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Soil fauna: key to new carbon models

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Abstract

Soil organic matter (SOM) is key to maintaining soil fertility, mitigating climate change, combatting land degradation, and conserving above- and below-ground biodiversity and associated soil processes and ecosystem services. In order to derive management options for maintaining these essential services provided by soils, policy makers depend on robust, predictive models identifying key drivers of SOM dynamics. Existing SOM models and suggested guidelines for future SOM modelling are defined mostly in terms of plant residue quality and input and microbial decomposition, overlooking the significant regulation provided by soil fauna. The fauna controls almost any aspect of organic matter turnover, foremost by regulating the activity and functional composition of soil microorganisms and their physical-chemical connectivity with soil organic matter. We show lots of quantitative examples that demonstrate a very strong impact of soil animals on carbon turnover, increasing or decreasing it by several dozen percent, sometimes even turning C sinks into C sources or vice versa. This is demonstrated not only for earthworms and other larger invertebrates but also for smaller fauna such as Collembola. We suggest that inclusion of soil animal activities (plant residue consumption and bioturbation altering the formation, depth, hydraulic properties and physical heterogeneity of soils) can fundamentally affect the predictive outcome of SOM models. Understanding direct and indirect impacts of soil fauna on nutrient availability, carbon sequestration, greenhouse gas emissions and plant growth is key to the understanding of SOM dynamics in the context of global carbon cycling models. We argue that explicit consideration of the soil fauna is essential to make realistic modelling predictions on SOM dynamics and to detect expected non-linear responses to global change, and we suggest that guidelines for future SOM modelling should implement the role of soil fauna. This had been the reason for bringing into being the COST (European Cooperation in Science and Technology) Action ES 1406 (KEYSOM – Soil fauna: key to new carbon models), which we briefly introduce. KEYSOM brings together biogeochemists and soil ecologists from 23 EU countries and provides a research network for improving SOM models by implementing the role of the soil fauna as a basis for sustainable soil management. An interdisciplinary platform of experimentalists and modellers is presently identifying the most burning research gaps and working on a new modelling approach. The current state of discussion is presented here. In the next years KEYSOM will also perform a large-scale field experiment, assure better access to experimental data, and inform decision makers.

Keywords

Carbon turnover, soil organic matter modelling, soil invertebrates, aggregate formation, spatial heterogeneity, regional differences, ecosystem engineers

1. Introduction

Despite continuous refinement over the past decades, estimates of the global carbon cycle still show large discrepancies between potential and observed carbon fluxes (Ballantyne et al., 2012; Schmitz et al., 2014). Soil organic matter (SOM) modelling is key to understand and predict changes in global carbon cycling and soil fertility in a changing environment. SOM models can facilitate a better understanding of the factors that underlie the regulation of carbon cycling and the persistence of SOM. The predictive power of current global SOM models is, however, limited, as the majority relies on a relatively restricted set of input parameters such as climate, land use, vegetation, pedological characteristics and microbial biomass (Davidson and Janssens, 2006). In an overview on the performance of SOM models, none of 11 tested models could predict global soil carbon accurately, nor were 26 regional models able to assess gross primary

productivity across the US and Canada (Luo et al., 2015). Some years ago Schmidt et al. (2011) proposed eight "key insights" to enrich model predictions on the persistence of SOM. However, they ignored a major component of SOM dynamics, soil fauna, which play a fundamental role in most of the insights they propose (e.g. Fox et al., 2006; Jimenez et al., 2006; Osler and Sommerkorn, 2007; De Deyn et al., 2008; Wilkinson et al., 2009). By moving through and reworking soil, feeding on living plant roots, detritus and all types of microorganisms growing on these, soil animals are intimately involved in every step of SOM turnover. Omission of soil fauna from SOM models will, therefore, hamper the potential predictive power of these models.

In a review focusing mostly on large mammals, terrestrial herbivores and aquatic ecosystems, Schmitz et al. (2014) recently called for "animating the carbon cycle". Bardgett et al. (2013) argued that differential responses of various trophic groups of aboveground and belowground organisms to global change can result in a decoupling of plant-soil interactions, with potentially irreversible consequences for carbon cycling. Other research has shown that including soil animal activities could help clarifying the discrepancies in existing carbon models, based on a large-scale correlative field study (de Vries et al., 2013). Similar attempts to connect animal activity to carbon cycling occurred in the past (e.g. Lavelle and Martin, 1992; Lavelle et al., 1998; Lavelle and Spain, 2006; Osler and Sommerkorn, 2007; Brussaard et al., 2007; Sanders et al., 2014), without any further change in the structure of carbon models. This was partly due to a lack of communication between modellers and experimenters, but also because the magnitude of animal effects on SOM dynamics remains poorly quantified (Schmitz et al., 2014).

Here we use the 'key insights' proposed by Schmidt et al. (2011) as a basis to review current evidence and to identify research needs on the relationship of soil fauna to SOM dynamics in order to justify the relevance of incorporating the soil fauna into SOM models. How important animal activities are for manifold geological and pedological processes has been reviewed repeatedly (e.g. Swift et al., 1979; Wilkinson et al., 2009). Due to their prime role in most processes in soil (Briones, 2014) we mostly focus on earthworms, but also give examples for other groups of soil fauna whose role in C turnover appears to be much more relevant than thought thus far (e.g. David, 2014). Recently, the significant impact of eight different species of ants over 25 years on mineral dissolution and accumulation of calcium carbonate has even been discussed in the context of geoengineering and carbon sequestration (Dorn, 2014). We point out regional differences in climate, soils and land use with respect to soil fauna composition, abundance and activity and derive implications for SOM modelling. Finally, we introduce a new COST Action (ES 1406) that is working on the implementation of soil fauna into SOM models, also exploring the pros and caveats in such a process.

2. Key insights

The eight 'key insights' compiled by Schmidt et al., 2011 are shown in Fig. 1, together with the most important activities of soil animals affecting them. As many animal-mediated processes are tightly interconnected, they also matter for most of these insights. For instance, aggregate formation in faeces simultaneously affects molecular structure, humic substances, physical heterogeneity and soil microorganisms. In the following text we briefly summarize the role of animal activities for each of the 'key insights'. As a more detailed example of animal impacts on SOM turnover, we consider their role on soil aggregate formation in a separate section. Table 1 contains quantitative examples of animal activity taken from different biomes and land use types, showing that earthworms alone strongly affect each of the 'key insights'. However, much smaller soil animals can also have substantial effects on them (Table 1).

2.1. Molecular structure

Many soil animals ingest and process SOM (and accompanying microorganisms) in their gut system, where it is partly assimilated with the help of mutualistic gut microflora and partly

egested. Metabolisation alters the chemical structure of ingested SOM (Jiménez and Lal, 2006; Hedde et al., 2005; Coulis et al., 2009; Frouz, 2015b; Schmitz et al., 2014) and, consequently, the decomposition dynamics of animal faeces, which can be a substantial component of SOM (humification). Humification as such renders SOM less decomposable (Blume et al., 2009; Dickinson, 2012) whereas the alkaline milieu in invertebrate midguts accelerates mineralisation (e.g. Li and Brune, 2007).

