil fauna effects in SOM modelling;
- 399 3. Data assemblage and data sharing;
- 400 4. Knowledge management and advocacy training.

401 After an intensive and enthusiastic workshop held in Osijek, Croatia in October 2015, first
402 activities included compilation of literature, the setup and permanent update of a website
403 (<http://keysom.eu/>). Meanwhile short-term scientific missions for early-career scientists have
404 been launched (<http://keysom.eu/stsm/KEYSOM-STSMs-are-open-for-application>). The second
405 workshop was held in Prague in April 2016. One major outcome was the plan to conduct a
406 common European-wide field study related to the overall objective.
407

408 **Author contribution**

409 J. Filser wrote the article, prepared Fig. 1 and the tables and compiled the contributions from all
410 co-authors. These are listed according to their quantitative and qualitative impact on the
411 manuscript, except for J.J. Jimenez who was placed last as he is the chair of KEYSOM. L.
412 Brussaard suggested including Fig. 2.

413

414 **Acknowledgements**

415 We thank Antje Mathews for compiling the references and editing the manuscript. Oxford
416 University Press and Wiley and Sons are acknowledged for the permission to include Fig. 2. This
417 paper is a contribution to the COST Action ES1406 (KEYSOM) lead by the first and last author.
418 We thank COST Association for financially supporting collaboration and networking activities
419 across Europe.

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658 **Tables**

659 **Table 1.** Quantitative examples of the impact of earthworms and selected groups of other soil fauna on
 660 soil properties and processes involved in soil organic matter (SOM) turnover

Insight*	Examples	Source
	Earthworms	
1. Molecular structure	An indicator of lignin degradation in earthworm casts was twice that of the surrounding soil	Guggenberger et al., 1995
2. Humic substances	Introduced earthworms can double microaggregate formation and the stabilization of new C in the topsoil	Marashi and Scullion, 2003; Six et al., 2004
	C protection is promoted by microaggregates within large macroaggregates, and earthworms can add 22% anew to this C pool	Bossuyt et al., 2005
	Exclusion of earthworms reduced SOC accumulation by 0 (at 0-10 cm depth) to 75% (at 30-40 cm depth), associated with a decrease in percentage of water-stable aggregates	Albrecht et al., 2004, cited in Schmidt et al., 2011
	In organic layers of a Canadian aspen forest, in locations with earthworms, N (1.5–0.8%) and especially C concentrations (25.3–9.8%) were strongly reduced, together with C/N ratio (16.7–13.2) and soil pH (6.5–6.1). This suggests a shift towards a faster cycling system, resulting in a net loss of C from the soil and turning Northern temperate forests from C sinks into C sources	Eisenhauer et al., 2007
3. Fire-derived carbon	Small charcoal particles from burned plots after one year increased by 21% in 0-1cm depth. One year later they were concentrated in earthworm casts at the soil surface, after 6.5 years such casts were found at 8 cm depth	Eckmeier et al., 2007
4. Roots	Presence of earthworms in a continuous maize plot in Peruvian Amazonia increased the organic C input from roots by 50%	Jiménez et al., 2006
5. Physical heterogeneity	Up to 50% of soil aggregates in the surface layer of temperate pastures are earthworm casts	Van de Westeringh, 1972
(see also insights no. 2, 3, 6 and 7)	Mull-type forest soil top layers and wooded savanna soils consist almost entirely of earthworm casts	Kubiens, 1953; Lavelle, 1978
	Earthworm inoculation in pastures on young polder soils completely removed within 8-10 years the organic surface layer, incorporated it into deeper layers, creating an A horizon. This affected manifold measures, increasing e.g. grass yield by 10%, root content in 0-15% from 0.38 to 1.31 g dm ⁻³ , C content in 0-20 cm from 1.78 to 16.9 kg C * 10 ³ ha ⁻¹ , and water infiltration capacity from 0.039 to 4.6 m 24 h ⁻¹ . In turn, penetration resistance at 15 cm depth decreased from 35 to 22 kg cm ⁻² .	Hoogerkamp et al., 1983
	In average temperature pasture and grasslands, earthworms cast 40-50 t ha ⁻¹ year ⁻¹ on the surface and even more below surface	Lee, 1985
	Passage of a tropical soil through the gut of the invading earthworm <i>Pontoscolex corethrurus</i> reduced macroporosity from 21.7 to 1.6 cm ³ g ⁻¹ , which exceeded the effect of mechanically compacting the same soil at 10 ³ kPa (resulting macroporosity: 3 cm ³ g ⁻¹)	Wilkinson et al., 2009
	In a Canadian aspen forest a thick layer of earthworm cast material (thickness up to 4 cm) on top of organic layers was developed	Eisenhauer et al., 2007
6. Soil depth	Burrows of anecic earthworms are up to several meters deep and last for many years	Edwards and Bohlen, 1996
7. Permafrost and boreal areas	Earthworm invasions in boreal forests completely transformed mor to mull soils and significantly altered the entire plant community	Frelich et al., 2006
8. Soil microorganisms	Earthworms may lower actual microbial activity (by 11-23%) but markedly (by 13-19%) optimize microbial resource utilization.	Scheu et al., 2002

