1 Sediment loss and its cause in Puerto Rico watersheds

2 Y. Yuan^{1*}, Y. Jiang¹, E. V. Taguas², E. G. Mbonimpa³ and W. Hu⁴

3 1. USEPA Office of Research and Development, Las Vegas, USA

4 2. Department of Rural Engineering, University of Córdoba, Córdoba, Spain

- 5 3. Air Force Institute of Technology, Wright-Patterson AFB, OH 45433, USA
- 6 4. Department of Agricultural Engineering, China Agricultural University, Beijing, China
- 8 Correspondence to: Y. Yuan (Yuan.yongping@epa.gov)
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10 Abstract

11 A major environmental concern in the Commonwealth of Puerto Rico is increased sediment 12 load to water reservoirs, to estuaries and finally to coral reef areas outside the estuaries. 13 Sediment deposition has significantly reduced the storage capacity of reservoirs and 14 sediments, with their associated contaminants and nutrients that are adsorbed, can stress 15 corals and negatively impact reef health. To prevent and manage sediment loss it is therefore 16 important to understand local soil erosion and sediment transport processes. The main 17 objective of this study was to determine the influence of landscape characteristics on sediment 18 loss. We analyzed available precipitation and sediment data collected in Puerto Rico during 19 the past three decades, as well as information on land use, soil properties and topography. Our 20 partial least squares analysis was not very successful in identifying major factors associated 21 with sediment loss due to the complexity of the study's watersheds, however, it was found 22 that topography and rainfall factors do not play a leading role. Sediment loss from the ridge 23 watersheds in Puerto Rico was mainly caused by interactions of development, heavy rainfall 24 events (especially hurricanes), and steep mountainous slopes associated with the ridges. These 25 results improve our understanding of sediment loss resulting from changes in land use/cover 26 within a Puerto Rico watershed, and allow stakeholders to make more informed decisions 27 about land use planning.

28 Key Words: Land use, ridge watersheds, sediment loss, Puerto Rico, partial least squares 29 analysis

1 **1 Introduction**

2 Water bodies and coastal areas around the world are threatened by increases in upstream 3 sediment and nutrient load which influence drinking water sources, aquatic species, and other ecologic functions and services of streams, lakes and coastal water bodies (Haycock and 4 5 Muscutt, 1995; Verhoeven et al., 2006). Puerto Rico (PR) faces considerable challenges 6 regarding sustainable land use and current land use effects on adjacent coastal ecosystems and 7 their services. Previous studies in PR showed that sediment contaminants have increased 5- to 8 10-fold since pre-colonial levels, with a 2- to 3- fold increase in the last 40-50 years (Sturm et 9 al., 2012). The increased sediment contamination could originate from anthropogenic 10 activities such as agriculture and urban development, or from natural erosion (Tong and Chen, 11 2002; Gellis, 1993 and 2013). The primary concern regarding increased sediment load to 12 reservoirs is that sediment deposition significantly reduces storage capacity. This 13 sedimentation can also reduce photosynthetic activity of aquatic plants and algae in 14 reservoirs, and increase water treatment costs for domestic and industrial uses (Estades 15 Hernández et al. 1997). Sediments and pollutants adsorbed to them can ultimately reach 16 offshore reef areas and stress or kill the corals comprising the reef. Reducing sediments that 17 reach reservoirs and offshore reefs is key to managing and conserving natural resources 18 (Morgan 1986).

