

1 Mitigating N₂O emissions from soil: 2 From patching leaks to transformative action

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9 **Abstract**

10 Further progress in understanding and mitigating N₂O emissions from soil lies within
11 transdisciplinary research that reaches across spatial scales and takes an ambitious
12 look into the future.

13

14 **1 Introduction**

15 Atmospheric concentrations of nitrous oxide (N₂O), a potent greenhouse gas
16 and ozone depleting substance, have increased steadily from 270 ppb in the pre-
17 industrial era (1000-1750) to 328 ppb in 2015 (IPCC, 2013;NOAA, 2015). The vast
18 majority of N₂O emissions comes from agriculture, where it is emitted from soil,
19 especially following management or weather events, such as N fertilization, manure
20 application, tillage, and precipitation (Denman et al., 2007;Dobbie et al., 1999).
21 Recent projections indicate that to stabilize atmospheric N₂O concentrations between
22 340 and 350 ppb by 2050, reducing emissions by 22% relative to 2005 (i.e., 5.3 Tg
23 N₂O-N yr⁻¹) will be necessary (UNEP, 2013). Meanwhile, N₂O emissions have further
24 increased since 2005 (FAO, 2014), indicating that the currently required emission
25 reductions are even greater. Only concerted efforts combining the most pertinent
26 mitigation strategies, such as increasing N use efficiency in agricultural production
27 systems, in combination with diminishing food waste and reducing meat and dairy
28 consumption can realize such emission reductions (UNEP, 2013). Under business-as-
29 usual conditions, anthropogenic N₂O emissions are expected to almost double by
30 2050, leading to a high risk of unprecedented increases in the global temperature and
31 in UVB radiation, with severe consequences for human health and the environment
32 (UNEP, 2013). Despite the clear urgency of reducing N₂O emissions, adoption of the

33 proposed mitigation options remains slow. Political and societal inertia may partly be
34 to blame, but the large uncertainty around management-, crop- and region-specific
35 predictions of N₂O emissions also presents an important challenge to designing and
36 implementing mitigation options. In this forum article, we use examples of on-going
37 research on N₂O emissions to illustrate and discuss how soil scientists can collaborate
38 with experts from other disciplines, to reduce the uncertainty around N₂O emissions
39 estimates, hence improving the development and implementation of successful
40 mitigation strategies. We use a framework of 5 interacting research themes across
41 different spatial scales; Namely, (1) identification of soil processes underlying N₂O
42 emissions, (2) assessing effects of crop and region-specific management on N₂O
43 emissions, (3) assessing effects of systemic or land-use change on N₂O emissions, and
44 (4) assessing synergies and trade-offs between N₂O mitigation and other sustainability
45 indicators, culminating into (5) sustainable provisioning of food and nutrition
46 security, energy and goods (Fig. 1). Each research theme is associated with a set of
47 commonly used research tools. We then specifically highlight how researchers
48 working on N₂O emission understanding and reductions need to proactively seek out
49 relevant collaborations across disciplinary boundaries (Fig. 2), in order to play a
50 significant role in the global challenge of achieving sustainable agricultural and food
51 systems.

52

53 **2 Patching the leaks: From ‘Understanding soil processes’ to ‘Crop-** 54 **and region-specific management’**

55 The most discussed and investigated strategies for reducing N₂O emissions
56 from agricultural soils is “to patch the leaks”, i.e., improve the N use efficiency of
57 croplands and grasslands, mostly by optimizing fertilizer N management (e.g., rate,
58 timing, source, and placement of N fertilizers). Patching the leaks is probably one of
59 the more achievable mitigation options in the shorter term. In fact, a N fertilizer tax
60 for reducing external N inputs and associated N₂O emissions has been evaluated
61 (Franks and Hadingham, 2012;Mérel et al., 2014), and several C-offset programs
62 already hold a protocol to estimate net N₂O emission reductions from cropping
63 systems, for trading on the C-market (Davidson et al., 2014). From a technical point
64 of view, the potential to reduce N₂O emissions through optimized N management has
65 been demonstrated (Snyder et al., 2014;Hoben et al., 2011). However, taking up such

