

We appreciate the high quality and detail of the referees' comments, in particular by referee #1, which have righteously criticized several points that we improved in the revised version. Looking at the wealth of information asked for, it should be clear that it is impossible to cover all this within a single review article – especially with one written mainly by empiricists and pointing out research needs. In the new version we have better pronounced why we think that these needs are still there. Thus, and probably most importantly, we made now clear why we included the COST Action KEYSOM (which addresses right these issues and associated research needs), and added more information on its contents and activities. For details, please see subsequent point-by point replies. These are indicated in the marked-up manuscript by comments with the respective numbers.

Referee comment	Point-by-point replies	Line
<p>Anonymous Referee #1</p> <p>The MS is intended to convince carbon modelers that they need to consider the effects of soil fauna in order to enhance the predictive power of the models and thereby develop more accurate accounting of soil carbon. But, here is where I think the MS falls short. While it presents important ideas, the material isn't communicated in a way that can be appreciated by modelers, who routinely tend not to be empiricists, let alone experimentalists, and therefore likely wouldn't concern themselves with the fascinating, but minutiae of, detailed mechanisms presented in the MS (or wouldn't know where to begin to incorporate the details into models). The MS instead would likely resonate most with soil biologists who are fascinated by the details, and thus the MS tends to “preach to the choir“ so to speak. The MS would be strengthened if it played more directly to the perspectives or needs of modelers. But, here is where the authors need to decide on which direction to go.</p>	<p>1. We are very grateful to referee #1 and hope that the revised version will meet his or her main intention; see Fig. 3 and accompanying text. It is true that this paper does not give answers how to include fauna effects in the models. The aim of the paper was not to generate models but rather to show that by neglecting fauna modellers are missing an important variable. To do so we show that fauna plays an important role in many processes effecting SOM dynamics and that this fauna effect may not be already predicted by other parameters used in the models.</p>	<p>540ff</p>
<p>From where I stand, making a convincing case for including effects of soil animals in modeling needs to provide one of two important pieces of information (if not both). The first would be to provide modelers with a clearer sense of how discrepant their model predictions are because they don't include animal effects. That is, how much does the presence vs. absence of groups of soil animals influence the amount of soil carbon that is stored or lost. The MS gets at it a bit when discussing earthworm effects (line 344-346). But, there is scant other evidence provided to support the argument (beginning on line 347) that without considering the role of animals models will be less accurate. It would be helpful to know quantitatively how inaccurate the models will be if animal effects are not included (i.e., how much of a difference in carbon balance estimates is there?). I appreciate that this may be tough to do because empiricists and experimentalists aren't accustomed to examine soil biology and relate it to quantitative estimates of carbon balance in a form that is useable by modelers. (There is a lesson for empiricists here too).</p>	<p>2. This aspect falls beyond the scope of this article as we mainly aim for pointing out research needs and possible ways to proceed. However, it is being addressed within the current tasks of KEYSOM (COST ES 1406), which are now shown in more detail.</p>	<p>130 500ff</p>

<p>Second, as the MS correctly points out, many of the biological details presented in the MS are ignored or simply subsumed as “parameters” in models that describe big-scale processes. This is typically done as a matter of mathematical convenience because abstracting a complex process as a parameter keeps the model reasonably tractable. But, accounting for animal effects in ways described in the MS requires characterizing those processes in terms of model functions, functions in which the levels of soil carbon due to a specific mechanism (e.g. soil bioturbation, or aggregate formation) vary with the abundance of the animals performing the mechanism.</p>	<p>3. See Fig 3 A (point 5) and accompanying text. Note that we also have taken into account the fact that often the available data will be insufficient..</p>	<p>566</p>
<p>However, converting parameters into new functions can be a daunting exercise from two standpoints. First, empiricists and experimentalists tend to examine processes in terms of effects due to presence/absence of species and often do not vary animal abundance to measure what form a function should take.</p>	<p>4. See reply 3. We also pointed this out in the text.</p>	<p>568</p>
<p>Second, empiricists are enamored by biological details, but often don't give priority to which details might matter more than others. This can cause concern to modelers because including each and every detail can make the models vastly complex, therefore making model output extremely difficult to validate and therefore understand. So, given heterogeneity in soil properties across large geographic spaces, do we need to know accurately variation in local soil molecular structure, or local root processes, or local physical heterogeneity or local aggregate formation to inform regional carbon budgets? If yes, then how? What I am driving at is that the MS would be strengthened if it provided a better road map of what processes should be an immediate priority to include in modeling and what level of detail needs to be included in the models.</p>	<p>5. Our approach is not to include fauna effects in every carbon model anywhere but to take them into account in situations where the expected impact (as known from the literature) will be high. The decision tree in Figure 3 and the associated text detail this approach.</p>	<p>540</p>
<p>This road map could be strengthened if the MS could offer a sense of what the functional forms of the processes might look like (i.e., can we assume linearity? Must we consider nonlinearity? If nonlinearity, then what form should the nonlinear function take?).</p>	<p>6. Again, this is beyond the scope of this article, but KEYSOM is working on it (see replies no. 2 and 4), with scale playing a fundamental role for the functions.</p>	<p>461</p>
<p>Most importantly, if accounting for spatial variation in animal effects matters, then what is a reasonable spatial scale over which one can assume that animal effects are reasonably similar. That is, it would be impossible for models of regional carbon budgets to account for heterogeneity on a $m^2 \times m^2$ basis. What spatial scale could be reasonable: $km^2 \times km^2$, $10 km^2 \times 10 km^2$? Solving this scaling problem is perhaps the most critical issue when trying to align models with empiricism. In my experience, this is what causes the biggest rift between modeling and empiricism: empiricists again tend to focus on details of very fine spatial heterogeneity and disagree with efforts to subsume that heterogeneity in to a reasonable large-scale spatial approximation.</p>	<p>7. We have taken into account the scaling problem, including the fact that often the type of relationship will vary with scale. See also replies no. 2 and 3.</p>	<p>455 586</p>

<p>Ultimately, the issues raised in the MS are not merely issues that should be of concern just to modelers. Empiricists need to appreciate the challenges and demands of modeling and provide empirical input that can help meet the challenge by tailoring empirical estimates and analyses to explicitly inform modeling. There was a large movement afoot in ecology in the 1990's to do a better job of melding modeling and empirical work. Modern ecology seems not to have heeded that too much. Perhaps the important message of this MS is that we need to begin heeding this a lot more going forward.</p>	<p>8. Thank you very much! This is why KEYSOM came into being. We emphasized this argument.</p>	<p>500ff</p>
<p>Anonymous Referee #2</p>		
<p>At the current level of understanding soil biology processes, we do not need to justify the relevance of incorporating of soil fauna (or soil microorganisms, soil vertebrates etc.) into SOM models.</p>	<p>9. This might be true based on the known facts. However, these facts thus far have not “succeeded” for implementing the fauna into C models – unlike often very fine details on microbial community structure, for which there is definitely not enough data for model implementation. A very good example to illustrate this is the review by Schmidt et al. (2011) who did not mention the words “fauna” or “animal”. Thus, we do feel the need to once more point out their relevance. See also Referee 3, point no. 18 below.</p>	
<p>Now the matter is to my knowledge how to implement these data in theoretical models. Why these incorporations were not done before: due to lack of communication between modellers and biologists? Or it was not done due to lack of technical support (software) in modelling? Or biologists were not “convincing” enough for theoretical modellers?</p>	<p>10. We believe in a combination of these issues, except for the technical issues/software. This is certainly the smallest problem, in particular with the region-specific modular approach suggested by us. See also reply no. 8.</p>	<p>500ff</p>
<p>Another matter in the ms is the introduction of the COST (KEYSOM) project? Is it like an advertisement of the project? What is the aim of this introduction? KEYSOM is a very interesting project, no doubts about that, but what is it for in the ms? Is it possible to justify the presence of such information in the review paper, please?</p>	<p>11. We agree that this had not been clear enough in the first version and improved this justification</p>	<p>50ff</p>
<p>I like how the authors are trying to classify the effects of soil fauna on soil processes and properties into so called “key insights”.</p>	<p>12. Well, these had been introduced by Schmidt et al. – we just replied to these.</p>	
<p>It is nice to see that data are collected not only for earthworms effects but other soil invertebrates too, including Collembola, potworms, nematodes, ants, termites. Though, when looking at the source references in the Table 1 one gets an impression that there was not much done to quantitatively test effects of soil fauna in soil. It is the case, isn't it?</p>	<p>13. You are perfectly right as soil ecology thus far has mostly focused on nutrients, especially nitrogen, rather than on carbon turnover. Second, it was not our aim to extensively cover all literature. Rather, we pointed out some striking examples and provided a more detailed case on earthworms. Reviews of other fauna groups and specific biomes will partly be addressed within KEYSOM.</p>	<p>530</p>
<p>Concerning figures, I would like to add that after printing they both look fuzzy (not sharp). In Fig.1 in permafrost “star” the abbreviation PF is not seen at all. In general this Fig has more a look that I would describe as a “whatever” look. Soil microorganism symbol looks like something else.</p>	<p>14. The fuzziness is probably an effect of pdf conversion (the TIFF file is crystal clear). We did stick to the symbol character of this figure but redrew microorganisms and changed the colour of “PF” for better readability.</p>	<p>1130</p>