19 Watershed-scale studies of the potential effect of land use changes on water quality are 20 essential to minimizing water pollution. Various studies have linked stream pollutants to 21 landscape variables using process-based hydrological models (Jha et al., 2010; Ullrich and 22 Volk, 2009; Hu and Yuan, 2013) and/or statistical methods (Lenat and Crawford, 1994; Nie et 23 al., 2011; Mbonimpa et al., 2014). Process-based hydrologic models have been used 24 successfully to characterize watershed processes and sources of stream pollutants, but they 25 require detailed input data which may not be available for some areas. For instance, Hu and 26 Yuan (2013) showed the difficulty of calibrating a SWAT model for the Guánica Bay, PR, 27 watershed due to limited data for numerous reservoirs and dams in the basin. Other studies, 28 however, have demonstrated statistical relationships between landscape metrics and water 29 quality. For example, when Lenat and Crawford (1994) analyzed water samples from three 30 watersheds having different dominant land use (forest, urban, or agricultural) in the Piedmont 31 ecoregion of North Carolina, they found urban land use was the greatest contributor to sediment loss. Mbonimpa et al. (2014) identified urban land use and agricultural land growing corn as the main factors that caused increases in total suspended sediment and total phosphorous in streams, using partial least squares (PLS) regression analysis. The objective of our study was to evaluate influence of landscape characteristics on levels of suspended sediment and identify factors that impact sediment loss, using statistical methods. Identifying major factors causing sediment loss will help stakeholders make better planning decisions about future land use and sediment control.

8

9 2 Methodology

10 **2.1 Study area**

PR is located in the western Atlantic and Caribbean region. The island was almost entirely covered with forests prior to European colonization in the 16th century, and it was not until the beginning of the 19th century that forests were cleared for planting sugarcane, coffee, cotton, and tobacco, with coffee as the primary agricultural crop. Many watersheds are located in the major coffee-growing zone.

16 PR receives an average of 1651 mm of precipitation annually, delivered by infrequent but 17 high intensity rainfall events. The top mountains receive a much higher amount than coastal 18 areas. The island is located in the Caribbean hurricane belt and hurricanes are the number one 19 weather threat because of damaging high winds, waves, and large volumes of rain. Six to ten 20 hurricanes threaten this region annually, and several powerful ones (Hugo -1989, Hortense -21 1996, Georges -1998, Lenny -1999, and Irene -2011) caused catastrophic damage to the 22 environment. Various parts of PR also experience severe yearly storms that cause floods, tree 23 falls and landslides (Nagle et al., 1999).

Rain intensity, duration, frequency and areal extent are the most important factors contributing to erosion (Jiang et al., 2008); in addition, runoff generated from storm events of high intensity or long duration transports large quantities of suspended sediment (SS); annual SS loss in some PR watersheds can be as high as 130 tons/ha/year. Furthermore, landslides triggered by heavy rainfall contribute an average of 3 tons/ha/year into river channels (Zack and Larsen, 1994). Adding to the transport of SS is severe stream bank erosion which often
 occurs in sun-grown coffee growing areas (CWP, 2008).

3 2.2. Data acquisition and preliminary analysis

Monthly precipitation data were obtained from the National Oceanic and Atmospheric
Administration-National Climatic Data Center (NOAA- NCDC;
http://www.ncdc.noaa.gov/cdo- web/search). Thirty-three NOAA-NCDC weather stations are
located on the main island of PR (Fig. 1). The annual total for each water year was calculated
by monthly precipitation data and expressed in mm/year.

9 There are 31 United States Geological Survey (USGS) stations monitoring stream flow and 10 SS in Puerto Rican watersheds (Fig. 1). To meet the assumption of independence of 11 watersheds (observations) for regression analysis, nested watersheds (i.e., those situated 12 within larger watersheds) were not included in this analysis, leaving 20 independent 13 watersheds with USGS monitoring. Further investigation of these 20 watersheds revealed that 14 some stations have very short monitoring periods and similarities of monitored SS 15 concentrations and load exist between different watersheds. We therefore eliminated nine 16 stations with short monitoring periods and/or similar SS concentrations and load (Table 1), 17 leaving11 watersheds in this study.

Annual (water year) statistics of discharge (cubic feet per second), suspended sediment concentration (mg/L), and suspended sediment discharge (tons per day) were downloaded for the 11 monitoring stations. For SS load, annual statistics values were first converted to annual Tons/Year, then normalized by dividing by the drainage area and expressing as Tons/ha.

Thiessen Polygons, created from available weather stations using ArcMap (ArcGIS10), were overlaid onto the watershed boundary. Areal average precipitation was calculated for each watershed based on where the polygons fall.

Digital Elevation Model (DEM), land use, and the Soil Survey Geographic Database
(SSURGO) data were downloaded from the USGS (http://seamless.usgs.gov/), MultiResolution Land Characteristics Consortium (http://www.mrlc.gov/nlcd01_data.php), and the
United States Department of Agriculture-Natural Resources Conservation Service
(http://sdmdataaccess.nrcs.usda.gov/), respectively.

