

Carbon nanomaterials in clean and contaminated soils: environmental implications and applications

Matthew J. Riding, Francis L. Martin, Kevin C. Jones, Kirk T. Semple

Response to referee comments

We thank the two referees for their comments and well thought through constructive criticism. We have endeavoured to address each point individually, and have either modified the script accordingly or provided additional justification for an alternative response.

If you require additional information or clarification of points, we will be happy to elaborate further.

Referee #1

The paper explored implication and application of carbon nanomaterials (CNMs) in clean and contaminated soils. CNMs can interact with hydrophobic organic contaminants (HOCs), and thus have an impact on the persistence, mobility and bioavailability of contaminants within soils. Overall, this review paper is well written, and the whole paper flows smoothly. It is one of the excellent papers I have read on an open-access journal. There are only several minor things that need to be addressed. Specific comments, following the order of the manuscript, are listed below.

- 1) Authors have discussed many aspect of CNM in soils. One question would be: what will be the realistic concentration of anthropogenic CNM in the soil?

As CNMs are an emerging material, predicting a “realistic [future] concentration of anthropogenic CNMs in the soil” is exceptionally difficult. Not only are new applications for CNMs being regularly found, legislation regarding their production, use and disposal is still a rapidly evolving area of study. There are some papers which have attempted to estimate the future CNM concentration in soils, and these are all evaluated in an excellent review (Holden et al. 2014, *ES&T in press* DOI: 10.1021/es502440s), which has been added as a citation in the paper.

- 2) If their concentration is extremely small, what will be the critical concentration level that can exhibit toxicity?

This is a good question, and can be addressed with reference to the review article cited in the comment above. The critical concentration at which CNMs will exhibit toxicity is extremely difficult to measure/predict for a number of reasons: (i) variations in target organism (discussed in the script in Section 5.1); (ii) variations in soil type (discussed pp. 175 L27); (iii) variations in particle characteristics (discussed throughout the script); (iv) uncertainty calculating the organism’s exposure level from a particular concentration in the environment. To my knowledge, there are no papers that have consistently demonstrated toxicity results based on standard measures of toxicity (such as NOEL or LD50) for CNMs between soil/CNM types or target organisms. Based on the current level of understanding portrayed in the literature, we feel it is not possible to either categorically state or predict the level at which toxicity will occur, nor is it possible to predict whether any potentially

observed impacts will be caused by a direct result of CNMs (e.g. membrane damage by reactive oxygen species) or indirect result (sorption and reduced bioavailability/accessibility of food source). We feel that these barriers to estimating the toxicity of CNMs in the environment are sufficiently dealt with throughout the script.

- 3) If there is another scenario that we have to apply CNM to the soil, what is the potential application of CNM to soil?

This question lacks clarity and I am unable to understand the point it is attempting to raise. The paper concludes on pp.186 L3 that the future application of CNMs to soil should be assessed on a case-by-case basis.

- 4) Page 153, likely the section of “Carbon nanomaterial diversity and detection” focuses more on the diversity of CNMs, however, not on detection. If authors can summarize a table about the detection methods of CNMs in soil or other complicated matrix, it will be very helpful for readers who are interested in this topic. I have listed a few papers talking about the detection of CNMs:

(a), Detection of carbon nanotubes in environmental matrices using programmed thermal analysis K Doudrick, P Herckes, P Westerhoff - Environmental science & technology, 2012

(b) Thermogravimetry–Mass Spectrometry for Carbon Nanotube Detection in Complex Mixtures, Desirée L. Plata, Christopher M. Reddy, and Philip M. Gschwend, Environmental science & technology, 2012

(c) Characterization and Quantitative Analysis of Single-Walled Carbon Nanotubes in the Aquatic Environment Using Near-Infrared Fluorescence Spectroscopy, Ariette Schierz, Ashley N. Parks, Kathryn M. Washburn, G. Thomas Chandler, and P. Lee Ferguson, Environmental science & technology, 2012

We thank the referee for noticing this. The paper was deficient in its discussion of CNM detection methods within environmental matrices. We have fully complied with the referee’s suggestion.

- 5) Page 160, line 28, authors have mentioned about “the physicochemical properties of pristine nC60” depends on the “method of synthesis and preparation”. Please clarify how could the synthesis and preparation affect the property of nC60. Additionally, would the preparation method of nC60 affect the toxicity behaviour in soils?

We have removed the word synthesis. The impact of preparation methods is discussed at length in the sentences and paragraphs that immediately follow the sentence in question. For example, we discuss the influence of sonication and suspension media, both of which are particle preparation methods. We do not think it is possible to provide any additional information to that which is already presented.

Referee #2

This review is relevant as it joins information about the fate and behaviour of one main types of nanomaterials, that is being produced and applied in large quantities. However, the paper needs some structural reformulations that are highlighted in comments made in the PDF of the manuscript. Hence, I think that it could be accepted after major revisions.

The role of some soil properties in CNMs fate and behaviour was forgotten, for example, the role of clay particles.

We have added additional information to the script, specifically related to clay particles.

The toxicity section is very poor developed and only toxicity to soil microbial community was mentioned. Invertebrates and plants were not mentioned. If no information exists for this group of organisms, (but I know that some is available), at least this needs to be mentioned.

One of the key aims of the aim of the paper is to specifically discuss the implications of CNMs on terrestrial microbiota, and the possible impact on contaminant bio-degradation. This is discussed at considerable length (13 pages) in Section 5. It was never the intention of the script to consider macro flora or fauna. The scope of the script is clearly stated in the final paragraph of Section 1. We do not feel that toxicity information for additional organisms should be added.

[The toxicity] section should appear after the description of the effects of the biological activity on CNMs in soils.

The section has been moved as suggested.

It remained unclear, if these CNMs can be degraded or not by soil microorganisms. Or at least the role of soil microorganisms in functional groups and in the subsequent stability of these CNMs. If this information does not exist, this should be mentioned in the manuscript.

We agree that the section lacked clarity and we have modified the text accordingly.

It would also be mentioned, or at least reinforced that studies available aimed to perceive the role of physical and chemical properties on the fate of CNMs in the soil, but any attempt was made to perceive how soil physical and chemical properties together with the biological activity determine the modification of such CNMs and its fate. This has to be made in more real environmental scenarios, and at least the authors should call the attention for this necessity.

This is an excellent point, which to some extent is already mentioned in the first paragraph of the conclusion. We have updated this section to include the additional information that the referee recommends.

Further through all the manuscript the most relevant results of several studies are described without any criticisms, and some important aspects of the experimental design of the studies were not added. I have exemplified this also in some comments.

We have amended the script to address the comments provided in the annotated PDF.

Comment on L16 - addressed

Comment on L24 – this is already addressed in the comment below, on L91 – 94 in the revised script.

Comment on L43 – The referee has not understood what is written. Soil properties are discussed at considerable length. The sentence in question means we will discuss soil properties, and that is exactly what appears in the rest of the script.

Comment on L86 – This is a good point. The sentence required clarification, and I have modified the text. Thank you to the referee for pointing this out.

Comment on L109 – active microbiota are discussed in Section 5.2

The pathways for the entrance of CNMs in the soils need to be clearly mentioned in the manuscript.

Addressed by adding citation to:

Köhler, A. R., Som, C., Helland, A. & Gottschalk, F. 2008. Studying the potential release of carbon nanotubes throughout the application life cycle. *Journal of Cleaner Production*, 16, 927-937.

Carbon nanomaterials in clean and contaminated soils: environmental implications and applications

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Abstract

The exceptional sorptive ability of carbon nanomaterials (CNMs) for hydrophobic organic contaminants (HOCs) is driven by their characteristically large reactive surface areas and highly hydrophobic nature. Given these properties, it is possible for CNMs to impact on the persistence, mobility and bioavailability of contaminants within soils, either favourably through sorption and sequestration, hence reducing their bioavailability, or unfavourably through increasing contaminant dispersal. This review considers the complex and dynamic nature of both soil and CNM physicochemical properties to determine their fate and behaviour, together with their interaction with contaminants and the soil micro-flora. It is argued that assessment of CNMs within soil should be conducted on a case-by-case basis, and further work to assess the long-term stability and toxicity of sorbed contaminants, as well as the toxicity of CNMs themselves is required before their sorptive abilities can be applied to remedy environmental issues.

