

1 **Identification of sensitive indicators to assess the**  
2 **interrelationship between soil quality, management**  
3 **practices and human health.**

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14 **Abstract**

15 Soil quality (SQ) assessment has been a challenging issue since soils present high variability  
16 in properties and functions. This paper aims to increase understanding of SQ through review  
17 of SQ assessments in different scenarios providing evidence about the interrelationship  
18 between SQ, land use and human health. There is a general consensus that there is a need to  
19 develop methods to assess and monitor SQ for assuring sustainable land use with no  
20 prejudicial effects on human health. This review points out the importance of adopting  
21 indicators of different nature (physical, chemical and biological) to achieve a holistic image  
22 of SQ. Most authors use single indicators to assess SQ and its relationship with land uses, soil  
23 organic carbon and pH being the most used indicators. The use of nitrogen and nutrients  
24 content has resulted sensitive for agricultural and forest systems, together with physical  
25 properties such as texture, bulk density, available water and aggregate stability. These  
26 physical indicators have also been widely used to assess SQ after land use changes. The use  
27 of biological indicators is less generalized, microbial biomass and enzyme activities being the  
28 most selected indicators. Although most authors assess SQ using independent indicators, it is  
29 preferable to combine some of them into models to create a soil quality index (SQI), since it  
30 provides integrated information about soil processes and functioning. The majority of revised  
31 articles used the same methodology to establish a SQI, based on scoring and weighting of  
32 different soil indicators, selected by multivariate analyses. The use of multiple linear  
33 regressions has been successfully used under forest land use. Urban soil quality has been  
34 poorly assessed, with lack of adoption of SQIs. In addition, SQ assessments where human  
35 health indicators or exposure pathways are incorporated are practically inexistent. Thus,  
36 further efforts should be carried out to establish new methodologies not only to assess soil  
37 quality in terms of sustainability, productivity and ecosystem quality, but also human health.  
38 Additionally, new challenges arise with the use and integration of stable isotopic, genomic,  
39 proteomic and spectroscopic data into SQIs.

## 40 **1. Introduction**

### 41 **1.1. Concept of soil quality**

42 Soil is a complex environmental medium with high heterogeneity where solid, liquid and  
43 gaseous components interact within a multitude of physical, chemical and biological  
44 interrelated processes. Soil provides ecosystem services (benefits people obtain from the soil)  
45 such as food, water, timber, and fiber; regulating services that affect climate, floods,  
46 disease, waste, and water quality; cultural services that provide recreational, aesthetic, and  
47 spiritual benefits; and supporting services such as nutrient cycling. (Millennium Ecosystem  
48 Assessment, 2005). Nonetheless, owing to unsustainable land uses, soil is degrading by loss  
49 of organic matter, salinization/alkalinization, compactness, structural destruction, sealing,  
50 contamination, acidification, etc., compromising the maintenance of further productivity.  
51 Thus, there is a tendency towards preservation of soils to promote its sustainable use (Blum,  
52 2003). Because of the intrinsic association between soil and economy, several economic  
53 activities depend on soil quality, which include agriculture, forestry, industry and tourism,  
54 which could benefit from establishment of methods for soil quality assessments (Bone et al.,  
55 2010).

56 The definition of soil quality (SQ) has been a challenging issue since soils present high  
57 variability in properties, characteristics and functions. To our knowledge, the first user of the  
58 concept was Alexander (1971) who recommended the establishment of SQ criteria (Bone et  
59 al., 2010). After that, there have been several definitions (e.g. Larson and Pierce, 1991; Parr  
60 et al., 1992; Doran and Parkin, 1994; Harris et al., 1996). The most integrative definitions are  
61 those established by Doran and Parkin (1994) and Harris et al. (1996) who defined SQ as the  
62 capacity of a soil to function within the limits of use, landscape and climate (ecosystem) to  
63 protect air and water quality, and to sustain productivity and plants, animals and human  
64 health. Nonetheless, despite the different definitions for SQ, there is no general consensus  
65 yet, likely due to the innate difficulty of definition of soil (Carter, 2002).

66 This paper aims to provide new insights through the review of soil quality assessments in  
67 different scenarios linked to forest management, agricultural management, urban systems  
68 and land use changes. The selection of indicators or indices to assess soil quality in an  
69 effective and sensitive way in terms of the ecological ambient and the purpose of the  
70 assessment is synthesized. Major concerns about the effect of land use or management is  
71 incorporated to select suitable indicators, providing evidence about the interrelationship  
72 between soil quality, environmental quality and human health.

73

74 **1.2. Interrelationship between soil quality, land management and human**  
75 **health**

76 Management practices in agriculture, forestry or urban environments can have negative or  
77 positive impacts on SQ, favoring the exhaustion of nutrients, loss of SOM, pollution,  
78 biodiversity reduction, etc, or favoring trends in the opposite direction. Suitable management  
79 practices for each land use within each geographical area are essential to preserve soil  
80 functions and thus promote SQ. Additionally, there is always a feedback interaction between  
81 SQ and the management practice selected, since modifications in SQ could also warn the land  
82 manager to change that practice, which is no longer suitable or needed.

83 Less attention has been given to soil degradation and its direct or indirect effects on human  
84 health, despite the fact that SQ deterioration may possibly lead to a variety of human diseases  
85 (Deng, 2011). Bone et al. (2010) suggested that this is because the links to human health are  
86 not evident for soil to the same extent as for water and air. To assess the effects of SQ on  
87 organisms, soil quality standards (SQS) are normally developed, which represent the  
88 concentration of a chemical or group of chemicals or pathogen in soil that should not be  
89 exceeded in order to prevent harmful effects (Rodríguez and Lafarga, 2011).