For instance, earthworm casts have species-specific NIR spectral signatures, indicating presence of specific organic compounds (Hedde et al., 2005). Under grass/legume pasture they are characterized by significant enrichment of slightly-altered plant residues in the sand particle size (> 53 μm). CPMAS 13C NMR (Cross-Polarization Magic Angle Spinning Carbon-13 Nuclear Magnetic Resonance) spectra showed that earthworm casts and surrounding soil were dominated by carbohydrates, with a decrease of O-alkyl C and an increase of alkyl C with decreasing particle size (Guggenberger et al., 1996). Moreover, earthworms likely possess a unique capability of neutralizing plant polyphenols that otherwise strongly decrease decomposition rates of fresh plant litter (Liebeke et al., 2015). Micro- and mesofauna excrete ammonium or dissolved organic carbon (Filser, 2002; Fox et al., 2006; Osler and Sommerkorn, 2007), and affect the quantity of microbial metabolites (Bonkowski et al., 2009). Gut passage, defecation, excretion together with bioturbation by macro- and mesofauna facilitate humification and decomposition, altering also nutrient stoichiometry (Bohlen et al., 2004). These modifications in the molecular structure of SOM due to soil fauna activity have significant effects on its dynamics (Swift et al, 1979; Guggenberger et al., 1995; Blume et al., 2009; Dickinson, 2012 and other references related to points 1 and 2 in Table 1).

2.2. Humic substances

The term “humic substances” may be considered problematic by part of the scientific community: neither is the concept itself clear, nor is there any evidence that the often mentioned highly complex large organic molecules play any relevant role in organic matter stabilisation under natural conditions (Schmidt et al., 2011; Lehmann and Kleber, 2015). However, here we stick to it when referring to the “insights”, simply for reasons of consistency with the article our argumentation is based on Schmidt et al. (2011). We acknowledge that “humus” or “humic substances” represent a continuum of more or less decomposed dead organic matter of which energy content and molecule size mostly should decrease over time, and that water solubility, sorption to the mineral matrix and accessibility for microorganisms are highly relevant for OM turnover (Lehmann and Kleber, 2015).

Humic substances are formed during the gut passage: organic matter in young soils and humic horizons almost completely consists of soil animal faeces (Lavelle, 1988; Martin and Marinissen, 1993; Brussaard and Juma, 1996). Humus forms mainly comprise animal casts, e.g. casts of ants, isopods, millipedes, beetle larvae or termites in deadwood, of insect larvae and spiders in leaf litter, or casts of collembolans, mites and enchytraeids in raw humus. In his review, David (2014) considered macroarthropod casts being a factor of partial SOM stabilisation, rather than hotspots of microbial activity. The dark colour of casts (compared to the ingested organic material) visually demonstrates the strong chemical OM modification in animal guts, which is accompanied by a substantial physical modification. Clay-humus complexes, physically protecting organic matter (Jiménez and Lal, 2006), are mainly faeces of earthworms and diplopods (see also Sect. 2.5 on physical heterogeneity). Due to differences in feeding preferences, gut microflora, SOM quantities consumed etc. of soil animals, their faeces vary in size, shape and quality not only between fauna groups, but also between species within one group (see Sect. 3 on aggregate formation). Discovering the important role of animal faeces in humification is essential to improve our understanding of carbon dynamics in soil.

195 **2.3. Fire-derived carbon**

196 Microbial recolonisation of burned sites is mediated by wind and soil animals that survived in
197 soil or emigrated from neighbouring areas, e.g. by macro- and mesofauna, birds and mice
198 (Malmström, 2012; Zaitsev et al., 2014). Besides, soil fauna also ingest the charcoal particles
199 (Eckmeier et al., 2007; see Table 1). Due to animal activity, charcoal is sorted by size and
200 translocated down the soil profile. Mice and earthworms (Eckmeier et al., 2007) and the tropical
201 earthworm *Pontoscolex corethurus* (Topoliantz and Ponge 2003; Topoliantz et al., 2006) had
202 been suggested as responsible for rapid incorporation of charcoal into the soil. Quantitative data
203 are however scarce (Table 1). In spite of potentially great importance, the effect of soil animals
204 on the fate of the "black carbon" in soil remains practically unknown (Ameloot et al., 2013).

205 **2.4. Roots**

206 Plant roots preferably grow in existing soil cavities (Jiménez and Lal, 2006), mostly formed by
207 soil fauna (Wilkinson et al, 2009). Both burrowing and non-burrowing soil animals have a strong
208 impact on root growth, allocation, length and density (Brown et al., 1994; Bonkowski et al.,
209 2009; Arnone and Zaller, 2014). Animal grazing of root bacteria and mycorrhiza affects their
210 activity and community composition, and animal excreta are enriched in micronutrients and
211 selectively affect plant nutrition (Brown, 1995; Filser, 2002; Brussaard et al., 2007). Root
212 herbivores and rhizosphere grazers affect C allocation of roots (Wardle et al., 2004) and largely
213 regulate nutrient acquisition and plant productivity (Bonkowski et al., 2009). Not only root
214 herbivores, but also saprotrophic/microbivorous soil animals may obtain a significant proportion
215 of energy from plant roots (Pollierer et al., 2007). This suggests an animal-mediated regulatory
216 loop that connects plant roots and SOM.

217 **2.5. Physical heterogeneity**

218 Animals fragment any organic residues, perform bioturbation, distribute organic matter and
219 generate smaller and larger organic matter hot spots (e.g. faecal pellets, ant and termite mounds).
220 According to body size, they act at various spatial scales, from micro-aggregates to landscapes
221 (Ettema & Wardle, 2002; Jouquet et al., 2006). Mounds and burrows made by soil fauna are
222 obvious signs of physical heterogeneity created by ecosystem engineers (Meysmann et al., 2006;
223 Wilkinson et al., 2009; Sanders et al., 2014), which significantly affect microorganisms, plants
224 (Chauvel et al., 1999; Frelich et al., 2006), aggregate stability (Bossuyt et al., 2005; 2006),
225 hydraulic properties (Bottinelli et al., 2015; Andriuzzi et al., 2016), sorption and degradation of
226 sparingly soluble organic compounds (Edwards et al., 1992; Bolduan and Zehe, 2006) and C
227 emissions (Wu et al., 2013; Lopes de Gerenyu et al, 2015). Earthworms in particular feed on
228 organic and mineral parts of the soil and mix them (Eckmeier et al., 2007; Wilkinson et al.,
229 2009). The resulting clay-organic matter complexes considerably increase SOM retention of soils
230 (Jiménez and Lal, 2006; Fox et al., 2006; Brussaard et al., 2007), although C loss from fresh casts
231 is much higher than from surrounding soil (Zangerlé et al., 2014). The impact on soil processes
232 and physical heterogeneity varies considerably between different groups of ecosystem engineers
233 (Jouquet et al., 2006; Bottinelli et al., 2015). For instance, some earthworm species strongly
234 affect their physical environment while others are more linked to the soil organic matter content
235 (Jiménez et al. 2012).

236 **2.6. Soil depth**

237 In most soil types, pore volume, carbon content, associated biotic processes and temperature
238 variability strongly decrease with depth whereas other parameters such as bulk density and water
239 content increase – all of which significantly affect SOM turnover rates. The depth of organic
240 horizons varies with soil type, from almost zero to several metres. Thus, Schmidt et al. (2011)

identified soil depth as another "key insight". Yet, digging animals play a key role in the development of soil depth. A considerable part of physical heterogeneity are animal burrows that can reach several meters deep. Bioturbation (e.g. by earthworms, termites, ants, beetle and Diptera larvae, spiders, solitary bees and wasps, snails, isopods and amphipods, puffins, lizards, porcupines, pigs, moles, voles, rabbits, foxes, or badgers) is a key process to the formation of soil depth, soil structure and associated C translocation, as shown by several examples in Table 1 and reviewed e.g. by Wilkinson et al. (2009).