Key words: Carbon nanoparticles; bioavailability; remediation; hydrophobic contaminants; soil

1. Introduction

With the continued up scaling of carbon nanomaterial (CNM) production (Nowack and Bucheli, 2007) as well as the diverse array of consumer (Sharma and Ahuja, 2008), medical (Peretz and Regev, 2012) and industrial applications in which they are increasingly becoming incorporated, widespread environmental release of these physically and chemically unique macromolecules has become inevitable (Köhler et al., 2008). Once released, soils are likely to be a primary repository (Mueller and Nowack, 2008; Gottschalk et al., 2009), with the quantities anticipated to increase on an annual basis (Gottschalk et al., 2009). In spite of this, studies focused on CNMs within soils are scarce, and many areas of uncertainty remain. Understanding the interactions between CNMs, soils and components therein is therefore an urgent and essential aspect of any risk assessment process.

In their pristine form, CNMs are broadly characterised by their large reactive surface areas, highly hydrophobic characteristics and high degree of bio-geochemical recalcitrance. They are known to be toxic to various soil microbiota (Riding et al., 2012a; Riding et al., 2012b), and possess a high affinity for the sorption of a range of hydrophobic organic compounds (HOCs), such as polycyclic aromatic hydrocarbons (PAHs), and polychlorinated biphenyls (PCBs) (Pan and Xing, 2010). As both PAHs and PCBs are important classes of hydrophobic, toxic organic compounds, which are both abundant and persistent in soils (Stokes et al., 2005), the potential for CNMs to modify the availability and mobility of HOCs, either favourably through sorption and sequestration, or unfavourably through increasing contaminant dispersal, is currently unknown. Presently, there is only limited and occasionally contradictory information regarding the implications of contaminants while sorbed to CNMs, as well as the fate and behaviour of CNMs in uncontaminated soils. Exploring these issues in light of the emerging nature of CNMs as xenobiotic soil components is therefore essential.

This review seeks to answer three key questions. (i) What factors influence the behaviour and fate of CNMs within the soil environment? (ii) To what extent can CNMs influence the sorption,

desorption and mobility of contaminants in soils? (iii) What are the impacts of CNMs on soil microorganisms and the biodegradation of contaminants in soils?

2. Carbon nanomaterial diversity and detection

Within the environment, some CNMs can occur naturally or have close naturally occurring relatives due to various environmental events (Heymann et al., 1994; Chijiwa et al., 1999; Velasco-Santos et al., 2003; Esquivel and Murr, 2004). However, concentrations occurring naturally are likely to be relatively small (0.1 to 0.2 parts per million) (Heymann et al., 1994; Chijiwa et al., 1999). Therefore, when referring to CNMs, this review explicitly focuses on those that are anthropogenic in origin.

The properties of CNMs vary dramatically between the different methods of production, functionalization status and cleaning/purification methods employed (Nowack and Bucheli, 2007). Hence, determining their environmental behaviour is all the more challenging, and generalisation of the characteristics of CNMs is not possible, with each type requiring careful characterisation (Nowack and Bucheli, 2007). Of the many different forms of CNMs available, this review focuses specifically on carbon nanotubes (CNTs) and C₆₀ fullerene, which are two of the most widely utilised and investigated classes of CNMs (Mueller and Nowack, 2008; Gottschalk et al., 2009; 2010; Petersen and Henry, 2012).

To date, CNTs are arguably the most promising of all nanomaterials produced (Giles, 2006). In their pristine form, CNTs are extremely hydrophobic and consist of graphene sheets rolled into nanoscale diameter cylinders, the ends of which may contain spherical fullerene cappings (Mauter and Elimelech, 2008). One single-rolled graphite sheet is called a single-walled carbon nanotube (SWCNT), while several SWCNTs nested together in a concentric fashion comprise a multi-walled carbon nanotube (MWCNT) (Pan and Xing, 2008). They consist of sp² carbon atom arrangements in a fused benzene ring configuration, which results in exceptional physicochemical properties and consequentially their incorporation into a vast array of composite materials (Liu et al., 1999;

Snow et al., 2005; Mauter and Elimelech, 2008; Almecija et al., 2009). An excellent and more detailed discussion about the unique physicochemical properties of CNTs is provided by Mauter and Elimelech (2008).

Fullerenes are spherically arranged carbon atoms resembling a geodesic dome. The size of the fullerene dome can vary depending on the number and spherical configuration of carbon atoms. C₆₀ Fullerene (Buckminster fullerene or Bucky Ball) has arguably the best defined physicochemical parameters, produced in the largest quantities and has been the focus of most scientific engagement (Campbell and Rohmund, 2000; Petersen and Henry, 2012). C₆₀ is comprised of a spherical configuration of 5- and 6-carbon rings, consisting of 60 carbon atoms in total. It commonly exists as nano-C₆₀ (nC₆₀) particles (regarded as the most environmentally relevant form), which are crystalline structures containing 100-1000 C₆₀ molecules (Colvin, 2003; Sayes et al., 2004). Presently, fullerenes have proposed applications in biology (Lucafò et al., 2012) and electronic/optical devices as thin films combined with polymers (Richards et al., 2012).

Unlike most organic chemicals with well-defined structures, the diversity of particle sizes, lengths, diameters, charges, surface areas, coatings, molecular weight, impurities and aggregation states are not necessarily constant, which-These are often specific-tailored to the intended end-use of the particles and can be modified by their particular-the environmental compartments in which they resideand not necessarily constant, which, limits their detection and characterisation in soils and other complex environmental matrices through chromatographic techniques (Petersen et al., 2011). A summary of methods used to detect CNMs within soils and sediments is presented in Table 1.

Table 1. Recent methods used to detect CNMs in complex environmental matrices.

<u>Author</u>	<u>Method of Detection</u>	<u>CNM Type Used</u>	<u>Matrix Characteristics</u>	<u>Results</u>	<u>Notable Advantages</u>	<u>Notable Disadvantages</u>
<u>Doudrick et al. (2012)</u>	<u>Separation of organic and elemental carbon using thermal optical transmittance/reflectance</u>	<u>SWCNTs and MWCNTs</u>	<u>Sediment</u>		<u>No interference from naturally occurring elemental carbon with CNTs that combusted at high temperatures</u>	<u>Interference from elemental carbon with CNTs that combusted at low temperatures</u>
<u>Plata et al. (2012)</u>	<u>Thermogravimetric analysis coupled with mass spectrometry</u>	<u>SWCNTs</u>	<u>Sediment</u>	<u>Detection limit of 100 µg g⁻¹</u>	<u>Capable of distinguishing between naturally occurring soot and manufactured CNMs</u>	
<u>Schierz et al. (2012)</u>	<u>Near-Infrared fluorescence spectroscopy</u>	<u>SWCNTs</u>	<u>Sediment</u>	<u>62 ng g⁻¹</u>	<u>Able to differentiate SWCNTs from naturally occurring soot</u>	
<u>Sobek and Bucheli (2009)</u>	<u>Chemothermal oxidation at 375 °C</u>	<u>SWCNTs, MWCNTs</u>	<u>Clay (vertisol) & marine sediment</u>	<u>Highly variable detection of CNTs depending on CNT structure and complexity of matrix</u>	<u>Able to isolate CNTs from matrix</u>	<u>Unable to distinguish CNTs from naturally occurring soot</u>

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In addition, as the life cycles of CNM containing products are likely to vary greatly, the ~~routes~~means by which these materials enter the soil environment ~~is~~are also likely to be highly variable (Pan and Xing, 2012). An excellent review of different CNM exposure scenarios for both humans and the environment, is provided by Köhler et al. (2008). Further complicating their detection is the emerging nature of manufactured CNMs as soil xenobiotic components, and hence their presently low concentrations, together with their interaction with naturally occurring nanomaterials and other environmental components, which leads to particles with sizes and compositions that significantly differ from their native forms (Nowack and Bucheli, 2007; Darlington et al., 2009; Chen et al., 2011). As such, careful consideration of multiple environmental variables is required to determine their impact on CNM fate and behaviour.

3. CNM behaviour and fate within the soil environment

Once released into the soil, the fate and behaviour of CNMs is governed by their interactions with various components within the environment. Derjaguin Landau Verwey Overbeek (DLVO) interactions, such as electrostatic interactions and van der Waals (vdW) forces, and non-DLVO interactions, such as hydrogen bonding and steric hindrance, ultimately determine the mobility, aggregation and adhesion of CNMs within soils. These forces may operate in concert to various extents, with the predominating force controlled by factors such as the properties and quantity of soil organic matter (SOM), characteristics of inorganic matter, the type and quantity of clays, together with the properties of CNMs themselves. Each of these factors are heavily influenced by variables that are not necessarily constant over time, such as pH and ionic strength.

