

Comparison of spatial association approaches for landscape mapping

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Comparison of spatial association approaches for landscape mapping of soil organic carbon stocks

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Abstract

The distribution of soil organic carbon (SOC) can be variable at small analysis scales, but consideration of its role in regional and global issues demands the mapping of large extents. There are many different strategies for mapping SOC, among which are to model the variables needed to calculate the SOC stock indirectly or to model the SOC stock directly. The purpose of this research is to compare direct and indirect approaches to mapping SOC stocks from rule-based, multiple linear regression models applied at the landscape scale via spatial association. The final products for both strategies are high-resolution maps of SOC stocks (kg m^{-2}), covering an area of 122 km^2 , with accompanying maps of estimated error. For the direct modelling approach, the estimated error map was based on the internal error estimations from the model rules. For the indirect approach, the estimated error map was produced by spatially combining the error estimates of component models via standard error propagation equations. We compared these two strategies for mapping SOC stocks on the basis of the qualities of the resulting maps as well as the magnitude and distribution of the estimated error. The direct approach produced a map with less spatial variation than the map produced by the indirect approach. The increased spatial variation represented by the indirect approach improved R^2 values for the topsoil and subsoil stocks. Although the indirect approach had a lower mean estimated error for the topsoil stock, the mean estimated error for the total SOC stock (topsoil + subsoil) was lower for the direct approach. For these reasons, we recommend the direct approach to modelling SOC stocks be considered a more conservative estimate of the SOC stocks' spatial distribution.

1 Introduction

The storage of carbon in soil is a critical point of information for several environmental issues. Globally, soil carbon, which is about 60% organic carbon, accounts for 3.3 times more carbon than that found in the atmosphere (Lal, 2004). The high amount

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of carbon stored in the soil, makes soil carbon an important factor for understanding the carbon cycle and dynamics influencing global climate change (Grace, 2004; Johnston et al., 2004; Powlson et al., 2011). In addition, higher concentrations of soil organic carbon (SOC) are associated with better water storage capacity, regulation of nutrients, and stabilization of soil aggregates resulting in improved soil structure and resistance to erosion (Neemann, 1991; Angers and Carter, 1996; Rawls et al., 2003; Snyder and Vazquez, 2005; Johnston et al., 2009; Kay, 1998; Wilhelm et al., 2004). Each of these factors has important roles in issues of water management and crop productivity.

Although SOC management has far reaching implications, the distribution of SOC is highly variable and dynamic at the field-scale (Cambardella et al., 1994; McBratney and Pringle, 1999; Walter et al., 2003; Kravchenko et al., 2006b; Simbahan et al., 2006). Differing conditions, such as hydrology or management practices, greatly impact the SOC content (Kravchenko et al., 2006a). The combination of global implications and high spatial variability make high-resolution maps of SOC for large extents desirable for both policy decisions and land-owner response. This situation creates the need to accurately and efficiently assess the spatial distribution of SOC stocks at a high-resolution. High-resolution mapping captures information essential for assessing field-specific conditions, which can later be aggregated as need to provide summary information.

Many studies have tested a variety of strategies for predicting the spatial distribution of SOC (Minasny et al., 2013, and references therein). The various studies on SOC mapping have analyzed different soil depths, which has large implications for the consideration of the complete SOC stock (Richter and Markewitz, 1995; Batjes, 1996; Jobbágy and Jackson, 2000; Sombroek et al., 2000; Schwartz and Namri, 2002; Meersmans et al., 2009). For example, some have focused on spatially modelling the topsoil to depths of 20–30 cm (e.g. Ungaro et al., 2010; Zhang et al., 2010; Martin et al., 2011). Other variations of strategies for digital SOC mapping differ in which variables are modelled in order to predict SOC. For instance, some studies have modelled the SOC stock (e.g. kg m^{-2} , T ha^{-1} , kg m^{-3}) directly (Simbahan et al., 2006; Lufafa et al.,

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2008; Nyssen et al., 2008; Mishra et al., 2010; Phachomphon et al., 2010; Kempen et al., 2011), while others have separately modelled the variables needed to calculate the SOC stock and then combined them (Grimm et al., 2008; Khalil et al., 2013; Lacoste et al., 2014). The usual component variables are total bulk density (BD), particles > 2 mm (SK), SOC concentration (SOC%), and stock thickness (H), which are then combined by:

$$\text{SOC}_{\text{stock}} = \left(\frac{\text{SOC}\%}{100} \right) \cdot (\text{BD} \cdot 1000) \cdot \left(\frac{100 - \text{SK}}{100} \right) \cdot H, \quad (1)$$

where, $\text{SOC}_{\text{stock}}$ is in kg m^{-2} , $\text{SOC}\%$ is in percent, BD in g cm^{-3} , SK in percent, and H in m.

Irrespective of the approach used, an important output of digital soil mapping is a measure of uncertainty. Orton et al. (2014) compared uncertainties resulting from directly modelling the SOC stock (direct = calculate-then-model) with modelling component variables for calculating the SOC stock (indirect = model-then-calculate), based on geostatistical approaches. In the present study, we made a similar assessment for rule-based, multiple linear regression (MLR) models.

With the spatial association approach to soil mapping, the empirical model error can be transferred along with the model itself (Lemercier et al., 2012). For digital soil mapping, Malone et al. (2011) adapted the Shrestha and Solomatine (2006) approach for empirically summarizing model error and extending that information to prediction areas. In those previous studies, areas expected to have similar errors were grouped by cluster analysis. Because similar sites are already grouped together in rule-based, MLR models, the estimated errors can be applied to the areas meeting the same rule conditions and thus mapped. The ability to map predictions of soil properties and the confidence in those predictions via spatial association is important for landscape to national extents because of the common limitation of sampling density (Martin et al., 2014).

SOC, the soil profile of two meters was divided into topsoil and subsoil stocks. The division was defined by the largest decrease in SOC%, as determined by lab analysis, between field identified horizons. Data for the horizons within each stock were combined using a thickness-weighted mean, as appropriate. Descriptive statistics for these observation points are provided in Table 1.

2.2 Modelling

Models for each of the target variables were generated using the Cubist 2.08 software (Quinlan, 1992, 1993, 1994). Previous studies have demonstrated the utility of this tool for digital soil mapping (Bui et al., 2006; Minasny and McBratney, 2008; Adhikari et al., 2013; Lacoste et al., 2014). Cubist uses a data mining algorithm to build two-tiered models. The top level consists of a series of conditional rules that can utilize both continuous and categorical predictors. For each rule, a MLR equation is produced for predicting the target variable. Cubist's process for selecting predictors and building the models is described in Quinlan (1993) and Holmes et al. (1999) and will not be repeated here. One advantage of this approach is the interpretability of the produced model, which allows the modeler to assess relationships between the model and physical processes (Bui et al., 2006).