* According to Schmidt et al. (2011)



Table 1. (continued)

Insight*	Examples	Source
Ants and termites		
2. Humic substances	In a degraded marsh in NE China, ant mounds were CH ₄ sinks, contrary to the control soils which were CH ₄ sources (-0.39 – -0.19 mg vs. 0.13 – 0.76 m ² h ⁻¹)	Wu et al., 2013
5. Physical heterogeneity	Ant and termite mounds can occupy up to 25% of the land surface	Bottinelli et al., 2015
5. Physical heterogeneity and 6. soil depth	Underground nests of leafcutter ants (e.g. <i>Atta</i> spp.) can cover up to 250 m ² and extend down to 8 m., which is associated with a massive impact on forest vegetation	Correa et al., 2010
Collembola		
8. Soil microorganisms	Grazing by Collembola affected community composition of ectomycorrhizal fungi and on average reduced ¹⁴ CO ₂ efflux from their mycelia by 14%	Kanters et al., 2015
	Grazing by <i>Protaphorura armata</i> at natural densities on AM fungi disrupted carbon flow from plants to mycorrhiza and its surrounding soil by 32%	Johnson et al., 2005
	The presence of a single Collembola species may enhance microbial biomass by 56%	Filser, 2002
	At elevated temperature, litter decay rates were up to 30% higher due to Collembola grazing	A'Bear et al., 2012
Various or mixed groups		
1. Molecular structure	Microbial grazing by Collembola or enchytraeids alone enhanced leaching of NH ₄ ⁺ or DOC by up to 20% ⁵	Filser, 2002
	Feeding by millipedes and snails reduced the content of condensed tannins in three Mediterranean litter species from 9–188 mg g ⁻¹ dry matter to almost zero	Coulis et al., 2009
	Long-term mineralisation of fauna faeces may be slower than the mineralisation of litter from which the faeces were produced. This decrease in decomposition rate corresponds to a decrease in the C:N ratio and in the content of soluble phenols.	Frouz et al., 2015a,b
2. Humic substances	In a laboratory experiment, activity of earthworms, Collembola, enchytraeids and nematodes in coarse sand liberated >40% from the insoluble C pool as compared to the control	Fox et al., 2006
	The quantitative contribution of invertebrates (mainly beetles and termites) to wood decomposition ranges between 10-20%	Ulyshen, 2014
	Depending on fungal and animal species (Collembola, isopods and nematodes), grazing on fungi colonising wood blocks altered (mostly decreased) their decay rates by more than 100%. Isopods and nematodes had opposite effects in this study.	Crowther et al., 2011
	Carbon and nitrogen losses from soil followed by drought and rewetting were substantially affected by microarthropod richness, which explained 42% of the residual variance.	De Vries et al., 2012
5. Physical heterogeneity	Bioturbation rates of soil animal groups typically range between 1 and 5 Mg ha ⁻¹ y ⁻¹ but may reach up to 10 (crayfish, termites), 20 (vertebrates), 50 (earthworms) and > 100 Mg ha ⁻¹ y ⁻¹ (earthworms in some tropical sites), which is equivalent to maximum rates of tectonic uplift	Wilkinson et al., 2009
8. Soil microorganisms	In the course of a 2.5-yr succession, fauna activities (especially of nematodes and mesofauna during the first year, and later of earthworms) accelerated microbial decomposition of clover remains in an arable soil by 43%	Uvarov, 1987
	Depending on vegetation, animal group and climate, soil animals directly or indirectly increased C mineralisation between 1% and 32%. However, intensive grazing by fungal feeders may even reduce C mineralisation	Persson, 1989

* According to Schmidt et al. (2011)



664 **Table 2.** “Insights” (compiled after Schmidt et al., 2011) for future soil organic matter models and
 665 recommendations for further improvements by implementing effects of soil fauna

SOM modelling element (“Insight”)	Recommendations*
1. Molecular structure	Incorporate the knowledge on the structure of organic substances and element concentrations in faunal casts and excreta in SOM decay rate models. Consider linkage between C and N cycling mediated by fauna. See 8.
2. Humic substances	Add physical and chemical stability of casts, patterns of their microbial colonisation and degradation dynamics. See 1, 3, 5, 6, 7, 8.
3. Fire-derived carbon	Include recolonisation and inoculation potential of surviving soil fauna and adjacent fauna. Initiate studies on the impact of fauna on the fate of black carbon (fragmentation, gut, casts, decomposition, and recolonisation).
4. Roots	Add activity of bioturbators, rhizosphere microbial grazers and root herbivores. See 1, 5, 6, 8.
5. Physical heterogeneity	Consider spatial and physicochemical heterogeneity created by soil fauna, including consequences of soil aggregation and dis-aggregation (e.g. bulk density, infiltration rate, preferential flow, casts). See 1, 2, 6, 8.
6. Soil depth	Incorporate burrowing depth and annual transport rates of bioturbators and animal-induced spatial heterogeneity of old and young carbon in the deep soil. See 5.
7. Permafrost	For warming scenarios, take into account short- and long-term invasion effects, particularly of earthworms and enchytraeids.
8. Soil microorganisms	Add microbial grazer effects, effects on microorganisms during gut passage and faunal impact on C and N coupling. See 1-7.