3.1 The impact of soil organic matter

Soil organic matter~~OM~~ plays a substantial role in both the fate and behaviour of CNMs through alterations in the dominance of the various DLVO and non-DLVO interactions. SOM (which consists primarily of decomposed plant and animal remains (Lee et al., 1981)) is an all-

118 encompassing term describing organic matter (OM) dispersed ubiquitously throughout the soil
119 environment, and is composed of a heterogeneous mixture of lipids, carbohydrates, carboxylic
120 acids, humic substances, hydrophilic acids, proteins, carbohydrates, hydrocarbons and amino
121 acids. However, the mechanism by which SOM maintains CNMs stability in suspension is still
122 under investigation and debate (Dinesh et al., 2012). Specifically, the aim of this section is
123 therefore to provide an overview of recent investigations in which the manner of solid SOM,
124 dissolved organic matter (DOM), humic acid (HA) and tannic acid (TA) influence the behaviour of
125 CNMs.

126 Adsorption of molecular DOM onto CNMs occurs through either aromatic ring sorption or
127 binding of aliphatic chains via π - π or CH- π interactions, leaving the hydrophilic moieties exposed
128 (Lin and Xing, 2008). Consequentially, the surfaces of CNMs significantly change from a
129 hydrophobic, aromatic-like structure, to that of the organic, hydrophilic functional groups in DOM
130 (Zhang et al., 2011a), with potentially large implications for other DLVO and non-DLVO
131 interactions. In studying nC_{60} , Kwon (2012), found the type of DOM determined suspension
132 stability, with those containing long, hydrophobic carbon backbones readily adsorbing via vdW
133 interactions onto nC_{60} surfaces, promoting their stability. However, Zhang et al. (2011a) found
134 peat (diagenetically young SOM) in dissolved form (DOM), [also](#) prevented MWCNT aggregation
135 through both steric hindrance and electrostatic repulsion in sodium concentrations >4 mM or in
136 solutions of $pH \geq 4$ (Zhang et al., 2011a). Increasing ionic strength resulted in greater adsorption of
137 DOM onto MWCNTs (Hyung et al., 2006; Hyung and Kim, 2008; Zhang et al., 2011a) due to
138 reduced electrostatic repulsion between the DOM and the particle surface. As a result, cations
139 impairing electrostatic interactions at high ionic strengths in the presence of DOM may slightly
140 shift the relative importance of suspension mechanisms from electrostatic repulsion, in favour of
141 steric hindrance (Zhang et al., 2011a); broadly similar results have been identified with nC_{60} (Qu et
142 al., 2012). Ionic strength therefore primarily affects the balance between electrostatic repulsion
143 and steric hindrance mechanisms of particle suspension in the presence of DOM.

Typically, frequently occurring cations within the environment (K^+ , Na^+ , Ca^{2+} and Mg^{2+}) induce aggregation and deposition in systems devoid of SOM through reductions in electrostatic repulsion between particles, hence reducing CNM stability (Zhang et al., 2012b). The influence of cations on the behaviour of CNMs is well illustrated by the extent to which physical straining (filtering out) of nC_{60} occurs in saturated porous media. Zhang et al. (2012a) found that columns of pure quartz resulted in very limited nanoparticle deposition even at low flow velocities, whereas a heterogeneous sandy soil with low OM content and small, irregular and rough grains of sand, significantly inhibited nC_{60} transport. With the addition of $CaCl_2$, greater deposition of nC_{60} was observed in both sand and soil; however, significantly more straining occurred in the soil due to the greater number of complexation sites for Ca^{2+} clay and OM fractions relative to sand (Zhang et al., 2012a). For both the sand and soil columns, Ca^{2+} had a much larger effect on the transport of nC_{60} than Na^+ at the same ionic strengths (Zhang et al., 2012a). This most likely occurred due to efficient neutralisation of surface charges on both nC_{60} and sand and soil particles by Ca^{2+} relative to Na^+ , which reduced the electrostatic repulsion (Kuznar and Elimelech, 2004; Zhang et al., 2012a).

When considering the fate and behaviour of CNMs in soils, solid peat, however, may behave
have a different impact differently to that of molecular DOM under environmentally relevant ionic
conditions. This could be caused by the alteration of as particle phase distributions may be
altered due to the direct sorption of CNMs, as well as the possibility of DOM or cations being
release from the soil particles themselves (Zhang et al., 2011a). In the absence of sodium ions,
Zhang et al. (2011a) found no adsorption of MWCNTs to solid peat, indicating a limited affinity of
DOM-MWCNT composites towards the solid phase relative to the aqueous phase, possibly due to
electrostatic repulsion and hydrophilicity of DOM coated nanotubes. With the addition of Na^+
ions, the relative affinity between DOM-MWCNTs and peat was increased due to reductions in the
charge potential and subsequent increase in interactions occurring through hydrophobic
interactions and/or vdW attraction (Zhang et al., 2011a). Zhang et al. (2011a) concluded that with

increasing ionic strength ~~and~~ (such as in environments containing seawater or hard freshwater), ~~an~~ increased precipitation of MWCNTs from the aqueous phase will occur, impeding their transport within the environment.

Consideration of the ζ -potential (diffuse surface charge) of CNMs in relation to the soil matrix is important when considering the dynamics of smaller particles dispersal, transport and deposition, for which charge forces are likely to be highly influential relative to larger particles or agglomerates (Darlington et al., 2009). Its measure, in part, provides information on the likely mobility, rates of interaction and aggregation status due to electrostatic forces generated by charged surfaces (Hu et al., 2005; Jafar and Hamzeh, 2013). Pristine CNTs typically express limited surface charge (Mohanty et al., 2007); however, Wang et al. (2008a) found that the ζ -potential of CNTs with a HA coating was highly negative, which resulted in electrostatic repulsion between the particles and hence stability when partitioned into the aqueous phase. They concluded that mobility and environmental transport within typically negatively charged porous media, such as certain types of soils was highly likely, with particles remaining stable over a wide range of ionic strengths (Wang et al., 2008a). A reduction in pH to the point at which the CNTs had no charge was identified as an effective means of causing CNTs to precipitate, through the destabilization of the HA coatings (Wang et al., 2008a).

The properties of the humic substances ~~dictate-determine~~ the extent to which DLVO and non-DLVO interactions ~~dictate-influence~~ particle behaviour. TA (Chibowski et al., 1998) and HA sorbed to CNTs enhances stabilisation in water through reducing vdW forces between particles and increasing steric repulsion (Terashima and Nagao, 2007; Ren et al., 2011). However, Qu et al. (2012) identified that high molecular weight (HMW) HAs were more effective in promoting suspension stability due to stronger steric repulsion than that of low molecular weight (LMW) HAs. Similarly, HAs containing large quantities surfactive domains, such as those which are strongly hydrophilic and lipophilic, promote the dispersal of CNTs in solutions, while those

containing carbohydrates and predominantly hydrophilic domains resulted in limited dispersal (Chappell et al., 2009).

The composition of SOM in relation to ionic strength and pH dictates the behaviour of CNMs within soils. Presently, however, insufficient data regarding the relative impact of different SOM fractions and combinations on DLVO and non-DLVO forces in soils is lacking, reducing an ability to estimate how CNMs may behave based on analysis of soil OM content. Furthermore, in addition to the organic fraction of soils and coating of CNMs, the role of the inorganic fraction in determining particle behaviour must also be considered.

3.2 The impact of soil inorganic matter

In addition to the organic fraction, CNM stability in saturated soil/water suspensions is strongly influenced by the impact of the inorganic fraction, and is largely neglected within the present literature. Han et al. (2008) studied the impact of kaolinite and montmorillonite clay minerals with particle sizes of around 2 μm on the stability of MWCNTs (18 mg l^{-1}) suspended in three different surfactants (either cetyltrimethylammonium bromide (CTAB), dodecylbenzenesulfonic acid, sodium salt (SDBS), or octyl-phenol-ethoxylate (TX100), each at 40 mg l^{-1}). The study showed that MWCNTs stabilised by CTAB, became deposited in the presence of montmorillonite or kaolinite particles, which suggests MWCNTs may not move through soils or sediments containing these minerals, or that the addition of montmorillonite or kaolinite could be used to reduce their mobility and transport (Han et al., 2008). However, no investigation such as soil column leach testing was performed to specifically measure the movement of MWCNTs, and further direct measurements are required to verify the results in soils. Suspensions of CNTs in SDBS were not affected by the presence of either montmorillonite or kaolinite, and TX100 suspensions were not altered by kaolinite, but destabilised partially in montmorillonite (Han et al., 2008). The authors suggested that CNTs may be able to move through soils and sediments containing these clay minerals if suspended using SBS or TX100. It was proposed that the destabilisation of surfactant-dispersed MWCNTs occurs by two mechanisms; (i) removal of

221 surfactants from solution by clay minerals and (ii) clay minerals bridging between MWCNTs and
222 surfactants (Han et al., 2008).