The results of the data mining process are dependent upon the predictors made available to the data mining software. For this reason, we used the large predictor pool method described by Miller et al. (2015) to identify the optimal models for each of the respective target variables. The predictor pool for this study included 410 base maps covering the full extent of the study area (Table 2). These base maps consisted of a legacy geologic map, a variety of remote sensing/spectral products, and digital terrain analysis (DTA). The spectral products ranged from four bands of Ikonos data to a variety of Landsat data collected at different times in 2006. DTA was conducted on a 2 m resolution, digital elevation model (DEM), created from LiDAR data that was also collected in 2006. The DTA base maps included land-surface derivatives based on a wide range of analysis scales (a-scales) and a suite of hydrologic indicators. Land-surface derivatives

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were calculated in GRASS 6.4.3 (Geographic Resources Analysis Support System, grass.osgeo.org) and ArcGIS 10.1 (www.esri.com/software/arcgis). Hydrologic indicators were calculated using SAGA 2.1.0 (System for Automated Geoscientific Analysis, <http://www.saga-gis.org/en/index.html>).

5 The predictors selected by the Cubist software were then used as base maps to generate maps of SOC_{stock} . Using the raster calculator in ArcGIS 10.1, the base maps were combined according to the MLR equations produced by Cubist. When base maps of different resolutions were combined, the finest resolution was maintained. The respective MLR equations were only applied in the areas that met the conditions of the Cubist model's first tier. The first experimental approach used this method to directly map SOC_{stock} from the SOC_{stock} calculated at each sample point. The second experimental approach used this method to map each of the component variables. These modelled variables were then used as base maps to create a SOC_{stock} map. The raster calculator was then again used to combine the component variables, but this time according to Eq. (1). For both experimental approaches, the topsoil and subsoil were mapped separately. After the respective SOC_{stock} maps were produced, they were added together to create total SOC_{stock} maps.

15 Within the extent of the study area, there were a few areas with conditions outside the range observed in the point samples. In these limited cases, extreme predictor values produced model predictions of target variables either far below or above the ranges observed for the respective target variables. To address this issue, spatial predictions were limited to be within 10 % of the observed target variable minimum and maximums.

2.3 Propagation of error

25 For each of the model rules, estimated error was calculated based on the internal fit of the MLR to the data classified within that rule. This estimation provided a measure for the respective uncertainty under each rule. The conditions for the respective rules were used to classify the base maps, thus allowing the estimated errors to be mapped.

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Measurement error, positional error, and limitations of the model to predict the target variable were all empirically encapsulated by the estimated error.

When the target variable was the end product, the uncertainty was simply represented by the estimated error. However, when multiple variables were modelled and subsequently used to calculate the final product, the estimated errors of the component variables propagated through the combination of those variables in the function. In order to map estimated error for the indirect approach of modelling SOC_{stock}, estimated error maps were produced for each of the component variables. These error estimation maps were then combined using standard equations for propagation of error (Mardia et al., 1979; Taylor, 1997; Weisstein, 2014). Although potentially biased by the approximation to a first-order Taylor series expansion, simplified equations for error propagation are more practical and are regularly used in engineering and physical science applications (Goodman, 1960; Ku, 1966). Because covariance between variables has the potential to impact the estimation of SOC_{stock} (Panda et al., 2008; Goidts et al., 2009), we did not assume the variables were independent. The observed covariance was thus used to modify the estimated error within the standard equations for propagation of error by multiplication,

$$\sigma_f \approx |f| \sqrt{\left(\frac{\sigma_A}{A}\right)^2 + \left(\frac{\sigma_B}{B}\right)^2 + 2\frac{\text{COV}_{AB}}{AB}} \quad (2)$$

and by addition,

$$\sigma_f \approx |f| \sqrt{\sigma_A^2 + \sigma_B^2 + 2\text{cov}_{AB}}, \quad (3)$$

where A and B are the real variables, with estimated errors σ_A and σ_B , and the covariance cov_{AB} . In order to calculate a predicted relative error (e.g. $\frac{\sigma_A}{A}$) at unsampled locations, the predicted variable was assumed to accurately represent the variable's magnitude.

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Locations with small ratios between estimated error and predicted values together with large, negative covariances had the potential to produce a calculation taking the square root of a negative. This issue was addressed by not considering the covariance in those limited circumstances. While this solution may have led to an overestimation of error, it provided a means to mathematically calculate estimated error without declaring it to be zero.

3 Results

3.1 Models

3.1.1 Model building and fitting performance

Explicit models were obtained for each of the component variables needed to calculate SOC_{stock} indirectly and for predicting SOC_{stock} directly. Models for predicting component variables used a higher quantity of predictors for each of the respective models than the direct modelling approach (Table 3). With the exception of $SOC_{\%}$, the models for component variables included a combination of DTA and spectral variables. The $SOC_{\%}$ models relied solely on DTA predictors for both stocks, but with additional spatial partitioning by geologic map units for the topsoil model. The models for directly predicting the SOC_{stock} used only three DTA predictors for the topsoil and only four Landsat predictors for the subsoil.

Fitting performances for the component variable models were better than the fitting performances for the direct modelling of SOC_{stock} (Table 4). For the component variables, R^2 values of subsoil models were only slightly less than the topsoil models. $SOC_{\%}$ was the exception by having the lowest fitting performance for the subsoil stock ($R^2 = 0.55$), while the model for the $SOC_{\%}$ topsoil was able to fit observations with an R^2 of 0.86. However, it was the aim of this research to examine if the performance of the models was maintained through the calculation of SOC_{stock} .

Comparison of the SOC_{stock} predictions by the indirect approach to observed values showed better performance for the topsoil stock ($R^2 = 0.73$) than for the subsoil stock ($R^2 = 0.34$). Fitting performance for directly modelling SOC_{stock} showed the same pattern, but was lower than the indirect approach for both stocks. Analysis of the direct approach's ability to fit observed values yielded an R^2 of 0.58 for the topsoil and 0.14 for the subsoil.

