

Biological and Environmental Engineering

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May 21, 2016

Dear Dr Kristof van Oost

Thank you for finding the spelling mistakes and other small changes. We made all the changes suggested and these are depicted in purple and italics in the attached pdf. The other colors represents the changes made in the previous revised manuscript

Thanks so much for your time and effort

Best regards

Tammo Steenhuis and Mamaru Mogus

1 Sediment Concentration Rating Curves for a Monsoonal Climate: upper 2 **Blue Nile** 3 4 5 Mamaru A.Moges^{1,2}, Fasikaw A. Zemale^{1,2}, Muluken L. Alemu¹, Getaneh K. Ayele¹, 6 Dessalegn C. Dagnew¹, Seifu A. Tilahun², Tammo S. Steenhuis^{2,3} 7 ¹ PhD Program in Integrated Water Management, School of Civil and Water Resource 8 Engineering, Bahir Dar University, Bahir Dar, Ethiopia. 9 ² Faculty of Civil and Water Resource Engineering, Bahir Dar Institute of Technology, Bahir 10 Dar University, Bahir Dar, Ethiopia. ³ Department of Biological and Environmental Engineering, Cornell University Ithaca NY, 11 12 14853 USA. 13 Correspondence to: Tammo S. Steenhuis 206 Riley Robb Hall, Cornell University, Ithaca 14 NY 14853 USA (tss1@cornell.edu) 15 Abstrac6 16 17 Information on sediment content in rivers is important for design of reservoirs and for 18 environmental applications. Because of scarcity of continuous sediment data, methods 19 have been developed to predict sediment loads based on few discontinuous 20 measurements. Traditionally, loads are being predicted using rating curves that relate 21 sediment load to discharge. The relationship assumes inherently a unique relationship 22 between concentration and discharge and therefore although performing satisfactorily in 23 predicting loads, it may be less suitable for predicting concentration. This is especially true 24 in the Blue Nile basin of Ethiopia where concentrations decrease for a given discharge with 25 the progression of the rainy monsoon phase. The objective of this paper is to improve the 26 sediment concentration predictions throughout the monsoon period for the Ethiopian 27 highlands with a modified rating type equation. To capture the observed sediment 28 concentration pattern, we assume that the sediment concentration was at the transport limit

early in the rainy season and then decrease linearly with effective rainfall towards source limited concentration. The modified concentration rating curve was calibrated for the four main rivers in the Lake Tana basin where sediment concentrations affect fish production and tourism. Then the scalability of the rating type equation was checked in three hundred hectare watersheds for which historic data was available. The results show, that for predicting sediment concentrations, the (modified) concentration rating curve was more accurate than the (standard) load rating curve as expected. In addition loads were predicted more accurately for three of the four rivers. We expect that after more extensive testing over a wider geographical area, the proposed concentration rating curve will offer improved predictions of sediment concentrations in monsoonal climates. Key Words: Africa, Horn of Africa, Ethiopia, Erosion, Tropics, Soil

1 1 Introduction

2 Only for a few rivers in the world and over a limited period, sediment concentrations have 3 been measured at a daily or shorter frequency. In order to determine sediment loads in the 4 absence of these measurements, models and rating curves have been used. Knowing the 5 total sediment loads from rivers is essential for evaluating the siltation of reservoirs (Ali et 6 al., 2014), assessment of soil erosion and nutrient loss (Walling, 1977). As a result 7 knowledge of sediment concentration is important in most environmental applications 8 because among others it hampers fish reproduction and reduce the esthetic value of 9 surface waters (Vijverberg et al. 2012). 10 In the Blue Nile Basin, where the construction of the Grand Ethiopian Renaissance Dam is 11 and planning of other hydroelectric dams are under way, determining sediment loads is 12 becoming more urgent. At the same time concern for the environment has been increasing 13 and it has been noted that the fish production in Lake Tana is decreasing due to increasing 14 sediment concentrations (Vijverberg et al. 2012). Thus, the ability to predict accurately the 15 sediment concentrations and loads to the lakes and man-made reservoirs has become 16 important in the Ethiopian highlands where these are not available. 17 Modeling sediment loss is fraught with difficulties that unlike runoff is not bounded by the 18 amount of rainfall. So there is no upper bound for sediment load in the absence of data. 19 The models most commonly used for predicting soil loss are the USLE (Wischmeier and 20 Smith, 1965) and its derivatives such as RUSLE (Renard et al., 1991) and MUSLE (Williams 21 and Berndt, 1977) Hydrologic Engineering Center River Analysis System, (HEC-RAS, HEC 1995), Water Erosion Prediction Technology (WEPP, Nearing et al., 1989), Agricultural 22 23 Non-Point Source Polution (AGNPS, Young et al.1989), Erosion Productivity Calculator 24 (EPIC, Jones et al., 1991), Soil and Water Assessment Tool (SWAT, Arnold et al., 1998)