90 Thus, SQ has interconnections with management practices, productivity and other ecosystem  
91 aspects, showing an interdependence controlled by feedback mechanisms. SQ is also  
92 connected to human health since soil can act as source and/or pathway of disease vectors.  
93 Management practices can directly affect productivity, ecosystem functioning and human  
94 health, but also indirectly by shifts in SQ (Fig. 1). Doran (2002) postulated that soil  
95 management practices are primary determinants of SQ, and SQ indicators must not only  
96 identify the condition of the soil resource but also define the economic and environmental  
97 sustainability of land management practices. One of the greatest challenges for researchers is  
98 “translating science into practice” through identifying soil indicators capable of showing  
99 rapid changes in the ecosystems performance, needed by land managers and decision makers  
100 to assess the economic, environmental, social and health impacts of management practices.

101

102 **1.3. Approaches to assess soil quality and the selection of suitable**  
103 **indicators.**

104 There is increasing acknowledgement and international interest in developing methodologies  
105 to characterize and define management practices which control degradation and enhance SQ.

106 A methodology is necessary to select indicators to assess SQ with the aim of identifying  
107 problems in productivity, monitor changes in ecosystem sustainability, track ecological  
108 effects after land use changes or reducing risks for human health. Although many studies  
109 have been conducted on SQ assessment, there is not a general methodology to characterize  
110 SQ and define a set of indicators. SQ indicators are measurable properties or characteristics  
111 which provide information about the ability of the soil to provide essential environmental  
112 services. Those attributes most sensitive to management practices or land use changes are the  
113 most adequate as indicators (Arshad and Martin, 2002). A wide range of physical, chemical  
114 and biological properties are available to be measured on a routine basis, but due to the  
115 impossibility of considering them all, it is necessary to make a selection. Larson and Pierce  
116 (1991) (cited in Larson and Pierce, 1994) suggested a minimum data set (MDS) for SQ  
117 assessment, with the objective of standardizing methodologies and procedures at  
118 international level. This list was later extended, including biological properties by Doran and  
119 Parkin (1994). These proposals have been further adapted, modified or extended in posterior  
120 studies. Physical properties reflect limitation for the development of roots, seedling  
121 emergence, infiltration, water retention of movement of fauna (Burger and Kelting, 1998).  
122 The chemical condition affects the soil-plant relations, water quality, buffering capacity,  
123 availability of nutrients and contaminants (Muckel and Mausbach, 1996). Biological  
124 indicators are more sensitive and rapidly respond to perturbations and changes in land use;  
125 soil organisms, besides, play a direct role in the ecosystems processes, mainly in nutrient  
126 recycling and soil aggregation (Doran and Zeiss, 2000; Rillig, 2004). The selection of  
127 indicators of different nature (physical, chemical and biological) is essential to achieve a  
128 holistic image of SQ (Nannipieri et al., 1990).

129 Even though most authors assess SQ using different independent indicators, others prefer  
130 their combination into models or expressions in which various properties are involved (Fig.  
131 2). These expressions are called soil quality indices (SQI) that can help determine SQ trends  
132 and thereby indicate whether one or more changes in practice are necessary (Karlen et al.,  
133 2001). Despite computer modelling simplifying this process, novel approaches that recognize  
134 relationships among highly disparate types of data associated with SQ are needed to assess  
135 the value of different indicators for guiding land management decisions. In the last years a  
136 new approach has emerged for integrating great amounts of data, the artificial neural  
137 networks, which extract and recognize patterns in relationships among descriptive variables  
138 and are used to predict specific outputs variables (Mele and Crowley, 2008).

## 140 **2. Agricultural practices and soil quality indicators**

141 SQ has been assessed in agricultural systems in different agroclimatic regions and soil types  
142 under different crops and management practices. Even though crop productivity is the main  
143 concern in agriculture due to economic issues, there is a need to maintain SQ to preserve  
144 global sustainability. Assessment of SQ is needed to identify problems in production areas  
145 and to assist in formulation and evaluation of realistic agricultural and land-use policies  
146 (Doran, 2002).

147 Soil organic carbon (SOC) has been suggested as the most important single indicator of SQ  
148 and agricultural sustainability since it affects most soil properties (Reeves, 1997; Arias et al.,  
149 2005). In the literature reviewed, SOC is the most used indicator for SQ assessments,  
150 followed by pH, electrical conductivity (EC) and nutrients (indicators of soil fertility) (Table  
151 1). Physical indicators have been applied in about 70% of the reviewed literature, particle  
152 size, aggregates stability and bulk density being the most common used. About 50% of  
153 authors incorporated biological properties, mainly microbial biomass carbon (MBC) or  
154 nitrogen (MBN) and enzymatic activities, probably owing to its high sensitivity and ease of  
155 measuring. Fewer studies (around 40% of the consulted literature) included organisms like  
156 earthworms and arthropods as indicators, even though they respond sensitively to land  
157 management practices (Doran and Zeiss, 2000), likely because they are useful only at a local  
158 scale (Rousseau et al., 2013).

159 Despite the fact that most authors assess SQ by analysis and description of single indicators,  
160 others consider the importance of a SQI to relate SQ with crop production and management  
161 practices. The majority of revised articles used the same methodology to establish a SQI,  
162 based on scoring and weighing different soil indicators (Hussain et al., 1999; Andrews and  
163 Carroll, 2001). A MDS was used to create the index, being selected in most cases by  
164 multivariate analyses (such as principal components analysis (PCA)). The most common  
165 parameters used were pH, EC, SOC, total nitrogen (Nt) and available P. Other indicators such  
166 as  $\text{NO}_3^-$ ,  $\text{NH}_4^+$ , Na, K, Ca, Mg, bulk density, sand, silt, clay and available water content have  
167 also been used by various authors. After indicators have been transformed using a linear or  
168 nonlinear scoring curve into unitless values and weighted, SQIs have normally been  
169 calculated using the Integrated Quality Index equation (IQI) (Doran and Parkin, 1994) or the  
170 Nemoro Quality Index equation (NQI) (Qin and Zhao, 2000) by summation of the weighted  
171 scored indicators. Qi et al. (2009) measured 14 chemical indicators (SOC, Nt, pH, cation

172 exchange capacity (CEC) and several nutrients) and compared the IQI and NQI in  
173 combination with three methods for indicators selection: Total Data Set (TDS), MDS, and  
174 Delphi Data Set (indicators selected by the opinion of experts). They concluded that results  
175 were similar regardless of the method or model applied. Rahmanipour et al. (2014) compared  
176 two sets of indicators, TDS (composed of 10 physical and chemical properties, mainly the  
177 erodibility factor, pH, EC, SOC, CEC and heavy metals) and MDS (indicators reduced by  
178 PCA), and two different indices, IQI and NQI. These authors concluded that an IQI/MDS  
179 approach was the most suitable tool to evaluate the effects of land management practices on  
180 SQ.