1 Distributions (percent of total watershed area) of land use type (Table 2) and soil type (Table 2 3) for each watershed were determined by overlaying the National Land Cover Database 3 (NLCD) 2001 land use map and SSURGO soil map onto the watershed boundaries. The 4 primary land covers in the studied watersheds were evergreen forest and grassland/herbaceous 5 (ranging from 50-100%) (Table 2). Other land uses include developed/urban (ranging from 0-6 47%), pasture/hay (0-31%) and scrub/shrub (0-7%). Shade coffee plantations and secondary 7 forests regenerated from abandoned pastures and coffee plantations are both classified as 8 shrub because of their low canopies.

9 Soil properties such as erodibility, texture and hydraulic conductivity were retrieved from the 10 SSURGO soil database (Table 4). In summary, soils in the studied watersheds varied from 11 very deep to shallow, well-drained to poorly-drained and slowly permeable to rapidly 12 permeable soils; the majority are very to moderately deep, well-drained, and very to 13 moderately permeable.

Land slope (terrain) was calculated using ArcMap (ArcGIS10) (Table 5). The study
watersheds have steep slopes with means ranging from 15%-40% (Table 5). Most individual
slopes are 9%-25% and 25%-60% (Table 5).

We calculated the correlation between the calculated watershed precipitation and SS concentrations and load. Land use (Table 2) and soil distribution (SSURGO soil types, Table 3), slope (Table 5), and calculated precipitation subsequently formed predictors for loss of sediments from each watershed.

Annual average SS load and concentration were calculated for each monitoring station based on available data from 1983 to 2011. USGS stations do not have measured data in exactly the same time period, but they overlap. Annual average of areal rainfall for each watershed was also calculated using data from 1983 to 2011.

25 **2.3. Correlation and partial least squares analysis**

Correlations among the variables were calculated (Proc Corr, SAS® 9.2) to assess relationships between variables. Correlation analysis was also performed between precipitation and SS load/concentration to evaluate impact of precipitation on SS load/concentration for individual watersheds. PLS was used to find the association between

1 measured SS load, rainfall, and landscape characteristics (e.g., land use type, soil type, and 2 topography). A small sample size, large number of predictors and collinearity between 3 predictors prevented the use of standard multivariate regression (Yeniay and Goktas, 2002), 4 however, PLS has been shown to work well under these constraints (Abdi, 2010; Nash and 5 Chaloud, 2002). PLS extracts orthogonal factors (latent variables) requiring these latent 6 variables to explain as much of the covariance between measured response (SS) and 7 predictors (landscape variables) as possible. This is followed by regression of predictors on 8 response (Helland, 1988; Höskuldsson, 1988). Predictor coefficients (magnitude and 9 direction) from the PLS regression can define the role and influence of predictor variables on response. Magnitude of the coefficient indicates the weight and degree to which the predictor 10 11 influenced the response; direction of influence is "+" for an increase of the coefficient and "-" 12 for a decrease.

13

14 **3** Results and discussion

15 **3.1** The relationship of SS load/concentration with precipitation

16 Temporal variation of SS concentration and SS load were analyzed for each study monitoring 17 station; the highest SS concentration occurred in 1989 (Fig. 2) and the highest SS load 18 occurred in 1998 (Fig. 3) at monitoring station 3. Hurricanes Hugo (1989) and Georges 19 (1998) generated serious soil erosion and sediment loss; hurricanes are PR's top weather 20 threat because of the damage they cause (Nagle et al., 1999). Hurricane Georges may also 21 have been responsible for higher SS loads that occurred at monitoring stations 1, 10, 11 and 9 22 (in order from high to low) in 1998, and also for higher SS concentrations at monitoring 23 stations 10, 1, 9 and 11 (in order from high to low). No monitoring information was available 24 for 1989 for monitoring stations 1, 10, 9 and 11. Although SS monitoring started in 1986 at 25 monitoring station 11, monitoring information was not available for 1989 due to equipment 26 malfunction caused by the hurricane. As expected, Precipitation was a strong predictor for SS 27 concentration and SS load for most monitoring stations, and explains 19%-94 % variation in 28 SS concentration and 19%-83 % variation in SS load (Table 6).