66 management options in regulation and policy formulations requires a clear and
67 quantitative description of the conditions under which the management strategy is
68 effective, and the associated uncertainty range. For example, it is well known that
69 N₂O emissions generally increase with increasing N-input (Bouwman, 1996;Hoben et
70 al., 2011), but the shape of this response curve varies between agricultural production
71 systems and regions (Decock, 2014;Kim et al., 2012). If the aim of a policy is to
72 achieve a certain N₂O emission reduction target through reduced N input rates, not
73 only the response curve at the research station, but the response curve for all fields
74 targeted by this policy needs to be estimated. Hence, one needs to extrapolate for
75 which soil types, climate conditions, or management practices a certain response is
76 valid. Moreover, because of the high variability typically associated with N₂O
77 emissions, policies need to take into account a certain amount of risk. To do so, a
78 good estimate of the confidence interval around an achievable emission reduction is
79 just as important as the mean value (Springborn et al., 2013). Long-term N₂O
80 measurements across a wide range of biophysical conditions (i.e., ecoregions) and
81 mitigation options are important to understand and quantify this uncertainty and
82 variability, but the cost and time required for direct N₂O measurements limits the
83 number of datasets that can be collected. Here, biogeochemical process models are
84 practical tools to bridge data gaps, and improve the precision and accuracy of the
85 efficiency and applicability conditions of mitigation options.

86 Modellers use field- and laboratory-derived N₂O data collected for continuous
87 biogeochemical model development, evaluation, and subsequent application of the
88 model to simulate field-level N₂O emissions toward regional scale simulations across
89 a wide range of environmental conditions upon adoption of different management
90 practices (Rochette et al., 2008;Fitton et al., 2011). Models are in essence a
91 mathematical representation of our understanding of functional relationships between
92 the key drivers, their interactions and the ecosystem responses under different
93 agricultural managements (Chen et al. 2008). Hence, model predictions can only be as
94 accurate as our current understanding of the underlying mechanisms is. The simplified
95 process algorithms for estimating N₂O emissions from nitrification and denitrification
96 differ between the developed biogeochemical process models in terms of the effects
97 of environmental drivers taken into account (Fang et al., 2015) and consequently
98 result in different responses to the environmental factors and a diverse models'
99 performance in simulating N₂O emissions under different climate, soil and

100 management conditions (Frolking et al., 1998;Vogeler et al., 2013). Current
101 experimental research is constantly making progress in improving our understanding
102 of mechanisms underlying N₂O emissions by using state-of-the art molecular and
103 isotope methods (Baggs, 2008;Baggs, 2011;Butterbach-Bahl et al., 2013;Decock and
104 Six, 2013). It is important that these insights will inevitably lead to further refining
105 and re-evaluation of N₂O emission process algorithms. To further improve model
106 simulations, modellers and experimentalists could jointly design experiments that
107 provide mechanistic information suitable for improvements in model structure,
108 especially regarding management practices that are difficult to simulate at present
109 (Venterea and Stanenas, 2008) (Fig. 2).

110 Modellers can not only benefit from communication with biophysical
111 scientists regarding the model input requirements and availability of the measured
112 data at the studied domain for the model application, constraining parameter values
113 and model evaluation, but could also provide feedback on which data should be
114 measured more accurately, where the major data gaps and uncertainties lie for
115 upscaling, and providing relevant and reliable predictions to support policies.
116 Adoption of different management practices should be evaluated across a wide range
117 of environmental conditions, at larger spatial scales and for longer time periods. This
118 would enable identification of areas with higher mitigation potential and boundary
119 conditions for delivering emission reductions. Furthermore, model simulations could
120 highlight where uncertainty around N₂O predictions and potential emission reductions
121 is the highest, and inform where to invest in new field trials (Hillier et al., 2012;De
122 Gryze et al., 2011). The sensitivity analyses of N₂O model predictions could indicate
123 where threshold values (e.g., percent clay content, mean daily precipitation) might lie
124 regarding the effectiveness of mitigation options. Cooperative efforts between
125 modellers and biophysical scientists could accelerate the identification of applicability
126 conditions and quantification of uncertainty around emission reductions, providing a
127 more solid and refined basis to apply theory in practice (Fig. 2).