666 * Recommendations refer to site-specific keystone groups of animals (dominating in terms of biomass or impact; see
 667 Fig. 2). Their prevalence is determined by climate, bedrock and land use (e.g. rodents or ants in deserts, earthworms in
 668 temperate grasslands or microarthropods and enchytraeids in acidic Northern forests).

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671 **Figure Captions**

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673 **Figure 1.** Main animal-mediated processes (boxes) affecting the eight insights (symbols)
674 identified by Schmidt et al. (2011) that should be considered for improving SOM models

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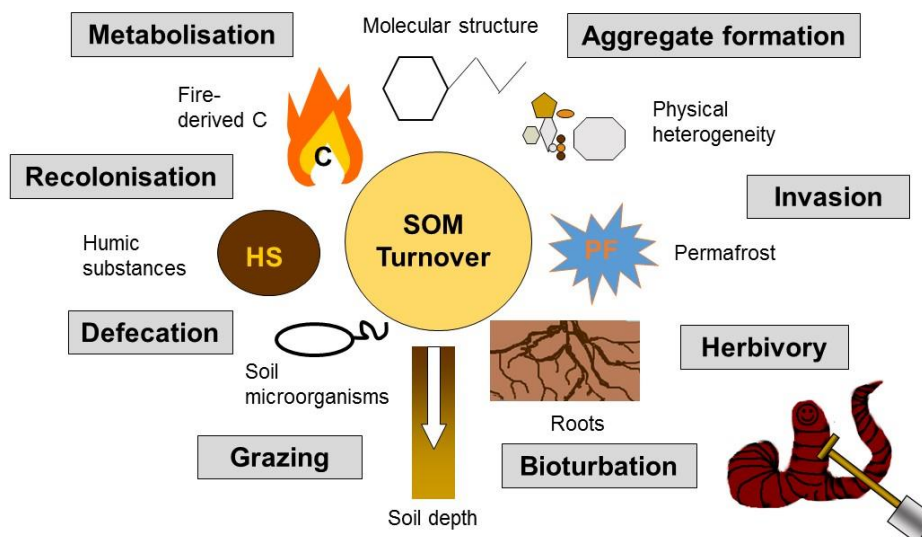
676 **Figure 2.** Dominant soil types and characteristic soil forming invertebrates across biomes (major
677 global change threats are shown in italics). MAT = mean annual temperature, MAP = mean
678 annual precipitation. Sources for data and biomes see Brussaard et al. (2012).

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680 University Press.

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682 **Figures**

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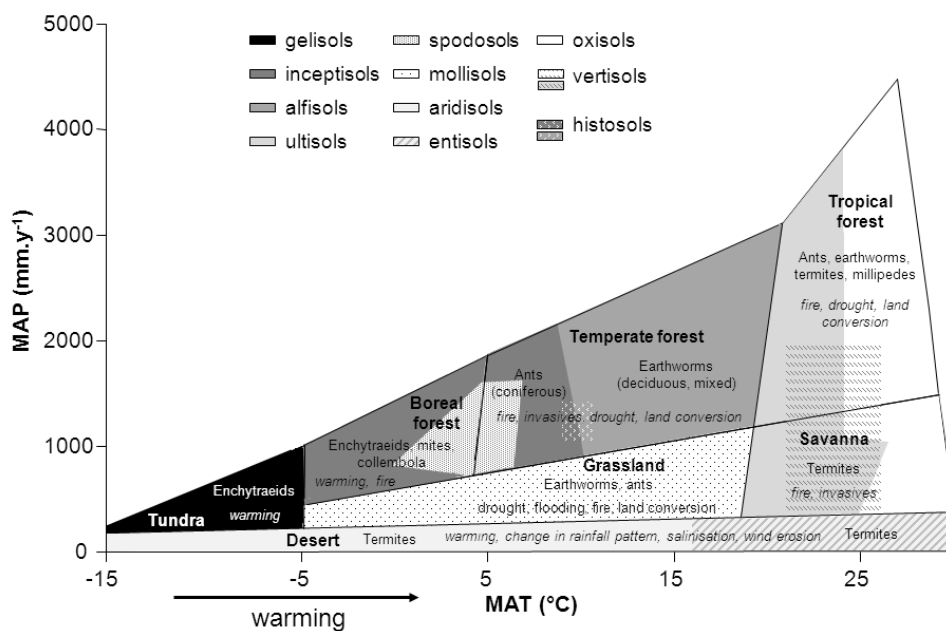
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689 **Figure 1**

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 696 **Figure 2**
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