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Mitigating N₂O emissions from soil:

2 From patching leaks to transformative action

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9 Abstract

 $10 \qquad \mbox{Further progress in understanding and mitigating N_2O emissions from soil lies within N_2O emissions from soil lies N_2

11 transdisciplinary research that reaches across spatial scales and takes an ambitious

- 12 look into the future.
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14 **1** Introduction

15 Atmospheric concentrations of nitrous oxide (N₂O), a potent greenhouse gas 16 and ozone depleting substance, have increased steadily from 270 ppb in the pre-17 industrial era (1000-1750) to 328 ppb in 2015 (IPCC, 2013;NOAA, 2015). The vast 18 majority of N₂O emissions comes from agriculture, where it is emitted from soil, 19 especially following management or weather events, such as N fertilization, manure 20 application, tillage, and precipitation (Denman et al., 2007; Dobbie et al., 1999). 21 Recent projections indicate that to stabilize atmospheric N₂O concentrations between 22 340 and 350 ppb by 2050, reducing emissions by 22% relative to 2005 (i.e., 5.3 Tg N₂O-N yr⁻¹) will be necessary (UNEP, 2013). Meanwhile, N₂O emissions have further 23 24 increased since 2005 (FAO, 2014), indicating that the currently required emission 25 reductions are even greater. Only concerted efforts combining the most pertinent 26 mitigation strategies, such as increasing N use efficiency in agricultural production 27 systems, in combination with diminishing food waste and reducing meat and dairy 28 consumption can realize such emission reductions (UNEP, 2013). Under business-as-29 usual conditions, anthropogenic N₂O emissions are expected to almost double by 30 2050, leading to a high risk of unprecedented increases in the global temperature and 31 in UVB radiation, with severe consequences for human health and the environment 32 (UNEP, 2013). Despite the clear urgency of reducing N₂O emissions, adoption of the

33 proposed mitigation options remains slow. Political and societal inertia may partly be 34 to blame, but the large uncertainty around management-, crop- and region-specific 35 predictions of N₂O emissions also presents an important challenge to designing and 36 implementing mitigation options. In this forum article, we use examples of on-going 37 research on N₂O emissions to illustrate and discuss how soil scientists can collaborate 38 with experts from other disciplines, to reduce the uncertainty around N₂O emissions 39 estimates, hence improving the development and implementation of successful 40 mitigation strategies. We use a framework of 5 interacting research themes across 41 different spatial scales; Namely, (1) identification of soil processes underlying N₂O 42 emissions, (2) assessing effects of crop and region-specific management on N₂O 43 emissions, (3) assessing effects of systemic or land-use change on N₂O emissions, and 44 (4) assessing synergies and trade-offs between N₂O mitigation and other sustainability 45 indicators, culminating into (5) sustainable provisioning of food and nutrition 46 security, energy and goods (Fig. 1). Each research theme is associated with a set of 47 commonly used research tools. We then specifically highlight how researchers 48 working on N₂O emission understanding and reductions need to proactively seek out 49 relevant collaborations across disciplinary boundaries (Fig. 2), in order to play a 50 significant role in the global challenge of achieving sustainable agricultural and food 51 systems.

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Patching the leaks: From 'Understanding soil processes' to 'Crop and region-specific management'

55 The most discussed and investigated strategies for reducing N₂O emissions from agricultural soils is "to patch the leaks", i.e., improve the N use efficiency of 56 57 croplands and grasslands, mostly by optimizing fertilizer N management (e.g., rate, 58 timing, source, and placement of N fertilizers). Patching the leaks is probably one of 59 the more achievable mitigation options in the shorter term. In fact, a N fertilizer tax 60 for reducing external N inputs and associated N₂O emissions has been evaluated 61 (Franks and Hadingham, 2012; Mérel et al., 2014), and several C-offset programs 62 already hold a protocol to estimate net N₂O emission reductions from cropping 63 systems, for trading on the C-market (Davidson et al., 2014). From a technical point 64 of view, the potential to reduce N₂O emissions through optimized N management has 65 been demonstrated (Snyder et al., 2014;Hoben et al., 2011). However, taking up such