128

129 **3 Systemic change: balancing environmental protection, food and** 130 **nutrition security, and provisioning of energy and goods**

131 Recent N₂O emission projections clearly indicate that patching the leaks is
132 essential, but not sufficient, to stabilize atmospheric N₂O concentrations at an

133 acceptable level by 2050 (UNEP, 2013). Systemic change driven by, for example,
134 reduced meat and dairy consumption in the developed world is needed to reach the
135 N₂O emission target. Various simulation studies have shown that reduced meat and
136 dairy consumption decreases N₂O emissions through reduced manure application and
137 cultivation of feed crops (Popp et al., 2010;Stehfest et al., 2009;Westhoek et al.,
138 2014). However, emission reduction estimates are relatively coarse, mostly due to the
139 lack of information on land-use changes and associated emissions induced by reduced
140 meat and dairy consumption. Would there be a shift toward grass-fed animal
141 production? Would there be increased consumption of fruit and vegetables, driving up
142 the acreage dedicated to horticulture? Would there be increased demand for legumes
143 in human diets? Would consumers cut down on their total calorie and protein intake,
144 making part of the land available for bio-energy crops, or nature conservation and
145 recreation areas? Or would production be sustained by increased exports? Clearly,
146 there is a multitude of alternative land-use options, but the greenhouse gas emissions
147 associated with these land-use conversions are not well quantified. Currently available
148 foresight studies on the effects of dietary change on N₂O emissions attempt to take
149 into account alternative land-use to a certain extent. Estimated emissions from
150 alternative systems are, however, typically based on Intergovernmental Panel on
151 Climate Change (IPCC) emission factors, where N₂O emissions are a fixed fraction of
152 N-inputs (Popp et al., 2010;Stehfest et al., 2009;Westhoek et al., 2014). The IPCC
153 emission factors are based on N₂O emission data available when the IPCC guidelines
154 were developed, which mainly consists of experiments in cereal cropping systems in
155 temperate regions (Bouwman, 1996;IPCC, 2006). Empirical data shows, however,
156 that crop type and geographic location have a significant effect on N₂O emissions,
157 irrespective of N-input rate (Stehfest and Bouwman, 2006;Linguist et al.,
158 2012;Verhoeven et al., 2013;Decock, 2014). Therefore, awareness campaigns or
159 policies aimed at reduced meat and dairy consumption should go hand in hand with
160 considerations on how to steer and account for direct and indirect land-use change
161 (Franks and Hadingham, 2012). This requires a whole system approach involving soil
162 scientists, agricultural economists, social and political scientists, geographers and
163 policy makers (Fig. 2) to identify the most likely or most desirable alternative
164 cropping systems and/or land-use scenarios and the associated greenhouse gas
165 emissions in various regions of the world.