223 Furthermore, the charge characteristics of soils can also influence the behaviour and fate of
224 CNMs. Broadly, all soils can be divided into two groups; permanent-charge (P-C) and variable-
225 charge (V-C) (Sollins et al., 1988). In P-C soils, the substitution of ions with lower valence for ions
226 with higher valence results in the alteration of crystal lattice structures within layer-silicate clays
227 (illite, smectite, chlorite and kaolin), and a permanent charge deficit, which persists irrespective of
228 variations in the composition of soil solutions and pH (Sollins et al., 1988). In V-C soils, protonation
229 and de-protonation of surface hydroxyl groups results in the positive charge and hence anion
230 exchange capacity (AEC); whereas deprotonation results in cation exchange capacity (CEC) (Sollins
231 et al., 1988). The structure of V-C soils is also modified in response to increasing pH, resulting in
232 increased repulsion and more limited aggregation (Sollins et al., 1988). Both P-C and V-C surfaces
233 are present in all soil types; however, only one charge system typically dominates, dictated largely
234 by soil mineralogy (Sollins et al., 1988). While V-C soils occur more frequently in tropical regions
235 due to the typical mineralogical composition which forms under humid, warm conditions, they do
236 not occur ubiquitously, and many areas with predominantly P-C characteristics occur (Sanchez,
237 1976; Sollins et al., 1988). Hence, while V-C soils represent a small fraction of global soil types,
238 interactions between nanoparticles and soils are likely to be much more dynamic relative to those
239 with a P-C. Despite this, almost all investigations have predominantly focused on P-C soils,
240 restricting the applicability of CNM fate and behaviour investigations.

241 The behaviour of CNMs in V-C soils has been assessed by Zhang et al. (2012b), who
242 investigated the stability of MWCNTs suspended in water with soil minerals kaolinite, smectite
243 and shale over a range of sodium concentrations. Without additional Na^+ , no significant difference
244 in the stability of MWCNTs between each of the soil minerals was observed; however, with
245 increasing ionic strength, the removal of MWCNTs from the aqueous phase followed the order
246 smectite > kaolinite > shale (weakest to largest MWCNT association). As ionic strength increased,

the MWCNT removal tendency for smectite and kaolinite was inversely correlated to the mineral surface potential. However, the electrostatic potential of shale is higher than either kaolinite or smectite, yet shale demonstrated the strongest sorption of MWCNTs (Zhang et al., 2012b). This was attributed to the large, hydrophobic, organic content of shale, which is able to strongly sorb MWCNTs. Hence, under these soil conditions, the transport of CNMs in soils is directly correlated with mineral hydrophobicity, but inversely correlated with surface potential (Zhang et al., 2012b). It is therefore imperative that studies of nanomaterial fate and behaviour in soils include detailed information regarding soil mineralogy.

3.3 The impact of CNM preparation methods, functional groups and UV exposure

In addition to the influence of soil type and properties, the properties of CNMs themselves vary greatly depending on an array of parameters. As commercial applications of CNMs will likely employ surface functional groups and a variety of different preparation techniques, nanoparticle properties and behaviour within the environment will become increasingly complex (Turco et al., 2011). For example, the physicochemical properties of pristine nC_{60} , such as surface charge and particle size, heavily depends on the method of ~~synthesis and~~ preparation, with a corresponding impact upon the stability of the nanomaterial (Chen and Elimelech, 2008; 2009; Isaacson et al., 2011). As discussed previously, deposition and aggregation of CNMs in their pristine state is highly susceptible to variations in soil water ionic strength; however, the exact extent of sensitivity is known to vary significantly according to the method of preparation. For example, in an aqueous solution, the deposition of nC_{60} occurs in NaCl at concentrations of 18 mM when prepared by sonication (100 W probe, 30 min) (Qu et al., 2012) and 30 and 32 mM NaCl when prepared by solvent exchange methods (Chen and Elimelech, 2008; Smith et al., 2008). However, most investigations have been conducted in simple solutions of electrolytes using pristine nC_{60} , and in complex environmental systems (Qu et al., 2012). As a result, the effects of cation valence on the stability of CNMs may substantially differ to those in a laboratory setting.

272 Typically, agglomeration of CNMs in the presence of divalent (Ca^{2+}) cations occurs to a greater
273 extent than with monovalent (Na^+) cations. However, when exposed to solar irradiation, $n\text{C}_{60}$ can
274 undergo surface oxidation and decomposition (Hou and Jafvert, 2008; 2009), with large
275 implications for environmental behaviour and fate (Qu et al., 2012). Following exposure to UV-A
276 (the largest component of UV in sunlight), the oxygen containing functional groups formed on
277 $n\text{C}_{60}$ hindered aggregation in NaCl solutions due to their elevated hydrophilicity and negative
278 surface charge (Hou and Jafvert, 2009). Conversely, neutralisation of the negative surface charge
279 on oxidised $n\text{C}_{60}$ due to interactions with Ca^{2+} ions when suspended in CaCl_2 can result in particle
280 agglomeration (Li and Liang, 2007). This potentially occurs due to the charge screening ability of
281 Ca^{2+} relative to Na^+ , which reduces the stability of colloids (Li and Liang, 2007). Qu et al. (2012)
282 expanded upon this work through studying the effects of UV-A exposure for either 20 h or 7 d on
283 the rate of deposition and the attachment efficiency of $n\text{C}_{60}$ on to silica bead surfaces. The
284 stability of $n\text{C}_{60}$ increased proportionally with increasing UV-A exposure time against aggregation
285 in solutions containing NaCl, which was attributed to the increase in surface oxidation and
286 hydrophilicity (Qu et al., 2012). Further, while the attachment efficiency of $n\text{C}_{60}$ exposed to UV-A
287 for 7 d was at a maximum in NaCl concentrations of 250 mM, attachment decreased following an
288 increase in NaCl concentration to 300 mM. This indicates that stability of $n\text{C}_{60}$ containing oxygen
289 surface functional groups was attributed to the hydration force and not DLVO forces, which was
290 more significant with the 7 d UV exposed $n\text{C}_{60}$ than either the pristine or the 20 h UV due to the
291 greater hydrophilicity (Qu et al., 2012).

292 In other investigations, surface immobilisation of macromolecules, such as HAs at
293 environmentally relevant concentrations, has increased the solubility of C_{60} due to the effect of
294 steric hindrance caused by the sorbed SOM and a reduction in the hydrophobicity of the
295 nanoparticle surface, preventing re-aggregation and reducing attachment efficiency (Li et al.,
296 2009; Qu et al., 2012). However, Qu et al. (2012) found 7 d UV exposed $n\text{C}_{60}$ had negligible surface
297 sorption of either HWM or LMW HAs due to the negative surface charge and elevated surface

hydrophilicity. Hence, DOM is likely to be less significant in determining the suspension stability of irradiated nC_{60} (Qu et al., 2012). A similar relationship may occur due to the formation of oxygen containing hydroxyl- and carboxyl-groups on MWCNTs due to surface oxidation, which can promote colloidal stability and hydrophilicity of CNTs in addition to inducing alterations to surface charge (Shieh et al., 2007; Smith et al., 2009). This is illustrated by Hu et al. (2005), in which carboxylic acid groups as a result of nitric acid treatment of SWCNTs had high ζ -potentials (-28 mV) over a pH range of 2-10, indicating their moderate stability in water in contrast to pristine CNTs (Hu et al., 2005). Reduced deposition of pristine nC_{60} occurred on silica glass beads coated in HMW HA than LMW HA due to steric hindrance (Qu et al., 2012). The effect was more pronounced at lower ionic strengths due to electrostatic repulsion between charge groups resulting in a more stretched-out conformation of HA molecules (Qu et al., 2012). However, both HMW and LMW HA coated beads facilitated the deposition of 7 d UV exposed nC_{60} , with reduced sensitivity to changes in ionic strength as a result of reduced steric hindrance (due to the compact conformation of HAs at high ionic strengths (~ 60 mM)), lower surface potential and increased hydrogen bonding between the oxygen containing groups of the functionalised nC_{60} and nitrogen- and oxygen-groups on the HA (Qu et al., 2012).