Is it possible for you to make a picture where clearly, for example, with arrows or spatial restructuring, will be seen which “insight” affects which animal-mediated process?	15. We refrained from doing this, for several reasons. First, our intention is not to show which “insights” affect what animal-mediated process but rather how animals affect these insights, e.g. creating soil depth by digging. Second, adding only the most important arrows would make the figure hopelessly confusing – one would need many more graphs for this purpose, and we did not want to blow up the manuscript too much	
In Fig.2 unit of MAP is mm per year. What is this dot after mm?	16. A mistake in the original graph. We removed it. Moreover, we coloured the figure, for consistency.	1142
Line 182. Needs to change references positions, year 2012 goes first. Line 254. Two times is used the word “on”, please change the sentence. Line 290. Sentence “. . .diversity and abundance of soil animals IS reduced as. . .”, should not be ARE here instead? Line 355. Needs to remove symbol % after 70. It should be only after 77%.	17. Done.	220 223 298 342
Anonymous Referee #3		
As pointed out by other reviewers, the paper contains a wealth of biological detail. However, I think that this detail leads to the main message of the paper (which I assume is the need for the SOM modelling community to incorporate fauna) being lost.	18. We hope that the revision, specifically Fig. 3 and text and the more detailed reasoning for including the COST Action, made up for the impression that the main message got lost. Concerning the details, see reply no. 9	506ff
To remedy this, I think the authors need to do four things: a) If possible, clearly demonstrate how the presence or absence of fauna lead to changed model / empirical predictions of SOM dynamics.	19. Not the scope of this article, see reply no. 2.	
The controls for the examples in Table 1 are not always explained, so it is not clear how fauna are or are not important.	20. We now made this more explicit	1077
The modelling importance is touched upon around lines 347 but could be developed further, and explicit links could be provided in the earlier empirical review.	21. We did this at various points, except for the “explicit links”, see reply no. 19	e.g. 414 450 461ff
b) Clearly demonstrate that the already included model parameters (e.g. of climate, land use etc) do not adequately predict faunal composition (it is mentioned briefly with De Vries et al. 2013 on line 349). If land use/climate parameters can (generally) predict faunal composition then it is not immediately clear why fauna need including in SOM dynamic models if climate, land use etc can also predict SOM reasonably accurately [which I realise is not always the case].	22. We extended the reference to De Vries somewhat and added one more concrete example for this. Otherwise please refer again to reply no. 19.	421 450
As noted by another review, do the discrepancies in model results mean that fauna need including, or is it that other processes (e.g. leaching, particulate loss, litter inputs) could need refining to improve model accuracy instead.	23. This is again what will be revealed by the modelling working group within KEYSOM and not the scope of this article.	532

<p>Acting on this comment though does depend on model aims - if the aim of the model is to provide prediction, do they need to be mechanistically accurate (in the extreme, can they actually be statistical?). If however the model is aimed at mechanistic understanding, then the need for faunal incorporation potentially becomes clearer. As noted by the authors, this aspect may become even more important when trying to account for environmental change.</p>	<p>24. This is now better specified, as we need improvement both in mechanistic understanding and predictions. The idea is to deal with this case-specifically, i.e. clear-cut experimental approaches and small scale for the mechanistic part and approaches based on keystone actors for better predictions at the regional scale. Including this should be optional, depending on the available information and expected impact (see also Fig. 3)</p>	<p>540</p>
<p>c) The authors mention in the Abstract that 'the contribution of soil fauna activities can be as high as 40 %' but it is not clear in the main text where this figure comes from.</p>	<p>25. We re-worded this.</p>	<p>59</p>
<p>More importantly, what is the distribution of faunal importance to SOM dynamics/stability in ecosystems? Is it that there is one study demonstrating this level of importance, but others only show a negligible contribution? If so, then perhaps the importance of incorporation of faunal activity into SOM models is being overblown. [I personally think we do need to think about its incorporation, but the evidence presented here is not as clear as it could be] It may be that with the data currently available the distribution cannot be assessed. If so, then this aspect should, in my opinion, at least be discussed.</p>	<p>26. As a matter of fact, this is known only for some examples. (By the same token, how much do soil scientists and modellers exactly know about the small-scale distribution of carbon or hydrological properties?). As a rule of thumb, fauna is positively related to carbon, simply for energetic reasons. We accordingly extended the sections related to biomes and the approaches within KEYSOM.</p>	<p>359</p>
<p>d) The title suggests 'new' models are required. It might be good if the authors could synthesize their review to put forward a conceptual model framework that contrasts with currently available frameworks e.g. RothC, CENTURY.</p>	<p>27. This is what we did – see Fig. 3 and related text. Contrasting our approach with existing models is the task of KEYSOM WG 2, see respective text</p>	<p>540</p>
<p>Abstract - line 60 - we suggest that inclusion of soil animal activities can fundamentally affect the predictive outcome of SOM models. This is a very strong statement which I do not think has been clearly demonstrated in the paper at present. Perhaps addressing a) to c) above will help justify this. I can only see one example in the paper (referring to earthworms in CENTURY) and am not sure this is a 'fundamental' difference in prediction. What is a fundamental difference in prediction anyway - differing magnitude, differing direction (e.g. carbon source or sink), something else...?</p>	<p>28. We kept this sentence, for the following reasons: First, we added yet another example for underlining our suggestion (!). Second, we did not write something like "we clearly demonstrated..." but did compile a lot of evidence on the quantitative importance of soil fauna for SOM turnover, including two concrete examples – justifying our "very strong statement". A fundamental difference is anything substantial that quantitatively matters. Specifying this here is not sensible as it can be anything: e.g., if soil fauna increase soil C release only by 1% per year this will have a substantial impact on many processes after a decade or more. In turn, changing the direction of an element flow by 0.01% will not likely have any relevant impact even after decades.</p>	
<p>Line 68 - I don't think there is any need to advertise the COST action in the Abstract (it occupies 6 lines out of 24), and it would be better to finish with a strong statement of what this review paper has found and its implications. I would also remove reference to this COST action at the end of the main text - it seems like a weak ending to the paper unless this is the main message you want to communicate.</p>	<p>29. Oh yes, it is as KEYSOM is working on the implications! Still, we have now better pointed out this need– see also replies no.8, 11 and many other arguments above.</p>	<p>71</p>
<p>Line 104 - please provide more details on the correlative field study. Of what? What discrepancies?</p>	<p>30. This had already been detailed in the first version,</p>	<p>442</p>

	just in a different section – see lines 401ff	
Line 118 - point out regional differences in or of what? We already know about regional differences in climate, land use, soils?	31. Done	136
Line 151 - "modifications in molecular structure have significant effects on its [SOM] dynamics." This is presumably the important point in terms of justifying incorporation for modellers yet there are no references backing this statement up. In relation to point c) above, what is the quantitative importance?	32. References have been added or were there before (Table 1). The quantitative importance of this is part of the modellers' task within KEYSOM.	1076ff
Line 155 - "the term humic substances is considered outdated" This is a very strong statement yet many modellers continue to use these conceptual pools which reflect different rates of organic matter turnover. You could alienate readers with such phrasing, likewise on line 328 with "As there is no scientific support for widespread belief in humic substances".	33. We replaced this by more diplomatic wording.	186
Indeed, current research is utilizing mid infrared spectroscopy to examine particulate, humic, and resistant organic carbon, operationally defined pools which match well with conceptual pools in the models. These results have then been validated against observed changes in soil C following land use change (Paul et al. 2016 in review; see also Baldock et al 2013 Soil Research 51: 577-595). Does this means SOM models need a fundamental overhaul or does it depend on what you are trying to predict? I don't think these statements are required for the broader message of the paper and would suggest tempering them.	34. We are aware of this. Again, this is not a pedological paper. Our point is that these pools do depend on faunal activity – and we propose that predictions (not: measurements!) of such SOM pools might be improved based on known or modelled distributions of soil fauna. We revised the text; see respective sections, including references referring to humic substances in Table 1..	166ff 186ff 421
Line 180 - what happens to fire derived carbon in absence of soil fauna?	35. This is outside the scope of our paper – especially as hardly anything is known on the process in the presence of fauna (yet; the field is emerging due to the interest in terra preta, biochar etc., and also from boreal sites (J. Bengtsson, pers. comm.)	234
Line 220 - what does discussion of soil depth mean for SOM dynamics. Make the links explicit here and in the other sections. Line 241 - please put quantitative figures on 'a large contribution' i.e. define large. Line 273 - why does tensile strength matter for SOM dynamics. Line 289 - density of what?	36. Done	259ff
Line 311 - how does Figure 2 demonstrate that specific effects of soil organisms differ across space? How has increasing importance in humid-warm and nutrient-limited conditions been demonstrated? Does the absolute / relative difference with and without soil organisms increase in these conditions? Or is it that soil organic matter dynamics are faster in humid warm conditions and so the presence of animals is confounded with these climatic conditions?	37. This figure is a compilation of textbook knowledge that has accumulated over many decades. Please refer to the original article from which it was taken (Brussaard et al. 2012).	
Line 344 - this sentence could be clearer - was the slow C pool maintained when earthworms were present in the model?	38. The sentence was complemented with the necessary information.	437
Line 393 - "A number of workshops" [not 'workshop'].	39. Done	515

Please note that this is a marked-up version of the revised manuscripts. Numbers in the comments refer to our reply to the referee's comments.

1 **Title page**

2 **Soil fauna: key to new carbon models**

3

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Feldfunktion geändert

49 Abstract

50 Soil organic matter (SOM) is key to maintaining soil fertility, mitigating climate change,
 51 combatting land degradation, and conserving above- and below-ground biodiversity and
 52 associated soil processes and ecosystem services. In order to derive management options for
 53 maintaining these essential services provided by soils, policy makers depend on robust, predictive
 54 models identifying key drivers of SOM dynamics. Existing SOM models and suggested
 55 guidelines for future SOM modelling are defined mostly in terms of plant residue quality and
 56 input and microbial decomposition, overlooking the significant regulation provided by soil fauna.
 57 The fauna controls almost any aspect of organic matter turnover, foremost by regulating the
 58 activity and functional composition of soil microorganisms and their physical-chemical
 59 connectivity with soil organic matter. We show lots of quantitative examples that demonstrate a
 60 very strong impact of soil animals on carbon turnover, increasing or decreasing it by several
 61 dozen percent, sometimes even turning C sinks into C sources or vice versa. This is demonstrated
 62 not only for earthworms and other larger invertebrates but also for smaller fauna such as
 63 Collembola. We suggest that inclusion of soil animal activities (plant residue consumption and
 64 bioturbation altering the formation, depth, hydraulic properties and physical heterogeneity of
 65 soils) can fundamentally affect the predictive outcome of SOM models. Understanding direct and
 66 indirect impacts of soil fauna on nutrient availability, carbon sequestration, greenhouse gas
 67 emissions and plant growth is key to the understanding of SOM dynamics in the context of global
 68 carbon cycling models. We argue that explicit consideration of the soil fauna is essential to make
 69 realistic modelling predictions on SOM dynamics and to detect expected non-linear responses to
 70 global change, and we suggest that guidelines for future SOM modelling should implement the
 71 role of soil fauna. This had been the reason for bringing into being the COST (European
 72 Cooperation in Science and Technology) Action ES 1406 (KEYSOM – Soil fauna: key to new
 73 carbon models), which we briefly introduce. KEYSOM brings together biogeochemists and soil
 74 ecologists from 23 EU countries and provides a research network for improving SOM models by
 75 implementing the role of the soil fauna as a basis for sustainable soil management. An
 76 interdisciplinary platform of experimentalists and modellers is presently identifying the most
 77 burning research gaps and working on a new modelling approach. The current state of discussion
 78 is presented here. In the next years KEYSOM will also perform a large-scale field experiment,
 79 assure better access to experimental data, and inform decision makers.