166 Overconsumption of meat and dairy in developed countries is only a part of
167 the global challenge of “the starving, the stunted and the stuffed”. Millions of people
168 are hungry or malnourished, both in the global South and North (FAO et al., 2014).
169 The prevalence of hunger might even be exacerbated as the global population
170 increases in the coming decennia (Alexandratos and Bruinsma, 2012). The problem
171 could be partly alleviated by reducing food waste, improving food distribution and
172 access to markets, and addressing socio-economic inequalities. In many developing
173 countries, however, the low productivity of agricultural systems is a major concern.
174 For example, annual maize yields in Africa and South America ranged from 2 to 5 Mg
175 ha⁻¹ between 2009 and 2013, compared to 8 to 10 Mg ha⁻¹ in Western Europe and
176 North-America in the same period (FAOSTAT, 2015). The low productivity often
177 observed in developing countries is typically associated with soil degradation and
178 resource limitations. More specifically, farmers in many developing countries lack
179 access to sufficient synthetic and/or organic fertilizers to meet crop requirements,
180 other improved inputs (e.g. high quality seed, crop protection measures, and reliable
181 irrigation facilities), availability of labour and machinery, and access to financial
182 support structures (e.g. insurance or loans). Meanwhile, developing countries are the
183 areas where the largest population increases are predicted (UN, 2013). As more food
184 will be needed to nourish the increasing global population, it is important to
185 contemplate which food should be produced, where it should be produced, how the
186 production system should be managed, and at what environmental cost. While
187 increases in N₂O emissions due to increased N fertilizer use in many developing
188 countries have been predicted (IPCC, 2007), little is known about the actual effect of
189 intensification on N₂O emissions in those agricultural systems (Hickman et al.,
190 2011; Valentini et al., 2014). In N-rate trials in Western Kenya, an exponential
191 response of N₂O to N input was observed (Hickman et al., 2015), similar to many
192 studies in temperate systems (Hoben et al., 2011; Kim et al., 2012). Nevertheless,
193 emissions as a percentage of N applied ranged between 0.01 and 0.11%, well below
194 the average IPCC emission factor of 1% (Hickman et al., 2015). Likewise,
195 simulations of intensification scenarios suggested a smaller environmental impact
196 relative to productivity gains in Zimbabwe compared to Austria and China (Carberry
197 et al., 2013). To meet the needs of the growing global population, there is an urgent
198 need to investigate the sustainability of various intensification scenarios across the
199 globe, through collaborations between agroecologists, agronomists, rural economists,

Charlotte Decock 8/11/2015 21:00

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202 nutrition specialists and sociologists. Soil scientists specializing in N₂O emissions
203 could help address where and how intensification would have the largest impact on
204 food and nutrition security with minimal environmental impact, by seeking out
205 experiments in currently underrepresented geographic locations and cropping
206 systems, e.g. [by investing in climate-smart agricultural projects](#) in developing
207 countries (Marques de Magalhães and Lunas Lima, 2014;Steenwerth et al., 2014).

208 By “the stuffed”, we are referring to the overconsumption of calories
209 worldwide (especially in the form of fats and refined sugars), which has contributed to
210 a global epidemic of obesity and has been linked to increased risk of non-
211 communicable diseases such as cardio-vascular diseases, several cancers, and diabetes
212 (Lustig et al., 2012). The increasing consumption of these foods at unhealthy levels
213 has become an undeniable public health issue, and has boosted many debates on
214 policies such as sugar and fat taxes, diet education, and prevention campaigns to
215 address the problem (Malik et al., 2013). Meanwhile, many of the sugar and oil crops
216 are also on the table for bio-energy production. Yet, the net greenhouse gas benefit of
217 biofuels remains controversial and tends to strongly depend on the feedstock used
218 (Del Grosso et al., 2014) and regional adoption potentials (Yi et al., 2014). One of the
219 largest uncertainties in life cycle analysis (LCA) of biofuels relates to direct and
220 indirect N₂O emissions from soil (Benoist et al., 2012). Due to the lack of original
221 data, many LCAs default to IPCC emission factors to estimate N₂O emissions from
222 soil, and therefore fail to account for land-use, geographical, and management effects
223 on N₂O emissions. For example, there is evidence that N₂O emissions from sugar
224 cane cultivation might be larger than expected based on IPCC emission factors, which
225 could change the picture on the greenhouse gas balance of sugarcane based biofuels
226 (Lisboa et al., 2011). Meanwhile, there are great hopes that second-generation
227 biofuels (e.g. conversion of lignocellulose rather than sugars) will help meet
228 bioenergy targets. Feedstock production is expected to be less intensive and cause
229 lower N₂O emissions from soil compared to first-generation biofuels (Bessou et al.,
230 2011;Don et al., 2012). From a global perspective, sugar cane, sugar beet, maize,
231 soybeans, rapeseed and palm oil accounted for over 20% of the harvested crop area
232 and over 30% of the total crop production in the period 2009-2013 (FAOSTAT,
233 2015). Up to 20% of the harvested biomass is used for bio-energy production (FAO,
234 2013a). This fraction is expected to increase as various countries mandate an
235 increasing share of bioenergy in the total energy consumption (Alexandratos and