181 D'Hose et al. (2014) assessed the relationship between SQ and crop production under  
182 different management practices by the adoption of the IQI, using five soil indicators selected  
183 by PCA (SOC, Nt, earthworms, nematodes and MBC). These authors concluded that SQ was  
184 higher when farm compost was applied and SOC was pointed out as the most important  
185 indicator influencing crop production. Liu et al. (2014a) calculated an SQI in acid sulfate  
186 paddy soils with different productivity. They scored five soil chemical and biochemical  
187 indicators after their selection by PCA (pH, Nt, MBC, Si and Zn), which were integrated into  
188 an index, showing lower SQ in systems with low productivity. Liu et al. (2014b) validated  
189 their SQI (Liu et al., 2014a) in low productive albic soils from Eastern China, and observed  
190 significant correlations between the SQI and crop yield.

191 Merrill et al. (2013) assessed SQ in two different soil types sampled at different depths. For  
192 these purposes, authors made use of the Soil Management Assessment Framework (SMAF), a  
193 pre-established SQI (Andrews et al., 2004), which evaluates SQ in the basis of critical soil  
194 functions. Authors highlighted that soil surface and subsurface properties should be  
195 integrated for SQ assessments. Li et al. (2014) also used the SMAF to assess SQ in  
196 agrosystems where mulch was added, concluding that MBC and  $\beta$ -glucosidase activity were  
197 the most responsive indicators to mulching and production systems.

198 There have been fewer attempts to calibrate SQIs based on other methodologies. For  
199 instance, García-Ruiz et al. (2008) established a SQI by the calculation of the geometric mean  
200 of several enzyme activities (GMea). Soil enzymes and the GMea were suitable to  
201 discriminate between a set of organic and comparable conventional olive oil orchard crops.

202

### 203 **3. Forest management and soil quality indicators.**

204 About 31 % of the world's land surface is covered by forests (FAO, 2012) which provide  
205 different goods and services, such as water reservoirs, biodiversity, carbon sequestration,  
206 timber, gum, recreation, etc. Previous research mainly focused on the assessment of SQ to  
207 promote highest forest productivity. Nonetheless, in the last years, international  
208 environmental concern about forest management made a shift in research focus towards the  
209 sustainability of the forest ecosystem functions.

210 In order to assess forest SQ, the most used indicators are SOC, followed by pH, nutrient  
211 levels, MBC and mineralizable N (Table 1). Miralles et al. (2009) observed that most soil  
212 properties measured in forest soils from Southeast Spain were highly correlated with SOC.  
213 They established SQ indicators consisting of ratios to SOC, which inform about the specific  
214 activity (per C unit) or performance of the organic matter, independently of its total content.  
215 These authors concluded that these ratios are more effective for assessing SQ since they  
216 provide information about soil resilience. Physical attributes have been used in about 23% of  
217 the reviewed literature, water availability or water holding capacity (WHC), soil porosity and  
218 aggregate stability being the most common indicators. In the recent years, there has been a  
219 general concern about the importance of soil biological indicators and their ecological  
220 relevance for assessing SQ, and some authors have included in their studies microbial  
221 indicators such as microbial community composition (Zornoza et al., 2009; Banning et al.,  
222 2011; Blecker et al., 2012). The adoption of SQIs under forest use has been less developed  
223 than for agro-ecosystems. Most authors have applied simple ratios, such as C/N, the  
224 metabolic quotient or  $qCO_2$  (soil respiration to MBC), enzyme activities-to-microbial  
225 biomass, SOC and N stratification ratios, MBC-to-SOC, MBN-to-Nt, ATP-to-MBC,  
226 ergosterol-to-MBC, or fungal-to-bacteria biomass (Trasar-Cepeda et al. 1998; Franzluebbers,  
227 2002; Dinesh et al., 2003; Mataix-Solera et al., 2009; Toledo et al., 2012; Zhao et al., 2014).  
228 However, using only two soil indicators to create a SQI does not provide enough information  
229 about soil processes and functioning. Despite this fact, the development of algorithms in  
230 which different indicators are combined, has not been generalized, likely because they are  
231 limited to the area and situation in which they have been described (Gil-Sotres et al., 2005).

232 Burger and Kelting (1999) provided an index to assess the net effect of forest management  
233 using different soil physical, chemical and biological indicators such as porosity, available  
234 water capacity, pH, SOC or respiration. They applied the principles proposed by Gale et al.  
235 (1991), and the SQI was calculated as the summation of five weighted indicators (sufficiency  
236 for root growth, water supply, nutrient supply, sufficiency for gas exchange and biological



237 activity). Trasar-Cepeda et al. (1998) obtained a biochemical SQI using natural soils under  
238 climax vegetation where Nt can be estimated by multiple linear regression using MBC,  
239 mineralizable N and enzyme activities as independent variables. This index was validated by  
240 Leirós et al. (1999) in soils disturbed by contamination and tillage, concluding that it can be  
241 used for the rapid evaluation of soil degradation, since it distinguished between high quality  
242 soils, soils in a transient status, and degraded soils. This methodology, based on the  
243 calculation of a soil property by multiple regressions, which suggests a balance among soil  
244 properties, was also used by other authors. Under semiarid Mediterranean conditions,  
245 Zornoza et al. (2007) obtained two SQIs to assess soil degradation by estimation of SOC  
246 through linear combination of physical, chemical and biological indicators (pH, CEC,  
247 aggregate stability, WHC, EC and enzyme activities). These indices were further validated by  
248 Zornoza et al. (2008a) in eleven undisturbed forest soils confirming their viability and  
249 accuracy. Chaer et al. (2009) calibrated an SQI using multiple linear regressions with SOC as  
250 a combination of MBC and phosphatase activity, confirming previous evidence of a balance  
251 in soil properties in undisturbed soils, this balance being disrupted after perturbations.