80

81 Keywords

82 Carbon turnover, soil organic matter modelling, soil invertebrates, aggregate formation, spatial
 83 heterogeneity, regional differences, ecosystem engineers

84

85 1. Introduction

86 Despite continuous refinement over the past decades, estimates of the global carbon cycle still
 87 show large discrepancies between potential and observed carbon fluxes (Ballantyne et al., 2012;
 88 Schmitz et al., 2014). Soil organic matter (SOM) modelling is key to understand and predict
 89 changes in global carbon cycling and soil fertility in a changing environment. SOM models can
 90 facilitate a better understanding of the factors that underlie the regulation of carbon cycling and
 91 the persistence of SOM. The predictive power of current global SOM models is, however,
 92 limited, as the majority relies on a relatively restricted set of input parameters such as climate,
 93 land use, vegetation, pedological characteristics and microbial biomass (Davidson and Janssens,
 94 2006). In an overview on the performance of SOM models, none of 11 tested models could
 95 predict global soil carbon accurately, nor were 26 regional models able to assess gross primary

Gelöscht: The contribution of soil fauna activities to SOM decomposition can be as high as 40%, as they

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Gelöscht: identify the most burning research gaps

Gelöscht: COST Action

109 productivity across the US and Canada (Luo et al., 2015). Some years ago, Schmidt et al. (2011)
 110 proposed eight “key insights” to enrich model predictions on the persistence of SOM. However,
 111 they ignored a major component of SOM dynamics, soil fauna, which play a fundamental role in
 112 most of the insights they propose (e.g. Fox et al., 2006; Jimenez et al., 2006; Osler and
 113 Sommerkorn, 2007; De Deyn et al., 2008; Wilkinson et al., 2009). By moving through and
 114 reworking soil, feeding on living plant roots, detritus and all types of microorganisms growing on
 115 these, soil animals are intimately involved in every step of SOM turnover. Omission of soil fauna
 116 from SOM models will, therefore, hamper the potential predictive power of these models.
 117 In a review focusing mostly on large mammals, terrestrial herbivores and aquatic ecosystems,
 118 Schmitz et al. (2014) recently called for “animating the carbon cycle”. Bardgett et al. (2013)
 119 argued that differential responses of various trophic groups of aboveground and belowground
 120 organisms to global change can result in a decoupling of plant-soil interactions, with potentially
 121 irreversible consequences for carbon cycling. Other research has shown that including soil animal
 122 activities could help clarifying the discrepancies in existing carbon models, based on a large-scale
 123 correlative field study (de Vries et al., 2013). Similar attempts to connect animal activity to
 124 carbon cycling occurred in the past (e.g. Lavelle and Martin, 1992; Lavelle et al., 1998; Lavelle
 125 and Spain, 2006; Osler and Sommerkorn, 2007; Brussaard et al., 2007; Sanders et al., 2014),
 126 without any further change in the structure of carbon models. This was partly due to a lack of
 127 communication between modellers and experimenters, but also because the magnitude of animal
 128 effects on SOM dynamics remains poorly quantified (Schmitz et al., 2014).
 129 Here we use the ‘key insights’ proposed by Schmidt et al. (2011) as a basis to review current
 130 evidence and to identify research needs on the relationship of soil fauna to SOM dynamics in
 131 order to justify the relevance of incorporating the soil fauna into SOM models. How important
 132 animal activities are for manifold geological and pedological processes has been reviewed
 133 repeatedly (e.g. Swift et al., 1979; Wilkinson et al., 2009). Due to their prime role in most
 134 processes in soil (Briones, 2014) we mostly focus on earthworms, but also give examples for
 135 other groups of soil fauna whose role in C turnover appears to be much more relevant than
 136 thought thus far (e.g. David, 2014). Recently, the significant impact of eight different species of
 137 ants over 25 years on mineral dissolution and accumulation of calcium carbonate has even been
 138 discussed in the context of geoengineering and carbon sequestration (Dorn, 2014). We point out
 139 regional differences in climate, soils and land use with respect to soil fauna composition,
 140 abundance and activity and derive implications for SOM modelling. Finally, we introduce a new
 141 COST Action (ES 1406) that is working on the implementation of soil fauna into SOM models,
 142 also exploring the pros and caveats in such a process.
 143

144 2. Key insights

145 The eight ‘key insights’ compiled by Schmidt et al., 2011 are shown in Fig. 1, together with the
 146 most important activities of soil animals affecting them. As many animal-mediated processes are
 147 tightly interconnected, they also matter for most of these insights. For instance, aggregate
 148 formation in faeces simultaneously affects molecular structure, humic substances, physical
 149 heterogeneity and soil microorganisms. In the following text we briefly summarize the role of
 150 animal activities for each of the ‘key insights’. As a more detailed example of animal impacts on
 151 SOM turnover, we consider their role on soil aggregate formation in a separate section. Table 1
 152 contains quantitative examples of animal activity taken from different biomes and land use types,
 153 showing that earthworms alone strongly affect each of the ‘key insights’. However, much smaller
 154 soil animals can also have substantial effects on them (Table 1).

155 2.1. Molecular structure

156 Many soil animals ingest and process SOM (and accompanying microorganisms) in their gut
 157 system, where it is partly assimilated with the help of mutualistic gut microflora and partly

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163 egested. Metabolisation alters the chemical structure of ingested SOM (Jiménez and Lal, 2006;
 164 Hedde et al., 2005; Coulis et al., 2009; Frouz, 2015b; Schmitz et al., 2014) and, consequently, the
 165 decomposition dynamics of animal faeces, which can be a substantial component of SOM
 166 (humification). Humification as such renders SOM less decomposable (Blume et al., 2009;
 167 Dickinson, 2012) whereas the alkaline milieu in invertebrate midguts accelerates mineralisation
 168 (e.g. Li and Brune, 2007).

169 For instance, earthworm casts have species-specific NIR spectral signatures, indicating presence
 170 of specific organic compounds (Hedde et al., 2005). Under grass/legume pasture they are
 171 characterized by significant enrichment of slightly-altered plant residues in the sand particle size
 172 (> 53 µm). CPMAS 13C NMR (Cross-Polarization Magic Angle Spinning Carbon-13 Nuclear
 173 Magnetic Resonance) spectra showed that earthworm casts and surrounding soil were dominated
 174 by carbohydrates, with a decrease of O-alkyl C and an increase of alkyl C with decreasing
 175 particle size (Guggenberger et al., 1996). Moreover, earthworms likely possess a unique
 176 capability of neutralizing plant polyphenols that otherwise strongly decrease decomposition rates
 177 of fresh plant litter (Liebeke et al., 2015). Micro- and mesofauna excrete ammonium or dissolved
 178 organic carbon (Filser, 2002; Fox et al., 2006; Osler and Sommerkorn, 2007), and affect the
 179 quantity of microbial metabolites (Bonkowski et al., 2009). Gut passage, defecation, excretion
 180 together with bioturbation by macro- and mesofauna facilitate humification and decomposition,
 181 altering also nutrient stoichiometry (Bohlen et al., 2004). These modifications in the molecular
 182 structure of SOM due to soil fauna activity have significant effects on its dynamics (Swift et al.,
 183 1979; Guggenberger et al., 1995; Blume et al., 2009; Dickinson, 2012 and other references
 184 related to points 1 and 2 in Table 1).

185 2.2. Humic substances

186 The term “humic substances” may be considered problematic by part of the scientific community:
 187 neither is the concept itself clear, nor is there any evidence that the often mentioned highly
 188 complex large organic molecules play any relevant role in organic matter stabilisation under
 189 natural conditions (Schmidt et al., 2011; Lehmann and Kleber, 2015). However, here we stick to
 190 it when referring to the “insights”, simply for reasons of consistency with the article our
 191 argumentation is based on Schmidt et al., (2011). We acknowledge that “humus” or “humic
 192 substances” represent a continuum of more or less decomposed dead organic matter of which
 193 energy content and molecule size mostly should decrease over time, and that water solubility,
 194 sorption to the mineral matrix and accessibility for microorganisms are highly relevant for OM
 195 turnover (Lehmann and Kleber, 2015).

196 Humic substances are formed during the gut passage: organic matter in young soils and humic
 197 horizons almost completely consists of soil animal faeces (Lavelle, 1988; Martin and Marinissen,
 198 1993; Brussaard and Juma, 1996). Humus forms mainly comprise animal casts, e.g. casts of ants,
 199 isopods, millipedes, beetle larvae or termites in deadwood, of insect larvae and spiders in leaf
 200 litter, or casts of collembolans, mites and enchytraeids in raw humus. In his review, David (2014)
 201 considered macroarthropod casts being a factor of partial SOM stabilisation, rather than hotspots
 202 of microbial activity. The dark colour of casts (compared to the ingested organic material)
 203 visually demonstrates the strong chemical OM modification in animal guts, which is
 204 accompanied by a substantial physical modification. Clay-humus complexes, physically
 205 protecting organic matter (Jiménez and Lal, 2006), are mainly faeces of earthworms and
 206 diplopods (see also Sect. 2.5 on physical heterogeneity). Due to differences in feeding
 207 preferences, gut microflora, SOM quantities consumed etc. of soil animals, their faeces vary in
 208 size, shape and quality not only between fauna groups, but also between species within one group
 209 (see Sect. 3 on aggregate formation). Discovering the important role of animal faeces in
 210 humification is essential to improve our understanding of carbon dynamics in soil.