Charlotte Decock 8/11/2015 21:01

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238 Bruinsma, 2012). Clearly, interrelated trends in public health, energy and
239 environmental policies could have a significant effect on the cultivated acreage of oil
240 and sugar crops, the emergence of second-generation bioenergy crops, and the
241 associated changes in N₂O emissions.

242 Feed, oil, sugar and bioenergy crops form an important share of the significant
243 contribution of crop production to N₂O emissions. Soil scientists should take up
244 responsibility in debates on the impact of forthcoming policies that directly or
245 indirectly affect the cultivated acreage of these crops, backed by robust crop, region
246 and management specific N₂O emission measurements. The examples above clearly
247 illustrate the need to assess public interest and socio-economic feasibility in
248 combination with biophysical effectiveness, in order to guide land-use decisions. This
249 requires multi-directional collaborations between biophysical scientists and actors
250 engaged in policy making, socio-economic assessments and livelihood enhancement
251 of farmers. Furthermore, the highlighted land-use changes are heavily dependent on
252 behavioural change of multiple actors, including producers and consumers. It is not
253 clear how and at what rate such behavioural changes can take place. Step-wise policy
254 implementation may be necessary, and a lag time in effectiveness can be expected.
255 Dynamic modelling that takes into account transition phases can help achieve a more
256 realistic map of projected changes in N₂O emissions.

257

258 **4 Complex synergies and trade-offs challenge the path to** 259 **sustainability**

260 Sustainable management of agricultural systems evidently does not end at
261 optimizing productivity and minimizing N₂O emissions. It includes, and is not limited
262 to, improving the recycling of essential nutrients at the scale of management or
263 policy-making, especially of those nutrients that come from finite reserves such as
264 phosphorus; protecting of ground and surface waters from eutrophication and other
265 toxicity induced by agrochemicals and fertilizers; restoring and conserving of
266 biodiversity, including the safeguarding of pollination services and persistence of
267 natural enemies for agricultural pests and disease control; preventing air pollution
268 from agriculture by reducing indirect emissions of NO_x, NH₃, and dust particles;
269 preventing unsustainable withdrawals of water for irrigation; protecting soil from
270 depletion and degradation; and increasing the resilience of agricultural production

271 systems, especially in the light of climate change (Schröder et al., 2011;Foley et al.,
272 2011;Bindraban et al., 2012). In addition, social and economic aspects such as labour
273 requirements and profitability cannot be disregarded (FAO, 2013b). Many solutions
274 and interventions for several of these problems have been sought and applied at field,
275 farm, landscape, national and global scales. Examples at the field and landscape scale
276 include conservation agriculture, intercropping, agroforestry, precision agriculture,
277 buffer strips, organic agriculture, recycling of organic waste streams for agricultural
278 production, drip irrigation, and improved crop varieties, often assisted by advances in
279 engineering and technological solutions such as genetic modification, novel
280 machinery implements, and recently also drones. Mitigation actions at the national
281 and global scale include environmental regulation and international collaborations. At
282 present, interactions and conflicts between N₂O mitigation strategies and solutions
283 proposed to address other agronomic, environmental or socio-economic problems
284 remain insufficiently explored. Therefore, it is important to identify where synergies
285 and trade-offs can be found, by collaborating with scientists that specialize in other
286 aspects of agroecology, as well as with scientists that develop methods to facilitate
287 transdisciplinary research and engage stakeholders, tools for trade-off analysis, and
288 approaches to deal with complex systems (Klapwijk et al., 2014;van Mil et al.,
289 2014;Jarvis et al., 2011). In practice, this could include combining management
290 scenarios in field trials and modelling efforts; facilitating the transfer of the data they
291 produce by collaborating on consistent data and reporting protocols, and standardized,
292 centralized databases; contributing to build integrated bio-physical and socio-
293 economic models; and conducting meta-studies placing N₂O-related outcomes among
294 other environmental and socio-economic indicators, which in turn can feed back into
295 the design of N₂O emission reduction research (Fig. 2).