66 management options in regulation and policy formulations requires a clear and 67 quantitative description of the conditions under which the management strategy is 68 effective, and the associated uncertainty range. For example, it is well known that 69 N₂O emissions generally increase with increasing N-input (Bouwman, 1996;Hoben et 70 al., 2011), but the shape of this response curve varies between agricultural production 71 systems and regions (Decock, 2014;Kim et al., 2012). If the aim of a policy is to 72 achieve a certain N₂O emission reduction target through reduced N input rates, not 73 only the response curve at the research station, but the response curve for all fields 74 targeted by this policy needs to be estimated. Hence, one needs to extrapolate for 75 which soil types, climate conditions, or management practices a certain response is 76 valid. Moreover, because of the high variability typically associated with N₂O 77 emissions, policies need to take into account a certain amount of risk. To do so, a 78 good estimate of the confidence interval around an achievable emission reduction is 79 just as important as the mean value (Springborn et al., 2013). Long-term N₂O 80 measurements across a wide range of biophysical conditions (i.e., ecoregions) and 81 mitigation options are important to understand and quantify this uncertainty and 82 variability, but the cost and time required for direct N₂O measurements limits the 83 number of datasets that can be collected. Here, biogeochemical process models are 84 practical tools to bridge data gaps, and improve the precision and accuracy of the 85 efficiency and applicability conditions of mitigation options.

86 Modellers use field- and laboratory-derived N₂O data collected for continuous 87 biogeochemical model development, evaluation, and subsequent application of the 88 model to simulate field-level N2O emissions toward regional scale simulations across 89 a wide range of environmental conditions upon adoption of different management 90 practices (Rochette et al., 2008; Fitton et al., 2011). Models are in essence a 91 mathematical representation of our understanding of functional relationships between 92 the key drivers, their interactions and the ecosystem responses under different 93 agricultural managements (Chen et al. 2008). Hence, model predictions can only be as 94 accurate as our current understanding of the underlying mechanisms is. The simplified 95 process algorithms for estimating N₂O emissions from nitrification and denitrification 96 differ between the developed biogeochemical process models in terms of the effects 97 of environmental drivers taken into account (Fang et al., 2015) and consequently 98 result in different responses to the environmental factors and a diverse models' 99 performance in simulating N₂O emissions under different climate, soil and

100 management conditions (Frolking et al., 1998; Vogeler et al., 2013). Current 101 experimental research is constantly making progress in improving our understanding 102 of mechanisms underlying N₂O emissions by using state-of-the art molecular and 103 isotope methods (Baggs, 2008;Baggs, 2011;Butterbach-Bahl et al., 2013;Decock and 104 Six, 2013). It is important that these insights will inevitably lead to further refining 105 and re-evaluation of N₂O emission process algorithms. To further improve model 106 simulations, modellers and experimentalists could jointly design experiments that 107 provide mechanistic information suitable for improvements in model structure, 108 especially regarding management practices that are difficult to simulate at present 109 (Venterea and Stanenas, 2008) (Fig. 2).

110 Modellers can not only benefit from communication with biophysical 111 scientists regarding the model input requirements and availability of the measured 112 data at the studied domain for the model application, constraining parameter values 113 and model evaluation, but could also provide feedback on which data should be 114 measured more accurately, where the major data gaps and uncertainties lie for 115 upscaling, and providing relevant and reliable predictions to support policies. 116 Adoption of different management practices should be evaluated across a wide range 117 of environmental conditions, at larger spatial scales and for longer time periods. This 118 would enable identification of areas with higher mitigation potential and boundary 119 conditions for delivering emission reductions. Furthermore, model simulations could 120 highlight where uncertainty around N₂O predictions and potential emission reductions 121 is the highest, and inform where to invest in new field trials (Hillier et al., 2012;De 122 Gryze et al., 2011). The sensitivity analyses of N₂O model predictions could indicate 123 where threshold values (e.g., percent clay content, mean daily precipitation) might lie 124 regarding the effectiveness of mitigation options. Cooperative efforts between 125 modellers and biophysical scientists could accelerate the identification of applicability 126 conditions and quantification of uncertainty around emission reductions, providing a 127 more solid and refined basis to apply theory in practice (Fig. 2). 128