1 and Chemicals, Runoff and Erosion from Agricultural Environment Systems (CREAMS, 2 Knisel, 1980). More sophisticated models used are the Neural Differential Evolution (NDE), 3 Artificial Adaptive Neuro-fuzzy inference system(ANFIS), and Artificial Neural Network 4 (ANN) Models (Masoumeh and Mehdi, 2012; Özgür, 2007). However, it is cumbersome to obtain the required data for these models especially in developing countries. The reason is 5 6 that these models were originally developed for areas that have large amounts of data. For example, 7 in the land use and land cover map, the leaf area index data that SWAT needs is not available. 8 Similarly, the soil data in Ethiopia is very coarse and is missing basic information such as soil 9 texture, hydraulic conductivity and other parameters that are difficult to measure in Ethiopia. 10 Additional challenges using these models are: i) the models have been developed in regions with a 11 semi-arid temperate climate where the runoff mechanisms are governed by Infiltration excess unlike 12 the highland areas where saturation excess runoff is dominating (Steenhuis et al., 2009; Bayabil et 13 al., 2010; Tilahun et al., 2013) and ii) almost all of the models need intensive data with many 14 parameters that might be available centrally in developed countries but not in developing countries 15 such as Ethiopia. Therefore, historically when concurrent concentration and discharge 16 measurement were taken at irregular intervals; rating curves were often the preferred 17 choice for predicting sediment loads (e.g., Walling, 1990) but also recently (e.g., Horowitz, 18 2010); Kokpinar et al., (2015); Choi and Lee, 2015; Kheirfam and Vafakhah, 2015). The abundance 19 of papers on load rating curves in the refereed literature should be not surprising since purpose of the 20 measurements was to determine the amount of sediment that potentially could be deposited in rivers 21 and reservoirs. In the literature, a limited number of articles developed sediment rating curves. These 22 few studies were carried out in Sweden (Fenn et al., 1985); Ontario Canada (Irvine and Drake, 1987), 23 British Columbia in Canada (Sichingabula, 1998), South Australia (Sun et al, 2001) and for the 24 Himalayan glacier in India (Arora et al., 2014). Thus, compared to the sediment load rating curves 25 that are available throughout the world for many rivers, there are very few sediment concentration 1 rating curves and none for a monsoon climate."

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- 3 There is a connection between models and rating curves in sediment studies. Rating curves have
- 4 been used to validate models. Previous simulations to predict sediment load in the Lake Tana basin
- 5 such as Easton et al. (2010) and Setegn et al. (2009b), sediment load rating curves were used to
- 6 generate the observed sediment load data and validate the models. Developing better rating curves
- 7 will results in better predictions generated from observed flows.
- 8 There are at least 20 different ways to convert the measured concentration and discharge
- 9 data to a rating curve (Phillips, et al., 1999: Horowitz, 2010). The most often used is a
- 10 power function that relates sediment load (product of discharge and concentration) to
- discharge, (Miller, 1951; Muller and Foerstner, 1968; Phillips, et al., 1999; Masoumeh and
- 12 Mehdi, 2012).

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$$M = a_l Q^b \tag{1}$$

- where M is the sediment load, Q is the discharge and a_l and b are rating curve parameters
- determined by regression analysis using observed data (Gao, 2008).
- 16 The concentration, C, can be found by dividing the load (Eq. (1)) with the discharge Q,

$$C = a_c Q^{b-1} \qquad a_c = a_l \tag{2}$$

and concentration (i.e., a_c is constant, Gao, 2008). However when observed sediment concentrations are plotted against discharge, there is usually significant scatter around the curve (Asselman, 2000, Gao 2008 and Walling 1977) indicating that other factors in addition to discharge influence sediment concentrations. To compensate for variations,

The load rating curve Eq. (1) inherently assumes a unique relationship between discharge

various modifications have been applied; these include dividing the sediment discharge

data into seasonal or hydrologic groupings, applying various correction factors, or using non-linear regression equations (Horowitz, 2010; Phillips, et al., 1999); In the Ethiopian highlands the scatter in the plot of discharge and sediment concentration is caused by the fact that the observed sediment concentrations in streams and rivers are decreasing for the same discharge with the progression of the rainy phase as shown for the Ethiopian highlands by Guzman et al. (2013) and Tilahun et al. (2013c). The same pattern has also been observed in Tibet in the upper reaches of watersheds by Henck et al. (2010).

Various reasons are given for the decrease in concentration with the progression of the rainy phase: Tilahun et al. (2013b) poses that with the progression of the rainy phase of the monsoon the value of a_c is a function of the portion of newly plowed land takes the highest value in the beginning of the rainy season when in the unconsolidated soil rills form and the soil removed is transported by runoff. Nyssen et al. (2004), Vanmaercke et al. (2010), and Asselman (1999) showed that the sediment concentration depends on the sediment available for transport by runoff. Haile et al. (2006) and Awulachew et al. (2009) relate sediment concentration to the amount of plant cover protection which is increasing towards the end of the rainy period. However, Tebebu et al. (2010) noted that plant cover and sediment concentration were not statistically related. Zumr et al (2015) noted that sediment transport originated from saturation excess interflow from sloping agricultural fields and was not related to plant cover. Zegeye et al. (2010) and Tilahun et al. (2013c) attributed the decreased loading with the cessation of the rill formations. In addition, the base flow increases at the end of rainy phase and dilutes the sediment concentrations.

Since the traditional method of determining rating curves for sediment loads assumes that the sediment concentrations are a unique function of the discharge, this method cannot be used in environmental applications for predicting sediment concentrations when the sediment concentration decreases throughout the season for a given amount of discharge. The objective of this paper is, therefore, to develop a realistic method in determining the decreasing sediment concentration with the progression of the monsoon using the limited data common in most of the tropics. The study is carried out in the Ethiopian highlands. Two groups of watershed sizes were selected to test how well the concentration rating curve performed. These consisted of four major rivers and their watersheds in the Lake Tana basin and three small well-monitored 100 ha watersheds *in another part of the* Blue Nile basin.