Comparatively determining the relative importance of CNM functionalization and ionic strength on CNTs and nC_{60} behaviour in soils is difficult due to the myriad of different experimental configurations. To overcome this, Jaisi and Elimelech (2009) used carboxyl-functionalised SWCNTs and nC_{60} (radius of 51 nm) in natural soil columns containing 29% clay and pore sizes of 22 μ m, to determine the impact of ionic strength on particle transport and deposition. As ionic strength increased (0.03 – 100 mM), the rate of SWCNT deposition within the soil column also increased, with the observed effect more apparent with divalent cations (Ca^{2+}) than monovalent cations (K^+). Interestingly, while nC_{60} was highly sensitive to variations in ionic strength, far lower deposition rates were observed. It was proposed that the structure and shape of SWCNTs, in particular their large aspect ratio and bulky agglomerated states, in addition to soil

particle heterogeneity increased the straining effect and retention by the soil matrix (Jaisi and Elimelech, 2009). Nanomaterial structural conformation is therefore a further consideration in the relative extent to which CNMs will be dispersed and transported within the soil, with nC_{60} more likely to experience leaching than SWCNTs under a variety of solution chemistries (Jaisi and Elimelech, 2009) within negatively charged soil media.

Functionalization status is therefore a fundamental consideration to the behaviour of CNMs, resulting in distinct characteristics, which significantly modify behaviour in relation to their unfunctionalised counterparts. However, key questions as to the behaviour of CNMs within the environment remain unaddressed; for example, how does the repeated exposure of CNMs to weathering cycles within the soil influence their fate and behaviour?

4. CNM-contaminant sorption, desorption and mobility in soils

The ability of natural colloids to assist in the transport of organic contaminants has been well documented and reviewed (de Jonge et al., 2004; Sen and Khilar, 2006; Li et al., 2013). Typically, hydrophobic compounds such as PCBs and PAHs have limited environmental mobility due to strong sorption to SOM. Kan and Tomson (1990), however, demonstrated that high concentrations of colloidal materials such as DOM may enhance the transport of hydrophobic compounds such as phenanthrene and naphthalene by a factor of a thousand or greater, with possible implications for the spread of contamination and groundwater quality (de Jonge et al., 2004). Although CNMs may be tailored to suit specific requirements, their behaviour is not necessarily different to colloids naturally occurring in the environment (Colvin, 2003; Lead and Wilkinson, 2006). To determine the relevance of natural nanoparticle facilitated transport of contaminants in porous media such as soils, Kretzschmar et al. (1999) identified four key factors that will be used as a framework for this section:

- 1) Sufficiently high concentration of nanoparticles

- 2) Mobility of the nanoparticles carrying sorbed HOCs
- 3) Sorbate toxicity even when present in trace quantities
- 4) The ratio of sorption to desorption relative to the timescale of particle mobility

The sorption affinity of CNMs for common environmental contaminants such as PAHs, known to pose significant risks to both the environment and human health due to their toxic properties (Menzie et al., 1992; Shaw and Connell, 1994; Cebulska-Wasilewska et al., 2007), has been reported as over three orders of magnitude greater than that of natural soil/sediments (Yang et al., 2006b). The potential for these emerging materials to become widespread in the soil environment, particularly those with a strongly hydrophobic nature and large reactive surface area, such CNMs, raises questions and concerns about the environmental consequences of their release (Pan and Xing, 2010).

4.1 CNM contaminant sorption and desorption in soils

Understanding the adsorption and desorption of HOCs to CNMs in soils is critical to the environmental risk assessment processes, as well as determining their potential applications as environmental adsorbents (Yang et al., 2006a). As the fundamentals of CNM-HOC sorption have been extensively reviewed, the reader is referred to a review by Ren et al. (2011) for a comprehensive overview. This section addresses the manner in which soils may alter the HOC sorption/desorption properties of CNMs, focusing specifically on two conflicting effects; (i) CNM dispersal by DOM (increasing the surface area and hence the number of adsorption sites (Hyung et al., 2006; Lin and Xing, 2008)); versus (ii) the formation of CNM-DOM coatings (blocking and/or competing for adsorption sites reducing the number available for organic contaminants (Chen et al., 2008; Wang and Keller, 2009; Cui et al., 2011; Wang et al., 2011; Zhang et al., 2011c)). The relative importance of these two phenomena are poorly understood in relation to their sorption and desorption of organic contaminants (Zhang et al., 2011c; Pan and Xing, 2012), and are highly dependent on the nanoparticle properties, and the nature of SOM and the sorbate (Wang et al., 2009; Zhang et al., 2011c; Lerman et al., 2013).

375 In assessing the impact of OM on CNM sorption in the environment, further complications
376 arise as contaminants are able to sorb to both the CNM and CNM-OM coating (Wang et al.,
377 2008b). Hyung and Kim (2008) identified SOM adsorption to nanotubes was highly variable
378 depending on the type of SOM, occurring proportional to its aromatic carbon content. This has
379 implications for determining the ability of CNMs to sorb organic compounds, yet most
380 investigations fail to consider the role of different OM fractions in CNT-pollutant interactions
381 (Lerman et al., 2013).

382 Wang et al. (2008b) assessed the extent to which HAs and peptone altered the sorption of
383 phenanthrene, naphthalene or 1-naphthol on-to MWCNTs (outer diameter of 40 nm), by fitting
384 sorption data with Freundlich and Polanyi models. Their results showed that each type of DOM
385 resulted in nonlinear sorption isotherms to the MWCNTs, following the order peptone > HAs.
386 Although the inherent sorptive ability of HA for each of the contaminants was more limited than
387 that of pristine MWCNTs, HA coatings did not result in large changes to the sorption of any of the
388 contaminants, which is inconsistent with models indicating that “fouling” of sorption sites by
389 DOM will reduce contaminant sorption capacity (Carter and Weber, 1994; Wang et al., 2008b).
390 The authors proposed that either uneven coating of the MWCNT by HA occurred, or that the
391 anticipated sorption reduction as a result of polar moieties from the HA coating, was offset due to
392 the increase in O-containing moieties resulting in particle repulsion and dispersal, exposing new
393 sites available for HOC sorption. Contrastingly, peptone, due to the large quantity sorbed relative
394 to each of the other DOM fractions, resulted in the largest reduction in available sorption sites
395 (Wang et al., 2008b). Similar interactions as to the relative ability of different OM coatings to alter
396 CNM sorption have been identified by Cui et al. (2011) and Gai et al. (2011). Although direct
397 comparison of the studies is not possible due to the different particles used, Cui et al. (2011)
398 found HAs, TAs and peptone pre-interacted with SWCNTs resulting in the formation of polar
399 functional groups on the nanotube surface, reducing the area available for phenanthrene sorption
400 in the order of peptone > TAs > HAs. Similarly, Gai et al. (2011) identified a reduction in C_{60}

401 agglomerate sizes due to the dispersal effects of HA, increasing atrazine sorption due to dispersal
402 and re-arrangement, rather than interactions between the atrazine and HA (Gai et al., 2011).
403 Hence, it may be argued that the impact of DOM on CNM-HOC sorption is dependent on the type
404 of OM present and possibly also CNM type.

405 Within a soil environment, Li (2012), identified the sorption behaviour of naphthalene,
406 phenanthrene and fluorine in a sandy loam soil, silt loam soil and Ottawa sand was unaffected
407 following amendment of MWCNTs at concentrations of 2 mg g⁻¹. For each contaminant
408 investigated, sorption in both the MWCNT amended and unamended samples followed the same
409 order; silt loam > sandy loam > sand, indicating the sorptive ability was driven by the organic
410 carbon content (Li, 2012). Additionally, no difference between sorption isotherms of MWCNT
411 amended and unamended samples was apparent, indicating that MWCNTs held no influence over
412 the samples inherent sorption capacity (Li, 2012). Similarly, the order in which PAHs sorbed was
413 unaffected by the MWCNTs, occurring as anticipated according to the PAHs K_{ow} values (Li, 2012).
414 After 24 h hydroxypropyl-β-cyclodextrin (HPCD) desorption, no statistically significant differences
415 in the percentage desorbed were detected between nanotube amended and unamended
416 samples. Hence, the sorptive properties of MWCNTs in the environment may be similar to hard
417 carbon, and did not influence the sorption/desorption behaviour of PAHs (Li, 2012). When this is
418 related to Factor 4 proposed by Kretzschmar et al. (1999), in which the sorption to desorption
419 ratio over timescale of particle transport is considered, MWCNTs at 2 mg kg⁻¹ concentration may
420 not be considered significant in determining the behaviour of some PAHs in soils over the
421 duration of their experiment. It may be, however, that Factor 1 was not met, and the lack of
422 MWCNT-influence on PAH behaviour was merely a result of an insufficient quantity added to the
423 soils, although a concentration of 2 mg kg⁻¹ in environmentally relevant terms is likely to be
424 unrealistically high. Hence, while this study indicates the sorption of multiple contaminants in the
425 different soil types considered will not present an environmental concern in terms of pollutant

426 mobilisation at 2 mg kg⁻¹, it was not possible to consider the desorption of contaminants if
427 transport were to occur.