3 Systemic change: balancing environmental protection, food and nutrition security, and provisioning of energy and goods

Recent N₂O emission projections clearly indicate that patching the leaks is
essential, but not sufficient, to stabilize atmospheric N₂O concentrations at an

133 acceptable level by 2050 (UNEP, 2013). Systemic change driven by, for example, 134 reduced meat and dairy consumption in the developed world is needed to reach the 135 N₂O emission target. Various simulation studies have shown that reduced meat and 136 dairy consumption decreases N₂O emissions through reduced manure application and 137 cultivation of feed crops (Popp et al., 2010;Stehfest et al., 2009;Westhoek et al., 138 2014). However, emission reduction estimates are relatively coarse, mostly due to the 139 lack of information on land-use changes and associated emissions induced by reduced 140 meat and dairy consumption. Would there be a shift toward grass-fed animal production? Would there be increased consumption of fruit and vegetables, driving up 141 142 the acreage dedicated to horticulture? Would there be increased demand for legumes 143 in human diets? Would consumers cut down on their total calorie and protein intake, 144 making part of the land available for bio-energy crops, or nature conservation and 145 recreation areas? Or would production be sustained by increased exports? Clearly, 146 there is a multitude of alternative land-use options, but the greenhouse gas emissions 147 associated with these land-use conversions are not well quantified. Currently available 148 foresight studies on the effects of dietary change on N₂O emissions attempt to take 149 into account alternative land-use to a certain extent. Estimated emissions from 150 alternative systems are, however, typically based on Intergovernmental Panel on 151 Climate Change (IPCC) emission factors, where N₂O emissions are a fixed fraction of 152 N-inputs (Popp et al., 2010; Stehfest et al., 2009; Westhoek et al., 2014). The IPCC 153 emission factors are based on N₂O emission data available when the IPCC guidelines 154 were developed, which mainly consists of experiments in cereal cropping systems in 155 temperate regions (Bouwman, 1996; IPCC, 2006). Empirical data shows, however, 156 that crop type and geographic location have a significant effect on N₂O emissions, 157 irrespective of N-input rate (Stehfest and Bouwman, 2006; Linguist et al., 158 2012; Verhoeven et al., 2013; Decock, 2014). Therefore, awareness campaigns or 159 policies aimed at reduced meat and dairy consumption should go hand in hand with 160 considerations on how to steer and account for direct and indirect land-use change 161 (Franks and Hadingham, 2012). This requires a whole system approach involving soil 162 scientists, agricultural economists, social and political scientists, geographers and 163 policy makers (Fig. 2) to identify the most likely or most desirable alternative 164 cropping systems and/or land-use scenarios and the associated greenhouse gas 165 emissions in various regions of the world.

166 Overconsumption of meat and dairy in developed countries is only a part of 167 the global challenge of "the starving, the stunted and the stuffed". Millions of people 168 are hungry or malnourished, both in the global South and North (FAO et al., 2014). 169 The prevalence of hunger might even be exacerbated as the global population 170 increases in the coming decennia (Alexandratos and Bruinsma, 2012). The problem 171 could be partly alleviated by reducing food waste, improving food distribution and 172 access to markets, and addressing socio-economic inequalities. In many developing 173 countries, however, the low productivity of agricultural systems is a major concern. 174 For example, annual maize yields in Africa and South America ranged from 2 to 5 Mg ha⁻¹ between 2009 and 2013, compared to 8 to 10 Mg ha⁻¹ in Western Europe and 175 North-America in the same period (FAOSTAT, 2015). The low productivity often 176 177 observed in developing countries is typically associated with soil degradation and 178 resource limitations. More specifically, farmers in many developing countries lack 179 access to sufficient synthetic and/or organic fertilizers to meet crop requirements, 180 other improved inputs (e.g. high quality seed, crop protection measures, and reliable 181 irrigation facilities), availability of labour and machinery, and access to financial 182 support structures (e.g. insurance or loans). Meanwhile, developing countries are the 183 areas where the largest population increases are predicted (UN, 2013). As more food 184 will be needed to nourish the increasing global population, it is important to 185 contemplate which food should be produced, where it should be produced, how the 186 production system should be managed, and at what environmental cost. While 187 increases in N₂O emissions due to increased N fertilizer use in many developing 188 countries have been predicted (IPCC, 2007), little is known about the actual effect of 189 intensification on N₂O emissions in those agricultural systems (Hickman et al., 190 2011; Valentini et al., 2014). In N-rate trials in Western Kenya, an exponential 191 response of N₂O to N input was observed (Hickman et al., 2015), similar to many 192 studies in temperate systems (Hoben et al., 2011;Kim et al., 2012). Nevertheless, 193 emissions as a percentage of N applied ranged between 0.01 and 0.11%, well below 194 the average IPCC emission factor of 1% (Hickman et al., 2015). Likewise, 195 simulations of intensification scenarios suggested a smaller environmental impact 196 relative to productivity gains in Zimbabwe compared to Austria and China (Carberry 197 et al., 2013). To meet the needs of the growing global population, there is an urgent 198 need to investigate the sustainability of various intensification scenarios across the 199 globe, through collaborations between agroecologists, agronomists, rural economists,