252 Pang et al. (2006) established in forest soils from China an Integrated Fertility Index (IFI)  
253 with the objective of detecting changes in soil fertility in relation to vegetation, climate and  
254 disturbance practices. They applied PCA to 14 physical and chemical indicators, and  
255 calculated a value for each identified PC as the summation of each indicator value multiplied  
256 by its loading. The IFI was calculated as the summation of each weighted PC. Authors found  
257 that IFI was highly correlated to trees growth.

258 Amacher et al. (2007) developed an SQI that integrated 19 physical and chemical properties  
259 (bulk density, water content, pH, SOC, inorganic C, Nt and nutrients) with the aim of creating  
260 a tool for establishing baselines and detecting forest health trends in USA. These authors  
261 ranged each soil indicator into different categories selecting threshold levels according to its  
262 functional significance in soil, and assigned an individual index value for each category. For  
263 instance, SOC < 1% was assigned an index value of 0, while SOC > 5% was assigned an  
264 index value of 2. The SQI is then calculated as the summation of all individual soil property  
265 index values. Contrary to the common procedure, these authors did not reduce the quantity of  
266 indicators before calculating the SQI, which greatly contributes to reducing time and  
267 resources. Authors strongly recommend the measurement of the 19 selected soil properties,  
268 since using less quantity could provide a distorted assessment of soil quality.

269

#### 270 **4. Land use changes and soil quality**

271 Changes in land use are human derived impacts with high affection in ecosystems  
272 functioning. Land uses have a strong impact in the level of SOC, which has been widely used  
273 as indicator of SQ (Table 1). Overall, soil management that leads to an accumulation of SOC  
274 are related to ecosystem benefits. However, land misuse can cause degradation of soil as a  
275 consequence of reducing SOC levels (Lal, 2004). Land conversion from native forest to  
276 cropland is prone to soil C losses (Camara-Ferreira et al., 2014). Conversion of croplands to  
277 grasslands has been elucidated as a successful approach for C sequestration (Chen et al.,  
278 2009). Albaladejo et al. (2013) studied the effect of climate with regards to land use in South-  
279 East Spain. These authors concluded that C sequestration in cropland through appropriate  
280 land management can be suitable when forestland is limited by bedrock surfaces. Gelaw et al.  
281 (2014) revealed that conversion of Ethiopian croplands to grasslands or integration of  
282 appropriate agroforestry trees in cropping fields has a huge potential for C sequestration.  
283 Agroforestry, the practice of growing trees and crops in interacting combinations on the same  
284 unit of land, can be proposed as a promising strategy for C sequestration with special  
285 emphasis in arid and semiarid areas that are usually degraded by SOC losses.

286 Microbial biomass and enzyme activity have been widely used to assess impacts of land-use  
287 changes on SQ. In Brazilian semiarid ecosystems, Nunes et al. (2012) reported that MBC was  
288 highly sensitive to shifts in land use. Mijangos et al. (2014) observed that replacing meadows  
289 with pine plantations under temperate climate influences enzyme activities and nutrient  
290 cycling. Moreover, enzyme activity was sensitive to human-induced alterations in a land-use  
291 sequence from natural forest-pastures and shrublands (Tischer et al., 2014). Zhao et al.  
292 (2013b) evaluated natural forest, park, agriculture, street garden and roadside tree land-uses  
293 using MBC and microbial functional diversity as indicators. In comparison to forest, MBC  
294 was lower in the rest of land uses, but functional diversity was higher in the roadside-tree  
295 soils.

296 The simple index most used in the revised literature is the  $qCO_2$ . This ratio has resulted a  
297 suitable indicator to provide evidence of soil perturbation after deforestation or other land use  
298 changes (Dilly et al., 2003; Bastida et al., 2006a). The establishment of multiparametric  
299 indices have been used as an adequate tool for integrating greater information of soil quality,  
300 and some of them have been recently applied to assess the impact of land use changes on SQ.  
301 Veum et al. (2014) evaluated SQ of perennial vegetation plots in comparison to agricultural  
302 soils under no-tillage or conventionally treated plots, using for these purposes the SMAF with

303 indicators such as aggregate stability, bulk density, EC, pH, SOC, MBC, mineralizable N and  
304 nutrients. SQ was greatest under native, perennial vegetation, and declined with increasing  
305 levels of soil disturbance resulting from cultivation.

306 Singh et al. (2014) selected indicators from a data set of 29 soil properties by PCA and  
307 produced an SQI which indicated that SQ in the natural forest land and grasslands was higher  
308 than in the cultivated sites. Interestingly, these authors highlighted that SOC and  
309 exchangeable Al were the two most powerful indicators of SQ in the eastern Himalayan  
310 region of India. Ruiz et al. (2011) elaborated an index of biological soil quality (IBSQ) based  
311 on macroinvertebrates and concluded that well-managed crops and pastures may have better  
312 SQ than some forests.

313 Marzaioli et al. (2010) established a SQI (without minimum data set selection) using  
314 physical, chemical and biological indicators such as aggregate stability, WHC, bulk density,  
315 particle size, pH, EC, CEC, SOC, Nt, nutrients, MBC, respiration and fungal mycelium.  
316 Authors observed a low SQ in almost all permanent crops; an intermediate quality in  
317 shrublands, grazing lands, coniferous forest and middle-hill olive grove; and a high quality in  
318 mixed forests.

319 Li et al. (2013) measured the impact of human disturbances in SQ, developing a SQI based  
320 on Bastida et al. (2006b). SQI was evaluated in alpine grasslands with different levels of  
321 degradation, based on plant cover, production, proportion of primary plant and height of the  
322 plant. Fifteen indicators (chemical, physical and biological) were used to build up the SQI  
323 after selection of a MDS by PCA. Indicators related to nitrogen cycling (urease, MBN-to-Nt,  
324 proteinase) and SOC were found to be the most sensitive indicators.