2 THEORY: CONCENTRATION RATING CURVES

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To include the observed decreasing sediment concentration with the progression of the rainy season in predicting sediment concentrations, Steenhuis et al. (2009) and Tilahun et al. (2013b,c) adapted the theory originally developed by Hairsine and Rose (1992). This relationship as depicted in Fig.1 is based on the assumption that the sediment load in the beginning of the rainy monsoon phase is at the transport limit when sediment is available from the plowed land and then linearly decreases with cumulative effective rainfall to a source limited concentration. Source limiting describes the condition when the rate of detachment from the soil determines the sediment concentration. Transport limiting, occurs when deposited and detached sediment are in equilibrium and the stream carries its maximum amount of sediment (Foster and Meyer, 1975). This is the case in the Ethiopian highlands when fields are plowed in the beginning of the rainy monsoon phase. Once the rill network is fully developed and stable, the sediment concentration will become source limited (Tilahun et al. 2013b). Finally as the surface runoff ceases and only base and interflow feeds the river, there will be small amount of sediments that the water picks up from the river bed or stirred up by animals or humans. Therefore, the sediment concentrations were calculated separately during the rainy monsoon phase and during the

dry phase. Since the start of the rainy phase varies from year to year and from one location to another, we will use the cumulative effective rainfall, P_{e} , to replace the "time" parameter. Pe is determined by summing the daily effective rainfall which is equal to precipitation minus the potential evaporation for that day. The rainy phase starts when the cumulative effective rainfall, Pe is greater than 40 mm (from observation) and setting each time when Pe is negative to zero. As we will see later in most of the Lake Tana basin this occurs in the beginning of July, but it begins in mid-May in Gilgel Abay because the rainy phase starts earlier in a southern direction. For all of the watersheds the rainy phase ends the beginning of October

Based on these observations we redefine the "ac" in Eq. (2) for the rainy phase as:

$$a_{c} = \left[a_{t} + (a_{s} - a_{t}) \frac{p_{e}}{P_{T}} \right] \quad for \quad P_{e} < P_{T}$$

$$a_{c} = a_{s} \quad for \quad P_{e} \ge P_{T}$$
(3)

where a_s is sediment source limiting factor, a_t is the sediment transport limiting factor, P_e is the cumulative effective rainfall (mm) at a particular day, P_T is the threshold cumulative rainfall up to *Which* amount the a_c parameter linearly decreases with cumulative rainfall, P_e , and after which the sediment concentration remains at the source limit. Thus, when P_e is equal to or greater than P_T , the ratio becomes one, which indicates that the sediment concentration is equal the source limit. The " a_c " and " a_s " parameters depend on a number of factors such as slope length, particle size and disposability. In addition, " a_s " parameter varies with the cohesion of the soil (Yu et al., 1997). The threshold value was found in other simulations to be around 600 mm (Tilahun et al., 2013 a, b). The values of all three parameters are therefore difficult to predict a priori and need to be calibrated. As we will see hereafter they are in relatively narrow range indicating that they have some physical

1 meaning.

- 2 The value of the exponent b in Eq. (1) can be set to 1.4 when there is a linear relationship
- 3 between velocity and sediment concentration and the depth of water is small compared to
- 4 its width (Ciesiolka et al., 1995; Yu et al., 1997; Tilahun et al., 2013a b c). Using this value
- 5 for b and combining Eq. (2) and (3), the modified concentration rating curve can be written
- 6 for the rainy phase as:

$$C = \left[a_t + (a_s - a_t) \frac{p_e}{P_T} \right] Q^{0.4} \quad \text{for} \quad P_e < P_T$$

$$C = a_s Q^{0.4} \quad \text{for} \quad P_e >= P_T$$

$$(4a)$$

8 For the dry monsoon phase the concentration is

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$$C = a_b Q^{0.4}$$
 (4b)

- The modified load rating curve can be obtained by multiplying Eq. (4) by Q. Then, for the
- rainy phase the load, M can be expressed as:

$$M = \left[a_t + (a_s - a_t) \frac{p_e}{P_T} \right] Q^{1.4} \quad \text{for} \quad P_e < P_T$$

$$M = a_s Q^{1.4} \quad \text{for} \quad P_e \ge P_T$$
(5a)

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And for the dry monsoon M can be expressed as:

$$M = a_b Q^{1.4} (5b)$$

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Materials and methods

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- 2 The load rating curve (Eqs. (1) and (2)) and concentration rating curves (Eqs. (4) and (5))
- 3 are evaluated for the rivers in the four major watersheds in the Lake Tana basin: Gilgel
- 4 Abay, Gumara, Megech and Ribb. These are named, hereafter, as the "Lake Tana
- 5 Watersheds". In addition, three small (approximately 100 ha) watersheds are selected for
- 6 the assessment of scale effects in the concentration rating curve: Anjeni, Debre Mawi and
- 7 Maybar. We will call these hereafter "100-ha watersheds".