nutrition specialists and sociologists. Soil scientists specializing in N₂O emissions
could help address where and how intensification would have the largest impact on
food and nutrition security with minimal environmental impact, by seeking out
experiments in currently underrepresented geographic locations and cropping
systems, e.g. by investing in climate-smart agricultural projects in developing
countries (Marques de Magalhães and Lunas Lima, 2014;Steenwerth et al., 2014).

206 By "the stuffed", we are referring to the overconsumption of calories 207 worldwide (especially in the form of fats and refined sugars), which has contributed to 208 a global epidemic of obesity and has been linked to increased risk of non-209 communicable diseases such as cardio-vascular diseases, several cancers, and diabetes 210 (Lustig et al., 2012). The increasing consumption of these foods at unhealthy levels 211 has become an undeniable public health issue, and has boosted many debates on 212 policies such as sugar and fat taxes, diet education, and prevention campaigns to 213 address the problem (Malik et al., 2013). Meanwhile, many of the sugar and oil crops 214 are also on the table for bio-energy production. Yet, the net greenhouse gas benefit of 215 biofuels remains controversial and tends to strongly depend on the feedstock used 216 (Del Grosso et al., 2014) and regional adoption potentials (Yi et al., 2014). One of the 217 largest uncertainties in life cycle analysis (LCA) of biofuels relates to direct and 218 indirect N_2O emissions from soil (Benoist et al., 2012). Due to the lack of original 219 data, many LCAs default to IPCC emission factors to estimate N₂O emissions from 220 soil, and therefore fail to account for land-use, geographical, and management effects 221 on N₂O emissions. For example, there is evidence that N₂O emissions from sugar 222 cane cultivation might be larger than expected based on IPCC emission factors, which 223 could change the picture on the greenhouse gas balance of sugarcane based biofuels 224 (Lisboa et al., 2011). Meanwhile, there are great hopes that second-generation 225 biofuels (e.g. conversion of lignocellulose rather than sugars) will help meet 226 bioenergy targets. Feedstock production is expected to be less intensive and cause 227 lower N₂O emissions from soil compared to first-generation biofuels (Bessou et al., 228 2011; Don et al., 2012). From a global perspective, sugar cane, sugar beet, maize, 229 soybeans, rapeseed and palm oil accounted for over 20% of the harvested crop area 230 and over 30% of the total crop production in the period 2009-2013 (FAOSTAT, 231 2015). Up to 20% of the harvested biomass is used for bio-energy production (FAO, 232 2013a). This fraction is expected to increase as various countries mandate an 233 increasing share of bioenergy in the total energy consumption (Alexandratos and

Bruinsma, 2012). Clearly, interrelated trends in public health, energy and
environmental policies could have a significant effect on the cultivated acreage of oil
and sugar crops, the emergence of second-generation bioenergy crops, and the
associated changes in N₂O emissions.