2.7. Permafrost

Due to unfavourable environmental conditions (resulting in low animal biomass, activity and diversity), there is only little impact of fauna in permafrost soils (De Deyn et al., 2008). However, fauna invasions, especially of above-mentioned soil engineers, due to soil melting in tundra and boreal forests are likely to have drastic effects (Frelich et al., 2006; Van Geffen et al., 2011). Data on earthworm invasions in North American forests (Bohlen et al., 2004; Frelich et al., 2006; Eisenhauer et al., 2007) show that they must be taken into consideration in carbon-rich soils, particularly in melting permafrost soils (Frelich et al., 2006; Schmidt et al., 2011) where they may affect many soil functions.

2.8. Soil microorganisms

Soil fauna comprise ecosystem engineers as well as an armada of mobile actors connecting elements of the soil system, mediating microbial processes (Briones, 2014). Countless isopods, ants, termites, enchytraeids, microarthropods, nematodes or protozoans make large contributions to SOM turnover underground (Persson, 1989; Filser, 2002; Wardle et al., 2004; Fox et al., 2006; Osler and Sommerkorn, 2007; Wilkinson et al., 2009; Wu et al., 2013). They affect the activity and community composition of soil microorganisms in multiple ways such as feeding, burrowing, facilitating the coexistence of different fungal species (Crowther et al., 2011) or by modifying micro-habitat conditions. Litter comminution by detritivores increases SOM accessibility for microorganisms, and propagules are dispersed with body surface and casts. The gut environment provides protected microsites with modified biotic and abiotic conditions, which increase bacterial abundance substantially – e.g. by three orders of magnitude in earthworm guts (Edwards and Fletcher, 1988). Grazing affects microbial biomass, activity and community composition, and animal excreta modify nutrient availability for microorganisms (Brown, 1995; Filser, 2002).

3. Aggregate formation

The modern view on the stability of organic matter in soils requires a thorough understanding of aggregate structure and formation including the role of soil biota (Lehmann and Kleber, 2015). Soil aggregation is the process by which aggregates of different sizes are joined and held together by different organic and inorganic materials. Thus, it includes the processes of formation and stabilization that occur more or less continuously, and can act at the same time. With clay flocculation being a pre-requisite for soil aggregation, the formation of aggregates mainly occurs as a result of physical forces, while their stabilization results from a number of factors, depending in particular on the quantity and quality of inorganic and organic stabilizing agents (Amézketa, 1999).

By bioturbation, feeding and dispersal of microbial propagules soil animals regulate all of the above forces and agents, and are therefore a crucial factor in the formation and stabilisation of soil aggregates. Earthworms, many insect larvae and other larger fauna may stabilize aggregate structure by ingesting soil and mixing it intimately with humified organic materials in their guts, and egesting it as casts or pellets (Tisdall and Oades, 1982; Oades, 1993).

Earthworms have a direct and fast impact on microaggregate formation and the stabilization of new C within these microaggregates (Bossuyt et al., 2005) (Table 1). There are several mechanisms to explain the increase of micro- and macroaggregate stability by earthworms, but no mechanism has been quantified in relation to population size yet. Effects are related to ecological groups of earthworms, associated with feeding habit, microhabitat in the soil profile, and burrow morphology. However, irrespective of this classification, species may enhance or mitigate soil compaction (Blanchart et al., 1997; Guéi et al., 2012). The tensile strength of casts appears to be species dependent: for example, the casts of *Dendrobaena octaedra* have a lower tensile strength compared to those of *L. terrestris* (Flegel et al., 1998). Similarly, organic carbon and water-stable aggregation was significantly higher in casts of *L. terrestris* than in casts of *A. caliginosa* (Schrader and Zhang, 1997).

Some research, however, suggests that earthworm activity can also evoke soil degradation. Shipitalo and Protz (1988) proposed that ingestion of soil by earthworms results in disruption of some existing bonds within micro-aggregates and realignment of clay domains. Therefore, fresh casts are more dispersible than uningested soil, contributing to soil erosion and crusting. Significant improvement in the water stability of fresh, moist casts only occurs when incorporated organic debris from the food sources is present and when moist casts are aged or dried. Nevertheless, in the long term, casting activity enhances soil aggregate stability.

However, our understanding of the contribution of soil fauna to aggregate formation and stabilization is limited, and mostly qualitative in nature. Different methodologies complicate the comparison among aggregate stability data (Amézketa, 1999). Data in terms of functional response to density are limited as many studies have been conducted in arable systems, where the diversity and abundance of soil animals are reduced as a consequence of tillage, mineral fertilizers and pesticide use. Recently, some studies have emerged. A negative correlation between earthworm abundance and total macroaggregates and microaggregates within macroaggregates in arable treatments without organic amendments could be linked to the presence of high numbers of *Nematogenia lacuum*, an endogeic species that feeds on excrements of other larger epigeic worms and produces small excrements (Ayuke et al., 2011). Under the conditions studied, differences in earthworm abundance, biomass and diversity were more important drivers of management-induced changes in aggregate stability and soil C and N pools than differences in termite populations. Another study highlighted that in fields converted to no-tillage earthworms incorporated C recently fixed by plants and moved C from soil fragments and plant residues to soil aggregates of >1 mm (Arai et al., 2013). Thus, soil management practices altering fauna activities may have a significant effect on the re-distribution of soil organic matter in water-stable aggregates, impacting agronomically favourable size fractions of water-stable macro-aggregates, and water-stable micro-aggregates which are the most important source of carbon sequestration (Šimanský and Kováčik, 2014).

4. Regional differences in climate, soils and land use

In a global meta-analysis spanning several continents, García-Palacios et al. (2013) show that across biomes and scales the presence of soil fauna contributes on average 27% to litter decomposition. Depending on the situation this contribution can be substantially lower or higher. For instance, the authors report an average increase in decomposition rates of 47% in humid grasslands whereas in coniferous forests this figure amounts to only 13%. The high impact of soil fauna in humid grasslands is all the more important as such grasslands are among those ecosystems that are most severely affected by global environmental change.

Many of our examples refer to earthworms and temperate regions as they have been studied most intensively. However, we suggest that any dominant group of soil fauna, irrespective of body size or the ability to create larger soil structures, may substantially affect carbon dynamics. Table 1 gives a number of respective case studies. The key players and specific effects of soil animals vary across space (Fig. 2), with increasing importance for SOM dynamics in humid-warm and

nutrient-limited conditions (Persson, 1989; Filser, 2002; Wardle et al., 2004; Fox et al., 2006; Osler and Sommerkorn, 2007; De Deyn et al., 2008; Briones, 2014). Once key players in a given ecosystem have been identified, more detailed information on their biology is required, in particular on their activity, their ecological niche and corresponding tolerance limits. All this varies with species, and often extremely within one systematic group. Variation in drought or soil temperature towards limiting conditions will first increase (stress response, e.g. downward migration) and then strongly decrease activity (mortality or transition to inactive resting stage). Some key players will exhibit high abundance and be extremely active throughout the year (Wilkinson et al., 2009), others might only be moderately relevant during a short period of time; the contribution of a third group might be considered insignificant.

Also ecosystem engineers differ between soil types, biomes and land-use types, from rodents and ants in dry areas to termites, earthworms and millipedes in tropical rainforests. They consume different types of organic matter, make deep or shallow, narrow or wide burrows, and differ in aggregation behaviour (e.g. more or less regularly distributed earthworms versus distinct ant nests and termite mounds). Accordingly, their role in SOM re-distribution and turnover differs as well.