8 **2.1 Description of study areas**

9 The 15,000 km² Lake Tana basin is in the headwaters of the approximately 180,000 km² 10 Blue Nile basin. The average annual discharge from Lake Tana is 3.8*109 m³ (3.8 BCM) 11 which is approximately 7% of that of the Blue Nile at the Ethiopian Sudanese border (Awulachew et al., 2009). The elevation in the basin ranges from 1787 m to 4260 m. The 12 13 major rivers that contribute 93% of the inflow to the lake are Gilgel Abay, Rib, Gumara and 14 Megech. The gaging stations are located 95, 20, 26 and 40 km, respectively, to the lake 15 inlet as shown in Fig. 2. The three micro watersheds are Debre Mawi, Anjeni and Maybar. 16 The 91ha Debre Mawi and the 113ha Anjeni are located in the Blue Nile basin south of 17 Bahir Dar at 35 km and 220 km respectively. The 112ha Maybar is just located on the 18 boundary of the Blue Nile Basin near Dessie 300 km east of Bahir Dar. Average annual

rainfall for all watersheds in this study varies between 1100 to over 1900 mm yr⁻¹ (Table 1).

20 **3.2 Available Data**

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21 **3.2.1** Discharge and sediment concentrations

22 Irregular measured discharge and sediment concentration data by Ministry of Water

Irrigation and Energy (MoWIE) for the major four rivers in Lake Tana basin were available from 1964 to 2008. The numbers of observations available for the Lake Tana watersheds used for this analysis period were 23, 53, 52 and 16 for the Gilgel Abay, Gumara, Ribb and Megech watersheds, respectively. The data of the 100-ha watersheds were collected for Anjeni and Maybar by ARARI (Amhara Region Agricultural Research Institute). The Debre Mawi data were collected partly by ARARI and us and is described in Tilahun et al. (2013 a, b).

The sediment concentrations in the Lake Tana watershed has been increasing since the initial measurement were made in 1964 (Ayana et al. 2014). We selected the following periods for analysis 1968-2008 for Gilgel Abay, Gumara and Rib. The Megech data was only available and the analysis was made for 1990–2007. The analysis for the Anjeni was made for 1996 and for Anjeni in 1994 when the watershed were stabilized from the soil and water conservation practices that were installed in the mid of 1980's. For the Debre Mawi watershed the data in the years 2010 and 2011 were used before large scale conservation practices were installed in 2012.

Climate data: Rainfall and temperature data for the Lake Tana watersheds (Table 1) were available from 1994 to 2008 by the National Metrological Agency of Ethiopia (NMAE), Bahir Dar branch. The areal rainfall was calculated by using Thiessen-polygon method for the available rainfall stations for the Lake Tana watersheds as these watersheds have two or more rainfall stations. The method was chosen because it is simple and does not require additional information. Details are given in the supplementary materials (Supplementary Material, Table A1). The Anjeni and Maybar precipitation and temperature measured in the watershed were made available by ARARI. The precipitation data for Debre Mawi was collected by us on site. To fill the missing data the gage at Adet (8 km away) was used.

- 1 Temperature was obtained for the Adet station from the Adet Agricultural Research Center.
- 2 **Potential evapotranspiration** was estimated based on observed temperature data with the
- 3 method developed by Enku and Melesse (2013).
- 4 **Effective precipitation** was calculated by subtracting the evaporation from rainfall each
- 5 day. Cumulative effective precipitation was calculated during the rainy phase of the
- 6 monsoon.

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3.3 Methods

- 8 Rating curves were determined by either fitting the loads (i.e., the load rating curve) or the
- 9 concentrations (concentration rating curve). Note that both the load and concentration
- 10 rating curves can predict both the load and the concentration and thus the naming is based
- on the method of determining the rating curve.
- 12 The sediment load rating curve: The original MoWIE load rating curve was obtained for
- the Lake Tana watersheds by linearly regressing the logarithm of the sediment load versus
- the logarithm of the discharge for the period from 1964-2008. The slope of the line is b in
- 15 Eq. (1) and the intercept gives the value of a_i. These are listed in Table 1. In addition, we
- 16 followed the same procedure to determine the rating curve for the 100-ha watersheds
- 17 Sediment concentrations were determined by dividing the load with the corresponding
- 18 discharge.
- 19 The concentration rating curve: Rating curve was found by regressing the observed
- 20 sediment concentrations and the discharge with Eq. (4). Four fitting parameters were
- required: Three for the rainy phase, i.e., the amount of rainfall P_T after which the sediment
- is at the source limit and the source limiting factor as and a transport limiting factor at. For

- the dry phase the parameter, a_b , was required for the concentration in the base flow.
- 2 For the Lake Tana watersheds, precipitation and evaporation were only available for 1992-
- 3 2000. In order to establish a P_T value for the entire period for which discharge and sediment
- 4 data were observed, average cumulative effective precipitation for the years from 1992-
- 5 2000 as a function of the day was calculated for each watershed. For the 100-ha
- 6 watersheds the average daily sediment concentrations and discharge and total rainfall data
- were available for the same years and the actual values of cumulative effective precipitation
- 8 were used. Initial values for calibrating parameters (a_t and a_s) were based on Tilahun
- 9 (2013a, b) for Debre Mawi watershed. These initial values of (a_t , a_s and P_T) together with a_b
- were changed systematically till the best "closeness" or "goodness-of-fit" was achieved
- between measured and predicted sediment concentrations. The loads were obtained simply
- by multiplying the predicted concentrations by the observed discharge.