238 Feed, oil, sugar and bioenergy crops form an important share of the significant 239 contribution of crop production to N₂O emissions. Soil scientists should take up 240 responsibility in debates on the impact of forthcoming policies that directly or 241 indirectly affect the cultivated acreage of these crops, backed by robust crop, region 242 and management specific N₂O emission measurements. The examples above clearly 243 illustrate the need to assess public interest and socio-economic feasibility in 244 combination with biophysical effectiveness, in order to guide land-use decisions. This 245 requires multi-directional collaborations between biophysical scientists and actors 246 engaged in policy making, socio-economic assessments and livelihood enhancement 247 of farmers. Furthermore, the highlighted land-use changes are heavily dependent on 248 behavioural change of multiple actors, including producers and consumers. It is not 249 clear how and at what rate such behavioural changes can take place. Step-wise policy 250 implementation may be necessary, and a lag time in effectiveness can be expected. 251 Dynamic modelling that takes into account transition phases can help achieve a more 252 realistic map of projected changes in N₂O emissions.

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254 4 Complex synergies and trade-offs challenge the path to 255 sustainability

256 Sustainable management of agricultural systems evidently does not end at 257 optimizing productivity and minimizing N₂O emissions. It includes, and is not limited 258 to, improving the recycling of essential nutrients at the scale of management or 259 policy-making, especially of those nutrients that come from finite reserves such as 260 phosphorus; protecting of ground and surface waters from eutrophication and other 261 toxicity induced by agrochemicals and fertilizers; restoring and conserving of 262 biodiversity, including the safeguarding of pollination services and persistence of 263 natural enemies for agricultural pests and disease control; preventing air pollution 264 from agriculture by reducing indirect emissions of NO_x, NH₃, and dust particles; 265 preventing unsustainable withdrawals of water for irrigation; protecting soil from 266 depletion and degradation; and increasing the resilience of agricultural production

267 systems, especially in the light of climate change (Schröder et al., 2011;Foley et al., 268 2011;Bindraban et al., 2012). In addition, social and economic aspects such as labour 269 requirements and profitability cannot be disregarded (FAO, 2013b). Many solutions 270 and interventions for several of these problems have been sought and applied at field, 271 farm, landscape, national and global scales. Examples at the field and landscape scale 272 include conservation agriculture, intercropping, agroforestry, precision agriculture, 273 buffer strips, organic agriculture, recycling of organic waste streams for agricultural 274 production, drip irrigation, and improved crop varieties, often assisted by advances in 275 engineering and technological solutions such as genetic modification, novel 276 machinery implements, and recently also drones. Mitigation actions at the national 277 and global scale include environmental regulation and international collaborations. At 278 present, interactions and conflicts between N₂O mitigation strategies and solutions 279 proposed to address other agronomic, environmental or socio-economic problems 280 remain insufficiently explored. Therefore, it is important to identify where synergies 281 and trade-offs can be found, by collaborating with scientists that specialize in other 282 aspects of agroecology, as well as with scientists that develop methods to facilitate 283 transdisciplinary research and engage stakeholders, tools for trade-off analysis, and 284 approaches to deal with complex systems (Klapwijk et al., 2014; van Mil et al., 285 2014; Jarvis et al., 2011). In practice, this could include combining management 286 scenarios in field trials and modelling efforts; facilitating the transfer of the data they 287 produce by collaborating on consistent data and reporting protocols, and standardized, 288 centralized databases; contributing to build integrated bio-physical and socio-289 economic models; and conducting meta-studies placing N₂O-related outcomes among 290 other environmental and socio-economic indicators, which in turn can feed back into 291 the design of N₂O emission reduction research (Fig. 2). 292 Mitigating N₂O emissions is a complex issue embedded in the even more

292 Mitigating N₂O emissions is a complex issue embedded in the even more 293 complex maze of improving the sustainability of agriculture and food systems. 294 Therefore, finding the right denominator for assessing N₂O emissions is a challenging 295 task. Yield-scaled emissions are practical for assessing the eco-efficiency of a 296 particular field, but are problematic when it comes to absolute emission reductions at 297 a global scale (Van Groenigen et al., 2010;Murray and Baker, 2011). Furthermore, 298 yield-scaled emissions cannot accommodate impacts of systemic change and 299 comparisons of land-use scenarios in which crops with very different nutritional,

300 societal, and economic values are grown. Prior to the start of new experiments, soil

scientists could reach out to policy makers, agricultural and resource economists, and
industrial ecologists to identify what ancillary variables (e.g., use of the crop and its
residues, yield, nutritional value, etc.) should be collected to accommodate a balanced
comparison of different systems.