3.1.2 Model robustness

It is common for digital soil mapping models to be evaluated by cross-validation procedures. However, in the context of this study, the meaning of such an analysis has less utility. Higher sample density increases the robustness of the model (Minasny et al., 2013); thus the popularity of cross-validation procedures over independent validation procedures in order to maintain more points in the calibration set. However, the model generated for each cross-validation run is different because of differences in calibration sets. The performance of each run is dependent on the randomly selected calibration points' ability to represent the variation in the remaining validation points. For a simple data trend, a single outlier would have minimal effect because only the runs in which it is included in the validation set – and not used in calibrating the model – would have lower performance values. However, in a complex landscape where similar soil properties can result from different combinations of factors, the concept of an outlier has many more dimensions (Johnson et al., 1990; Phillips, 1998). A point with a similar value can be an outlier by being a product of a different set of factors. In other words, the problem of induction continues to apply in predictive soil mapping. Further, in the context of error propagation, the error estimation from the actual model used seems more appropriate than the mean of error estimations from a series of less robust models.

Nonetheless, the models in this study were cross-validated using the k fold method with 10 iterations. The R^2 was naturally reduced in the cross-validation analysis, but the mean absolute error (MAE) was not as severely affected (Table 5). The R^2 values for the respective models all decreased greatly in the cross-validation, except for the

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topsoil SOC_% and the subsoil SOC_{stock} models. The subsoil SOC_{stock} model already had a low R^2 value for the internal fit. In contrast, the MAEs for the cross-validation of the models were not increased enough to present a practical problem. For example, the MAE for both stocks of BD only increased 0.03 g cm⁻³. Also, the MAE for SOC_% only increased 0.13 and 0.03 % for the topsoil and subsoil, respectively. Similarly, the MAE for the direct SOC_{stock} model increased 0.67 and 0.05 kg m⁻² for the topsoil and subsoil, respectively. The MAE for the models of stock H and SK did increase more in cross-validation. However, they had a minor impact on the indirect modelling of SOC_{stock}. The increase of 5.9 cm for the topsoil H MAE was only a shift of the depth estimated by topsoil or subsoil models. The larger MAE for SK was more of an issue for the subsoil. However, the majority of the samples had SK below 5%, leaving most of the error due to the difficulty in predicting the limited areas of high SK. While it was possible that a different sampling design could have improved the R^2 values for cross-validation, they are not always practical for landscape-scale mapping.

3.1.3 Comparison with previous studies

It is difficult to compare results between SOC mapping studies due to differences in study areas and strategies for defining SOC_{stock} (i.e. map extent and resolution, sampling density, and consideration of depth). Further, the differences between and variability within methods for estimating component variables for calculating SOC_{stock} can have a large impact on results, especially bulk density (Liebens and VanMolle, 2003; Schrupf et al., 2011) and SOC_% (Lowther et al., 1990; Soon and Abboud, 1991; Sutherland, 1998; Bowman et al., 2002). Also, because model performance is dependent upon the provided predictors, results of different studies can vary based on the predictors available to and derived by the modeller (Miller et al., 2015). However, because the area in this study has been used for several previous studies, some comparisons between methods can be made.

Kühn et al. (2009) examined many of the same samples used in this study and found a correlation between soil electrical conductivity and soil organic matter to a 1 m

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part of the study area. The topsoil SOC_{stock} map based on the indirect approach has similar overall patterns to the direct approach's map. However, both the topsoil and subsoil maps produced by the indirect approach display greater spatial variation.

Patterns in the topsoil SOC_{stock} map, based on the indirect approach, mostly coincide with terrain features, but do contain some transitions that align with field boundaries. The corresponding map for the subsoil reflects patterns of microtopography and slope gradient. Larger values for the subsoil SOC_{stock} are predicted by the indirect approach for local lows in elevation (smaller a-scales). Predictions of larger subsoil SOC_{stock} on steeper slopes result from the modelling of thinner topsoil stocks in these areas and the consistent calculation of a 2 m profile. Consequently, the subsoil is calculated to be thicker in these areas, substantially increasing the subsoil SOC_{stock} prediction compared to other areas of the subsoil.

Maps derived by both approaches for the total SOC_{stock} primarily reflected patterns from the topsoil maps because of the higher concentration of SOC that defined the topsoil stock. Nonetheless, modelled storage for the subsoil stock contributed about one-third of the prediction of total SOC_{stock} and recognized additional complexity in the SOC landscape. Despite the greater variation in the indirect approach's prediction of SOC_{stock}, the difference between estimates of total SOC_{stock} by the two approaches were within 5 kg m⁻² for the majority of the map area (Fig. 5). Also, the summed SOC_{stock} for the study area was only 6% more for the indirect (1.9 Mt) versus the direct (1.8 Mt) approach. The mean SOC_{stock} estimate for the study area by the direct approach was 14.7 kg m⁻², whereas the indirect approach estimated 15.7 kg m⁻².

These aggregated landscape estimates agreed with those made by the Harmonized World Soil Database (HWSD; FAO/IIASA/ISRIC/ISSCAS/JRC, 2012) for this area. The HWSD estimated several soil properties from taxonomic pedotransfer functions for static topsoil (0–30 cm) and subsoil (30–100 cm) depth zones. Within the area of the present study, the HWSD has a cell resolution of approximately 765 m. Calculating SOC_{stock} from that data yielded a mean of 8.8 kg m⁻². Assuming the characteristics of the subsoil to 100 cm extended to 200 cm, the mean SOC_{stock} would be 15.3 kg m⁻².

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distinguishing the spectral signature of different land uses (Richards, 2006) and the condition of the vegetation (Ashley and Rea, 1975; Myneni et al., 1995; Rasmussen, 1998; Daughtry, 2001; Hatfield et al., 2008). Additional use of TIR emission would resemble methods such as the Surface Temperature/Vegetation Index for estimating soil moisture (Bartholic et al., 1972; Heilman et al., 1976; Carlson et al., 1994; Li et al., 2009; Petropoulos et al., 2009). Similarly, use of SWIR wavelengths in concert with red and infrared red bands would be a way of compensating for the changing effect of soil reflection in dry to wet conditions (Huete, 1988; Lobell and Asner, 2002). Relationships between bands in the visible to SWIR range have also been used to predict SOC% and its biochemical composition (Bartholomeus et al., 2008; Gomez et al., 2008; Stevens et al., 2010).

Spectral predictors have been used for both classification of discrete phenomenon and quantification of continuous phenomenon on the landscape. Because of the rule-based MLR structure of the Cubist models, spectral predictors used for conditional rules were more likely to be distinguishing discrete features (e.g. vegetation/land use type) than when used within an MLR equation. Continuous features (e.g. vegetation health) were more likely to be represented in MLR equations.