428 An excellent study by Towell et al. (2011), assessed the extent to which HPCD extraction of
429 HOCs with different physicochemical properties desorbed from soils amended with CNMs at
430 concentrations between 0.05% and 0.5% (substantially larger than that employed by Li (2012)). At
431 concentrations $\geq 0.05\%$, Towell et al. (2011) identified significantly less ¹⁴C-B[a]P extracted from
432 CNM amended soils than ¹⁴C-phenanthrene due to the high hydrophobicity and log K_{ow} value
433 reducing the ability of ¹⁴C-B[a]P to partition into the aqueous phase. This was exacerbated by the
434 relatively HMW of ¹⁴C-B[a]P, which has been proven as a critical factor determining the bonding
435 energy between SWCNTs and PAHs (Debnath et al., 2008; Towell et al., 2011). In relation to Factor
436 4, the nature of the sorbate may therefore influence the extent of desorption, and therefore the
437 duration for which contaminants will remain sorbed. It may be proposed that CNM sorbed HMW
438 HOCs represent a greater risk of increased distance of transportation within the environment than
439 LMW HOCs.

440 While sorption of HOCs to CNMs in soils can occur, the extent of sorption and desorption is
441 dependent on the type of OM and concentration of CNMs. With a view to the manner in which
442 the properties outlined above potentially facilitate transportation of contaminants sorbed to
443 CNMs in soils, studies in which mobility has been directly investigated will also be discussed.

444 4.2 CNM-HOC mobility

445 Once sorbed to freely suspended CNMs within the soil matrix, the mobility of HOCs is
446 potentially increased; however, very few studies have focused on determining the impact of
447 CNMs on contaminant movement in soils. An overview of the basic principal of CNM facilitated
448 HOC transport is presented in Figure 1. Using column leach tests, Li (2012) examined the
449 behaviour of phenanthrene, fluorine, naphthalene and pyrene in a saturated sandy loam soil
450 amended with MWCNTs, functionalised MWCNTs (f-MWCNTs) and functionalised SWCNTs (f-

SWCNTs) at a concentration of 5 mg kg^{-1} . Significant retention of PAHs within the soil column was observed, due to the strong sorption of contaminants by CNTs and their limited mobility within the soil column (Li, 2012). In control soils and those amended with MWCNTs and f-MWCNTs, retention of PAHs occurred in the order naphthalene < fluorine < phenanthrene < pyrene, with hydrophobic interactions between the CNTs and PAHs cited as the predominant cause of the observed pattern (Li, 2012). Contrastingly, retention of contaminants within soils amended with f-SWCNTs occurred in the order of naphthalene > fluorine > phenanthrene > pyrene, the sorption of which could not be accounted for by hydrophobic forces alone (Li, 2012). The trend was negatively correlated to molecular size, indicating that larger sorbate molecules may have less space for sorption due to the additional hydrophilic functional group (Yang et al., 2006b; Li, 2012). It was concluded that leaching behaviours were determined by physical characteristics of both CNTs and contaminants (Yang et al., 2006b; Li, 2012).

To determine the extent to which CNMs facilitated the movement of contaminants relative to various types of DOM, Zhang et al. (2011b) used saturated, sandy soil columns contaminated with either PCBs or phenanthrene to comparatively assess the mobilising ability of $n\text{C}_{60}$ at $1.55 - 12.8 \text{ mg l}^{-1}$ relative to DOM at $10-11 \text{ mg l}^{-1}$. In this experiment, PCB ($12.4 - 13.9 \text{ } \mu\text{g l}^{-1}$) or phenanthrene ($14.8 \text{ } \mu\text{g l}^{-1}$) was added to a sonicated suspension of $n\text{C}_{60}$ ($1.55 - 12.8 \text{ mg l}^{-1}$ in electrolyte solution of 0.5 mM NaCl), and tumbled end-over-end (3 rpm) for 7 d to reach adsorption equilibrium. The suspension was then added to the columns. The results showed that even the lowest concentration of $n\text{C}_{60}$ significantly enhanced the dispersal of both PCB, and phenanthrene; whereas, columns containing only various types of DOM had no effect on contaminant transport (Zhang et al., 2011b). The enhanced contaminant mobilisation ability of $n\text{C}_{60}$ relative to naturally occurring DOM was attributed to its unique porous structure and surface enthalpies of interaction, which generate a large sorption affinity together with an irreversibly or slowly desorbable fraction of adsorbed phenanthrene/PCBs (Hofmann and von der Kammer, 2009;

476 Zhang et al., 2011b; Wang et al., 2012a). CNMs may therefore be much more efficient at
477 enhancing the mobility of contaminants than natural colloidal materials.

478 Different processes of nC_{60} formation have also been identified as contributing to large
479 differences in their ability to alter the fate and transport of contaminants (Wang et al., 2012b).
480 Wang et al. (2012b) assessed nC_{60} samples prepared using either the standard solvent exchange
481 method, eight different types of SOM or surfactant modifications, or by the phase-transferring of
482 nC_{60} from a solution of toluene to either SOM or a surfactant (Wang et al., 2012b). Their results
483 indicated that while the mobility of nC_{60} was similar between each of the preparation methods,
484 the contaminant mobilising capability significantly differed. Relative to the unmodified nC_{60} ,
485 transport of PCBs through a saturated column of sandy soil increased by 42.2 – 227% with
486 surfactant modified nC_{60} , and by 233-370% with SOM-modified samples (Wang et al., 2012b). The
487 results were attributed to both increased adsorption affinities together with enhanced resistance
488 to desorption due to alterations to nC_{60} aggregation properties as a result of the SOM surfactant
489 (Wang et al., 2012b). During the process of aggregate formation, it is possible that a fraction of
490 SOM or another surfactant was intercalated within the C_{60} aggregates, significantly influencing the
491 porosity and geometry of the resulting nC_{60} aggregates, contributing to the enhanced desorption
492 resistance of PCBs (Wang et al., 2012b). With differing types of SOM and surfactants, variations in
493 the quantity and geometry of pores will occur, with the possibility that nC_{60} could be tailored to
494 specific physicochemical properties for use in *in situ* site remediation (Wang et al., 2012b). Hence,
495 the adsorption, desorption and transport of contaminants by nC_{60} will vary greatly depending on
496 the condition of its formation (Wang et al., 2012a).

497 Using a different approach, Hofmann and von der Kammer (2009) computer modelled the
498 extent to which CNMs could result in the movement of HOCs in soils under various scenario-based
499 conditions, to determine when relevant CNM transport of sorbed HOCs might occur. Worst-case
500 scenarios were adopted, assuming fully mobile CNMs within the porous medium, over a range of
501 realistic yet high CNM concentrations ($100 \text{ mg L}^{-1} - 1 \text{ g}^{-1}$) occurring in aggregate sizes of 10 – 100

mm. It was also assumed that CNMs were pre-equilibrated with the HOC at source and that diffusion was the rate-limiting step for desorption (Hofmann and von der Kammer, 2009). From this, it was possible to estimate the fraction of contaminants bound to CNMs at different distances from the source over different time periods (days to years) using the Streamtube Model for Advective and Reactive Transport (SMART) (Finkel et al., 1998), combined with the application of retarded pore diffusion approximations (Bold et al., 2003) and combinations of two first-order rate expressions (Cornelissen et al., 1997). The results showed that for aggregates of 100 nm, 2, 7, 40, 75, 82% of bound contaminants were transported > 1 m at nanoparticle concentrations of 0.1, 1, 10, 100, 1000 mg l⁻¹, respectively. Conversely, modelled transportation of contaminants sorbed to aggregates of 10 nm in size were reduced to 0.1, 0.5, 3.6, 8% for the same respective concentrations. Breakthrough of the 1 m modelled column did not occur in any of the considered scenarios and all contaminants remained bound to the nanoparticle.