211 2.3. Fire-derived carbon

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218 Microbial recolonisation of burned sites is mediated by wind and soil animals that survived in
 219 soil or emigrated from neighbouring areas, e.g. by macro- and mesofauna, birds and mice
 220 (Malmström, 2012; Zaitsev et al., 2014). Besides, soil fauna also ingest the charcoal particles
 221 (Eckmeier et al., 2007; see Table 1). Due to animal activity, charcoal is sorted by size and
 222 translocated down the soil profile. Mice and earthworms (Eckmeier et al., 2007) and the tropical
 223 earthworm *Pontoscolex corethurus* (Topoliantz and Ponge 2003; Topoliantz et al., 2006) had
 224 been suggested as responsible for rapid incorporation of charcoal into the soil. Quantitative data
 225 are however scarce (Table 1). In spite of potentially great importance, the effect of soil animals
 226 on the fate of the “black carbon” in soil remains practically unknown (Ameloot et al., 2013).

227 2.4. Roots

228 Plant roots preferably grow in existing soil cavities (Jiménez and Lal, 2006), mostly formed by
 229 soil fauna (Wilkinson et al, 2009). Both burrowing and non-burrowing soil animals have a strong
 230 impact on root growth, allocation, length and density (Brown et al., 1994; Bonkowski et al.,
 231 2009; Arnone and Zaller, 2014). Animal grazing of root bacteria and mycorrhiza affects their
 232 activity and community composition, and animal excreta are enriched in micronutrients and
 233 selectively affect plant nutrition (Brown, 1995; Filser, 2002; Brussaard et al., 2007). Root
 234 herbivores and rhizosphere grazers affect C allocation of roots (Wardle et al., 2004) and largely
 235 regulate nutrient acquisition and plant productivity (Bonkowski et al., 2009). Not only root
 236 herbivores, but also saprotrophic/microbivorous soil animals may obtain a significant proportion
 237 of energy from plant roots (Pollierer et al., 2007). This suggests an animal-mediated regulatory
 238 loop that connects plant roots and SOM.

239 2.5. Physical heterogeneity

240 Animals fragment any organic residues, perform bioturbation, distribute organic matter and
 241 generate smaller and larger organic matter hot spots (e.g. faecal pellets, ant and termite mounds).
 242 According to body size, they act at various spatial scales, from micro-aggregates to landscapes
 243 (Ettema & Wardle, 2002; Jouquet et al., 2006). Mounds and burrows made by soil fauna are
 244 obvious signs of physical heterogeneity created by ecosystem engineers (Meysmann et al., 2006;
 245 Wilkinson et al., 2009; Sanders et al., 2014), which significantly affect microorganisms, plants
 246 (Chauvel et al., 1999; Frelich et al., 2006), aggregate stability (Bossuyt et al., 2005; 2006),
 247 hydraulic properties (Bottinelli et al., 2015; Andriuzzi et al., 2016), sorption and degradation of
 248 sparingly soluble organic compounds (Edwards et al., 1992; Bolduan and Zehe, 2006) and C
 249 emissions (Wu et al., 2013; Lopes de Gerenyu et al, 2015). Earthworms in particular feed on
 250 organic and mineral parts of the soil and mix them (Eckmeier et al., 2007; Wilkinson et al.,
 251 2009). The resulting clay-organic matter complexes considerably increase SOM retention of soils
 252 (Jiménez and Lal, 2006; Fox et al., 2006; Brussaard et al., 2007), although C loss from fresh casts
 253 is much higher than from surrounding soil (Zangerlé et al., 2014). The impact on soil processes
 254 and physical heterogeneity varies considerably between different groups of ecosystem engineers
 255 (Jouquet et al., 2006; Bottinelli et al., 2015). For instance, some earthworm species strongly
 256 affect their physical environment while others are more linked to the soil organic matter content
 257 (Jiménez et al. 2012).

258 2.6. Soil depth

259 In most soil types, pore volume, carbon content, associated biotic processes and temperature
 260 variability strongly decrease with depth whereas other parameters such as bulk density and water
 261 content increase – all of which significantly affect SOM turnover rates. The depth of organic
 262 horizons varies with soil type, from almost zero to several metres. Thus, Schmidt et al. (2011)
 263 identified soil depth as another “key insight”. Yet, digging animals play a key role in the
 264 development of soil depth. A considerable part of physical heterogeneity are animal burrows that

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267 can reach several meters deep. Bioturbation (e.g. by earthworms, termites, ants, beetle and
 268 Diptera larvae, spiders, solitary bees and wasps, snails, isopods and amphipods, puffins, lizards,
 269 porcupines, pigs, moles, voles, rabbits, foxes, or badgers) is a key process to the formation of soil
 270 depth, soil structure and associated C translocation, as shown by several examples in Table 1 and
 271 reviewed e.g. by Wilkinson et al. (2009).

Gelöscht: ground owls

272 2.7. Permafrost

273 Due to unfavourable environmental conditions (resulting in low animal biomass, activity and
 274 diversity), there is only little impact of fauna in permafrost soils (De Deyn et al., 2008). However,
 275 fauna invasions, especially of above-mentioned soil engineers, due to soil melting in tundra and
 276 boreal forests are likely to have drastic effects (Frelich et al., 2006; Van Geffen et al., 2011). Data
 277 on earthworm invasions in North American forests (Bohlen et al., 2004; Frelich et al., 2006;
 278 Eisenhauer et al., 2007) show that they must be taken into consideration in carbon-rich soils,
 279 particularly in melting permafrost soils (Frelich et al., 2006; Schmidt et al., 2011) where they
 280 may affect many soil functions.

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282 2.8. Soil microorganisms

283 Soil fauna comprise ecosystem engineers as well as an armada of mobile actors connecting
 284 elements of the soil system, mediating microbial processes (Briones, 2014). Countless isopods,
 285 ants, termites, enchytraeids, microarthropods, nematodes or protozoans make large contributions
 286 to SOM turnover underground (Persson, 1989; Filser, 2002; Wardle et al., 2004; Fox et al., 2006;
 287 Osler and Sommerkorn, 2007; Wilkinson et al., 2009; Wu et al., 2013). They affect the activity
 288 and community composition of soil microorganisms in multiple ways such as feeding, burrowing,
 289 facilitating the coexistence of different fungal species (Crowther et al., 2011) or by modifying
 290 micro-habitat conditions. Litter comminution by detritivores increases SOM accessibility for
 291 microorganisms, and propagules are dispersed with body surface and casts. The gut environment
 292 provides protected microsites with modified biotic and abiotic conditions, which increase
 293 bacterial abundance substantially – e.g. by three orders of magnitude in earthworm guts (Edwards
 294 and Fletcher, 1988). Grazing affects microbial biomass, activity and community composition, and
 295 animal excreta modify nutrient availability for microorganisms (Brown, 1995; Filser, 2002).
 296

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297 3. Aggregate formation

298 The modern view on the stability of organic matter in soils requires a thorough understanding of
 299 aggregate structure and formation including the role of soil biota (Lehmann and Kleber, 2015).
 300 Soil aggregation is the process by which aggregates of different sizes are joined and held together
 301 by different organic and inorganic materials. Thus, it includes the processes of formation and
 302 stabilization that occur more or less continuously, and can act at the same time. With clay
 303 flocculation being a pre-requisite for soil aggregation, the formation of aggregates mainly occurs
 304 as a result of physical forces, while their stabilization results from a number of factors, depending
 305 in particular on the quantity and quality of inorganic and organic stabilizing agents (Amézketa,
 306 1999).

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307 By bioturbation, feeding and dispersal of microbial propagules soil animals regulate all of the
 308 above forces and agents, and are therefore a crucial factor in the formation and stabilisation of
 309 soil aggregates. Earthworms, many insect larvae and other larger fauna may stabilize aggregate
 310 structure by ingesting soil and mixing it intimately with humified organic materials in their guts,
 311 and egesting it as casts or pellets (Tisdall and Oades, 1982; Oades, 1993).

312 Earthworms have a direct and fast impact on microaggregate formation and the stabilization of
 313 new C within these microaggregates (Bossuyt et al., 2005) (Table 1). There are several

322 mechanisms to explain the increase of micro- and macroaggregate stability by earthworms, but no
 323 mechanism has been quantified in relation to population size yet. Effects are related to ecological
 324 groups of earthworms, associated with feeding habit, microhabitat in the soil profile, and burrow
 325 morphology. However, irrespective of this classification, species may enhance or mitigate soil
 326 compaction (Blanchart et al., 1997; Guéi et al., 2012). The tensile strength of casts appears to be
 327 species dependent: for example, the casts of *Dendrobaena octaedra* have a lower tensile strength
 328 compared to those of *L. terrestris* (Flegel et al., 1998). Similarly, organic carbon and water-stable
 329 aggregation was significantly higher in casts of *L. terrestris* than in casts of *A. caliginosa*
 330 (Schrader and Zhang, 1997).

331 Some research, however, suggests that earthworm activity can also evoke soil degradation.
 332 Shipitalo and Protz (1988) proposed that ingestion of soil by earthworms results in disruption of
 333 some existing bonds within micro-aggregates and realignment of clay domains. Therefore, fresh
 334 casts are more dispersible than uningested soil, contributing to soil erosion and crusting.
 335 Significant improvement in the water stability of fresh, moist casts only occurs when
 336 incorporated organic debris from the food sources is present and when moist casts are aged or
 337 dried. Nevertheless, in the long term, casting activity enhances soil aggregate stability.

338 However, our understanding of the contribution of soil fauna to aggregate formation and
 339 stabilization is limited, and mostly qualitative in nature. Different methodologies complicate the
 340 comparison among aggregate stability data (Amézketa, 1999). Data in terms of functional
 341 response to density are limited as many studies have been conducted in arable systems, where the
 342 diversity and abundance of soil animals are reduced as a consequence of tillage, mineral
 343 fertilizers and pesticide use. Recently, some studies have emerged. A negative correlation
 344 between earthworm abundance and total macroaggregates and microaggregates within
 345 macroaggregates in arable treatments without organic amendments could be linked to the
 346 presence of high numbers of *Nematogena lacuum*, an endogeic species that feeds on excrements
 347 of other larger epigeic worms and produces small excrements (Ayuke et al., 2011). Under the
 348 conditions studied, differences in earthworm abundance, biomass and diversity were more
 349 important drivers of management-induced changes in aggregate stability and soil C and N pools
 350 than differences in termite populations. Another study highlighted that in fields converted to no-
 351 tillage earthworms incorporated C recently fixed by plants and moved C from soil fragments and
 352 plant residues to soil aggregates of >1 mm (Arai et al., 2013). Thus, soil management practices
 353 altering fauna activities may have a significant effect on the re-distribution of soil organic matter
 354 in water-stable aggregates, impacting agronomically favourable size fractions of water-stable
 355 macro-aggregates, and water-stable micro-aggregates which are the most important source of
 356 carbon sequestration (Šimanský and Kováčik, 2014).
 357

358 4. Regional differences in climate, soils and land use

359 [1] a global meta-analysis spanning several continents, García-Palacios et al. (2013) show that
 360 across biomes and scales the presence of soil fauna contributes on average 27% to litter
 361 decomposition. Depending on the situation this contribution can be substantially lower or higher.
 362 For instance, the authors report an average increase in decomposition rates of 47% in humid
 363 grasslands whereas in coniferous forests this figure amounts to only 13%. The high impact of soil
 364 fauna in humid grasslands is all the more important as such grasslands are among those
 365 ecosystems that are most severely affected by global environmental change.