13 **3.4. Statistical analysis**

- We first tested for outliers and those either less than half or more than twice the expected
- discharge or concentrations were removed from further analysis. In none of the cases not
- more than 5 % the data points were discarded. The goodness of fit of the rating curves
- were determined with the correlation coefficient (R2) and the Nash Sutcliff coefficient (NS).
- 18 The goodness of fit for model performance was based on Moriasi et al. (2007), and rated as very
- 19 good for NS>0.75; good, when NS values was between 0.75 and 0.65; rated as satisfactory for values
- 20 less than 0.65 but more than 0.5 and finally values less than 0.5 was considered poor

1 4. RESULTS

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4.1 Lake Tana watershed

4.1.1. Observed sediment concentration and load

4 The available sediment concentration data for the Lake Tana watersheds calculated from 5 the sediment load of the Ministry of Water Irrigation and Electricity (MoWIE) are shown in 6 Fig. 3. There were three periods when samples were taken for determining the rating curve. 7 These were from 1964-1968, 1980-1996 and 2004-2008 (Fig. 3a and Supplementary Material, Tables B1 - B4). Gumara and the Ribb have the richest data set and the Gilgel 8 9 Abay with only 23 data pairs is the poorest. Gumara and Ribb have also the greatest 10 concentrations (Fig. 3). The concentration from the Megech is the smallest likely due to the 11 Angereb man-made reservoir (which provides water supply for Gonder town) which was 12 constructed in early 1980s. 13 When these concentration are plotted as a function of the day of the year independent of 14 the year (Fig. 3b), the familiar pattern appears with the concentrations usually small in the 15 base flow period form early October to the start of the rainy phase when concentrations 16 increase. The elevated concentrations start around May 15 in the Gilgel Abay watershed 17 which is earlier than the other watersheds because the rain starts earlier in this part of the 18 watershed. The concentrations in the other watersheds start to increase in the late June 19 (Table 2) and beginning of July The maximum concentration occurs in late June and early 20 July (Fig. 3b) while the discharge is still relatively small (Fig. 3c) and decrease with

progression of the rainy phase while discharge is elevated.

4.1.2 Evaluation of sediment concentration predictions

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- 2 The relationship between the observed vs predicted sediment concentration for the Lake
- 3 Tana watersheds are presented in Fig. 4 and the fitting statistics in Table 3. Both the
- 4 concentration and sediment rating curves are used for obtaining the predicted sediment
- 5 concentrations. Note that the concentration sediment rating curve refers to Eq. (4) and (5)
- 6 and involves four fitting parameters. Best fit values are shown in Table 2. The
- 7 concentrations with the load rating curve are obtained by fitting the loads first and then
- 8 obtaining the concentrations by dividing the load by the discharge. Here we use the values
- 9 obtained by MoWIE load rating curve in Table 1.
- 10 For the Lake Tana watersheds, the sediment concentrations are under predicted by the
- 11 MoWIE load rating curve and indicated poor prediction performance (Table 3, Fig 4). The
- 12 concentration rating curve fits the concentrations satisfactory with Nash Sutcliff values of
- 13 0.52 to 0.61 and R² values of 0.46 to 0.73 with slopes close to one (Table 3, Fig 4) The
- 14 MoWIE load rating curves are poor in predicting concentrations.

15 **4.1.3** Evaluation of sediment load predictions

Using the same rating curve parameters as in the concentration predictions above, the observed vs predicted sediment loads for the Lake Tana watersheds are shown in Fig. 5

and the goodness of fit in Table 4. The sediment loads (Fig. 6) are predicted satisfactorily

to good with both the MoWIE load and concentration rating curves for Gilgel Abay, Ribb

and Megech with R² values ranging from 0.61-0.84 (Fig. 5). The MoWIE load rating curve

predicted the sediment load poorly for Gumara watershed. Generally, for the Lake Tana

watersheds the concentration rating curves predict the loads more accurately than the

MoWIE load rating curves with R2 of 0.64-0.89 (Table 4) and slopes between 0.72 and

1 0.94 (Fig. 5).

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4.2 Results of the three 100-ha watersheds

- 3 After testing the sediment concentration rating curves for the Lake Tana watersheds, we
- 4 investigated the applicability of the concentration rating curve for small watersheds. The
- 5 three watersheds selected had good quality data. The concentration rating curve using Eq.
- 6 (3) and (4) gave a reasonably good fit with the observed values (Fig. 6) with R² values
- 7 ranging from 0.60 to 0.63 (Table 3) with values for the transport coefficients similar to the
- 8 Lake Tana watersheds. The source limiting factor for Anjeni was the greatest and likely was
- 9 caused by large active gully with unconsolidated soil that easily could be picked up by the
- 10 flowing water.

11 **5. Discussion**

- We will first discuss the loads and concentration predictions in the Lake Tana basin with the
- 13 two types of rating curves followed by a comparison of the sediment load and concentration
- prediction with the concentration rating curve for the 100 ha and Lake Tana watersheds.

15 5.1 Predicting sediment concentrations (Lake Tana watersheds)

- Similar to the predictions of the loads, the concentration rating curve fitted the observed
- 17 concentrations better than those predicted by the MoWIE load rating curve. In addition to
- the reasons given for the poor fit (i.e. number of fitting parameters and log-log fit), the
- 19 inherent assumption of a constant sediment concentration for the MoWIE rating curve was
- 20 clearly problematic for fitting observed concentrations. In the Ethiopian highlands
- 21 concentration are far from constant and follow usually a typical pattern where the
- 22 concentrations are elevated during the beginning of the rainy season and decrease with the

- 1 progression of the rainy season (Fig 3b) while the discharge increases (Fig 3c). Again
- 2 similar to the loads, the Gilgel Abay fitted reasonably well because the concentrations were
- 3 reasonably the same during the rainy phase (Fig 3b, black dots).