Parameterisation of the ratio of desorption to sorption and particle transportation is achieved by the Damköhler number (Da) (Equation 1) (Jennings and Kirkner, 1984), and was employed to simulate the HOC desorption from CNMs.

Equation 1

$$Da_{NP} = \lambda s$$

Where Da_{NP} = Damköhler number for the NP, λ = rate constants (first order) for the reaction in s⁻¹, s = average residence time within the system, which may also be expressed as average flow velocity (Hofmann and von der Kammer, 2009). A ratio of 0 identifies equilibrium between particle-contaminant interactions, whereas 1 indicates a decoupled transport (i.e. the HOC in solution will be transported independent of contaminants sorbed to the CNM) and < 0.01 indicates fully decoupled transport. In these instances, HOCs sorbed to CNMs will not desorb over the transportation time frame, rather relocate within the media (Hofmann and von der Kammer, 2009). At Da numbers > 100, an equilibrium exists between the immobile porous media and

mobile CNM (Kretzschmar et al., 1999; Bold et al., 2003), resulting in limited nanoparticle relocation of the contaminant (Hofmann and von der Kammer, 2009).

Hofmann and von der Kammer (2009) calculated Damköhler numbers for CNM aggregates of different sizes and partitioning coefficients according to the rate constant data shown in Figure 2, and based on different flow velocities of 1 m in 50 d (fast flow) – 1 m in 10 y (slow movement). It was inferred that the CNM-contaminant transport mechanisms are strongly dependent on the size of CNM agglomerates together with the distribution coefficients ($\log K_d$) (Hofmann and von der Kammer, 2009). For example, Figure 2 shows contaminants sorbed to 1 mm aggregates at a flow velocity of 1 m 50 d⁻¹ will not experience contaminant desorption until the $\log K_d$ of HOC-CNMs is 8 m³ kg⁻¹, reaching equilibrium at $\log K_d$ 1 m³ kg⁻¹ (Hofmann and von der Kammer, 2009). However, at a flow velocity of 1 m y⁻¹, decoupled transport will predominate for 1 mm aggregates at a $\log K_d$ of 9 m³ kg⁻¹ reaching equilibrium at $\log K_d$ of 2 m³ kg⁻¹ (Hofmann and von der Kammer, 2009). It was concluded that under equilibrium sorption/desorption conditions, CNM mobility resulted in negligible transport of sorbed contaminants (Hofmann and von der Kammer, 2009). However, the mobility and concentration of CNMs becomes increasingly important in instances with slow to very slow desorption (Hofmann and von der Kammer, 2009). While there are many assumptions and simplifications associated with every modelling technique, the model identifies scenarios in which transport and desorption of sorbed contaminant could potentially occur, possibly providing useful guidelines for risk-assessment if applied on a case by case basis. However, further work aimed specifically at validating the model against traditional column leach tests in both V-C and P-C soils and additional desorption kinetics are urgent prerequisites.

From the above discussion, it can be concluded that each of the four factors identified by Kretzschmar et al. (1999) for significant transport of contaminants by CNMs have been met. However, more work examining the subsurface transport of CNMs through well-defined soils of various types (such as clays, peats and silts) and CNMs with a variety of functional groups, sizes and sorbed compounds in both saturated and unsaturated conditions are required (Jaisi and

553 Elimelech, 2009; Petersen et al., 2011). Of studies that are available, variation in experimental
554 conditions between the investigations renders comparisons of the efficiency of contaminant
555 mobility between CNM types tentative until standardised comparative testing is conducted.
556 Additionally, the molecular weights and sizes of CNMs may not be constant during their transport
557 within the soil environment, due to their physical, chemical or biological interaction with soil
558 components, which will likely influence their aggregation status, shape, surface charge (Pan and
559 Xing, 2012), and possibly also their ability to sorb and mobilise contaminants over long timescales.
560 Furthermore, definitive data of the desorption kinetics of HOCs from CNMs in soils are essential to
561 understanding their ability to transport contaminants (Ibaraki and Sudicky, 1995; Choi and Yavuz
562 Corapcioglu, 1997; Corapcioglu et al., 1999; Bold et al., 2003; Hofmann and von der Kammer,
563 2009), with slow desorption identified as a critical requirement (Roy and Dzombak, 1998). The
564 lack of experimentally derived desorption kinetic data from a range of soil types and conditions
565 makes determining the extent to which HOC sorption is strong enough, and desorption slow
566 enough, to allow CNMs to transport sorbed HOCs, and the associated implications of transport,
567 difficult to predict (Qu et al., 2012).

568

569 5. CNM – microorganism interactions

570 As soils represent one of the ultimate sinks for nanomaterials (Nowack and Bucheli, 2007),
571 terrestrial microorganisms, which ~~interact directly with~~ are a large component of soils, may be
572 significantly affected (Navarro et al., 2008). ~~The reader is directed to an excellent review by~~
573 Holden et al. (2014), which evaluates the possible exposure concentrations of anthropogenic
574 nanomaterials in a range of environmental compartments, and assesses their relevance. However,
575 understanding the impact of CNMs on the soil microbial community is a subject still in its infancy
576 (Dinesh et al., 2012). ~~If CNMs within soils are bioaccessible to~~ The extent to which CNMs interact
577 with microflora, will (in part) determine the possibility of extent of possible disruptions to bio-
578 geochemical processes within soils ~~that they may cause may be increased~~ (Neal, 2008). This

section discusses recent literature related to the modification of CNM fate and behaviour by microbiota, the toxicity of CNMs in soils, ~~modification of CNM fate and behaviour by microbiota~~, and the possible implications for the biodegradation of contaminants.

5.1.2 Biological modification of CNMs in soils

The influence of microbial populations on the physical and chemical state of nanoparticles must ~~also~~ be considered when discussing the ultimate fate of nanomaterials (Aruguete and Hochella, 2010). Degradation of C_{60} in aqueous solutions through photochemical processes have been identified by numerous investigations (Section 3.3) (Hou and Jafvert, 2008; Lee et al., 2009; Li et al., 2009), which may be an important step in both its breakdown and the activation of precursors for subsequent biological interactions (Turco et al., 2011). ~~A reduction in the size of nC_{60} aggregates and alterations to surface chemistry and solubility have been observed following exposure to light (Hou and Jafvert, 2009; Lee et al., 2009). Lee et al. (2009) This suggests that release of C_{60} into soils could possibly result in the formation of photochemical products and changes to C_{60} molecular structure (Turco et al., 2011).~~ While C_{60} photochemical reactions at the soil surface have not been studied, its oxidation and transformation to the more reactive fullerenol (i.e. $C_{60}-OH$) has been observed in water and in the presence of oxygen (Turco et al., 2011). Following the abiotic photochemical modification of C_{60} through sunlight into fullerols (~~i.e. $C_{60}-OH$~~), white-rot fungi was able to attack and subsequently incorporate a small amount of fullerol carbon into fungal biomass (lipids) after 32 weeks of decay (Schreiner et al., 2009). By contrast, unmodified C_{60} was recalcitrant to such attack (Schreiner et al., 2009); hence, following minor surface alterations, biological interactions with C_{60} were substantially altered, changing the fate of the particle. Similarly, the potential for horseradish peroxidase to biodegrade CNTs is strongly related to the presence of carboxyl groups on the nanotubes surface, which permitted enzyme mediated oxidation relative to pristine CNTs (Allen et al., 2008; Allen et al., 2009). Furthermore, Fenton's reagents oxidised carboxyl-functionalised SWCNTs (SWCNT-COOH) through the formation of hydroxyl radicals (Allen et al., 2008; Allen et al., 2009). It has therefore been

605 suggested that both white and brown rot mediated fungal activity could modify surface
606 functionalised CNTs in a similar manner to fullerols (Turco et al., 2011).

607 Ultimately, Turco et al. (2011) suggested that the fate of C₆₀ in soil is potentially controlled by
608 the rate of abiotic alterations to the formation of more reactive precursors, as opposed to a
609 simple dose response, and the toxicity of UV-modified CNMs in soils has not yet been
610 investigated. If degradation of CNMs by fungi were to occur on a large scale in the natural
611 environment, their potential environmental risk would be significantly reduced; however, it is
612 unlikely to occur in sufficiently large quantities to efficiently reduce any possible burden of CNM
613 presence in soils.