DTA predictors in this study were all derived from the LiDAR data for elevation. The land-surface derivatives (e.g. slope gradient, relative elevation) described the surface geometry with which the climate interacts. For example, aspect has been shown to influence the amount of solar insolation a hillslope receives (Hunckler and Schatzel, 1997; Beaudette and O'Geen, 2009). The surface geometry is also known to direct water flow, which affects erosion processes and groundwater recharge (Huggett, 1975; Zevenbergen and Thorne, 1987). Hydrologic predictors (e.g. flow accumulation, catchment slope) provided additional information about the relative volume and energy that the water flow may have (Moore et al., 1991; Wilson and Gallant, 2000).

4.1.2 Topsoil model predictors

All of the topsoil models generated by Cubist relied on DTA predictors the most. Of those predictors, different a-scales of relative elevation, topographic position index (TPI), and aspect were the most commonly used. With the exception of the direct SOC_{stock} model, every topsoil model also included one or two predictors indicative of flow accumulation (i.e. flow path length, SAGA wetness index, or modified catchment area).

Aspect at different a-scales influenced predictions for three of the indirect topsoil models. The Cubist generated model identified decreasing topsoil SOC% on more north facing slopes (155 m a-scale), which corresponds with a potential decrease in plant productivity due to less solar insolation. Aspect (215 m a-scale) was also used to predict higher topsoil BD on south to west facing slopes, especially on topographic (2000 m a-scale) and micro-topographic (20 m a-scale) highs. Additionally, aspect at a variety of a-scales was used to predict decreasing topsoil SK for low TPI areas facing southeast to southwest. Together, these models suggested a pattern of increased erosion and deposition along the southern sides of hillslopes. This type of pattern has been observed before in other landscapes and has been attributed to topo-climatic differences such as exposure to storms, differences in temperature regime, rainfall effectiveness, or vegetation density (Kennedy, 1976; Churchill, 1981; Cuff, 1985; Weaver, 1991).

Although DTA parameters dominated the topsoil models, their predictions were often modified by spectral variables. For example, the primary distinction for predicting topsoil H was between low and high relative elevations. Low relative elevations had a mean topsoil H that was about 20 cm thicker than high relative elevations (1100 m a-scale). Within most MLR equations, however, predictions were increased by less blue and more green reflectance in early July. This combined use of blue and green bands indicated increasing topsoil H with more productive vegetation on wetter soils. In summary, the dominant pattern identified by the model was between high-low ground

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(Bushnell, 1943; Sommer et al., 2008), but the degree of topsoil thinning or thickening was predicted by the vegetation's response to soil conditions.

Cubist selected a much simpler combination of only DTA predictors to directly model the topsoil SOC_{stock}. In general, the model predicted increasing SOC_{stock} with decreasing vertical distance to channel. Areas low in relative elevation (1100 m a-scale) and not far above the channel network were predicted to have the largest SOC_{stock}. However, for areas low in relative elevation, but sufficiently above the DEM based channel network, the model predicted the opposite trend of the SOC_{stock} decreasing with decreasing vertical distance to channel. This pattern identified by the model may be explained by a corresponding pattern observed in the model for the topsoil H. In that model, areas low in relative elevation (1100 m a-scale) were predicted to have some of the thickest topsoil stocks. However, within a few of those zones the modelled topsoil H decreased with decreasing relative elevation and TPI. This trend in the observed data, as detected by Cubist, was potentially caused by an eroding out of topsoil sediments closer to the center of drainageways. In which case, the vertical distance to channel – used in the topsoil SOC_{stock} model – may have been more an indicator of proximity to the channel than wetness; the threshold was only 0.5 m above the channel modelled from the DEM. Predictors related to surface flow energy would have been expected to be better predictors of this kind of process. However, the upslope drainage network for much of the map area extended beyond the boundaries of the available data. Thus the use of local elevation data may have been a better proxy in this case, compared to the predictors calculated from truncated watersheds.

4.1.3 Subsoil model predictors

With the exception of SOC_%, the subsoil models all used several predictors from Landsat. Selection of Landsat predictors for subsoil models suggested that vegetation characteristics or surface soil moisture at different times of the year indicated subsoil conditions. In contrast, the subsoil SOC_% model's complete dependence on DTA predictors

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Bh horizon increased from the upper slope to the midslope due to lateral translocation. Different than the pattern identified in the present study, the data in Sommer et al. (2000) showed a continued increase in the SOC_% of Bh horizons in the downslope position. However, this contradiction may be partially explained by aggradation where the slope gradient declines and the topsoil stock has been overthickened by developmental upbuilding (McDonald and Busacca, 1990; Almond and Tonkin, 1999). Also, lateral flow would be expected to return closer to the surface at downslope positions. In Sommer et al. (2000), while the upslope and midslope profiles had E horizons separating the Bh from A horizons, the downslope Bh horizons were exceptionally thick with little to no division between them and the A horizon. In that situation, the definition of topsoil used in the present study would have grouped the downslope Bh horizons into the topsoil stock. Therefore, the Cubist generated model may have been a simplification of the complex interaction between topography and lateral flow depth and direction.

The rule groups for subsoil SOC_% also differentiated for the plan curvature where the slope gradient was not too high and the stream power index (SPI) was not too low. Concave plan curvatures (138 m a-scale) were predicted to have increasingly higher and convex plan curvatures were predicted to have increasingly lower subsoil SOC_%. This relationship with plan curvature matches patterns of water movement identified to be important to soil formation by Huggett (1975), where convergent footslopes have the highest deposition rates (Pennock and De Jong, 1987). Assuming the absence of any restrictive layer below, areas with the highest sediment deposition rates would be expected to also have the highest volume of water infiltration.

The Cubist generated model for predicting the subsoil SOC_{stock} was simpler than any of the indirect component models. It used only one MLR equation to relate red and infrared predictors to subsoil SOC_{stock}. This model predicted more SOC_{stock} storage with increasing reflectance in the red and SWIR-2 bands along with increasing emission in the TIR band – primarily captured on 6 July. Of these variables, model predictions were dominated by increasing reflectance in the red band increasing the estimated subsoil

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SOC_{stock}. This suggested less productive vegetation corresponding with larger subsoil SOC_{stock}. This trend was counter to the patterns observed in the topsoil models, but was sensible in the context of how the subsoil stock was defined for this study. Although the total SOC_{stock} was less in areas with lower plant productivity, the subsoil SOC_{stock} was larger relative to other subsoil areas due to the inverse relationship between topsoil and subsoil H used in this study. A thicker topsoil stock would mean a thinner subsoil stock – and vice versa – due to the 2 m depth limit. Regarding the other predictors in this model, increases in SWIR-2 reflectance could have indicated more plant productivity. However, its use with the TIR band suggested that together they were indicators of wetter soil conditions.