296 Mitigating N₂O emissions is a complex issue embedded in the even more
297 complex maze of improving the sustainability of agriculture and food systems.
298 Therefore, finding the right denominator for assessing N₂O emissions is a challenging
299 task. Yield-scaled emissions are practical for assessing the eco-efficiency of a
300 particular field, but are problematic when it comes to absolute emission reductions at
301 a global scale (Van Groenigen et al., 2010;Murray and Baker, 2011). Furthermore,
302 yield-scaled emissions cannot accommodate impacts of systemic change and
303 comparisons of land-use scenarios in which crops with very different nutritional,
304 societal, and economic values are grown. Prior to the start of new experiments, soil

305 scientists could reach out to policy makers, agricultural and resource economists, and
306 industrial ecologists to identify what ancillary variables (e.g., use of the crop and its
307 residues, yield, nutritional value, etc.) should be collected to accommodate a balanced
308 comparison of different systems.

309

310 **5 Inter- and transdisciplinary research: buzzword versus reality**

311 While the terms inter- and transdisciplinary research are frequently dropped as
312 buzzwords, especially in research evolving around real-world problems, challenges
313 associated with working across scholarly disciplines, or collaborations between
314 academic and non-academic actors, cannot be underestimated. So-called
315 interdisciplinary projects often regress to research consortia that merely accommodate
316 exchange of final research findings, rather than fostering true joint creation of new
317 knowledge (Bruce et al., 2004). Common barriers to inter- and transdisciplinary
318 research include: the high time commitment for coordination and communication;
319 lack of recognition in traditional institutional reward systems; differences in attitudes,
320 jargon, philosophies and publication protocols between disciplines; a lack of
321 understanding of methods and outcomes of different disciplinary components; and
322 difficulties in finding referees that appreciate and evaluate the quality of
323 interdisciplinary projects (Campbell, 2005; Bruce et al., 2004). Many funding agencies
324 and academic institutions are taking steps to overcome some of these barriers by
325 opening calls for interdisciplinary research projects, by organizing meetings to
326 explore potential new interdisciplinary partnerships, or by establishing competence
327 centres tasked with bringing together knowledge and stakeholders relevant to
328 addressing important national or global problems. Individual researchers committed to
329 the cause of reducing N₂O emissions from soil could contribute by actively seeking
330 out such opportunities. In this forum article, we presented a guiding framework for
331 the N₂O researcher interested in inter- and transdisciplinary research, by
332 conceptualizing links between major themes in sustainability of food and agricultural
333 systems and N₂O emissions research across different scales (Fig. 1), and by drawing a
334 map of relevant stakeholders and their potential interactions (Fig. 2).

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338 **6 Concluding remarks**

339 Tremendous progress has been made during the last decennia with respect to
340 the scientific understanding of N₂O emissions from soils: Various pathways and
341 mechanisms have been elucidated (Butterbach-Bahl et al., 2013); molecular and
342 isotopic tools to assess mechanisms have been advanced (Baggs, 2008; Baggs,
343 2011; Decock and Six, 2013); we have a general idea of temporal and spatial patterns
344 of N₂O emissions (Groffman et al., 2009); micrometeorological methods are available
345 to monitor spatially integrated N₂O emissions at high temporal resolution (Eugster
346 and Merbold, 2015); various data sources have been synthesized in qualitative and
347 quantitative reviews (Bouwman, 1996; Decock, 2014); and biogeochemical models
348 have been developed and improved to predict N₂O emissions under various scenarios
349 (Chen et al., 2008). These efforts have paved the way to identify the major causes of
350 soil-derived N₂O and to isolate the strategies that have the greatest potential for
351 reducing global N₂O emissions (e.g. increasing N efficiency in cropping systems and
352 reducing meat and dairy consumption in developed countries) (Snyder et al.,
353 2014; UNEP, 2013; Oenema et al., 2014). The time is ripe to reach across disciplines,
354 not only to fine-tune crop and region-specific agronomic management strategies for
355 instant mitigation action, but also to better integrate the issue of N₂O emissions in
356 overarching debates on agricultural change. This will help steer transformative action
357 for improving the social, economic and environmental sustainability of agricultural
358 and food systems for many generations to come.