366 Many of our examples refer to earthworms and temperate regions as they have been studied most
 367 intensively. However, we suggest that any dominant group of soil fauna, irrespective of body size
 368 or the ability to create larger soil structures, may substantially affect carbon dynamics. Table 1
 369 gives a number of respective case studies. The key players and specific effects of soil animals
 370 vary across space (Fig. 2), with increasing importance for SOM dynamics in humid-warm and
 371 nutrient-limited conditions (Persson, 1989; Filser, 2002; Wardle et al., 2004; Fox et al., 2006;
 372 Osler and Sommerkorn, 2007; De Deyn et al., 2008; Briones, 2014). Once key players in a given

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[1] nach unten: More information is needed on how existing abiotic and biotic constraints to SOM decomposition will vary with changing climate and in different regions (Davidson and Janssens, 2006).

ecosystem have been identified, more detailed information on their biology is required, in particular on their activity, their ecological niche and corresponding tolerance limits. All this varies with species, and often extremely within one systematic group. Variation in drought or soil temperature towards limiting conditions will first increase (stress response, e.g. downward migration) and then strongly decrease activity (mortality or transition to inactive resting stage). Some key players will exhibit high abundance and be extremely active throughout the year (Wilkinson et al., 2009), others might only be moderately relevant during a short period of time; the contribution of a third group might be considered insignificant.

Also ecosystem engineers differ between soil types, biomes and land-use types, from rodents and ants in dry areas to termites, earthworms and millipedes in tropical rainforests. They consume different types of organic matter, make deep or shallow, narrow or wide burrows, and differ in aggregation behaviour (e.g. more or less regularly distributed earthworms versus distinct ant nests and termite mounds). Accordingly, their role in SOM re-distribution and turnover differs as well. In cold ecosystems – where, together with wetlands and peatlands, the majority of terrestrial carbon is stored (Davidson and Janssens, 2006) – the response of detritivores to climatic change is expected to be most pronounced (Blankinship et al., 2011). Melting of permafrost soil might lead to northward expansion of soil macro-invertebrates, associated with accelerated decomposition rates (van Geffen et al., 2011). Further examples are shown in Table 1.

More information is needed on how existing abiotic and biotic constraints to SOM decomposition will vary with changing climate and in different regions (Davidson and Janssens, 2006). Finally, human activity comes into play: any significant land use change, particularly soil sealing and conversion of native forest to agricultural land, has dramatic consequences for abundances and species composition of soil communities. The same holds true for management intensity and pollution (Filser et al., 1995; Filser et al., 2002; Filser et al., 2008; De Vries et al., 2012). Yet, even seemingly harmless activities can be significant, as we will show for the case of fishing in the end of Sect. 5 – pointing out the relevance of human activities for soil fauna beyond impact on global warming and land use change. How we address all this biogeographical and ecological variation is shown in Sect. 5 and 6.

5. Implications for modelling

As there is no unambiguous scientific support for the widespread belief in “humic substances” (here defined as very large and highly complex, poorly degradable organic molecules with manifold aromatic rings; Lehmann and Kleber, 2015), the question how long organic carbon remains in soil is largely related to a) physical protection and b) how often the once photosynthesized dead organic matter is recycled in the soil food web. For both processes soil animals are of great importance, as we have shown above. Biomass and abundance of soil animals are generally constrained by temperature, humidity and food (living or dead organic matter). However, the effects of these constraints on their activity are not simply additive, nor is there any simple relation between biomass and activity. For example, despite overall unfavourable conditions for the majority of soil organisms, burrowing activity in deserts can be extremely high (Filser and Prasse, 2008). Moreover there is increasing evidence that fauna effects on energy and nutrient flow can be at least partly decoupled from other abiotic and biotic factors (Frouz et al., 2013). De Vries et al. (2013) even concluded that “Soil food web properties strongly and consistently predicted processes of C and N cycling across land use systems and geographic locations, and they were a better predictor of these processes than land use”. This implies that knowledge of fauna may increase our prediction power. The thermodynamic viewpoint makes the issue even more relevant: reaction speed increases with temperature, but most soil organisms are rather adapted to relatively cool conditions and might thus be pushed beyond their niche limits – with eventually negative consequences on their activity, see Sect. 4. Changes in climate (Blankinship et al., 2011), land use (Filser et al. 2002; Tsiafouli et al., 2014), resource availability and biotic interactions (De Vries et al., 2012; see Table 2) alter the distribution, community composition, activity and associated impact of soil animals on

[1] verschoben

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431 distribution and turnover rate of SOM (Wall et al., 2008) to the extent that underlying
 432 assumptions of SOM models may no longer be valid (Swift et al., 1998; Bardgett et al., 2013;
 433 Schmitz et al., 2014). Therefore it is opportune to include approaches that have been developed
 434 during the past decades (Filser, 2002; Jiménez and Lal, 2006; Osler and Sommerkorn, 2007;
 435 Brussaard et al., 2007; Meysmann et al., 2006; Wall et al., 2008; Sanders et al., 2014). For
 436 instance, implementing earthworm activity in the CENTURY model (Lavelle et al., 2004)
 437 revealed a 10% loss of the slow C pool without earthworms within 35 years. For this purpose,
 438 observations on long-term incubated earthworm casts and sieved control had been used as a
 439 reference. Afterwards earthworm activity was simulated with CENTURY by replacing the active
 440 and slow soil C decomposition rates of the model with those obtained by calibration with the
 441 control soil.

442 Without considering the role of animals, models are less accurate: in a field study spanning four
 443 countries from Sweden to Greece, soil food web properties were equally important as abiotic
 444 factors and predicted C and N cycling processes better than patterns of land use (De Vries et al.,
 445 2013). In their study, earthworms enhanced CO₂ production whereas Collembola and
 446 bacterivorous nematodes increased leaching of dissolved organic carbon. Mechanistic
 447 experiments confirm that earthworms have a detrimental effect on the greenhouse gas balance
 448 under nitrogen-rich conditions (Lubbers et al., 2013) and under no-till (Lubbers et al., 2015).
 449 Inclusion of group-specific diversity of mesofauna in models of global-scale decomposition rates
 450 increased explained variance from 70 to 77% over abiotic factors alone (Wall et al., 2008). Also
 451 García-Palacios et al (2013) provide additional evidence on the argument that soil fauna activity
 452 is not merely a product of climate, soil properties and land use but an independent parameter.
 453 These examples indicate that the actors that play an important role in SOM dynamics should be
 454 considered in SOM models.

455 Model parameters are often measured *in situ* at relatively large spatial scales – at least compared
 456 with the size or activity range of most soil animals. As a result, the fauna effect is *de facto*
 457 included, although not appreciated (Swift et al., 1998). However, in many cases parameters are
 458 measured or extrapolated by combining *in situ* methods (e.g. monitoring of gas flux or litterbag
 459 experiments) and *ex situ* techniques such as laboratory experiments at controlled, highly
 460 simplified conditions. Especially the results of the latter may be sensitive to neglecting soil fauna.
 461 A relationship between animal activity and C turnover may vary with scale, for instance when
 462 soil properties or animal abundance differ at larger distance. However, as data is often
 463 insufficient, it will be context-dependent if the inclusion of fauna is sensible or not (see Sect. 6).
 464 On the other hand, not taking explicitly into account the spatial heterogeneity created by soil
 465 fauna in field measurements might lead to substantial errors in calculating carbon budgets (Wu et
 466 al., 2013; Lopes de Gerenyu et al, 2015). It is thus crucial to develop sound (and biome-specific)
 467 strategies for combining *in-* and *ex-situ* measurements as parameters in more realistic SOM
 468 models.

469 Next to space, scale effects also apply to temporal patterns – which poses a great challenge for
 470 SOM modelling as most studies refer to rather short periods of time. We illustrate this by the
 471 comparatively well studied impact of invasive earthworms. The meta-analysis of Lubbers et al.
 472 (2013) suggests that the effect of earthworms on total SOC contents is on average relatively
 473 small. In contrast, in certain situations earthworms can strongly affect greenhouse gas emission.
 474 These data were however mainly obtained in relatively short-term experiments. Over a period of
 475 months to years and even decades, earthworms can reduce C decomposition by physical
 476 protection of C in ageing casts (Six et al., 2004, see Table 1).

477 Thus, long-lasting effects of invasive earthworms on the total SOC storage cannot be determined
 478 with certainty in short-term experiments, whereas field observations are rather controversial. For
 479 instance, Wironen and Moore (2006) reported ca. 30% increase in the total soil C storage in the
 480 earthworms-invaded sites of an old-growth beech-maple forest in Quebec. Other studies (e.g.
 481 (Sackett et al., 2013; Resner et al., 2014) suggest a decrease in C storage. Zhang et al (2013)
 482 introduced the sequestration quotient concept to predict the overall effect of earthworms on the C
 483 balance in soils of different richness, but the question remains strongly understudied.

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485 These well documented examples of the impact of earthworms on soil C storage are related to
 486 invasive species. The presence of these species cannot be inferred directly from the climatic, soil
 487 and vegetation properties. The distributions of European invasive earthworms in North America,
 488 North European forests or South Africa are largely driven by human activity. Often fishing (due
 489 to lost baits), imported plants or potting material of colonizing farmers (Reinecke, 1983) are more
 490 important for these than habitat transformation – without human's help earthworms are not active
 491 invaders (Stoscheck et al., 2012; Tiunov et al., 2006; Wironen and Moore, 2006). Thus the
 492 presence of earthworms can be an environment-independent parameter of SOM dynamics.