4.2 Unconventional predictor selections

The Cubist software made some intriguing selections in regards to predictors that were calculated using alternative approaches. One example of this was the selection of alternative types of aspect predictors. The conversion of aspect to northness and eastness is generally considered to be the preferred method for addressing the circular problem of using aspect as a predictor. In our approach of including many different predictors in the available pool, we also experimented with simply rotating the central angle (position of 0°) to each cardinal direction for creating different aspect predictors. In the models generated for this study, northness and eastness were only selected for the topsoil SOC_% model. In contrast, rotated versions of aspect were selected for the topsoil SOC_%, topsoil BD, as well as the topsoil and subsoil SK models.

Another example of an intriguing predictor selection by Cubist was the use of bands from the LandsatLook products. These images were limited to four bands (SWIR-1, NIR, red, and TIR) and were smoothed by an algorithm to facilitate image selection and visual interpretation. Although the USGS does not recommend the use of these files for data analysis, the Cubist data mining found them to be more useful than the data without LandsatLook processing. Most of these selections can be explained by the greater variety of LandsatLook dates provided in the predictor pool. However, there

were a few instances where Cubist chose LandsatLook data over the unprocessed version of the same Landsat data.

4.3 Error propagation

Although both the direct and indirect modelling approaches had base maps with a 2 m resolution available to them, the direct modelling approach produced a more generalized SOC_{stock} map. In terms of predicted error, the cost of trying to account for the variation in all of the variables related to the SOC_{stock} appeared to be larger relative errors. The SOC_{stock} model from the direct approach, on the other hand, did not attempt to predict as many variations occurring at small phenomenon scales. Because these very local variations were difficult to predict, the estimated error for the direct approach was less than for the indirect approach for most of the map area. Therefore, it may be appropriate to consider the direct modelling approach to be a conservative approach for estimating the SOC_{stock} for landscapes.

Possible sources of error in the base maps included atmospheric conditions for the satellite data and the estimation of bare earth elevation under dense vegetation for the DEM. Several spectral capture dates were made available in the predictor pool to enable Cubist to not only select the optimal changes in seasonal vegetation characteristics, but to also select the image with minimal noise from atmospheric effects such as clouds. Fewer options were available for DTA predictors, because all DTA predictors needed to be derived from the same high-resolution DEM. The effect of anomalies in the elevation data was more pronounced for larger a-scales. For example, a small forest plot – located roughly between the two larger cities in the center of the map area – had not been fully filtered out by the bare-earth algorithm. Any DTA calculation that included this area in its analysis neighborhood was incorrectly influenced by those elevation values. The impact on this study's models was an increased prediction of SOC_{stock} in the surrounding area.

The error propagation method used in this study could not directly account for errors in the base maps. Instead, it could only quantify the combined base map and target

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variable error observed at sample locations. Although none of the sample points were in proximity to the before mentioned error in the DEM, this phenomenon of elevation error affecting scale-dependent predictors would have applied universally, even where the error was less obvious. The higher relative error for both mapping approaches in the area surrounding the known problem in the DEM suggested this potential source of error was at least partially accounted for.

5 Conclusions

This study demonstrated the use of spatial association to predict the SOC_{stock} and the estimated error at unsampled locations within a 122 km^2 landscape at a high-resolution. The Cubist data mining software detected patterns in the observed soil data, which was used to predict soil properties in the greater map region. The ability of the available base maps to predict the variation of those soil properties was quantified for each conditional rule of the respective models. The spatial characteristics of the model rules allowed the uncertainty to be mapped along with the target variable prediction.

There were two main advantages to using data mining software to produce relatively simple model structures. First, patterns between the predictors and target variables were objectively identified. Second, the resulting models were simple enough to be interpreted by the user and related to known processes in the soil system. A relationship between selected predictors and known processes provided confidence that their use in the model was not coincidental. The separate modelling of topsoil and subsoil stocks identified a general division between useful predictors for predicting soil properties at different depths. The data mining in this study suggested DTA predictors tend to be most useful for topsoil properties, while spectral characteristics of vegetation and soil moisture tend to be more useful for indicating subsoil properties.

Direct and indirect approaches were tested for predicting the SOC_{stock} with the rule-based, MLR spatial modelling method. Although the spatial patterns in the two

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maps were generally similar, the indirect approach produced a map with more spatial variation. While attempting to account for more sources of variability resulted in less estimated error for the topsoil (indirect MAE = 1.69, direct MAE = 2.27), the indirect approach had a higher potential for error in the subsoil (indirect MAE = 2.75, direct MAE = 1.37). Because the direct approach accounts for less variation (topsoil: direct $R^2 = 0.58$, indirect $R^2 = 0.73$; subsoil: direct $R^2 = 0.14$, indirect $R^2 = 0.34$), but also results in a lower total MAE (direct MAE = 3.64, indirect MAE = 4.44), it should be considered a more conservative prediction of the SOC_{stock}'s spatial distribution.

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Table 1. Descriptive statistics for the observed target variables. BD = total bulk density (g cm^{-3}), SK = particles > 2 mm (%), $\text{SOC}_{\%}$ = SOC concentration (%), H = stock thickness (cm), and $\text{SOC}_{\text{stock}}$ = mass of organic carbon per unit area of soil (kg m^{-2}).

Topsoil	BD	SK	H	$\text{SOC}_{\%}$	$\text{SOC}_{\text{stock}}$
Min.	1.18	0.00	10	0.75	1.80
Median	1.50	1.30	40	1.46	9.27
Mean	1.51	3.15	43.61	1.56	9.82
Max.	1.85	44.70	105	4.03	28.03
Std. Dev.	0.11	5.50	15.35	0.53	4.49
Subsoil					
Min.	1.33	0.00	18	0.02	0.07
Median	1.63	4.07	86	0.23	3.10
Mean	1.63	8.99	86.66	0.26	3.37
Max.	1.96	63.36	155	0.71	9.86
Std. Dev.	0.13	12.28	32.60	0.13	2.04

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[Title Page](#)[Abstract](#)[Introduction](#)[Conclusions](#)[References](#)[Tables](#)[Figures](#)[◀](#)[▶](#)[◀](#)[▶](#)[Back](#)[Close](#)[Full Screen / Esc](#)[Printer-friendly Version](#)[Interactive Discussion](#)**Table 2.** Predictor variables considered in this study.