4 5.2 Predicting sediment loads (Lake Tana watersheds)

- 5 For the Lake Tana watersheds, the concentration rating curve (Eq. (4)) fitted the observed
- 6 sediment load more accurately than the MoWIE load rating curve (Eq. (1)) as shown in Fig.
- 7 5. The only exception was the sediment load predictions for the Gilgel Abay (Fig. 5a) that
- 8 was slightly better predicted by the MoWIE load curve than the concentration rating curves.
- 9 One could expect that the concentration rating curve would perform better because it has 4
- 10 fitting parameters compared to the MoWIE sediment rating curve with only two parameters.
- 11 In addition, there were few measurements taken early in the rain phase when sediment
- concentrations could have been elevated (Fig 3).
- However this does not explain the unexpected poor fit with slopes of much less than 1 for
- the remaining three watersheds in the Lake Tana basin (indicating that the sediment loads
- 15 for the large storms are severely under predicted). This poor fit for the three watersheds
- originates from using the log transformed values for fitting the sediment load and discharge.
- 17 To demonstrate that the MoWIE log rating curve fits the log transformed values well we re-
- plotted Fig 5a in the auxiliary material (Supplementary Material, Fig C1) with a log scale.
- 19 The log transformed values give more weight to the small values of parameters than the
- 20 larger values. Thus, indeed using the log scale a good fit was obtained, while the same
- 21 points in the non-transformed values fit poorly (Fig 5a).

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5.3 Concentration rating curve (100 ha and Lake Tana watersheds)

23 All fitting parameters for the concentration rating curve were remarkable independent of the

- 1 size of the watershed (Table 2). There was not a systemic difference in parameter values
- 2 for the seven watersheds. The amount of effective rainfall (Pe) after which the concentration
- became independent of the rainfall (i.e., Eq. (4b)) varied between 561mm/year for the Gilgel
- 4 Abay and 599 mm/year for the Debre Mawi watershed. The difference among these values
- 5 in all watersheds was not significant.
- 6 In further discussion of the sediment transport parameters we will exclude the Megech,
- 7 since the gage station is located below the reservoir. Sediment is deposited in the reservoir
- 8 and the parameters are not representative of the watershed that is subject to heavy
- 9 gullying. For the remaining six watersheds, the source factor as varied from 0.7 g/l
- 10 (mm/day)-0.4 for Maybar to 1.8 g/l (mm/day)-0.4 for Anjeni. The smaller values are related to
- watersheds with a minimum of gullying such as Maybar. The greater values are associated
- with watershed with active gullying such as Anjeni, Gumara and Debre Mawi (Table 2,
- 13 Tilahun et al., 2015; Dagnew et al., 2015).
- 14 There was a threefold difference in transport coefficients (but independent of watershed
- area as indicated in Table 2). It varies in the Lake Tana basin between 1.6 g/L⁻¹ (mm/day)⁻¹
- 16 0.4 for the Gilgel Abay and 5.9 g/L-1 (mm/day)-0.4 for the Gumara. The basic assumption in
- 17 the concentration rating curve is that the sediment concentrations are determined by the
- 18 transport capacity after land is plowed and rills are formed. Differences in the value for the
- 19 transport coefficient can be related to the slope of the watershed since the transport
- 20 coefficients are dependent on the stream power and the stream power is a function of slope
- 21 (Gao 2008). The Gilgel Abay has 22% of land in the lowest slope category (0-2%) which is
- three times that in Ribb and Gumara. Moreover, the Gilgel Abay has only 1% in slope of
- 23 greater than 30% while the other watershed have 9% or more in this category. Similarly
- 24 Anjeni, in which most land is terraced, has gentle slopes and a small transport coefficient

- compared to the Maybar and Debre Mawi watersheds that do not have terraces and have
- 2 agricultural land with greater slopes. In both Gilgel Abay (Fig. 3b) and the Anjeni (not
- 3 shown) watersheds, the concentrations in the beginning of the rainy phase are less
- 4 pronounced than the other four watersheds. Thus, the low value of the transport coefficient
- 5 is most likely related to the slope of the cultivated land in the watershed.
- 6 Finally the "a_b" values that determine the concentration during base flows are related to the
- 7 stream channel erosion that in the case of the Gumara has the greatest value. This can be
- 8 related to several factors mainly increasing population and activities for natural resource
- 9 competition. This includes pumping water for irrigating cash crops during the dry monsoon phase
- 10 from the river. In addition, sand is being mined from the river bed

6. Conclusions

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In the Ethiopian highlands sediment concentrations in the rivers decrease with progression of the rainy phase of the monsoon. Using this observation while developing the sediment rating curve significantly improves for predicting the sediment concentration and load. The method developed by the Ministry of Water Irrigation and Energy and used for predicting daily loads throughout Ethiopia will likely remain the method of choice for most rivers especially for larger basins where concentrations remain relatively constant. Although more research has to be done, there is an indication that the coefficients in the newly developed concentration rating curve can be related to landscape characteristics. Therefore, these parameters might have physical meaning which would help to generate the parameters from the physical watershed characteristics for the ungaged catchments for predicting concentrations and load in the upper Blue Nile Basin.