In cold ecosystems – where, together with wetlands and peatlands, the majority of terrestrial carbon is stored (Davidson and Janssens, 2006) – the response of detritivores to climatic change is expected to be most pronounced (Blankinship et al., 2011). Melting of permafrost soil might lead to northward expansion of soil macro-invertebrates, associated with accelerated decomposition rates (van Geffen et al., 2011). Further examples are shown in Table 1.

More information is needed on how existing abiotic and biotic constraints to SOM decomposition will vary with changing climate and in different regions (Davidson and Janssens, 2006). Finally, human activity comes into play: any significant land use change, particularly soil sealing and conversion of native forest to agricultural land, has dramatic consequences for abundances and species composition of soil communities. The same holds true for management intensity and pollution (Filser et al., 1995; Filser et al., 2002; Filser et al., 2008; De Vries et al., 2012). Yet, even seemingly harmless activities can be significant, as we will show for the case of fishing in the end of Sect. 5 – pointing out the relevance of human activities for soil fauna beyond impact on global warming and land use change. How we address all this biogeographical and ecological variation is shown in Sect. 5 and 6.

5. Implications for modelling

As there is no unambiguous scientific support for the widespread belief in “humic substances” (here defined as very large and highly complex, poorly degradable organic molecules with manifold aromatic rings; Lehmann and Kleber, 2015), the question how long organic carbon remains in soil is largely related to a) physical protection and b) how often the once photosynthesized dead organic matter is recycled in the soil food web. For both processes soil animals are of great importance, as we have shown above. Biomass and abundance of soil animals are generally constrained by temperature, humidity and food (living or dead organic matter). However, the effects of these constraints on their activity are not simply additive, nor is there any simple relation between biomass and activity. For example, despite overall unfavourable conditions for the majority of soil organisms, burrowing activity in deserts can be extremely high (Filser and Prasse, 2008). Moreover there is increasing evidence that fauna effects on energy and nutrient flow can be at least partly decoupled from other abiotic and biotic factors (Frouz et al., 2013). De Vries et al. (2013) even concluded that “Soil food web properties strongly and consistently predicted processes of C and N cycling across land use systems and geographic locations, and they were a better predictor of these processes than land use”. This implies that knowledge of fauna may increase our prediction power. The thermodynamic viewpoint makes the issue even more relevant: reaction speed increases with temperature, but most soil organisms are rather adapted to relatively cool conditions and might thus be pushed beyond their niche limits – with eventually negative consequences on their activity, see Sect. 4.

Changes in climate (Blankinship et al., 2011), land use (Filser et al. 2002; Tsiafouli et al., 2014), resource availability and biotic interactions (De Vries et al., 2012; see Table 2) alter the distribution, community composition, activity and associated impact of soil animals on distribution and turnover rate of SOM (Wall et al., 2008) to the extent that underlying assumptions of SOM models may no longer be valid (Swift et al., 1998; Bardgett et al., 2013; Schmitz et al., 2014). Therefore it is opportune to include approaches that have been developed during the past decades (Filser, 2002; Jiménez and Lal, 2006; Osler and Sommerkorn, 2007; Brussaard et al., 2007; Meysmann et al., 2006; Wall et al., 2008; Sanders et al., 2014). For instance, implementing earthworm activity in the CENTURY model (Lavelle et al., 2004) revealed a 10% loss of the slow C pool without earthworms within 35 years. For this purpose, observations on long-term incubated earthworm casts and sieved control had been used as a reference. Afterwards earthworm activity was simulated with CENTURY by replacing the active and slow soil C decomposition rates of the model with those obtained by calibration with the control soil.

Without considering the role of animals, models are less accurate: in a field study spanning four countries from Sweden to Greece, soil food web properties were equally important as abiotic factors and predicted C and N cycling processes better than patterns of land use (De Vries et al., 2013). In their study, earthworms enhanced CO_2 production whereas Collembola and bacterivorous nematodes increased leaching of dissolved organic carbon. Mechanistic experiments confirm that earthworms have a detrimental effect on the greenhouse gas balance under nitrogen-rich conditions (Lubbers et al., 2013) and under no-till (Lubbers et al., 2015). Inclusion of group-specific diversity of mesofauna in models of global-scale decomposition rates increased explained variance from 70 to 77% over abiotic factors alone (Wall et al., 2008). Also García-Palacios et al (2013) provide additional evidence on the argument that soil fauna activity is not merely a product of climate, soil properties and land use but an independent parameter. These examples indicate that the actors that play an important role in SOM dynamics should be considered in SOM models.

Model parameters are often measured *in situ* at relatively large spatial scales – at least compared with the size or activity range of most soil animals. As a result, the fauna effect is *de facto* included, although not appreciated (Swift et al., 1998). However, in many cases parameters are measured or extrapolated by combining *in situ* methods (e.g. monitoring of gas flux or litterbag experiments) and *ex situ* techniques such as laboratory experiments at controlled, highly simplified conditions. Especially the results of the latter may be sensitive to neglecting soil fauna. A relationship between animal activity and C turnover may vary with scale, for instance when soil properties or animal abundance differ at larger distance. However, as data is often insufficient, it will be context-dependent if the inclusion of fauna is sensible or not (see Sect. 6). On the other hand, not taking explicitly into account the spatial heterogeneity created by soil fauna in field measurements might lead to substantial errors in calculating carbon budgets (Wu et al., 2013; Lopes de Gerenyu et al, 2015). It is thus crucial to develop sound (and biome-specific) strategies for combining *in-* and *ex-situ* measurements as parameters in more realistic SOM models.

Next to space, scale effects also apply to temporal patterns – which poses a great challenge for SOM modelling as most studies refer to rather short periods of time. We illustrate this by the comparatively well studied impact of invasive earthworms. The meta-analysis of Lubbers et al. (2013) suggests that the effect of earthworms on total SOC contents is on average relatively small. In contrast, in certain situations earthworms can strongly affect greenhouse gas emission. These data were however mainly obtained in relatively short-term experiments. Over a period of months to years and even decades, earthworms can reduce C decomposition by physical protection of C in ageing casts (Six et al., 2004, see Table 1).

Thus, long-lasting effects of invasive earthworms on the total SOC storage cannot be determined with certainty in short-term experiments, whereas field observations are rather controversial. For instance, Wironen and Moore (2006) reported ca. 30% increase in the total soil C storage in the earthworms-invaded sites of an old-growth beech-maple forest in Quebec. Other studies (e.g.

(Sackett et al., 2013; Resner et al., 2014) suggest a decrease in C storage. Zhang et al (2013) introduced the sequestration quotient concept to predict the overall effect of earthworms on the C balance in soils of different richness, but the question remains strongly understudied.
These well documented examples of the impact of earthworms on soil C storage are related to invasive species. The presence of these species cannot be inferred directly from the climatic, soil and vegetation properties. The distributions of European invasive earthworms in North America, North European forests or South Africa are largely driven by human activity. Often fishing (due to lost baits), imported plants or potting material of colonizing farmers (Reinecke, 1983) are more important for these than habitat transformation – without human's help earthworms are not active invaders (Stoscheck et al., 2012; Tiunov et al., 2006; Wironen and Moore, 2006). Thus the presence of earthworms can be an environment-independent parameter of SOM dynamics.
Another fundamental issue in the large-scale approach is often neglected: When including the effects of the soil fauna implicitly, this assumes that the soil fauna will always have the same effects under the same conditions, and hence that the soil fauna is essentially static. This assumption is increasingly unrealistic in a fast-changing world where both biodiversity and the climate are changing at accelerated paces, and where we are likely to witness major reorganisations of plant, animal and microbial communities. Therefore explicit representation of the soil fauna, where possible, should increase the predictive ability of soil models.
Given the fact that this issue had been raised decades back (see above) it appears somewhat astonishing that attempts to pursue it have not yet made any significant progress. We believe there are mainly three reasons for this: a) missing information, b) too much detail, irrespective of spatial scale, and c) too little communication between empiricists and modellers. This is why we decided to bring into life a COST Action as an appropriate instrument to bridge these gaps. The next section gives an overview on it.