Predictor	Software	Analysis Scale
Elevation (LiDAR, bare-earth)	n/a	2 m
Slope gradient	GRASS	6–195 m
Profile curvature	GRASS	6–195 m
Plan curvature	GRASS	6–195 m
Aspect -west {rotated for N, E, and S}	GRASS	6–345 m
Aspect (8 classes)	ArcGIS (raster calculator)	6–345 m
Northness	transformed from aspect	6–345 m
Eastness	transformed from aspect	6–345 m
Longitudinal curvature	SAGA	10 m
Cross-section curvature	SAGA	10 m
Convexity	SAGA	10 m
Relative elevation – rect. neighborhood	ArcGIS toolbox	6–4000 m
Relative elevation – circ. neighborhood	ArcGIS toolbox	6–4000 m
Topographic position index (TPI)	ArcGIS toolbox	6–4000 m
TPI – slope position	ArcGIS toolbox	multiple
TPI – landform classification	ArcGIS toolbox	multiple
Hillslope position	ArcGIS toolbox	multiple
Catchment area	SAGA	n/a
Catchment slope	SAGA	n/a
Channel network base level	SAGA	n/a
Convergence index	SAGA	n/a
Flow accumulation	SAGA	n/a
Flow path length	SAGA	n/a
Length-slope factor	SAGA	n/a
Modified catchment area	SAGA	n/a
Relative slope position	SAGA	n/a
SAGA wetness index	SAGA	n/a
Stream power	SAGA	n/a
Vertical distance to channel	SAGA	n/a
Wetness index	SAGA	n/a
Geology (1:25,000 legacy map)	n/a	423 ha (mean)
AVIS – LAI-green leaf area	5m	21 Jun 2005
AVIS – LAI-brown leaf area	5m	21 Jun 2005

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**Table 2.** Continued.

Predictor	Software	Analysis Scale
Ikonos	4 m, 4 bands	4 Jul 2006
Ikonos – panchromatic	1 m	4 Jul 2006
Ikonos – LAI	5 m	4 Jul 2006
Ikonos – dry matter	5m	4 Jul 2006
Landsat 5 NDVI (USGS, 2014)	30 m	11 Jun 2006
Landsat 5 NDVI (USGS, 2014)	30 m	22 Jul 2006
Landsat 5 LandsatLook (USGS, 2014)	30 m, 3 + 1 band	20 Jun 2006
Landsat 5 LandsatLook (USGS, 2014)	30 m, 3 + 1 band	6 Jul 2006
Landsat 5 LandsatLook (USGS, 2014)	30 m, 3 + 1 band	22 Jul 2006
Landsat 5 LandsatLook (USGS, 2014)	30 m, 3 + 1 band	15 Sep 2006
Landsat 5 LandsatLook (USGS, 2014)	30 m, 3 + 1 band	17 Oct 2006
Landsat 5 TM (USGS, 2014)	30 m, 6 bands; 60 m, 1 band	11 Jun 2006
Landsat 5 TM (USGS, 2014)	30 m, 6 bands; 60 m, 1 band	22 Jul 2006
Landsat 5 SR (GLCF, 2014)	30 m, 7 + 2 bands	11 Jun 2006
Landsat 5 SR (GLCF, 2014)	30 m, 7 + 2 bands	22 Jul 2006

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Table 3. Relative use (%) of predictors in models derived by Cubist for the topsoil and subsoil stocks. BD = total bulk density (g cm^{-3}), SK = particles > 2 mm (%), $\text{SOC}_{\%}$ = SOC concentration (%), H = stock thickness (cm), and $\text{SOC}_{\text{stock}}$ = mass of organic carbon per unit area of soil (kg m^{-2}).

Topsoil			Subsoil		
Rules	MLR	Predictor	Rules	MLR	Predictor
BD			BD		
100 %	100 %	Relative elev. – circ. (2000 m)	100 %	0 %	Geology map units
51 %	100 %	Landsat5 SR, band 7 (6 Jun 2006)	68 %	100 %	LandsatLook, band 5 (6 Jul 2006)
17 %	100 %	Relative elev. – rect. (20 m)		100 %	Landsat5 NDVI (22 Jul 2006)
	96 %	LandsatLook, band 5 (17 Oct 2006)		100 %	LandsatLook, band 6 (6 Jul 2006)
	87 %	Relative elev. – rect. (10 m)		100 %	Landsat5 TM, band 1 (11 Jun 2006)
	87 %	Aspect, N central angle (215 m)		68 %	Landsat5 SR, band 7 (22 Jul 2006)
	83 %	Landsat5 SR, band 2 (6 Jun 2006)		32 %	Landsat5 SR, band QA (6 Jun 2006)
	34 %	SAGA wetness index		32 %	Landsat5 SR, band 1 (22 Jul 2006)
	13 %	Relative elev. – circ. (800 m)		32 %	Landsat5 SR, band 6 (22 Jul 2006)
SK			SK		
100 %	100 %	TPI (70 m)	100 %	3 %	Stream power
94 %	0 %	Aspect class (70 m)	76 %	76 %	Landsat5 SR, band 2 (11 Jun 2006)
39 %	16 %	Relative elev. – rect. (550 m)	21 %	0 %	Profile Curvature (118 m)
37 %	14 %	LandsatLook, band 6 (17 Oct 2006)	15 %	79 %	Landsat5 SR, band 4 (6 Jun 2006)
	94 %	Relative elev. – rect. (1800 m)		85 %	Catchment slope
	84 %	Landsat5 NDVI (11 Jun 2006)		76 %	LandsatLook, band 3 (20 Jun 2006)
	80 %	Aspect, N central angle (50 m)		56 %	Landsat5 NDVI (11 Jun 2006)
	78 %	Landsat5 TM, band 4 (20 Jun 2006)		56 %	LandsatLook, band 4 (20 Jun 2006)
	78 %	Relative elev. – circ. (3000 m)		56 %	Aspect, W central angle (70 m)
	64 %	Aspect, N central angle (130 m)		21 %	SAGA wetness index
	64 %	Aspect, S central angle (345 m)			
	64 %	Flow path length			
	37 %	Aspect, N central angle (295 m)			
<i>H</i>			<i>H</i>		
100 %	93 %	Relative elev. – rect. (1100 m)	Cubist not used		
39 %	100 %	LandsatLook, band 5 (15 Sep 2006)	(based on 2 m – topsoil thickness)		
34 %	34 %	LandsatLook, band 5 (22 Jul 2006)			
25 %	93 %	Ikonos, band 2 (4 Jul 2006)			
18 %	7 %	LandsatLook, band 4 (17 Oct 2006)			
	100 %	Relative elev. – rect. (1200 m)			
	93 %	Ikonos, band 1 (4 Jul 2006)			
	93 %	Relative elev. – rect. (1300 m)			
	74 %	LandsatLook, band 4 (15 Sep 2006)			
	74 %	TPI (1800 m)			
	74 %	TPI (2600 m)			
	74 %	Flow path length			
	28 %	Relative elev. – circ. (650 m)			
	7 %	Landsat5 TM, band 6 (11 Jun 2006)			



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Table 3. Continued.