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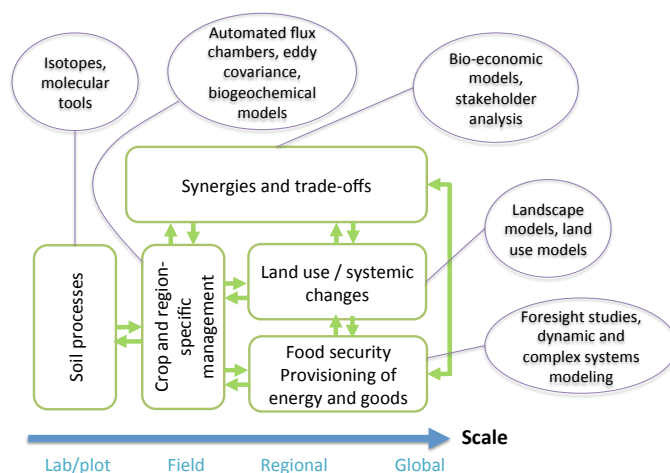
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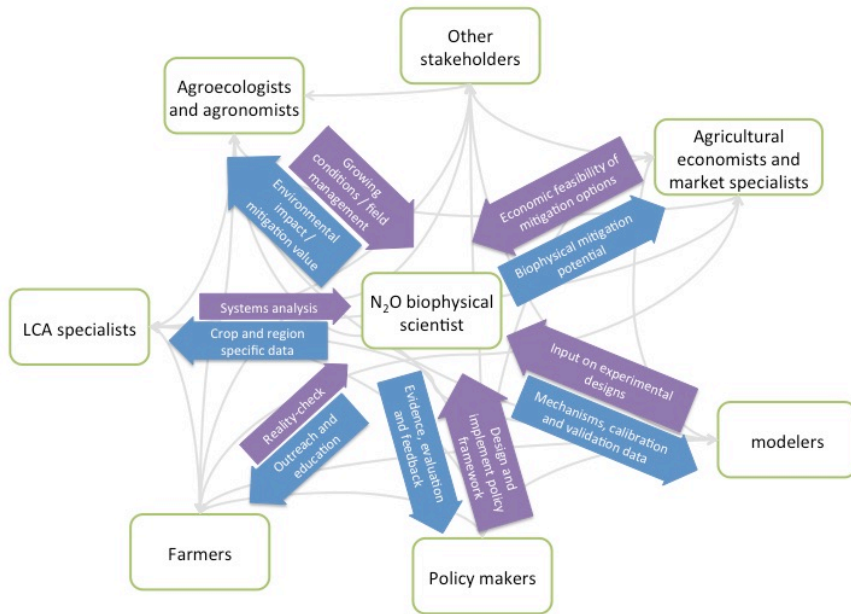
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 586 Figure 1. Illustration of interactions between major themes relevant for N₂O
 587 mitigation from patching leaks to transformative action. Examples of research tools
 588 commonly associated with the different themes are shown in the purple text balloons.
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591 | Figure 2. Stakeholder map with examples of knowledge exchange, interactions and
 592 opportunities for active collaborations between biophysical scientists in N₂O research
 593 and specialists in other disciplines.

Charlotte Decock 8/11/2015 23:01

Deleted: Examples