6. Ways to proceed: COST Action ES 1406

Based on the arguments compiled here, a COST Action entitled "Soil fauna - Key to Soil Organic Matter Dynamics and Modelling (KEYSOM)" was launched in March 2015 (http://www.cost.eu/COST_Actions/essem/ES1406). An interdisciplinary consortium of soil biologists and biogeochemists, experimenters and modellers from 23 European countries plus the Russian Federation and the USA cooperates to implement soil fauna in improved SOM models as a basis for sustainable soil management. Main aim of KEYSOM is to test the hypothesis that the inclusion of soil fauna activities into SOM models will result in a better mechanistic understanding of SOM turnover and in more precise process descriptions and output predictions of soil processes, at least locally. A number of workshops address key challenges in experimentation and modelling of SOM and soil fauna and support research exchange and access to experimental data. Special attention is given to education of young scientists. The Action comprises four Working Groups (WG) with the following topics:

1. Knowledge gap analysis of SOM – soil fauna interactions;
2. Potentials and limitations for inclusion of soil fauna effects in SOM modelling;
3. Data assemblage and data sharing;
4. Knowledge management and advocacy training.

After an intensive and enthusiastic workshop held in Osijek, Croatia in October 2015, first activities included compilation of literature, the setup and permanent update of a website (http://keysom.eu/). Meanwhile short-term scientific missions for early-career scientists have been launched (http://keysom.eu/stsm/KEYSOM-STSMs-are-open-for-application), aiming for complementing the Action's activities. The second workshop was held in Prague in April 2016.

Next to a first compilation of knowledge gaps in this article, present activities of KEYSOM involve

- a literature review on biome-specific effects of soil fauna impact on SOM turnover
- a literature review on the impact of soil fauna other than earthworms on SOM turnover
- a compilation of the potentials and limitations of existing SOM models
- the development of a simple SOM model based on the current state of knowledge exchange between empiricists and modellers within KEYSOM
- the preparation of a common European-wide field study into the impact of soil fauna composition and abundance on SOM breakdown, distribution and aggregate formation, which will start in autumn 2016
- the preparation of a summer school, to be held in early October 2016 in Coimbra, Portugal

Fig. 3 illustrates the present state of our interdisciplinary discussions, providing a roadmap how SOM models could be supplemented with the effects of soil fauna. In the first phase, empiricists (Fig. 3A) and modellers (Fig. 3B) work in parallel. Mutual exchange between these groups is guaranteed by the regular workshop meetings such as in Osijek and Prague.

The stepwise approach functions like a decision tree, with various feedback loops and options at every step if and how known effects of soil fauna could be implemented into SOM. It also identifies under which circumstances additional research (literature review or experimental studies) needs to be initiated before proceeding further. As many existing models, also the new model should have a modular structure so that different modules can be used and combined according to the respective biome- and scale-specific scenario (Fig. 3C). It can also be seen that we do not aim to include every detail everywhere: in some situations (Fig. 3A) the impact of soil fauna on SOM dynamics might be too small (or existing information too scanty) to be included, and not all input parameters will be feasible or relevant at each scale (miniature in Fig. 3C). This keeps the model manageable, and also flexible enough to allow for more precise predictions in critical scenarios, like in the case of earthworm invasions sketched in Sect. 5. We generally think that focusing on such critical scenarios (analogous to e.g. global biodiversity hotspots) is a crucial precondition for well-informed management decisions, one of the final aims of KEYSOM.

As an example, box no. 1 in Fig. 3A stands for the first literature review in the above list. Depending on the outcome, for each biome a decision will be made if the impact of fauna on SOM turnover is unknown, relevant or low. In the first case, more research is needed, in the last case the faunal effect can be ignored. Depending on the outcome of additional research, the knowledge base will be improved and the decision between ignoring and proceeding further can be made anew. If a strong effect is expected, the next question (box no. 2 in Fig. 3A) will be addressed and so forth.

Once the procedure in Fig. 3A has reached box no. 4, intensive exchange with modellers (Fig. 3B) is mandatory to identify the relevant model parameters and the type of functional relationship (box 5). Mechanistic aspects (such as chemical transformation in the gut, physical protection within aggregates or impact on hydraulic soil properties via digging) are of prime importance here as each of these examples may have different effects on C turnover. Effects of fauna abundance or biomass (in comparison to presence-absence) on the shape of the function will be addressed as well. Note, however, that to date necessary data for such an approach appear to be limited (García-Palacios et al., 2013). – In the meantime, the modellers will have developed a basic model structure and compare it with the structures of existing SOM models concerning potentials and limitations for including fauna effects (Fig. 3B).

The second phase (Fig. 3C) starts with the practical tests of the collected model parameters (boxes 6 and 7), using data that have been compiled by then by WG 3, allowing for selecting the best model (box 8). At this point, spatial scale comes into play, which is likely to be the most critical issue: As we have seen also while preparing this article, existing data on the impact of soil

fauna on SOM turnover are highly diverse, from short-term and often highly artificial experiments at controlled conditions to large-scale correlative field studies in all kinds of different environments (and with a strong bias what comes to certain biomes). The type of relationship between faunal abundance and SOM turnover will in most cases vary with scale. If data for different scales is not available (box 9), further research is needed. In the second case, one can proceed with boxes 10 and 11.

Importantly, the idea is not to include the fauna in every situation everywhere. Rather we aim at identifying critical hotspots and scenarios (see above) where faunal activities play a crucial role in SOM turnover, as demonstrated in Sect. 5. Due to the abovementioned differences between biomes and scale effects, these scenarios will be biome- and scale-specific. An example is shown in the lower left corner of Fig. 3C. For Biome A, hydraulic properties have been identified to be crucial for SOM dynamics. Thus, data is needed on animals that affect these, such as digging earthworms or rodents. Instead, the analyses for Biome B have revealed aggregate structure and microorganisms being most relevant – claiming for respective data at the small scale. On a larger scale (shaded miniature in Fig. 3C), such data for microorganisms might not be available, which implies proceeding with aggregate structure alone.

Overall, the whole approach requires a modular model structure, allowing for using different models according to the respective situation and data availability. This is what WG 2 is currently developing. – Certainly all the research outlined here cannot be done within one single COST Action. Based on the outcome of our work, we hope to come up with a more detailed roadmap how to further proceed to improve SOM modelling. This roadmap, together with what could be achieved with the limited resources of KEYSOM, will provide information material, decision tools and management options for decision makers and politicians (WG 4).

7. Conclusions and outlook

Understanding and modelling SOM is essential for managing the greenhouse gas balance of the soil, for land restoration from desertification, for sustaining food production and for the conservation of above- and belowground biodiversity and associated ecosystem services (Nielsen et al., 2015). Soil animal abundance, biodiversity, species traits and interactions are crucial for SOM turnover (Chauvel et al., 1999; Bohlen et al., 2004; Wardle et al., 2004; Wall et al., 2008; Uvarov, 2009). In Table 2 we give recommendations how the known impact of soil fauna on SOM turnover could be used for improving carbon models. Due to the pronounced differences with respect to climate, soil and land use outlined above, it is important that these recommendations are considered region- and scale-specific, taking into account the key players and their specific activities in the respective area.