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493 Another fundamental issue in the large-scale approach is often neglected: When including the
 494 effects of the soil fauna implicitly, this assumes that the soil fauna will always have the same
 495 effects under the same conditions, and hence that the soil fauna is essentially static. This
 496 assumption is increasingly unrealistic in a fast-changing world where both biodiversity and the
 497 climate are changing at accelerated paces, and where we are likely to witness major
 498 reorganisations of plant, animal and microbial communities. Therefore explicit representation of
 499 the soil fauna, where possible, should increase the predictive ability of soil models.

500 Given the fact that this issue had been raised decades back (see above) it appears somewhat
 501 astonishing that attempts to pursue it have not yet made any significant progress. We believe
 502 there are mainly three reasons for this: a) missing information, b) too much detail, irrespective of
 503 spatial scale, and c) too little communication between empiricists and modellers. This is why we
 504 decided to bring into life a COST Action as an appropriate instrument to bridge these gaps. The
 505 next section gives an overview on it.

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506 6. Ways to proceed: COST Action ES 1406

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507 Based on the arguments compiled here, a COST Action entitled "Soil fauna - Key to Soil Organic
 508 Matter Dynamics and Modelling (KEYSOM)" was launched in March 2015
 509 ([http://www.cost.eu/COST Actions/essem/ES1406](http://www.cost.eu/COST%20Actions/essem/ES1406)). An interdisciplinary consortium of soil
 510 biologists and biogeochemists, experimenters and modellers from 23 European countries plus the
 511 Russian Federation and the USA cooperates to implement soil fauna in improved SOM models as
 512 a basis for sustainable soil management. Main aim of KEYSOM is to test the hypothesis that the
 513 inclusion of soil fauna activities into SOM models will result in a better mechanistic
 514 understanding of SOM turnover and in more precise process descriptions and output predictions
 515 of soil processes, at least locally. A number of workshops address key challenges in
 516 experimentation and modelling of SOM and soil fauna and support research exchange and access
 517 to experimental data. Special attention is given to education of young scientists. The Action
 518 comprises four Working Groups (WG) with the following topics:

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- 519 1. Knowledge gap analysis of SOM – soil fauna interactions;
- 520 2. Potentials and limitations for inclusion of soil fauna effects in SOM modelling;
- 521 3. Data assemblage and data sharing;
- 522 4. Knowledge management and advocacy training.

523 After an intensive and enthusiastic workshop held in Osijek, Croatia in October 2015, first
 524 activities included compilation of literature, the setup and permanent update of a website
 525 (<http://keysom.eu/>). Meanwhile short-term scientific missions for early-career scientists have
 526 been launched (<http://keysom.eu/stsm/KEYSOM-STSMs-are-open-for-application>), aiming for
 527 complementing the Action's activities. The second workshop was held in Prague in April 2016.

528 Next to a first compilation of knowledge gaps in this article, present activities of KEYSOM
 529 involve

- 530 - a literature review on biome-specific effects of soil fauna impact on SOM turnover

Kommentiert [JF28]: 13

Formatiert: Listenabsatz, Aufgezählt + Ebene: 1 +
 Ausgerichtet an: 0,63 cm + Einzug bei: 1,27 cm

- a literature review on the impact of soil fauna other than earthworms on SOM turnover
- a compilation of the potentials and limitations of existing SOM models
- the development of a simple SOM model based on the current state of knowledge exchange between empiricists and modellers within KEYSOM
- the preparation of a common European-wide field study into the impact of soil fauna composition and abundance on SOM breakdown, distribution and aggregate formation, which will start in autumn 2016
- the preparation of a summer school, to be held in early October 2016 in Coimbra, Portugal

Kommentiert [JF29]: 23

Formatiert: Listenabsatz, Abstand Vor: 0 Pt., Aufgezählt + Ebene: 1 + Ausgerichtet an: 0,63 cm + Einzug bei: 1,27 cm

Formatiert: Abstand Vor: 0 Pt.

Kommentiert [JF30]: 5, 24, 27

Fig. 3 illustrates the present state of our interdisciplinary discussions, providing a roadmap how SOM models could be supplemented with the effects of soil fauna. In the first phase, empiricists (Fig. 3A) and modellers (Fig. 3B) work in parallel. Mutual exchange between these groups is guaranteed by the regular workshop meetings such as in Osijek and Prague.

The stepwise approach functions like a decision tree, with various feedback loops and options at every step if and how known effects of soil fauna could be implemented into SOM. It also identifies under which circumstances additional research (literature review or experimental studies) needs to be initiated before proceeding further. As many existing models, also the new model should have a modular structure so that different modules can be used and combined according to the respective biome- and scale-specific scenario (Fig. 3C). It can also be seen that we do not aim to include every detail everywhere: in some situations (Fig. 3A) the impact of soil fauna on SOM dynamics might be too small (or existing information too scanty) to be included, and not all input parameters will be feasible or relevant at each scale (miniature in Fig. 3C). This keeps the model manageable, and also flexible enough to allow for more precise predictions in critical scenarios, like in the case of earthworm invasions sketched in Sect. 5. We generally think that focusing on such critical scenarios (analogous to e.g. global biodiversity hotspots) is a crucial precondition for well-informed management decisions, one of the final aims of KEYSOM.

Formatiert: Nicht Hervorheben

As an example, box no. 1 in Fig. 3A stands for the first literature review in the above list. Depending on the outcome, for each biome a decision will be made if the impact of fauna on SOM turnover is unknown, relevant or low. In the first case, more research is needed, in the last case the faunal effect can be ignored. Depending on the outcome of additional research, the knowledge base will be improved and the decision between ignoring and proceeding further can be made anew. If a strong effect is expected, the next question (box no. 2 in Fig. 3A) will be addressed and so forth.

Once the procedure in Fig. 3A has reached box no. 4, intensive exchange with modellers (Fig. 3B) is mandatory to identify the relevant model parameters and the type of functional relationship (box 5). Mechanistic aspects (such as chemical transformation in the gut, physical protection within aggregates or impact on hydraulic soil properties via digging) are of prime importance here as each of these examples may have different effects on C turnover. Effects of fauna abundance or biomass (in comparison to presence-absence) on the shape of the function will be addressed as well. Note, however, that to date necessary data for such an approach appear to be limited (García-Palacios et al., 2013). – In the meantime, the modellers will have developed a basic model structure and compare it with the structures of existing SOM models concerning potentials and limitations for including fauna effects (Fig. 3B).

Kommentiert [JF31]: 3

Kommentiert [JF32]: 4

The second phase (Fig. 3C) starts with the practical tests of the collected model parameters (boxes 6 and 7), using data that have been compiled by then by WG 3, allowing for selecting the best model (box 8). At this point, spatial scale comes into play, which is likely to be the most critical issue: As we have seen also while preparing this article, existing data on the impact of soil fauna on SOM turnover are highly diverse, from short-term and often highly artificial

579 experiments at controlled conditions to large-scale correlative field studies in all kinds of
 580 different environments (and with a strong bias what comes to certain biomes). The type of
 581 relationship between faunal abundance and SOM turnover will in most cases vary with scale. If
 582 data for different scales is not available (box 9), further research is needed. In the second case,
 583 one can proceed with boxes 10 and 11.

584 Importantly, the idea is not to include the fauna in every situation everywhere. Rather we aim at
 585 identifying critical hotspots and scenarios (see above) where faunal activities play a crucial role
 586 in SOM turnover, as demonstrated in Sect. 5. Due to the abovementioned differences between
 587 biomes and scale effects, these scenarios will be biome- and scale-specific. An example is shown
 588 in the lower left corner of Fig. 3C. For Biome A, hydraulic properties have been identified to be
 589 crucial for SOM dynamics. Thus, data is needed on animals that affect these, such as digging
 590 earthworms or rodents. Instead, the analyses for Biome B have revealed aggregate structure and
 591 microorganisms being most relevant – claiming for respective data at the small scale. On a larger
 592 scale (shaded miniature in Fig. 3C), such data for microorganisms might not be available, which
 593 implies proceeding with aggregate structure alone.

594 Overall, the whole approach requires a modular model structure, allowing for using different
 595 models according to the respective situation and data availability. This is what WG 2 is currently
 596 developing. – Certainly all the research outlined here cannot be done within one single COST
 597 Action. Based on the outcome of our work, we hope to come up with a more detailed roadmap
 598 how to further proceed to improve SOM modelling. This roadmap, together with what could be
 599 achieved with the limited resources of KEYSOM, will provide information material, decision
 600 tools and management options for decision makers and politicians (WG 4).

Kommentiert [JF33]: 7

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602 7. Conclusions and outlook

603 Understanding and modelling SOM is essential for managing the greenhouse gas balance of the
 604 soil, for land restoration from desertification, for sustaining food production and for the
 605 conservation of above- and belowground biodiversity and associated ecosystem services (Nielsen
 606 et al., 2015). Soil animal abundance, biodiversity, species traits and interactions are crucial for
 607 SOM turnover (Chauvel et al., 1999; Bohlen et al., 2004; Wardle et al., 2004; Wall et al., 2008;
 608 Uvarov, 2009). In Table 2 we give recommendations how the known impact of soil fauna on
 609 SOM turnover could be used for improving carbon models. Due to the pronounced differences
 610 with respect to climate, soil and land use outlined above, it is important that these
 611 recommendations are considered region- and scale-specific, taking into account the key players
 612 and their specific activities in the respective area.

614 Author contribution

615 J. Filser wrote the article, prepared Fig. 1 and 3 and the tables and compiled the contributions
 616 from all co-authors. These are listed according to their quantitative and qualitative impact on the
 617 manuscript, except for J.J. Jiménez who was placed last as he is the chair of COST Action ES
 618 1406 (KEYSOM). L. Brussaard suggested including Fig. 2.