3.2 Comparison of SS load among different USGS monitoring stations

The watershed monitored by station 3 where the highest SS load occurred (Fig. 3) has clay soils with relatively low erodibility (Tables 3 and 4); it also has the lowest mean slope (Table 5) and an annual average rainfall of 4,506 mm which is substantially lower than the mean of the study watersheds (Table 7). All these factors suggest that watershed 3 should have a much lower SS load, however, it has the highest percentage of developed land (47% - Table 2), which may outweigh the other factors (Lenat and Crawford, 1994; Mbonimpa et al., 2014).

The driver for SS load in the watershed of monitoring station 1 (second highest load, Table 7) was not developed lands (only 8% -Table 2), but likely was associated with the much steeper slopes (Table 5). This is further borne out by the SS load from the watershed of monitoring station 10 (Fig. 3) which has clay soils with low erodibility (Tables 3 and 4) and a low percentage of developed land (4%), but also has the highest mean slope (41% -Table 5).

The lowest SS load occurred in the watershed at monitoring station 6 (Table 7). Although the primary soil was moderately erodible (Tables 3 and 4), with the highest annual average rainfall (Table 7) and moderately steep slopes (Table 5), the watershed had 100% land cover by evergreen forest (Table 2). Land cover for the watershed of monitoring station 7 also had a high degree of natural cover (70% evergreen forest and 26% grassland/herbaceous), as well as a very low SS load (Table 7).

19 3.3 Results of partial least squares analysis

PLS regression coefficients indicated the degree and direction of association for land uses, soil types and slope characteristics (Fig 4). Of the land use categories, developed and barren lands appear to greatly increase SS load (Fig. 4), while land covered by evergreen forests, scrub, grass, and pasture decrease the load. The high regression coefficient associated with developed land use shows it is the strongest predictor for SS load. Watershed 3 had the highest developed land use and the highest SS load (Fig. 3) which is discussed in section 3.2.

Slope can also have a strong and directional influence on SS loading (Fig. 4). For watersheds with relatively low developed land use (Table 2), the highest sediment loads came from watersheds 1 and 10 (Table 7). Both have very high mean % slope (Table 5) which may be responsible for their higher SS loads (Table 7). Lack of steep slopes may also reduce the 1 amount of SS loading, as in watershed 5 (Table 7). Generally, soil loss is linearly related to

2 the sine of slope angle for slopes ranging from 9%-55% (Liu et al., 1994).

The higher the erodibility of a soil, the higher the potential for increased sediment loading. For example, the primary soil type of s9542 (Pellejas-Lirios) in watershed 1 has an erodibility coefficient of 0.17 which could increase SS load (Fig. 4). Soil erosion potential rises as soil particle size content larger than 0.05 mm increases, since the material is less cohesive (Morgan, 2001).

8 Watershed 8 had a high SS load (Table 7), but also received the highest rainfall (Table 7). On 9 the other hand, watershed 6 received the same amount of rainfall, but had the lowest SS load 10 of any of the watersheds. Therefore, even with increased rainfall, other factors associated with 11 land use and soil type may have reduced SS loading. Watershed 6 has 100% every forest 12 land cover (Table 2) and a primary soil type of s9542 which has an erodibility coefficient of 13 0.17. It may be that large amounts of forested land cover and soil that is not high erodible can 14 lead to decreased SS load in a watershed, even in high rainfall areas. Neither of these 15 watersheds had a weather station, however, and the same weather station were assigned to 16 them. The weather station is closer to monitoring station 8 than monitoring station 6. We 17 therefore cannot rule out that rainfall data collected at the weather station did not represent 18 actual rainfall on either watershed.

Additional regression analysis was subsequently used to examine watersheds with high vegetation cover (<10% developed and barren land) and low vegetation cover (>10% developed and barren land) (Table 2). Precipitation had less impact on SS load in the highly vegetated watersheds. Slope of the regression for highly vegetated land suggests that vegetative cover can retard soil loss, while watersheds with reduced vegetative cover appeared to have increased soil loss.