Author contribution

J. Filser wrote the article, prepared Fig. 1 and 3 and the tables and compiled the contributions from all co-authors. These are listed according to their quantitative and qualitative impact on the manuscript, except for J.J. Jiménez who was placed last as he is the chair of COST Action ES 1406 (KEYSOM). L. Brussaard suggested including Fig. 2.

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840

841 # Tables

842 **Table 1.** Quantitative examples of the impact of earthworms and selected groups of other soil fauna on
843 soil properties and processes involved in soil organic matter (SOM) turnover. If not mentioned otherwise,
844 any numbers or percentages refer to the control without fauna. Selected particularly striking examples are
845 printed in bold.

Insight*	Examples	Source
	Earthworms	
1. Molecular structure	An indicator of lignin degradation in earthworm casts was twice that of the surrounding soil	Guggenberger et al., 1995
2. Humic substances	Introduced earthworms can double microaggregate formation and the stabilization of new C in the topsoil	Marashi and Scullion, 2003; Six et al., 2004
	C protection is promoted by microaggregates within large macroaggregates, and earthworms can add 22% anew to this C pool	Bossuyt et al., 2005
	Exclusion of earthworms reduced SOC accumulation by 0 (at 0-10 cm depth) to 75% (at 30-40 cm depth), associated with a decrease in percentage of water-stable aggregates	Albrecht et al., 2004, cited in Schmidt et al., 2011
	In organic layers of a Canadian aspen forest, in locations with earthworms, N (1.5–0.8%) and especially C concentrations (25.3–9.8%) were strongly reduced, together with C/N ratio (16.7–13.2) and soil pH (6.5–6.1); in brackets: control values vs. values with earthworms. **This suggests a shift towards a faster cycling system, resulting in a net loss of C from the soil and turning Northern temperate forests from C sinks into C sources**	Eisenhauer et al., 2007
3. Fire-derived carbon	Small charcoal particles from burned plots after one year increased by 21% in 0-1cm depth. One year later they were concentrated in earthworm casts at the soil surface, after 6.5 years such casts were found at 8 cm depth	Eckmeier et al., 2007
4. Roots	**Presence of earthworms in a continuous maize plot in Peruvian Amazonia increased the organic C input from roots by 50%**	Jiménez et al., 2006
5. Physical heterogeneity	Up to 50% of soil aggregates in the surface layer of temperate pastures are earthworm casts	Van de Westeringh, 1972
(see also insights no. 2, 3, 6 and 7)	Mull-type forest soil top layers and wooded savanna soils consist almost entirely of earthworm casts	Kubiena, 1953; Lavelle, 1978
	Earthworm inoculation in pastures on young polder soils completely removed within 8-10 years the organic surface layer, incorporated it into deeper layers, creating an A horizon. This affected manifold measures, increasing e.g. grass yield by 10%, root content in 0-15% from 0.38 to 1.31 g dm^{-3}, C content in 0-20 cm from 1.78 to 16.9 kg C * 10^3 ha^{-1}, and water infiltration capacity from 0.039 to 4.6 m 24 h^{-1}. In turn, penetration resistance at 15 cm depth decreased from 35 to 22 kg cm^{-2}.	Hoogerkamp et al., 1983
	In average temperature pasture and grasslands, earthworms cast 40-50 t ha^{-1} $year^{-1}$ on the surface and even more below surface	Lee, 1985
	Passage of a tropical soil through the gut of the invading earthworm *Pontoscolex corethrurus* reduced macroporosity from 21.7 to 1.6 cm^3 g^{-1}, which exceeded the effect of mechanically compacting the same soil at 10^3 kPa (resulting macroporosity: 3 cm^3g^{-1})	Wilkinson et al., 2009
	After invasion of European earthowrms into a Canadian aspen forest a thick layer of their cast material (thickness up to 4 cm) on top of organic layers was developed	Eisenhauer et al., 2007
6. Soil depth	Burrows of anecic earthworms are up to several meters deep and last for many years	Edwards and Bohlen, 1996

* According to Schmidt et al. (2011)

846

847 **Table 1.** (continued)

Insight*	Examples	Source
	Earthworms	
7. Permafrost and boreal areas	**Earthworm invasions in boreal forests completely transformed mor to mull soils and significantly altered the entire plant community**	Frelich et al., 2006
8. Soil microorganisms	Earthworms may lower actual microbial activity (by 11-23%) but markedly (by 13-19%) optimize microbial resource utilization.	Scheu et al., 2002
	Ants and termites	
2. Humic substances	**In a degraded marsh in NE China, ant mounds were CH_4 sinks, contrary to the control soils which were CH_4 sources (-0.39 – -0.19 mg vs. 0.13 – 0.76 m^{-2} h^{-1})**	Wu et al., 2013
5. Physical heterogeneity	Ant and termite mounds can occupy up to 25% of the land surface	Bottinelli et al., 2015
5. Physical heterogeneity and 6. soil depth	**Underground nests of leafcutter ants (e.g. *Atta* spp.) can cover up to 250 m^2 and extend down to 8 m., which is associated with a massive impact on forest vegetation**	Correa et al., 2010
	Collembola	
8. Soil microorganisms	Grazing by Collembola affected community composition of ectomycorrhizal fungi and on average reduced $^{14}CO_2$ efflux from their mycelia by 14%	Kanters et al., 2015
	Grazing by *Protaphorura armata* at natural densities on AM fungi disrupted carbon flow from plants to mycorrhiza and its surrounding soil by 32%	Johnson et al., 2005
	The presence of a single Collembola species may enhance microbial biomass by 56%	Filser, 2002
	At elevated temperature, litter decay rates were up to 30% higher due to Collembola grazing	A'Bear et al., 2012
	Various or mixed groups	
1. Molecular structure	Microbial grazing by Collembola or enchytraeids alone enhanced leaching of NH_4^+ or DOC by up to 20%[5]	Filser, 2002
	Feeding by millipedes and snails reduced the content of condensed tannins in three Mediterranean litter species from 9–188 mg g^{-1} dry matter to almost zero	Coulis et al., 2009
	Long-term mineralisation of fauna faeces may be slower than the mineralisation of litter from which the faeces were produced. This decrease in decomposition rate corresponds to a decrease in the C:N ratio and in the content of soluble phenols.	Frouz et al., 2015a,b
	Due to stoichiometric constraints, soil animals tend to reduce the C concentration of SOM, but increase N and P availability. About 1.5% of the total N and P in the ingested soil was mineralized during gut passage in humivorous larvae of the scarabaeid beetle *Pachnoda ephippiata*. In *Cubitermes ugandensis* termites, the ammonia content of the nest material was about 300-fold higher than that of the parent soil.	Li et al., 2006; Li and Brune, 2007; Ji and Brune, 2006
2. Humic substances	In a laboratory experiment, activity of earthworms, Collembola, enchytraeids and nematodes in coarse sand liberated >40% from the insoluble C pool as compared to the control	Fox et al., 2006
	Radiolabelled proteins and phenolic compounds in litter are faster transformed to humic acids (as revealed by alkaline extraction and acid precipitation) via feces of Bibionidae (Diptera) than from litter not eaten by fauna	Frouz et al., 2011
	The quantitative contribution of invertebrates (mainly beetles and termites) to wood decomposition ranges between 10-20%	Ulyshen, 2014
	Depending on fungal and animal species (Collembola, isopods and nematodes), grazing on fungi colonising wood blocks altered (mostly decreased) their decay rates by more than 100%. Isopods and nematodes had opposite effects in this study.	Crowther et al., 2011