325

## 326 **5. Urban management and soil quality indicators**

327 Soil is an essential element in urban ecosystems (Luo et al., 2012). However, urban soil  
328 receives a major proportion of pollutants from industrial, commercial, and domestic activities  
329 (Cheng et al., 2014). Therefore, urban SQ must be included in urban management practices  
330 by selection of appropriate indicators. (Vrscaj et al., 2008). Since pollution is the factor which  
331 drives the most intense degradation in urban environments (Zhang et al., 2003), most research  
332 has dealt with the distribution and dispersion of pollutants (Davidson et al., 2006; Rodrigues  
333 et al., 2006; Wong et al., 2006; Szolnoki et al., 2013). Urban soil pollution is normally  
334 assessed relating pollutant levels with the environmental guidelines, or by establishment of

335 different simple indices. In this context, several simple indices have been developed and  
336 applied in urban soil for heavy metal pollution (Muller, 1969; Sutherland, 2000): geo-  
337 accumulation index ( $I_{geo} = \log_2[C_i/1.5B_i]$ ), pollution index ( $PI = C_i/B_i$ ), integrated pollution  
338 index ( $IPI = \sum PI/n$ ), enrichment factor ( $E_{Fi} = [C_i\text{-sample}/C_{ref}\text{-sample}]/[B_i\text{-background}/B_{ref}\text{-}$   
339  $\text{background}]$ ), where  $n$  is the number of measured elements,  $C_i$  (sample) is the metal  
340 concentration (i),  $B_i$  (background) is the baseline concentration,  $C_{ref}$  (sample) is the content  
341 of the reference element in the sample and  $B_{ref}$  is the content of the reference element in the  
342 reference soil. However, metals can be present in soils with different speciation, and so with  
343 different bioavailability and solubility. Hence, to assess urban SQ, the soluble or bioavailable  
344 fractions of the metals should be taken into account besides total concentrations (Rodrigues et  
345 al., 2013). There are several methods based on single or sequential schemes of chemical  
346 extraction to determine the availability of metals in urban soils (Li et al., 2001).

347 Besides heavy metals, other indicators such as particle size distribution, SOC, pH and CEC  
348 should be included in urban SQ studies to integrate soil functions with pollution effects  
349 (Pouyat et al., 2008). Rodrigues et al. (2009) studied the influence of metal concentration and  
350 soil properties on urban SQ. These authors concluded that the concentration of metals are not  
351 the dominant factor controlling variability in SQ, and soil texture, pH and SOM must be  
352 considered affecting this variability, which has often been ignored in urban systems. Papa et  
353 al. (2010) determined urban SQ evaluating the influence of soil trace metal concentrations in  
354 relation to distance from urban roads on MBC, respiration and eight enzyme activities,  
355 observing a negative relationship between microbial activity and metals concentration.  
356 Santorufo et al. (2012a) assessed urban SQ by integrating chemical and ecotoxicological  
357 approaches. They revealed that the toxicity to invertebrates seemed to be related to heavy  
358 metals, since the largest effects were found in soils with high metal concentrations. However,  
359 SOC and pH played an important role in mitigating the toxicity of metals. Santorufo et al.  
360 (2012b) studied soil invertebrates as bioindicators of urban SQ, being the community more  
361 abundant and diverse in the soils with high SOM and water content and low metal  
362 concentrations. The taxa more resistant to the urban environment included Acarina,  
363 Enchytraeids, Collembola and Nematoda. Gavrilenko et al. (2013) used the soil-ecological  
364 index (SEI), which was created for agricultural soils, to assess SQ in different ecosystems  
365 including urban areas. The SEI is a product of several indices accounting for seven physical  
366 and chemical properties and for the climatic characteristics of the region. They concluded that  
367 this SEI was correlated with MBC, and thus reflects the ecological function of the soil.

## 369 **6. Soil quality indicators directly related to human health**

370 Relating the state of the soil with effects on human wellbeing is a challenging task, difficult  
371 to monitor, quantify and model. Kentel et al., (2011) highlighted the importance of taking  
372 into account the human health perspective on SQ assessment. They postulated that health-  
373 risk-based decision making may help to manage associated costs and to identify priority sites  
374 with regard to health risks. This allows better allocation of available resources and  
375 identification of necessary actions that are protective of human health. Because of these  
376 reasons, traditional SQ assessment should include health-risk-based indicators such as  
377 pollutants or pathogens, taking into account the potential exposure pathways.

378 Since soil pollution is a threat for public health, the study of soil pollutants has been an  
379 important topic in literature. The source-pathway-receptor pollutant linkage has been used  
380 extensively in the risk assessment of polluted soils. Risk assessment aims to characterize the  
381 potential adverse health effects of human exposures to environmental hazards (Murray et al.,  
382 2011). A potential risk exists if there is a source of pollutants, a receptor sensitive to the  
383 pollutant at the exposure level, and a pathway linking both (Bone et al., 2010). Soil can be a  
384 source of pollutants with human as receptor through pathways such as direct ingestion of soil  
385 particles, the ingestion of plant or animal which bioaccumulated the contaminants, inhalation,  
386 and dermal contact (Collins et al., 2006; Sjöström et al., 2008). The levels of pollutants that  
387 reach man through the above pathways are normally calculated by the use of different  
388 quotients or equations, which relate the concentration of the pollutant in soil with SQS,  
389 ingestions/inhalation/adhesion rates, body weight, exposure time or exposure frequency  
390 (Masto et al. 2011; Nadal et al., 2011; Pelfrêne et al., 2013).