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306 5 Inter- and transdisciplinary research: buzzword versus reality

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While the terms inter- and transdisciplinary research are frequently dropped as 308 309 buzzwords, especially in research evolving around real-world problems, challenges 310 associated with working across scholarly disciplines, or collaborations between 311 academic and non-academic actors, cannot be underestimated. So-called 312 interdisciplinary projects often regress to research consortia that merely accommodate 313 exchange of final research findings, rather than fostering true joint creation of new 314 knowledge (Bruce et al., 2004). Common barriers to inter- and transdisciplinary 315 research include: the high time commitment for coordination and communication; 316 lack of recognition in traditional institutional reward systems; differences in attitudes, 317 jargon, philosophies and publication protocols between disciplines; a lack of 318 understanding of methods and outcomes of different disciplinary components; and 319 difficulties in finding referees that appreciate and evaluate the quality of 320 interdisciplinary projects (Campbell, 2005;Bruce et al., 2004). Many funding agencies 321 and academic institutions are taking steps to overcome some of these barriers by 322 opening calls for interdisciplinary research projects, by organizing meetings to 323 explore potential new interdisciplinary partnerships, or by establishing competence 324 centres tasked with bringing together knowledge and stakeholders relevant to 325 addressing important national or global problems. Individual researchers committed to 326 the cause of reducing N₂O emissions from soil could contribute by actively seeking 327 out such opportunities. In this forum article, we presented a guiding framework for 328 the N₂O researcher interested in inter- and transdisciplinary research, by 329 conceptualizing links between major themes in sustainability of food and agricultural 330 systems and N₂O emissions research across different scales (Fig. 1), and by drawing a 331 map of relevant stakeholders and their potential interactions (Fig. 2). 332

6 Concluding remarks

335 Tremendous progress has been made during the last decennia with respect to 336 the scientific understanding of N₂O emissions from soils: Various pathways and 337 mechanisms have been elucidated (Butterbach-Bahl et al., 2013); molecular and 338 isotopic tools to assess mechanisms have been advanced (Baggs, 2008;Baggs, 339 2011;Decock and Six, 2013); we have a general idea of temporal and spatial patterns 340 of N₂O emissions (Groffman et al., 2009); micrometeorological methods are available 341 to monitor spatially integrated N₂O emissions at high temporal resolution (Eugster 342 and Merbold, 2015); various data sources have been synthesized in qualitative and 343 quantitative reviews (Bouwman, 1996;Decock, 2014); and biogeochemical models 344 have been developed and improved to predict N₂O emissions under various scenarios 345 (Chen et al., 2008). These efforts have paved the way to identify the major causes of 346 soil-derived N₂O and to isolate the strategies that have the greatest potential for 347 reducing global N₂O emissions (e.g. increasing N efficiency in cropping systems and 348 reducing meat and dairy consumption in developed countries) (Snyder et al., 349 2014; UNEP, 2013; Oenema et al., 2014). The time is ripe to reach across disciplines, 350 not only to fine-tune crop and region-specific agronomic management strategies for 351 instant mitigation action, but also to better integrate the issue of N₂O emissions in 352 overarching debates on agricultural change. This will help steer transformative action 353 for improving the social, economic and environmental sustainability of agricultural 354 and food systems for many generations to come.

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- 568 Figure 1. Illustration of interactions between major themes relevant for N₂O
- 569 mitigation from patching leaks to transformative action. Examples of research tools
- 570 commonly associated with the different themes are shown in the purple text balloons.



573 Figure 2. Stakeholder map with examples of knowledge exchange, interactions and

- opportunities for active collaborations between biophysical scientists in N₂O research
- 575 and specialists in other disciplines.