* According to Schmidt et al. (2011)

Table 1. (continued)

Insight*	Examples	Source
	Various or mixed groups	
2. Humic substances (continued)	Carbon and nitrogen losses from soil followed by drought and rewetting were substantially affected by microarthropod richness, which explained 42% of the residual variance.	De Vries et al., 2012
5. Physical heterogeneity	**Bioturbation rates of soil animal groups typically range between 1 and 5 Mg ha^{-1} y^{-1} but may reach up to 10 (crayfish, termites), 20 (vertebrates), 50 (earthworms) and > 100 Mg ha^{-1} y^{-1} (earthworms in some tropical sites), which is equivalent to maximum rates of tectonic uplift**	Wilkinson et al., 2009
8. Soil microorganisms	In the course of a 2.5-yr succession, fauna activities (especially of nematodes and mesofauna during the first year, and later of earthworms) accelerated microbial decomposition of clover remains in an arable soil by 43%	Uvarov, 1987
	Depending on vegetation, animal group and climate, soil animals directly or indirectly increased C mineralisation between 1% and 32%. However, intensive grazing by fungal feeders may even reduce C mineralisation	Persson, 1989

848 * According to Schmidt et al. (2011)
849

850 **Table 2.** "Insights" (compiled after Schmidt et al., 2011) for future soil organic matter models and
851 recommendations for further improvements by implementing effects of soil fauna

SOM modelling element ("Insight")	Recommendations*
1. Molecular structure	Incorporate the knowledge on the structure of organic substances and element concentrations in faunal casts and excreta in SOM decay rate models. Consider linkage between C and N cycling mediated by fauna. See 8.
2. Humic substances	Add physical and chemical stability of casts, patterns of their microbial colonisation and degradation dynamics. See 1, 3, 5, 6, 7, 8.
3. Fire-derived carbon	Include recolonisation and inoculation potential of surviving soil fauna and adjacent fauna. Initiate studies on the impact of fauna on the fate of black carbon (fragmentation, gut, casts, decomposition, and recolonisation).
4. Roots	Add activity of bioturbators, rhizosphere microbial grazers and root herbivores. See 1, 5, 6, 8.
5. Physical heterogeneity	Consider spatial and physicochemical heterogeneity created by soil fauna, including consequences of soil aggregation and dis-aggregation (e.g. bulk density, infiltration rate, preferential flow, casts). See 1, 2, 6, 8.
6. Soil depth	Incorporate burrowing depth and annual transport rates of bioturbators and animal-induced spatial heterogeneity of old and young carbon in the deep soil. See 5.
7. Permafrost	For warming scenarios, take into account short- and long-term invasion effects, particularly of earthworms and enchytraeids.
8. Soil microorganisms	Add microbial grazer effects, effects on microorganisms during gut passage and faunal impact on C and N coupling. See 1-7.

852 * Recommendations refer to site-specific keystone groups of animals (dominating in terms of biomass or impact; see
853 Fig. 2). Their prevalence is determined by climate, bedrock and land use (e.g. rodents or ants in deserts, earthworms in
854 temperate grasslands or microarthropods and enchytraeids in acidic Northern forests).
855
856

857 ## Figure Captions

858

859 **Figure 1.** Main animal-mediated processes (boxes) affecting the eight insights (symbols)
860 identified by Schmidt et al. (2011) that should be considered for improving SOM models

861

862 **Figure 2.** Dominant soil types and characteristic soil forming invertebrates across biomes (major
863 global change threats are shown in italics). MAT = mean annual temperature, MAP = mean
864 annual precipitation. Sources for data and biomes see Brussaard et al. (2012).

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866 Sons and Oxford University Press.

867

868 **Figure 3.** Flow scheme for an improved understanding of the role of soil fauna for soil organic
869 matter (SOM) turnover. This scheme is basically followed within the COST Action ES 1406
870 (KEYSOM). Activities in A) and B) run parallel, followed by C) which ends with an improved
871 SOM model. Exemplarily shown are scenarios for two biomes; the shaded miniature displays a
872 different scale for one of them. Further explanations see text.

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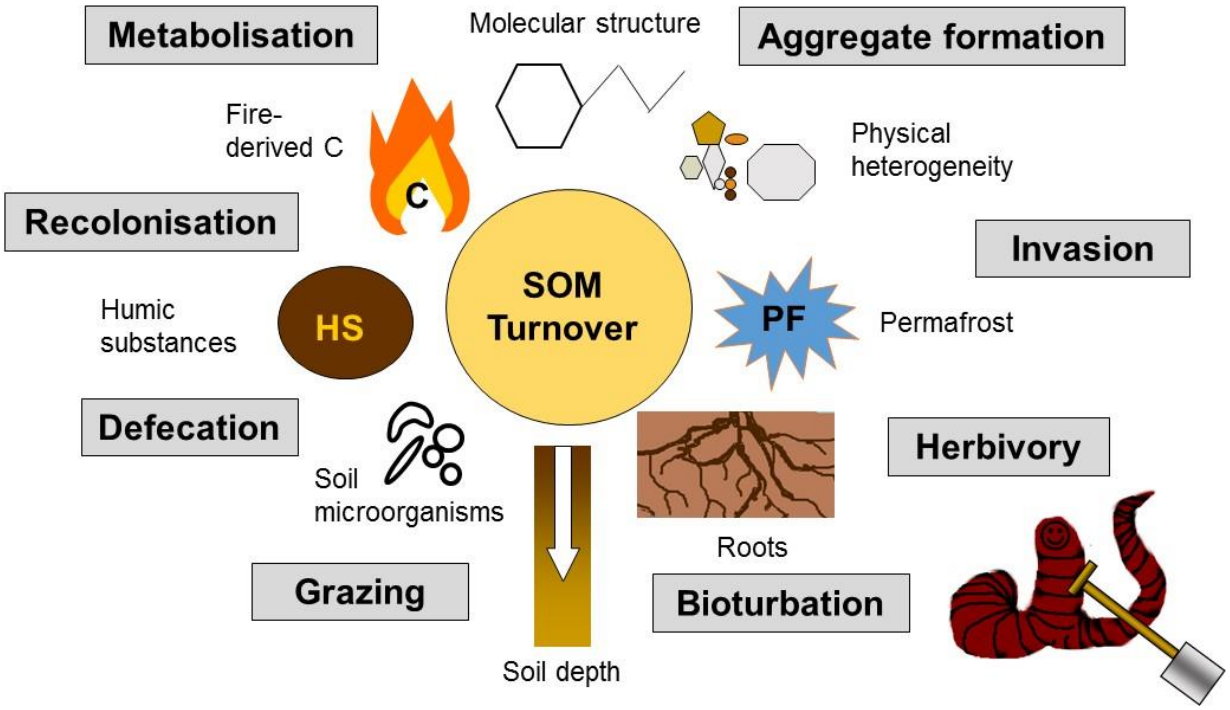
876 **Figures**