391 Most studies about soil pollution deal with the presence of heavy metals. In the attempt to  
392 assess the mobility of trace elements and thus to quantify their transmission from soil to other  
393 organisms, the use of bioaccumulation or bioconcentration factors are gaining acceptance,  
394 which describe the concentration of an element in a biological tissue relative to the  
395 concentration in the soil (Murray et al., 2011; Zhao et al., 2012). Even though it is not  
396 recognized as a SQI, it could be stated that soils with low bioconcentration factors are less  
397 hazardous for population. It has been assessed that there are physicochemical soil  
398 characteristics controlling metal availability such as pH, SOM or clay contents. Fordyce et al.  
399 (2000) identified that Se bioavailability in villages from China with high Se toxicity was  
400 controlled by pH. Zhao et al. (2012) reported that the spatial patterns of the heavy metal

401 concentrations and soil pH indicated that the areas with the highest human health risk did not  
402 directly coincide with the areas of highest heavy metal concentrations, but with the areas of  
403 lower soil pH. Qin et al. (2013) observed that the concentration of Se in rice plants was  
404 associated with the soil fraction bound to SOM, suggesting that SOM controls Se uptake by  
405 rice and thus increases hazards to human health. Pelfrêne et al. (2011) concluded that the  
406 inclusion of bioavailability analyses during health risk assessment (fraction of pollutant that  
407 is soluble in the gastrointestinal environment and potentially available for absorption) would  
408 provide a more realistic assessment of heavy metals exposure than traditional measurements.

409 Very few studies treat the problem of soil organic pollution and human health, maybe due to  
410 the higher difficulty in analysis and identification, and temporal decay through  
411 physicochemical and biological processes. Wenrui et al. (2009) established the levels of  
412 different pollutants in soil and assessed the affection to the population by bioaccessibility  
413 evaluations (e.g. in vitro simulators of human digestion) or development of exposure  
414 scenarios and health hazard equations. In general, no other soil properties are measured  
415 together with the target contaminant to relate its dynamics and fate. However, Cachada et al.  
416 (2012) found that SOC was an important factor for polycyclic aromatic hydrocarbons and  
417 organochlorides retention in soils.

418 Despite that there is a broad concern about soil pollution and human health, very few studies  
419 directly and explicitly relate pollution with SQ, and how deterioration of SQ can affect  
420 human wellbeing (Poggio et al., 2008; Masto et al., 2011; Pelfrêne et al., 2013). Abrahams  
421 (2002), although not explicitly, related SQ and human health at stating the deleterious  
422 impacts that soil properties pose to human societies. Murray et al. (2011) reported the need to  
423 include soil characteristics, specifically SOM quantity and quality, pH or clay content, when  
424 setting threshold criteria for metal content under human risk evaluations. Rafiq et al. (2014)  
425 was the only consulted study dealing with health risk assessment who established SQ  
426 standards for potential dietary toxicity to humans. They observed that soil pH, CEC and SOM  
427 were the main factors which influenced the Cd bioavailability in different soil types.

428 The sanitary status of the soil is evaluated on the basis of indicator bacteria, usually  
429 *Escherichia coli*, faecal streptococci, *Salmonella* sp, *Shigella* sp and the persistent sporulated  
430 *Clostridium* (e.g. Liang et al., 2011; Benami et al., 2013; Ceuppens et al., 2014). Some of  
431 them also use protozoa or helminths (e.g. Landa-Cansigno et al., 2013). All revised articles  
432 identify different taxonomic groups in soil and monitor their survival, persistence and  
433 movement with time in terms of different soil characteristics and management practices

434 (Benami et al., 2013; Sepehrnia et al., 2014). Voidarou et al. (2011) actually related the  
435 presence of pathogens/parasites with SQ, indicating that a systematic monitoring of the soil  
436 ecosystems must include bacteriological parameters to obtain information adequate for  
437 assessing their overall quality. It has been reported that SOM, pH, EC and clay contents are  
438 determinant on the adsorption capacity of pathogen bacteria, protozoa or nematodes (Landa-  
439 Cansigno et al. 2013), and thus they should be considered when assessing the persistence of  
440 pathogens in soil. The complexity of the soil microbial community can also affect the  
441 survival of pathogens. Liang et al. (2011) observed that the die-off rate of *E. coli*  
442 progressively declined with the reduction of microbial community diversity.

443

## 444 **7. Conclusions and researchable challenges**

445 There is a need to develop methods to assess and monitor soil quality for assuring sustainable  
446 land use with no prejudicial effects on human health. A review of different soil quality  
447 assessment studies indicated that there is an increased concern of using indicators of different  
448 nature to assess soil quality. The most used indicators are soil organic carbon and pH, since  
449 different management practices strongly affect their value. Total nitrogen and nutrient content  
450 are often used in agricultural and forest systems, since they provide information about the  
451 fertility of a soil, essential to supporting adequate production. Regarding physical features,  
452 particle size distribution, bulk density, available water and aggregate stability are the most  
453 widely used parameters, mainly to assess the impact of agricultural management and changes  
454 in land use on soil quality. Biological indicators are less generalized in literature, enzyme  
455 activities and microbial biomass being the most common indicators used as a routine basis in  
456 agricultural and forest systems. Despite the attempts to calibrate soil quality indices, the  
457 establishment of a global index for general use seems to be difficult nowadays due to the  
458 wide range of soils, conditions and management practices. The transformation (by linear or  
459 nonlinear scoring functions) and weighting of indicators and their summation into an index is  
460 the tool most widely used and validated in literature for most land uses. Nonetheless, the use  
461 of multiple linear regressions has been successfully used under forest land use.

462 Although urban soil quality has been linked with wellbeing life for city residents, it has been  
463 less studied than other soil uses, with lack of adoption of soil quality indices. In consequence  
464 there is an urgent need to establish a framework that can be adjusted based on different  
465 management goals for urban soil quality evaluation. There is also a lack of concern about the  
466 influence of soil on human health, so that soil quality assessments where human health

467 indicators or exposure pathways are incorporated are practically inexistent. Further efforts  
468 should be carried out to establish new methodologies not only to assess soil quality in terms  
469 of sustainability, productivity and ecosystems quality, but also human health. This gap is  
470 mainly due to the extreme difficulty of relating a *per se* complicate concept as soil quality to  
471 soil-born diseases, owing to the vast existent pathways of exposure.