Topsoil			Subsoil		
Rules	MLR	Predictor	Rules	MLR	Predictor
SOC _%			SOC _%		
100 %	0 %	Geology map units	100 %	100 %	Slope gradient (98 m)
49 %	39 %	Relative elev. – rect. (3200 m)	74 %	74 %	Stream power
39 %	69 %	Relative elev. – rect. (2000 m)	55 %	55 %	Plan curvature (138 m)
33 %	74 %	Flow path length		74 %	Slope gradient (90 m)
21 %	62 %	Northness (155 m)		74 %	Slope gradient (138 m)
	81 %	TPI (1200 m)		74 %	Slope gradient (185 m)
	80 %	Relative elev. – rect. (250 m)		74 %	Relative elev. – rect. (3400 m)
	80 %	Northness (345 m)		55 %	Plan curvature (90 m)
	74 %	Aspect, W central angle (90 m)		19 %	TPI (950 m)
	69 %	Relative elev. – circ. (1600 m)		19 %	Vertical distance to channel
	69 %	TPI (1100 m)			
	62 %	TPI (550 m)			
	62 %	Northness (215 m)			
	62 %	Eastness (345 m)			
	62 %	Modified catchment area			
	32 %	Aspect, W central angle (110 m)			
	21 %	TPI (250 m)			
	21 %	Aspect, W central angle (175 m)			
	12 %	Northness (6 m)			
SOC _{stock}			SOC _{stock}		
100 %	48 %	Relative elev. – rect. (1100 m)	100 %		LandsatLook, band 5 (6 Jul 2006)
48 %	100 %	Vertical distance to channel	100 %		LandsatLook, band 3 (6 Jul 2006)
	80 %	Channel network base level	100 %		LandsatLook, band 6 (6 Jul 2006)
			100 %		Landsat5 TM, band 7 (11 Jun 2006)



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Table 4. Fitting performance for the respective models. The model's efficiency (ME) is the ratio between the model's mean absolute error (MAE) and the MAE that would result from only using the mean value as the model. Cubist reports the ME as relative error, but it is renamed here to avoid confusion with the more common definition of relative error. An ME of greater than one indicates that the model is not performing well.

Topsoil models	BD	SK	H	SOC _%	Indirect – SOC _{stock}	Direct – SOC _{stock}
MAE	0.05	1.36	5.90	0.14	1.69	2.27
ME	0.52	0.41	0.47	0.34	0.49	0.66
R^2	0.69	0.85	0.71	0.86	0.73	0.58
Subsoil models						
MAE	0.06	3.77	5.90	0.06	2.75	1.37
ME	0.58	0.42	0.47	0.59	1.67	0.83
R^2	0.67	0.79	0.71	0.55	0.34	0.19

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Table 5. Cross-validation performance for the respective models. Note that although the R^2 was severely reduced for most models, the MAE was generally only increased a small amount.

Topsoil models	BD	SK	H	SOC _%	Direct – SOC _{stock}
MAE	0.08	2.70	11.80	0.27	2.94
ME	0.86	0.82	0.93	0.66	0.85
R^2	0.26	0.08	0.12	0.61	0.27
Subsoil models					
MAE	0.09	7.18	11.80	0.09	1.42
ME	0.80	0.80	0.93	0.98	0.86
R^2	0.36	0.26	0.12	0.05	0.17

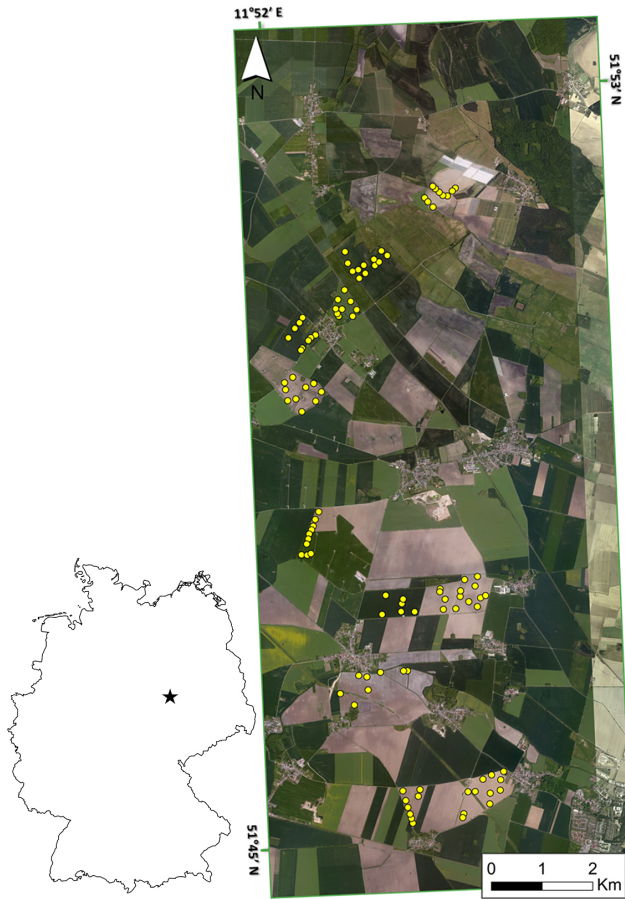


Figure 1. Locations of sample points and study area within Germany.