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Gelöscht: Based on the arguments compiled here, a COST Action entitled “Soil fauna - Key to Soil Organic Matter Dynamics and Modelling (KEYSOM)” was established in March 2015 (http://www.cost.eu/COST_Actions/essem/ES1406). An interdisciplinary consortium of soil biologists and biogeochemists, experimenters and modellers from 21 European countries plus the Russian Federation and the USA cooperates to implement soil fauna in improved SOM models as a basis for sustainable soil management. A number of workshop will address key challenges in experimentation and modelling of SOM and soil fauna and support research exchange and access to experimental data. Special attention will be given to education of young scientists. The Action comprises four Working Groups with the following topics:¶
 1. Knowledge gap analysis of SOM – soil fauna interactions;¶
 2. Potentials and limitations for inclusion of soil fauna effects in SOM modelling;¶
 3. Data assemblage and data sharing;¶
 4. Knowledge management and advocacy training.¶
 After an intensive and enthusiastic workshop held in Osijek, Croatia in October 2015, first activities included compilation of literature, the setup and permanent update of a website (<http://keysom.eu/>). Meanwhile short-term scientific missions for early-career scientists have been launched (<http://keysom.eu/stsm/KEYSOM-STSMs-are-open-for-application>). The second workshop was held in Prague in April 2016. One major outcome was the plan to conduct a common European-wide field study related to the overall objective.¶

Gelöscht: e

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 656
 657

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1066 **Tables**

1067 **Table 1.** Quantitative examples of the impact of earthworms and selected groups of other soil fauna on
 1068 soil properties and processes involved in soil organic matter (SOM) turnover. **If not mentioned otherwise,**
 1069 **any numbers or percentages refer to the control without fauna. Selected particularly striking examples are**
 1070 **printed in bold.**

Kommentiert [JF34]: 20

Insight*	Examples	Source
	Earthworms	
1. Molecular structure	An indicator of lignin degradation in earthworm casts was twice that of the surrounding soil	Guggenberger et al., 1995
2. Humic substances	Introduced earthworms can double microaggregate formation and the stabilization of new C in the topsoil	Marashi and Scullion, 2003; Six et al., 2004
	C protection is promoted by microaggregates within large macroaggregates, and earthworms can add 22% anew to this C pool	Bossuyt et al., 2005
	Exclusion of earthworms reduced SOC accumulation by 0 (at 0-10 cm depth) to 75% (at 30-40 cm depth), associated with a decrease in percentage of water-stable aggregates	Albrecht et al., 2004, cited in Schmidt et al., 2011
	In organic layers of a Canadian aspen forest, in locations with earthworms, N (1.5–0.8%) and especially C concentrations (25.3–9.8%) were strongly reduced, together with C/N ratio (16.7–13.2) and soil pH (6.5–6.1); in brackets: control values vs. values with earthworms. This suggests a shift towards a faster cycling system, resulting in a net loss of C from the soil and turning Northern temperate forests from C sinks into C sources	Eisenhauer et al., 2007
3. Fire-derived carbon	Small charcoal particles from burned plots after one year increased by 21% in 0-1 cm depth. One year later they were concentrated in earthworm casts at the soil surface, after 6.5 years such casts were found at 8 cm depth	Eckmeier et al., 2007
4. Roots	Presence of earthworms in a continuous maize plot in Peruvian Amazonia increased the organic C input from roots by 50%	Jiménez et al., 2006
5. Physical heterogeneity (see also insights no. 2, 3, 6 and 7)	Up to 50% of soil aggregates in the surface layer of temperate pastures are earthworm casts	Van de Westeringh, 1972
	Mull-type forest soil top layers and wooded savanna soils consist almost entirely of earthworm casts	Kubiens, 1953; Lavelle, 1978
	Earthworm inoculation in pastures on young polder soils completely removed within 8-10 years the organic surface layer, incorporated it into deeper layers, creating an A horizon. This affected manifold measures, increasing e.g. grass yield by 10%, root content in 0-15% from 0.38 to 1.31 g dm⁻³, C content in 0-20 cm from 1.78 to 16.9 kg C * 10³ ha⁻¹, and water infiltration capacity from 0.039 to 4.6 m 24 h⁻¹. In turn, penetration resistance at 15 cm depth decreased from 35 to 22 kg cm⁻².	Hoogerkamp et al., 1983
	In average temperature pasture and grasslands, earthworms cast 40-50 t ha ⁻¹ year ⁻¹ on the surface and even more below surface	Lee, 1985
	Passage of a tropical soil through the gut of the invading earthworm <i>Pontoscolex corethrurus</i> reduced macroporosity from 21.7 to 1.6 cm³ g⁻¹, which exceeded the effect of mechanically compacting the same soil at 10³ kPa (resulting macroporosity: 3 cm³g⁻¹)	Wilkinson et al., 2009
	After invasion of European earthworms into a Canadian aspen forest a thick layer of their cast material (thickness up to 4 cm) on top of organic layers was developed	Eisenhauer et al., 2007
6. Soil depth	Burrows of anecic earthworms are up to several meters deep and last for many years	Edwards and Bohlen, 1996

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[3] nach unten: 7. Permafrost and boreal areas

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[2] nach oben: * According to Schmidt et al. (2011)

* According to Schmidt et al. (2011)

1076

Table 1. (continued)

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Insight*	Examples	Source
Earthworms		
7. Permafrost and boreal areas	Earthworm invasions in boreal forests completely transformed mor to mull soils and significantly altered the entire plant community	Frelich et al., 2006
8. Soil microorganisms	Earthworms may lower actual microbial activity (by 11-23%) but markedly (by 13-19%) optimize microbial resource utilization.	Scheu et al., 2002
Ants and termites		
2. Humic substances	In a degraded marsh in NE China, ant mounds were CH₄ sinks, contrary to the control soils which were CH₄ sources (-0.39 – -0.19 mg vs. 0.13 – 0.76 m² h⁻¹)	Wu et al., 2013
5. Physical heterogeneity	Ant and termite mounds can occupy up to 25% of the land surface	Bottinelli et al., 2015
5. Physical heterogeneity and 6. soil depth	Underground nests of leafcutter ants (e.g. <i>Atta</i> spp.) can cover up to 250 m² and extend down to 8 m., which is associated with a massive impact on forest vegetation	Correa et al., 2010
Collembola		
8. Soil microorganisms	Grazing by Collembola affected community composition of ectomycorrhizal fungi and on average reduced ¹⁴ CO ₂ efflux from their mycelia by 14%	Kanters et al., 2015
	Grazing by <i>Protaphorura armata</i> at natural densities on AM fungi disrupted carbon flow from plants to mycorrhiza and its surrounding soil by 32%	Johnson et al., 2005
	The presence of a single Collembola species may enhance microbial biomass by 56%	Filser, 2002
	At elevated temperature, litter decay rates were up to 30% higher due to Collembola grazing	A'Bear et al., 2012
Various or mixed groups		
1. Molecular structure	Microbial grazing by Collembola or enchytraeids alone enhanced leaching of NH ₄ ⁺ or DOC by up to 20% ⁵	Filser, 2002
	Feeding by millipedes and snails reduced the content of condensed tannins in three Mediterranean litter species from 9–188 mg g⁻¹ dry matter to almost zero	Coulis et al., 2009
	Long-term mineralisation of fauna faeces may be slower than the mineralisation of litter from which the faeces were produced. This decrease in decomposition rate corresponds to a decrease in the C:N ratio and in the content of soluble phenols.	Frouz et al., 2015a,b
	Due to stoichiometric constraints, soil animals tend to reduce the C concentration of SOM, but increase N and P availability. About 1.5% of the total N and P in the ingested soil was mineralized during gut passage in humivorous larvae of the scarabaeid beetle <i>Pachmoda ephippiata</i>. In <i>Cubitermes ugandensis</i> termites, the ammonia content of the nest material was about 300-fold higher than that of the parent soil.	Li et al., 2006; Li and Brune, 2007; Ji and Brune, 2006
2. Humic substances	In a laboratory experiment, activity of earthworms, Collembola, enchytraeids and nematodes in coarse sand liberated >40% from the insoluble C pool as compared to the control	Fox et al., 2006
	Radiolabelled proteins and phenolic compounds in litter are faster transformed to humic acids (as revealed by alkaline extraction and acid precipitation) via feces of Bibionidae (Diptera) than from litter not eaten by fauna	Frouz et al., 2011
	The quantitative contribution of invertebrates (mainly beetles and termites) to wood decomposition ranges between 10-20%	Ulyshen, 2014
	Depending on fungal and animal species (Collembola, isopods and nematodes), grazing on fungi colonising wood blocks altered (mostly decreased) their decay rates by more than 100%. Isopods and nematodes had opposite effects in this study.	Crowther et al., 2011

* According to Schmidt et al. (2011)

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Table 1. (continued)¶
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[4] nach unten: ¶
Carbon and nitrogen losses from soil followed by drought and rewetting were substantially affected by microarthropod richness, which explained 42% of the residual variance.

Gelöscht: ¶
Carbon and nitrogen losses from soil followed by drought and rewetting were substantially affected by microarthropod richness, which explained 42% of the residual variance.

Table 1. (continued)

<u>Insight*</u>	<u>Examples</u>	<u>Source</u>
	<u>Various or mixed groups</u>	
<u>2. Humic substances (continued)</u>	<u>Carbon and nitrogen losses from soil followed by drought and rewetting were substantially affected by microarthropod richness, which explained 42% of the residual variance.</u>	<u>De Vries et al., 2012</u>
5. Physical heterogeneity	Bioturbation rates of soil animal groups typically range between 1 and 5 Mg ha⁻¹ y⁻¹ but may reach up to 10 (crayfish, termites), 20 (vertebrates), 50 (earthworms) and > 100 Mg ha⁻¹ y⁻¹ (earthworms in some tropical sites), which is equivalent to maximum rates of tectonic uplift	Wilkinson et al., 2009
8. Soil microorganisms	In the course of a 2.5-yr succession, fauna activities (especially of nematodes and mesofauna during the first year, and later of earthworms) accelerated microbial decomposition of clover remains in an arable soil by 43% Depending on vegetation, animal group and climate, soil animals directly or indirectly increased C mineralisation between 1% and 32%. However, intensive grazing by fungal feeders may even reduce C mineralisation	Uvarov, 1987 Persson, 1989

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* According to Schmidt et al. (2011)

1092 **Table 2.** “Insights” (compiled after Schmidt et al., 2011) for future soil organic matter models and
 1093 recommendations for further improvements by implementing effects of soil fauna

SOM modelling element (“Insight”)	Recommendations*
1. Molecular structure	Incorporate the knowledge on the structure of organic substances and element concentrations in faunal casts and excreta in SOM decay rate models. Consider linkage between C and N cycling mediated by fauna. See 8.
2. Humic substances	Add physical and chemical stability of casts, patterns of their microbial colonisation and degradation dynamics. See 1, 3, 5, 6, 7, 8.
3. Fire-derived carbon	Include recolonisation and inoculation potential of surviving soil fauna and adjacent fauna. Initiate studies on the impact of fauna on the fate of black carbon (fragmentation, gut, casts, decomposition, and recolonisation).
4. Roots	Add activity of bioturbators, rhizosphere microbial grazers and root herbivores. See 1, 5, 6, 8.
5. Physical heterogeneity	Consider spatial and physicochemical heterogeneity created by soil fauna, including consequences of soil aggregation and dis-aggregation (e.g. bulk density, infiltration rate, preferential flow, casts). See 1, 2, 6, 8.
6. Soil depth	Incorporate burrowing depth and annual transport rates of bioturbators and animal-induced spatial heterogeneity of old and young carbon in the deep soil. See 5.
7. Permafrost	For warming scenarios, take into account short- and long-term invasion effects, particularly of earthworms and enchytraeids.
8. Soil microorganisms	Add microbial grazer effects, effects on microorganisms during gut passage and faunal impact on C and N coupling. See 1-7.