472 The application and development of new methodologies such as stable isotopes, genomic and  
473 proteomic tools addressing the structure of microbial communities, as well as the  
474 functionality of microbial populations in soil might be potentially used as indicators of soil  
475 quality (Bastida et al., 2014). Spectroscopy is becoming a powerful tool in the assessment of  
476 soil quality as well, for it is accurate, inexpensive and rapid, essential attributes for the  
477 adoption of these techniques in soil quality establishment (Zornoza et al., 2008b).  
478 Nevertheless, the integration of these new parameters into soil quality index is still a  
479 challenge.

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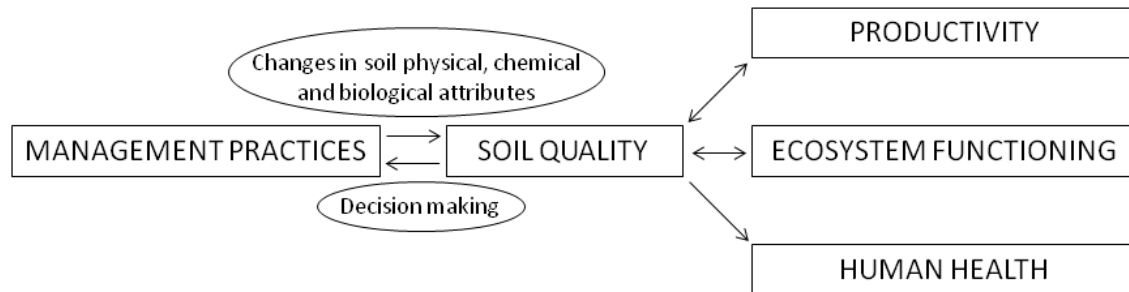
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837 **Figure Captions**

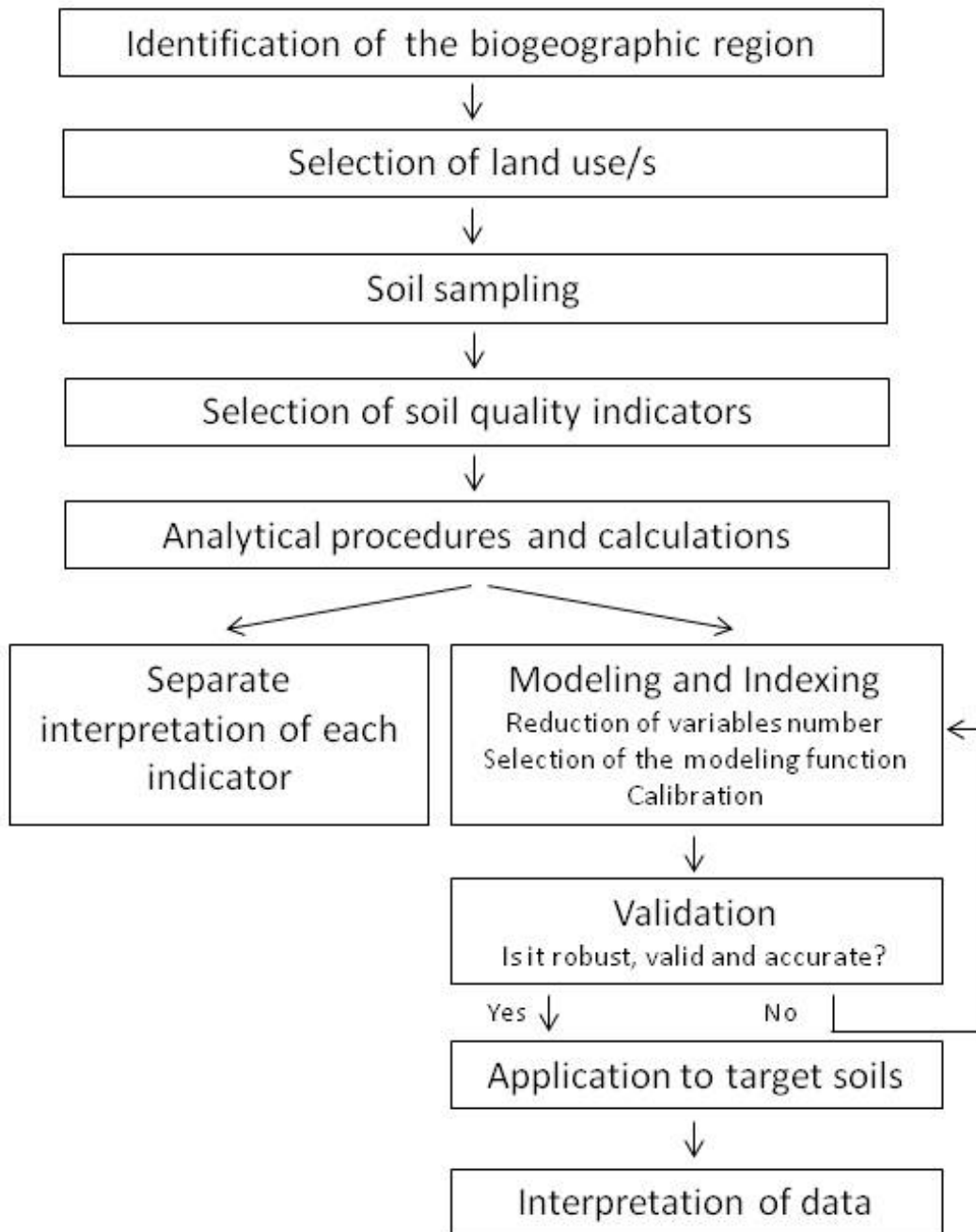
838 Figure 1. Interconnection between management practices, soil quality, productivity,  
839 environmental functions and soil health. Only indirect effects of management practices to  
840 other components through soil quality are taken into consideration.