PLS analysis was not efficient in identifying key factors associated with sediment loading from the study watersheds. This was likely due to the complex attributes of these watersheds that often acted in concert with, in opposition to, or in both directions simultaneously to affect SS loading from the watersheds. Land use was identified as a strong predictor for sediment loss from the study watersheds, however, and land use is a factor that can be managed. Vegetation can be an important factor affecting soil erosion processes, and in areas with serious soil erosion and sediment loss, the natural vegetation often has been destroyed (Gyssels et al., 2005; Shi and Shao, 2000; Zhou et al., 2008). Natural vegetative cover may
 be able to compensate for the erosive potential of high precipitation and steep slopes (e.g.,
 watershed 6, Table 7). Where development must occur in PR, areas with highly erodible
 soils, steep slopes, and high precipitation should be avoided.

5

6 4 Conclusions

7 Development was the one controllable factor associated with SS load and SS concentration 8 increases in the PR study watersheds. SS loads were also influenced by precipitation, steep 9 terrain and soils with higher erodibility. In watersheds with high percentages of natural 10 vegetative cover (i.g., watershed 6, Table 7, evergreen forest), SS loading was low, even in 11 steep terrain with high precipitation. We found PLS to be unsuccessful in identifying the main 12 factors causing high SS loading in such complex watersheds. Future studies to examine the 13 spatial distribution of different land cover types within a watershed that mitigate SS loads 14 from complex watersheds are needed.

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1 Table 1. Independent USGS monitoring stations, size of the monitored watershed, and time

USGS monitoring	USGS monitoring	Watershed drainage	Flow		Sediment	Sediment		
station ID	station number	area (ha.)	Start	End	Start	End		
1	50026025	9836.8	1996	2013	1996	2005		
2	50043800	30514.7	1992	2013	1990	2004		
3	50048770	1939.9	1991	2008	1989	2003		
4	50055000	23258.1	1961	2013	1984	2004		
5	50055750	5775.7	1991	2013	1991	2004		
6	50065500	1781.9	1968	2013	1993	2003		
7	50071000	3859.1	1962	2013	1996	2004		
8	50075000	326.3	1980	2013	1995	2001		
9	50110900	3677.8	1990	2013	1990	2004		
10	50114900	1882.9	1998	2013	1998	2004		
11	50136400	4739.7	1986	2013	1986	2012		

2 period for available flow and sediment data.

USGS monitoring station ID	Open water	Developed	Barren land	Evergreen forest	Scrub/ Shrub	Grassland/ Herbaceous	Pasture/ Hay
1	0	8	0	65	1	26	0
2	0	13	0	43	6	37	1
3	1	47	2	30	0	20	0
4	0	15	1	36	1	44	3
5	0	8	0	36	1	24	31
6	0	0	0	100	0	0	0
7	0	1	0	70	2	26	1
8	0	0	0	100	0	0	0
9	0	2	0	49	7	42	0
10	0	4	3	71	2	20	0
11	0	2	0	85	2	11	0

1 Table 2. Land use distribution (in percent of total watershed drainage area).

	Soil Ty	oil Type (% of total area)																		
USGS monitoring station ID	s9538	s9537	s9542	s9532	s9539	s9533	s9536	s8369	s9531	s9535	s9553	s9530	s9541	s9543	s9518	s9540	s9552	s9529	s9534	s9544
1	0.1	23	70.9	5.9	0.1															
2	1				8.9	61.2	12.6	0.4	1	9.1	5.8									
3						80.7	19.3													
4					7	25.5	18			0	13	0.1	1.7	34.7						
5										1.2		28.3	1.6		5.4	29.4	34.1			
6										2.4						97.6				
7										23.8		30			18.1	28.1				
8																100				
9	38.6				8.3	0.3			52.8											
10	43	0.2						56.8												
11																		16.2	81.7	2.1

Table 3. Soil type distribution (in percent of total watershed drainage area).