Acknowledgments

- 2 Funding for this program is provided by the US Agency for International Development
- 3 (USAID) through PEER Science program and Higher Education for Development (HED),
- 4 International Science Foundation (ISF). The runoff and sediment data were made available
- 5 by Ministry of Water and Energy. We would like to thank MoWIE for making the rating curve
- 6 data available to us.

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Zumr, D Dostal, and T Devaty, J., Identification of prevailing storm runoff generation mechanisms in an intensively cultivated catchment. Journal of Hydrology and Hydromechanics 63: 246-254, 2015

SUPPLEMENTARY MATERIAL

- Table A1: Theissen weight derived from the Theissen polygon method for estimating areal rainfall.
- Table B1: Observed discharge and sediment data measured at the gauging station of Gilgel Abay watershed.
- Table B2: Observed discharge and sediment data measured at the gauging station of Gumara watershed.
- Table B3: Observed discharge and sediment data measured at the gauging station of Ribb watershed.
- Table B4: Megech observed discharge and sediment data.
- Figure C1: Log log transformed values of sediment load predicted by concentration MoW load rating curves for Gumara watershed.

Tables

Table 1. Characteristics of the study watersheds in the Lake Tana Basin and the three 100 ha watershed in the Ethiopian highlands.

	Drainage Mean Annual Area (km²) Rainfall(mm)		Rating curve (Eq.1) by MoWIE* load Rating Curve(RC) constants	
Lake Tana watersheds			a	b
Gilgel Abay	1665	1912	4	1.65
Ribb	1288	1213	30	1.59
Gumara	1274	1540	17.5	1.48
Megech	500	1455	15.1	1.35
100 ha watersheds				
Debre Mawi	0.91	1240	-	-
Anjeni	1.31	1658	-	-
Maybar	1.28	1320	-	-

MoWIE*: Ministry of Water Irrigation Electricity.

Table 2. The calibrated sediment rating curve parameters and the specific dates where the sediment transport ends and the sediment limiting phase starts.

River Catchment	a factor calibrated values (g/l (mm/day) ^{-0.4}		a factor for base flow (a _b)	Threshold effective	The date where the a _s starts
			(g/l (mm/day) ^{-0.4}	precipitation (mm)	
	$a_{\rm t}$	$a_{\rm s}$	a_b	P_{T}	
Gilgel Abay	1.6	0.8	0.6	561	15-May
Gumara	5.9	1.5	0.7	574	15-Jun
Ribb	5.0	0.7	0.2	581	29-May
Megech	2.3	0.3	0.2	588	14-May
Maybar	5.1	0.7	-	598	15-May
Debre Mawi	6.9	1.1	-	599	5-Jun
Anjeni	3.1	1.8	-	596	27-May

Table 3. Performance of sediment concentration predicted by MoWIE load rating curve and the concentration rating curve.

River/ watershed/		MoWIE load rating curve		Concentration rating curve	
	NS	\mathbb{R}^2	NS	\mathbb{R}^2	
Gilgel Abay	0.43	0.46	0.60	0.54	
Gumara	-0.022	0.17	0.61	0.60	
Ribb	-0.34	-0.22	0.52	0.73	
Megech	0.035	0.07	0.52	0.56	
Debra Mawi	-	-	0.69	0.60	
Anjeni	-	-	0.63	0.63	
Maybar	-	-	0.68	0.63	

Table 4. Performance measures of sediment load predicted by MoWIE load rating curve and the concentration rating curve.

River/ watershed/		MoWIE load rating curve		Concentration rating curve	
	NS*	\mathbb{R}^2	NS	\mathbb{R}^2	
Gilgel Abay	0.60	0.66	0.61	0.64	
Gumara	0.21	0.20	0.65	0.69	
Ribb	0.54	0.61	0.61	0.67	
Megech	0.78	0.84	0.83	0.89	

 $NS^* = Nash Sutcliff efficiency$

Figures (revised)

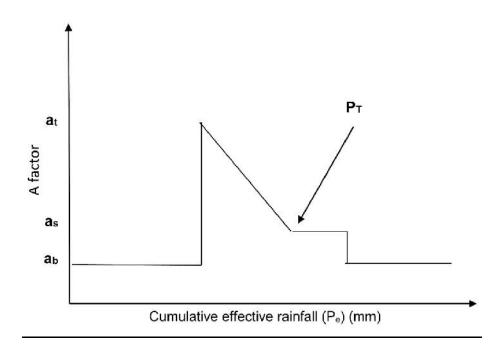


Figure 1. Relationship between sediment concentrations and cumulative effective rainfall.

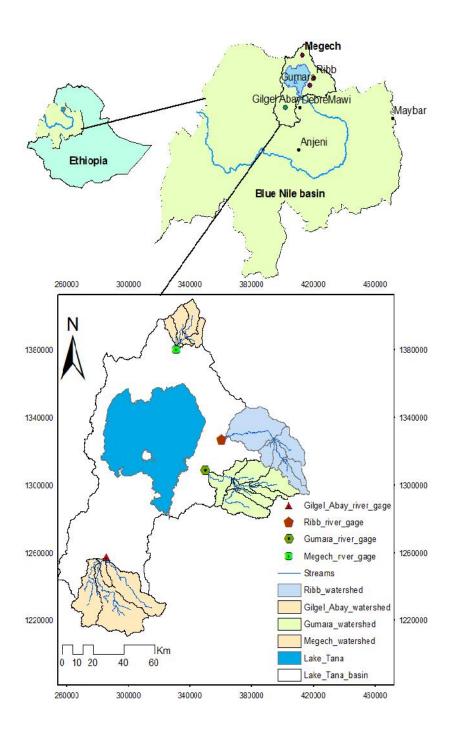


Figure 2. Location maps of the Lake Tana watersheds (Gilgel Abay, Gumara, Ribb and Megech) and 100-ha watershed 100 ha watersheds (Debre Mawi, Anjeni and Maybar) in or close to the Blue Nile Basin.