877



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883 **Figure 1**

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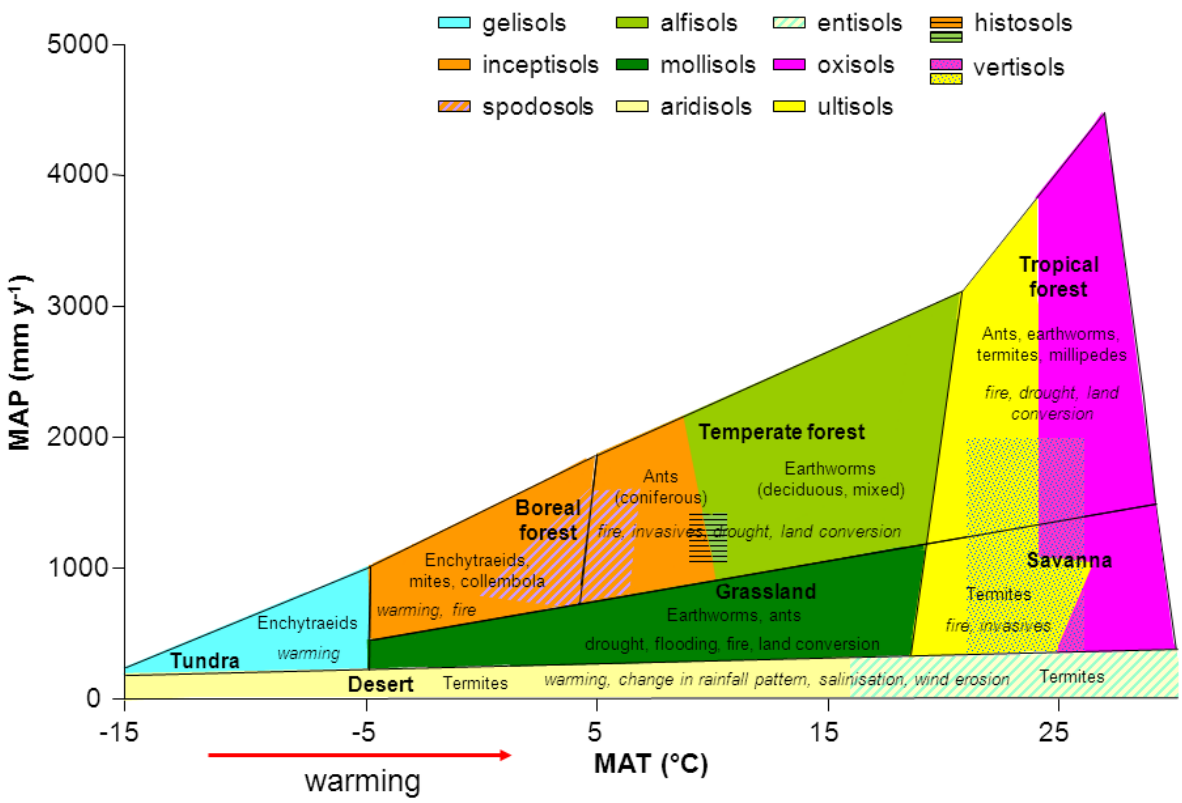

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890 **Figure 2**
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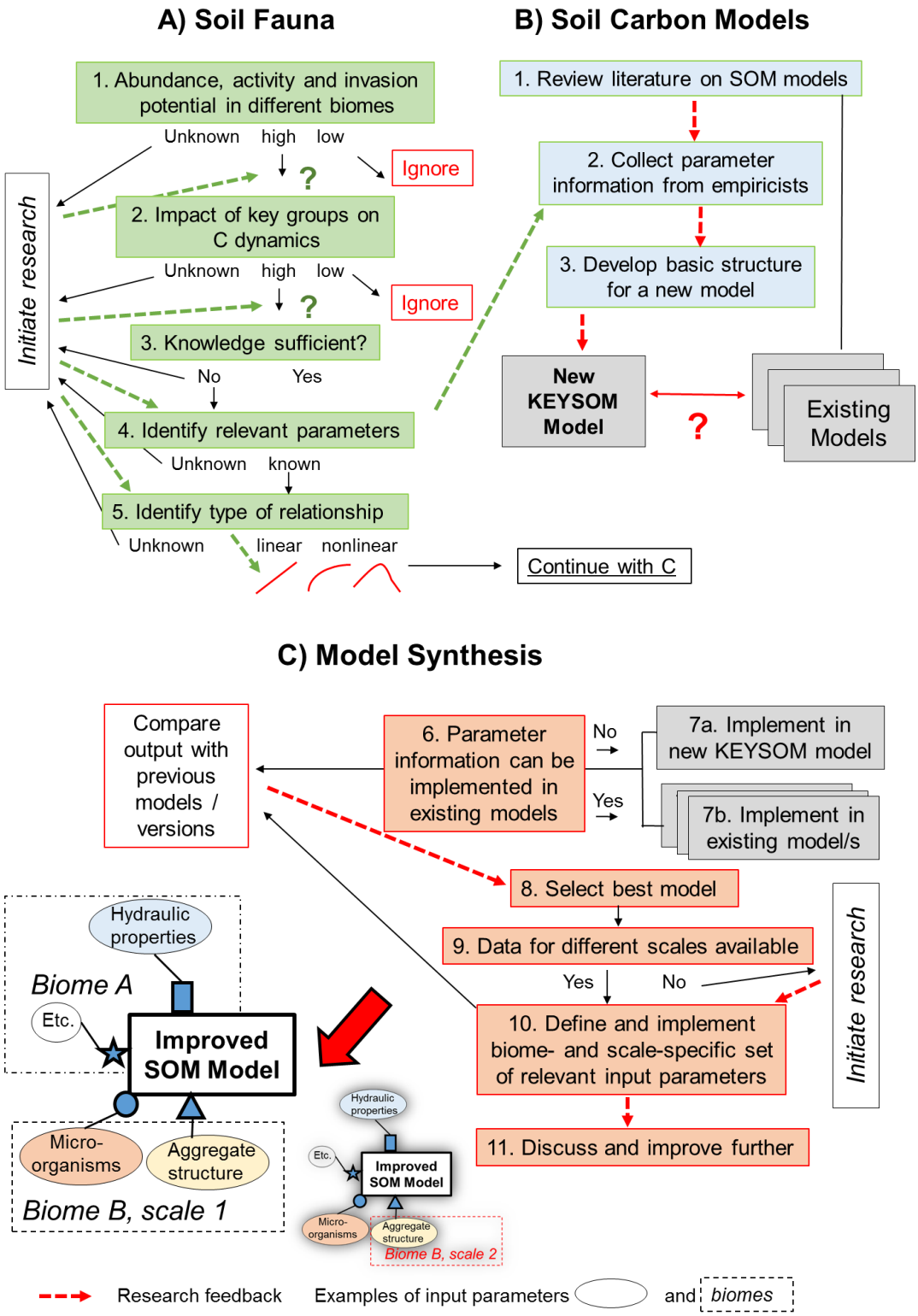
A) Soil Fauna
B) Soil Carbon Models
1. Abundance, activity and invasion potential in different biomes
Unknown high low
Ignore
2. Impact of key groups on C dynamics
Unknown high low
Ignore
3. Knowledge sufficient?
No Yes
4. Identify relevant parameters
Unknown known
5. Identify type of relationship
Unknown linear nonlinear
Continue with C
Initiate research
1. Review literature on SOM models
2. Collect parameter information from empiricists
3. Develop basic structure for a new model
New KEYSOM Model
Existing Models
C) Model Synthesis
Compare output with previous models / versions
6. Parameter information can be implemented in existing models
No
Yes
7a. Implement in new KEYSOM model
7b. Implement in existing model/s
8. Select best model
9. Data for different scales available
Yes
No
10. Define and implement biome- and scale-specific set of relevant input parameters
11. Discuss and improve further
Initiate research
Hydraulic properties
Biome A
Etc.
Improved SOM Model
Micro-organisms
Aggregate structure
Biome B, scale 1
Biome B, scale 2
Research feedback
Examples of input parameters
and
biomes

Figure 3