841 Figure 2. Flowchart of steps involved in soil quality assessment.

842 Figure 1



843



846 Table 1. Most common indicators used in soil quality assessment under different land uses and approaches

Soil indicator	Agricultural systems	Forest systems	Land use changes	Urban systems	Human health
Soil organic carbon	Qi et al. (2009); Merrill et al. (2013); D'Hose et al. (2014); Li et al. (2014); Liu et al. (2014b); Rahmanipour et al. (2014)	Franzluebbers (2002); Pang et al. (2006); Amacher et al. (2007); Chaer et al. (2009); Zornoza et al. (2007); Toledo et al. (2012)	Marzaioli et al. (2010); Li et al. (2013); Singh et al. (2014); Veum et al. (2014)	Rodrigues et al. (2009); Santorufo et al. (2012a,b); Gavrilenko et al. (2013)	Murray et al. (2011); Cachada et al. (2012); Qin et al. (2013); Rafiq et al. (2014)
Total nitrogen	Qi et al. (2009); Ramos et al. (2010); Laird and Chang (2013); Rousseau et al. (2013); D'Hose et al. (2014); Liu et al. (2014a,b)	Trasar-Cepeda et al. (1998); Leirós et al. (1999); Pang et al. (2006); Amacher et al. (2007)	Marzaioli et al. (2010)		
pH	Qi et al. (2009); Moscatelli et al. (2012); Giacometti et al. (2014); D'Hose et al. (2014); Rahmanipour et al. (2014)	Burger and Kelting (1999); Amacher et al. (2007); Zornoza et al. (2007);	Marzaioli et al. (2010); Veum et al. (2014)	Rodrigues et al. (2009); Santorufo et al. (2012a,b)	Murray et al. (2011); Zhao et al. (2012); Landa-Cansigno et al. (2013); Rafiq et al. (2014)
Electrical conductivity	Merrill et al. (2013); Li et al. (2014); Rahmanipour et al. (2014)	Zornoza et al. (2007, 2008a)	Marzaioli et al. (2010); Veum et al. (2014)		Zhao et al. (2003); Landa-Cansigno et al. (2013)
Available nutrients	Qi et al. (2009); Merrill et al. (2013); Liu et al. (2014a); Rousseau et al. (2013); D'Hose et al. (2014)	Pang et al. (2006); Amacher et al. (2007); Zornoza et al. (2007, 2008a)	Marzaioli et al. (2010); Singh et al. (2014); Veum et al. (2014)		
Cation exchange capacity	García-Ruiz et al. (2008); Qi et al. (2009); Rahmanipour et al. (2014)	Pang et al. (2006); Zornoza et al. (2007);	Marzaioli et al. (2010)	Rodrigues et al. (2009)	Rafiq et al. (2014)
Soluble carbon and/or nitrogen	Merrill et al. (2013)	Wang and Wang (2011);			
Heavy metals	Qi et al. (2009); Rahmanipour et al. (2014)		Singh et al. (2014)	Peijnenburg et al. (2007); Papa et al. (2010); Rodrigues et al. (2013); Santorufo et al. (2012)	Murray et al. (2011); Zhao et al. (2012); Pelfrène et al. (2013); Qin et al. (2013); Rafiq et al. (2014)
Organic pollutants					Wenrui et al. (2009); Cachada et al. (2012);
Particle size	Armenise et al. (2013); Merrill et al. (2013); Rousseau et al. (2013);		Marzaioli et al. (2010); Singh et al. (2014)	Rodrigues et al. (2009); Gavrilenko et al. (2013)	Murray et al. (2011); Landa-Cansigno et al. (2013)
Bulk density	Merrill et al. (2013); Rousseau et al. (2013);	Sanchez et al. (2008)	Marzaioli et al. (2010); Veum et al. (2014)	Rodrigues et al. (2009); Gavrilenko et al. (2013)	

847 Table 1. Most common indicators used in soil quality assessment under different land uses and approaches (continuation)

Soil indicator	Agricultural systems	Forest systems	Land use changes	Urban systems	Human health
Soil aggregation	Rousseau et al. (2013); D'Hosea et al. (2014)	Zornoza et al. (2007, 2008a)	Veum et al. (2014)		
Available water content / water holding capacity Porosity	Armenise et al. (2013);	Burger and Kelting (1999); Pang et al. (2006); Amacher et al. (2007); Zornoza et al. (2007) Burger and Kelting (1999)	Marzaioli et al. (2010); Veum et al. (2014)	Santorufu et al. (2012a,b)	
Penetration resistance	Rousseau et al. (2013); D'Hose et al. (2014)	Burger and Kelting (1999)			
Carbon mineralization	Biau et al. (2012); Laird and Chang (2013)	Jiménez-Esquilín et al. (2008); Blecker et al. (2012)	Marzaioli et al. (2010)	Papa et al. (2010); Gavrilenko et al. (2013)	
Nitrogen mineralization	Biau et al. (2012); Laird and Chang (2013); Merrill et al. (2013)	Trasar-Cepeda et al. (1998); Leirós et al. (1999);	Marzaioli et al. (2010); Veum et al. (2014)		
Microbial biomass carbon and/or nitrogen	Bi et al. (2013); D'Hose et al. (2014); Li et al. (2014); Liu et al. (2014a)	Trasar-Cepeda et al. (1998); Chaer et al. (2009); Mataix-Solera et al. (2009); Zhao et al. (2013)	Marzaioli et al. (2010); Li et al. (2013); Veum et al. (2014)	Papa et al. (2010); Gavrilenko et al. (2013)	
Microbial communities	Giacometti et al. (2013)	Zornoza et al. (2009); Banning et al. (2011); Blecker et al. (2012)			Liang et al. (2011)
Enzyme activities	García-Ruiz et al. (2008); Li et al. (2014); Liu et al. (2014b)	Trasar-Cepeda et al. (1998); Leirós et al. (1999); Zornoza et al. (2007); Chaer et al. (2009)	Li et al. (2013)	Papa et al. (2010)	
Ergosterol/fungal mycelium	D'Hose et al. (2014)		Marzaioli et al. (2010)		
Invertebrates	Biau et al. (2012); D'Hose et al. (2014)		Ruiz et al. (2011)	Hankard et al. (2005); Santorufu et al. (2012a,b)	Landa-Cansigno et al. (2013)
Pathogens					Liang et al. (2011); Benami et al. (2013); Ceuppens et al. (2014); Sepehrnia et al. (2014)

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