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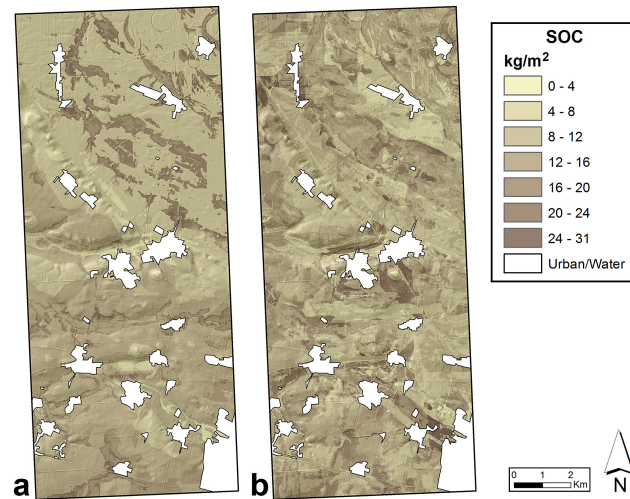


Figure 2. Topsoil SOC_{stock} modelled by (a) the direct approach and (b) the indirect approach. Overlaid on a hillshade to show relationship with relief and field boundaries.

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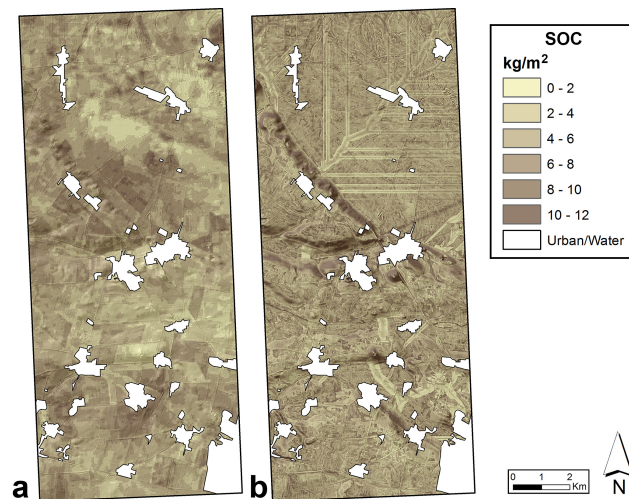


Figure 3. Subsoil SOC_{stock} modelled by (a) the direct approach and (b) the indirect approach. Overlaid on a hillshade to show relationship with relief and field boundaries

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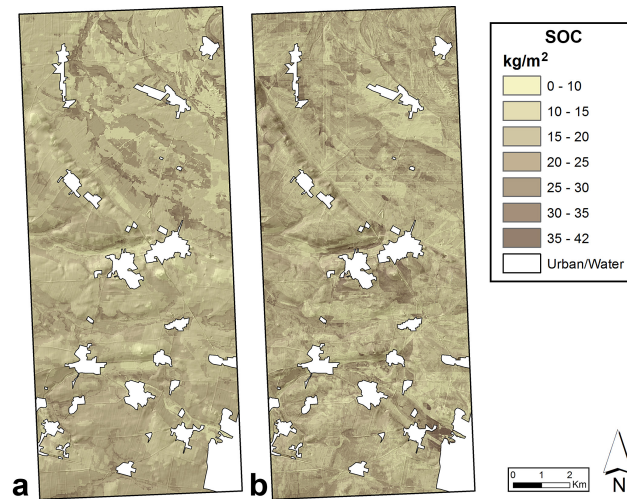


Figure 4. Total SOC_{stock} (topsoil + subsoil) modelled by (a) the direct approach and (b) the indirect approach. Overlaid on a hillshade to show relationship with relief and field boundaries.

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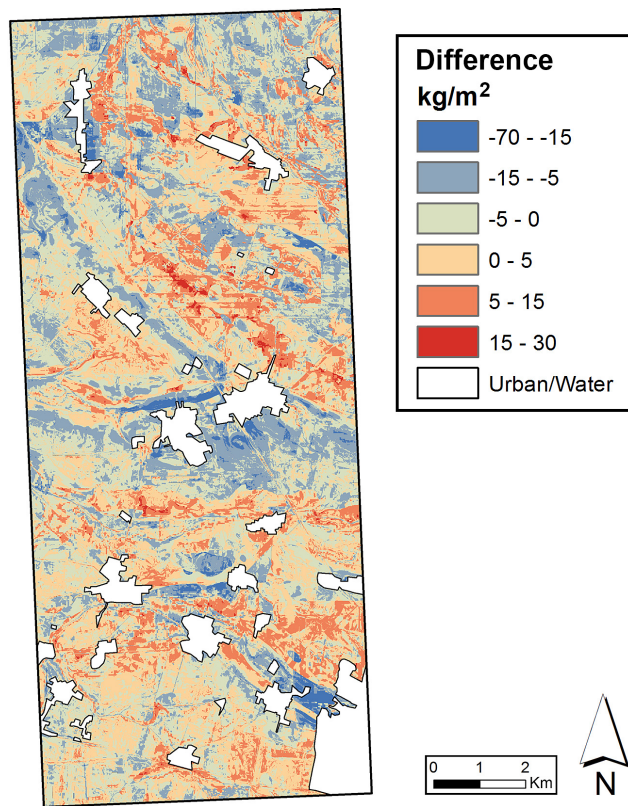


Figure 5. Calculated difference between the direct and indirect approaches of modelling the total $\text{SOC}_{\text{stock}}$. Negative values are where the indirect approach predicted more $\text{SOC}_{\text{stock}}$ than the direct approach and positive values are where the indirect approach predicted less.

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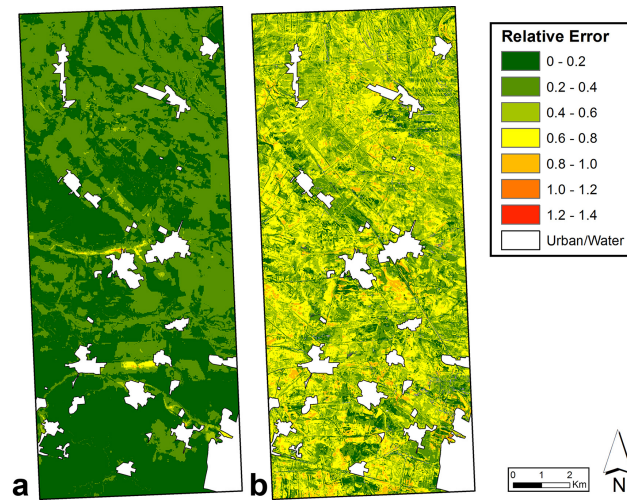


Figure 6. Estimated relative error for the total $\text{SOC}_{\text{stock}}$ modelled by (a) the direct approach and (b) the indirect approach.

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