1094 * Recommendations refer to site-specific keystone groups of animals (dominating in terms of biomass or impact; see
 1095 Fig. 2). Their prevalence is determined by climate, bedrock and land use (e.g. rodents or ants in deserts, earthworms in
 1096 temperate grasslands or microarthropods and enchytraeids in acidic Northern forests).

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1099 **Figure Captions**

1100

1101 **Figure 1.** Main animal-mediated processes (boxes) affecting the eight insights (symbols)
1102 identified by Schmidt et al. (2011) that should be considered for improving SOM models

1103

1104 **Figure 2.** Dominant soil types and characteristic soil forming invertebrates across biomes (major
1105 global change threats are shown in italics). MAT = mean annual temperature, MAP = mean
1106 annual precipitation. Sources for data and biomes see Brussaard et al. (2012).

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1109

1110 **Figure 3.** Flow scheme for an improved understanding of the role of soil fauna for soil organic
1111 matter (SOM) turnover. This scheme is basically followed within the COST Action ES 1406
1112 (KEYSOM). Activities in A) and B) run parallel, followed by C) which ends with an improved
1113 SOM model. Exemplarily shown are scenarios for two biomes; the shaded miniature displays a
1114 different scale for one of them. Further explanations see text.

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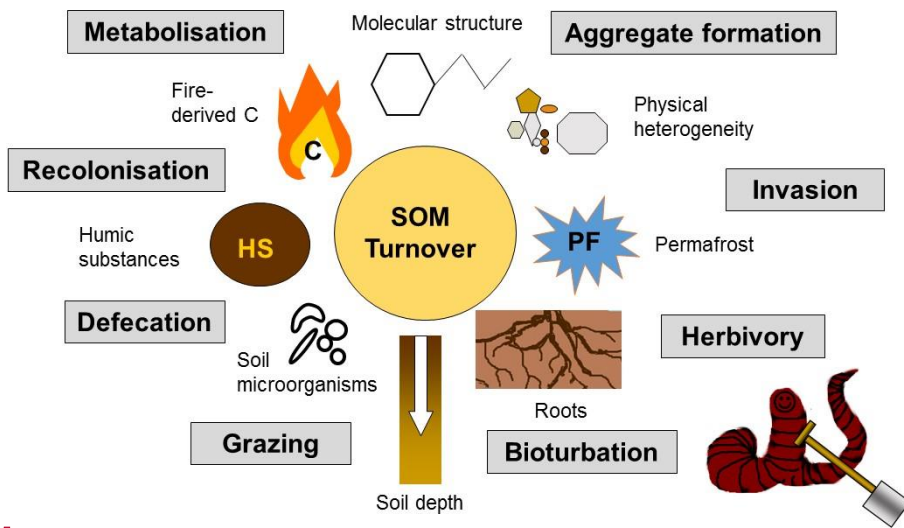
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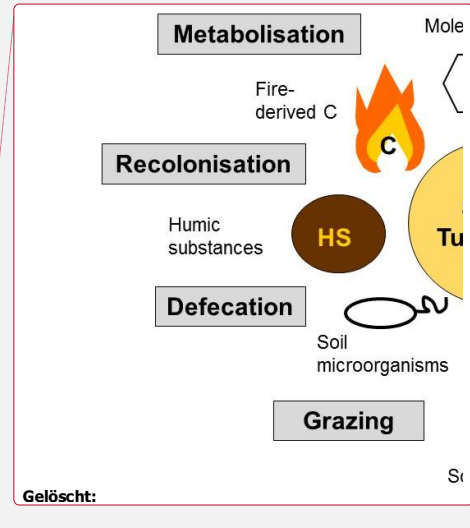
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1119 **Figures**

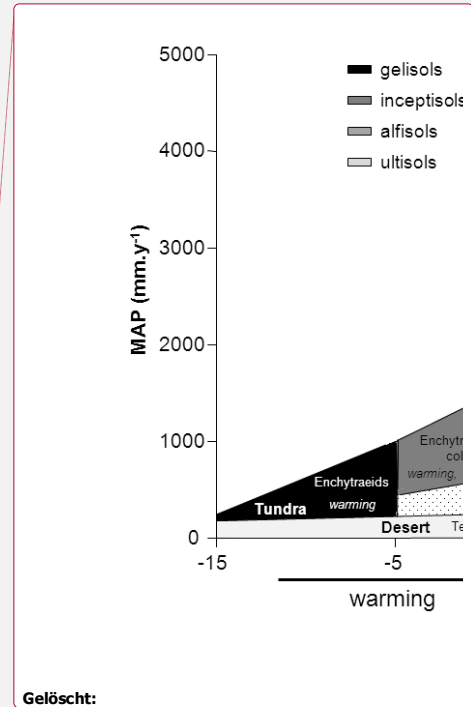
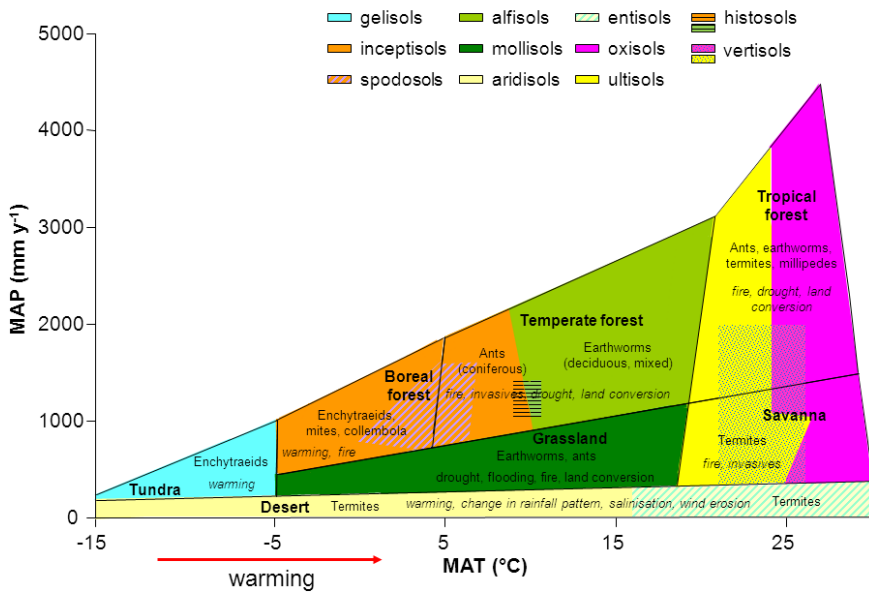
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1126 **Figure 1**
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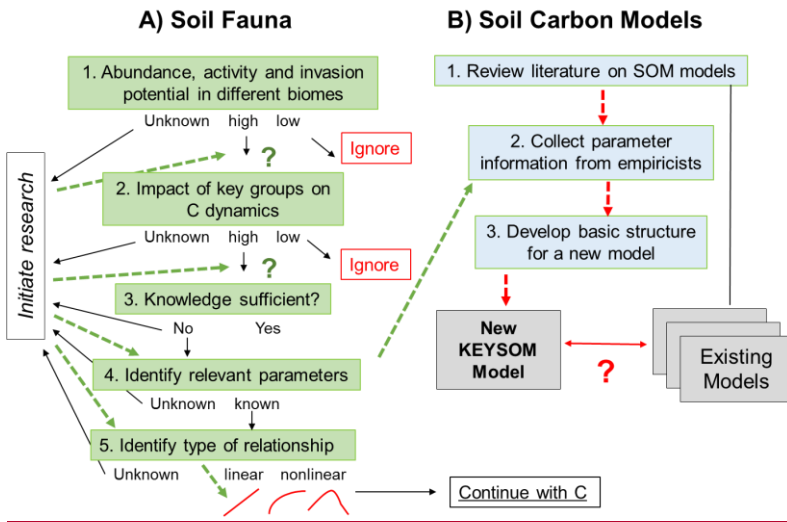


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Figure 2

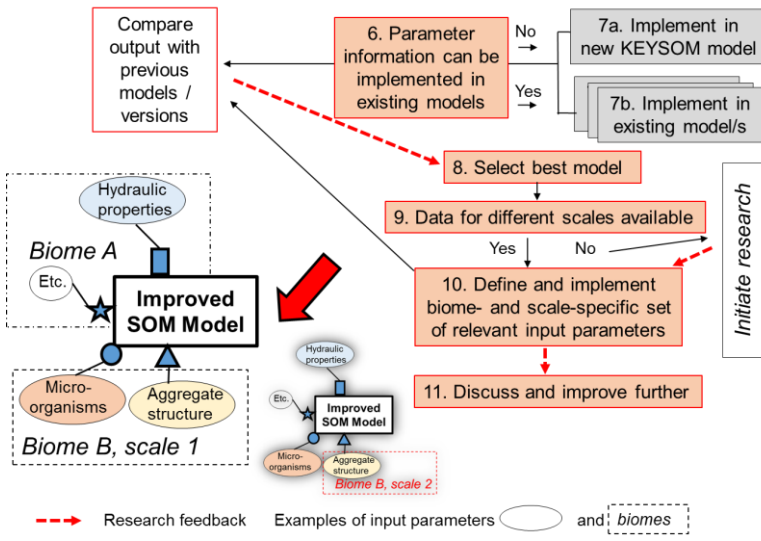
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C) Model Synthesis



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Figure 3