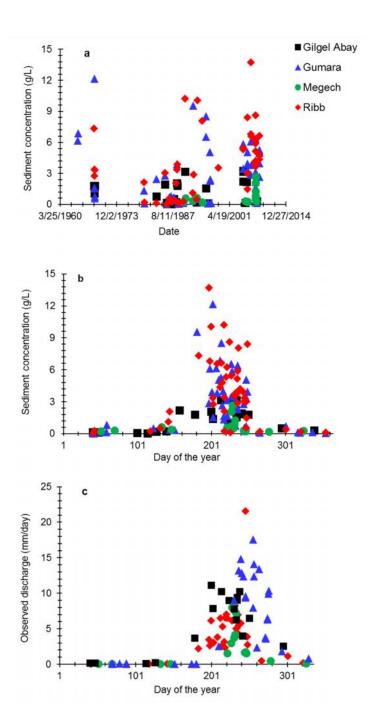


Figure 3. Observed sediment concentration and discharge for the four Lake Tana watersheds: Gilgel Abay, Gumara, Megech and Ribb. **a.** sediment concentration vs date of sampling **b.** sediment concentration as a function of day of sampling independent of the year, and **c.** observed discharge plotted vs sampling day.

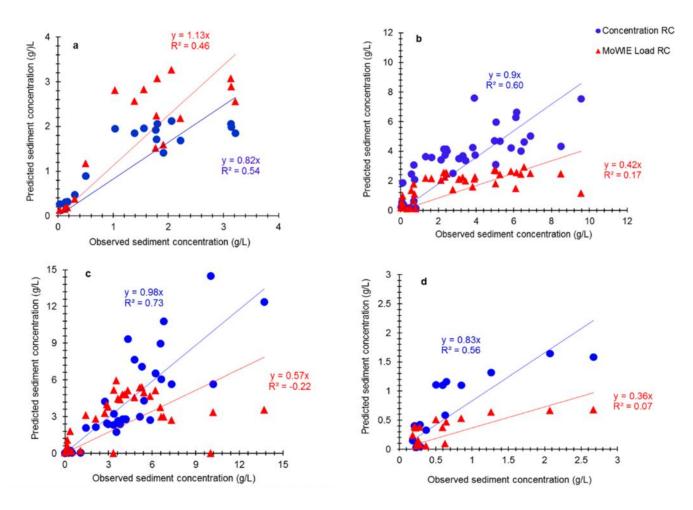


Figure 4. Predicted versus observed sediment concentration using concentration rating curve and MoWIE load rating curve for the Lake Tana watersheds (a) Gilgel Abay, (b) Gumara, (c) Ribb, (d) Megech

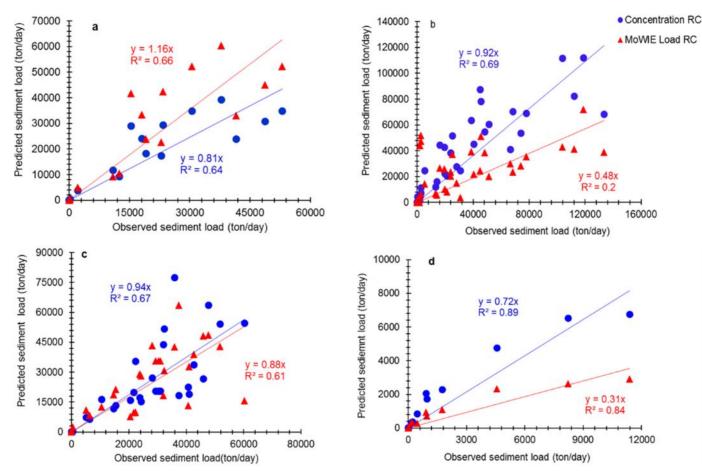


Figure 5. Predicted versus observed sediment load using concentration rating curve and MoWIE load rating curve for the Lake Tana watersheds (a) Gilgel Abay, (b) Gumara, (c) Ribb, (d) Megech

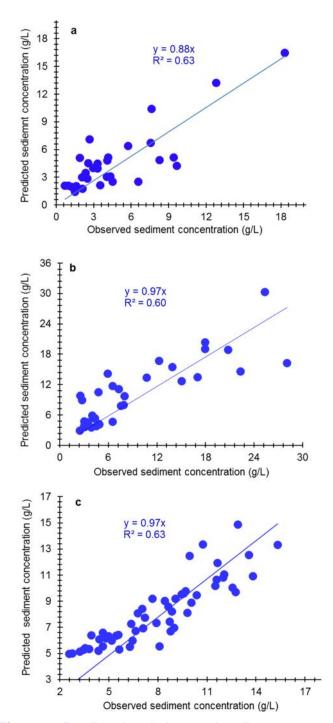


Figure 6. Predicted and observed sediment concentration using concentration rating curve for the 100 ha watersheds (a) Maybar, (b) Debre Mawi and (c) Anjeni