SSURGO Code	Soil Name	Erodibility coefficient (USLE K)	Texture	Ksat
s8369	Water	(= ~		
s9518	Urban land-Toa-Coloso-Bajura	0.24	Silty clay loam	1.4-4.2
s9529	Rock outcrop-Mucara-Malaya-Caguabo	0.1	Clay	4.2-14.1
s9530	Sabana-Naranjito-Mucara-Caguabo	0.24	Silty clay loam	4.2-14.1
s9531	Quebrada-Mucara-Morado-Caguabo	0.17	Gravelly clay loam	4.2-14.1
s9532	Mucara-Morado-Maraguez-Caguabo	0.1	Clay	4.2-14.1
s9533	Mucara-Caguabo	0.1	Clay	4.2-14.1
s9534	Humatas-Consumo	0.02	Clay	4.2-14.1
s9535	Los Guineos-Lirios-Humatas	0.1	Silty clay loam	4.2-14.1
s9536	Naranjito-Humatas-Consumo	0.1	Clay	4.2-14.1
s9537	Los Guineos-Humatas	0.1	Clay	4.2-14.1
s9538	Maricao-Los Guineos-Humatas	0.1	Clay	4.2-14.1
s9539	Maricao-Los Guineos	0.1	Clay	4.2-14.1
s9540	Utuado-Rock outcrop-Los Guineos- Guayabota	0.17	Silty clay loam	4.2-14.1
s9541	Vieques-Rock outcrop-Pandura	0.17	Loam	4.2-14.1
s9542	Pellejas-Lirios	0.17	Clay loam	4.2-14.1
s9543	Pandura-Lirios	0.17	Sandy loam	4.2-14.1
s9544	Rosario-Nipe-Guanajibo	0.1	Clay	4.2-14.1
s9552	Rio Arriba-Mabi-Dumps-Cayagua-	0.17	Clay	1.4-4.2
s9553	Urban land-Rio Arriba-Mabi	0.17	Clay	1.4-4.2

Table 4: Soil types and their erodibility, texture and hydraulic conductivity.

Table 5: Slope distribution (in percent of total watershed drainage area).

USGS monitoring station	Mean slope (%)	Min (%)	Max (%)	<9%	9-25%	25-60%	60-80%	>80%
1	36.4	0.0	166.4	5.3	24.6	58.1	9.5	2.5
2	28.5	0.0	172.0	12.3	34.3	48.7	4.0	0.7
3	14.8	0.0	59.6	22.0	53.0	25.0	0.0	0.0
4	23.4	0.0	134.1	18.7	38.8	41.0	1.4	0.1
5	20.9	0.0	122.4	34.2	27.0	33.3	5.4	0.1
6	37.3	0.5	154.1	1.2	20.9	69.8	7.0	1.1
7	29.8	0.0	126.2	14.4	30.6	47.9	6.3	0.8
8	24.2	0.5	70.4	11.4	41.9	41.5	5.2	0.0
9	37.0	0.0	157.3	2.9	24.0	63.0	8.1	2.0
10	41.0	0.0	111.7	1.8	15.3	69.9	11.3	1.7
11	33.5	0.0	104.9	3.8	26.3	64.6	5.0	0.3

	USGS monitoring station ID	Coefficient of rainfall and SS load	Coefficient of rainfall and SS concentrations				
	1	0.49	0.53				
	2	0.78	0.77				
	3	0.19	0.19				
	4	0.57	0.55				
	5	0.83	0.94				
	6	0.30	0.67				
	7	0.53	0.50				
	8	0.73	0.80				
	9	0.57	0.71				
	10	0.24	0.79				
	11	0.38	0.36				
2							
3							
4							
5							
6							
7							
8							
9							
10							

1 Table 6. Rainfall and SS load/concentration correlation coefficients.

USGS monitoring station ID	Annual Average Rainfall in	Annual Average Sediment Load		
	mm (1983 to 2011)	in Tons/ha. (1983 to 2011)		
1	5550	25.4		
2	4294	9.9		
3	4506	56.1		
4	5322	9.4		
5	4964	5.6		
6	10360	2.8		
7	7232	8.3		
8	10360	21.3		
9	3462	8.1		
10	4335	22.0		
11	5972	8.5		

2 Table 7. Annual average rainfall and sediment load for each monitoring station.