614 | 5.2.4 CNM toxicity ~~to in-soils~~ microorganisms

615 The toxicity of CNMs is dependent upon the bioaccessibility of nanoparticles to bacteria, and
616 retention of some the nanoparticles reactivity (Neal, 2008). Currently, little literature is available
617 related to the toxicity of CNMs within soils (Dinesh et al., 2012). Hence, the discussion presented
618 here provides a theoretical estimation of the specific microbial communities that may be more
619 vulnerable to soil-borne CNMs, followed by an overview of recent CNM-amended soil toxicity
620 findings published within the literature.

621 Soil conditions will ultimately dictate the extent to which CNMs are able to interact with
622 terrestrial microflora. Based on the discussion earlier relating to the fate and behaviour of CNMs
623 in soils, in addition to information regarding cell properties (Mehmannavaz et al., 2001), it may be
624 possible to tentatively speculate as to the bioavailability or bioaccessibility of CNMs to different
625 microbial populations. When assessing nanotoxicity, consideration must be given to both the
626 likelihood of a nanoparticle coming into contact with microbial cells together with the initial
627 concentration added to soils, to provide an accurate means of estimating the particle availability
628 (Dinesh et al., 2012). ~~It can be considered that a~~ strong interplay exists between the dispersal
629 status of nanoparticles and their bioaccessibility to specific soil microbial populations (Turco et al.,

2011). As bacteria frequently adhere to surfaces in the soil environment, attached cells within biofilms constitute a large proportion of the bacterial community in the subsurface environment (Neal, 2008). Neal (2008) therefore proposed that the study of nanotoxicity towards biofilm communities is a more appropriate measure of toxicity in environmental systems than planktonic cells. However, it is conceivable that given appropriate DLVO and non-DLVO forces between CNMs, microorganisms and the soil matrix, CNMs could also become available to planktonic cells. One example of which may be that CNM-SOM coatings could result in easier access to the cell surface relative to uncoated particles due to the similarities in solubility between the cell membrane and surfactant; however, the coating itself may attenuate the toxicity due to a lack of physical contact between the CNM and a microbial cell (Lubick, 2008). Further work into the conditions under which CNMs will be available to different microbial communities in soils is needed.

The extent to which soils with different properties determine the toxicity of some CNMs was directly investigated by Chung et al. (2011). The impact of MWCNTs at 50, 500 and 5000 μg^{-1} soil on the activity of soil microorganisms in a sandy loam (pH 6.98, OC content 17.69 g kg^{-1} , CEC 13.51 ± 0.78) and loamy sand (pH 5.21, OC content 8.33 g kg^{-1} , CEC 9.05 ± 0.10) was considered. Based on an analysis of the activity of enzymes involved with cycling carbon (1,4- β -glucosidase, cellobiohydrolase, xylosidase), nitrogen (1,4- β -acetylglucosaminidase) and phosphatase together with lower microbial biomass-nitrogen and carbon in soil, their results indicated that MWCNTs exhibited antimicrobial properties within both soil types (Chung et al., 2011). As these findings are consistent with culture studies outside of the soil environment, in which reduced microbial activity was a result of membrane damage, physical piercing and oxidative stress (Kang et al., 2007; Simon-Deckers et al., 2009), the authors assumed ~~similar that these~~ antimicrobial mechanisms of action may be responsible, with their impact not attenuated by the different soil properties investigated. ~~However, there is a strong argument against basing assumptions of~~

nanotoxicity mechanisms occurring in soils on those known to occur in culture studies, due to typically large variations in test conditions.

Other investigations of nanotoxicity within soil using nC_{60} have found more limited toxicity effects. For example, Johansen et al. (2008) found microbial respiration and biomass to be unaffected by pristine C_{60} agglomerates (50 nm – 50 μ m-size) applied at concentrations of 0, 5, 25 and 50 mg kg⁻¹ to dry, clay loam textured soil containing 2.5% OM and with a pH of 6.7. However, polymerase chain reaction-denaturing gradient gel electrophoresis (PCR-DGGE) measurements of the diversity and number of bacteria over a 14 d period showed that a three to four fold reduction in rapidly growing bacteria occurred immediately following the addition of C_{60} (Johansen et al., 2008). The authors proposed the results may have been observed as a direct consequence of reactive oxygen species (ROS) formed by the C_{60} , which disrupted DNA and lipids within membranes (Johansen et al., 2008). However, confirmation of ROS damage could not be acquired due to the complexity of the soil environment (Dinesh et al., 2012), and a recent publication by Chae et al. (2012) casts some doubt on the extent to which ROS are generated in the presence of SOM. It may therefore be considered more likely that the observed alterations to the diversity and number of bacteria may be an indirect result of a reduction in nutrient bioavailability due to adsorption by C_{60} (Johansen et al., 2008).

In a similar investigation, Tong et al. (2007) assessed the role aggregation status plays in determining nanotoxicity within soils. The impact of either nC_{60} at 1 μ g C_{60} g⁻¹ soil, or 1000 μ g C_{60} g⁻¹ soil in granular form on the function and structure of soil microbial community was assessed (Tong et al., 2007). The silty clay loam soil (pH of 6.9, OM content 4%) was incubated with each of the nanoparticle treatments for 180 d (Tong et al., 2007). Both C_{60} and nC_{60} resulted in limited alteration to either the function or structure of microbial processes or communities (Tong et al., 2007). These findings are similar to those of Johansen et al. (2008), and consistent with other investigations in which the bioavailability and antibacterial activity of nC_{60} reportedly diminished

following sorption to soil, with the overall sorption capacity dictated by the soil OM content (Li et al., 2008).

Despite differences in experimental setups between the studies by Johansen et al. (2008) and Tong et al. (2007), from the data presented, it is not possible to rule out the bioaccessibility and toxicity of C₆₀ to a proportion of microbiota within soils. Although alterations to microbial respiration as a result of fullerene addition to soil were not observed in either study, functional substitution of specific impaired microorganisms may have occurred, masking any apparent variation (Ekelund et al., 2003; Johansen et al., 2008). The studies presented here provide credible insight into the possible toxicity of CNMs within the environment; however, insufficient data comparatively analysing the impact of all CNMs on microbial populations within a range of well-defined soil types is a major obstacle in determining their potential environmental impact. Experimental work aimed at addressing the real world implications for particle toxicity to different microbial communities, systematically testing the factors determining the behaviour and fate of CNMs in soils highlighted previously, is required before firm conclusions can be drawn regarding the impact of CNMs on soil microbial activity and structure. Specifically, the implications of abiotic alterations and methods of CNM preparation on the bioaccessibility and toxicity to soil microbiota have received little investigation.

5.3 The bioavailability and bioaccessibility of CNM associated contaminants

Sorption of contaminants is a fundamental mechanism in the regulation of organic compound bioavailability (Lou et al., 2011). Given their strong sorptive capability, the addition of CNMs to soil may result in the sequestration of organic contaminants, reducing their extractability and bioaccessibility, operating in a similar manner to hard or black carbon (Chen et al., 2007). However, the extent to which the processes identified in Section 4.1 impact upon the bioaccessibility of contaminants and biodegradation have not received much research within soils.

704 The conditions under which CNMs enter the soil are also critical to determining their impact
 705 upon contaminant bioaccessibility. Zhou et al. (2013) incubated ^{14}C -2,4-dichlorophenol (^{14}C -2, 4-
 706 DCP) in a soil (sandy loam, pH 6.31, 2.5% OC, 46.7% clay, 37.9% silt, 15.4% sand) containing either
 707 0, 2, 20 or 2000 mg kg^{-1} SWCNTs or MWCNTs to determine the impact of carbon nanomaterials on
 708 the mineralisation, degradation and distribution of ^{14}C -2-4-DCP in the soil. The impact of the order
 709 in which the nanomaterials were added to the soil was also assessed, with nanomaterials added
 710 either after spiking with ^{14}C -2-4-DCP, simulating disturbance of CNTs on pre-existing
 711 contamination in soils, or CNMs as a mixture with ^{14}C -2, 4-DCP, simulating HOC degradation when
 712 carried or accumulated (concentrated) by CNMs within the environment from other sources. The
 713 CNMs were homogenised at using ultrasonication at 90 w, 20 Hz for 5 min in deionised water,
 714 followed by shaking at 100 rpm for 1 day prior to use. The results showed that CNTs added at
 715 concentrations $\leq 20 \text{ mg kg}^{-1}$ to soil after spiking with ^{14}C -2, 4-DCP resulted in no significant effects
 716 on the time course of mineralisation, indicating that the activity of microorganisms was not
 717 significantly influenced, nor did the desorption from CNTs reduce ^{14}C -2, 4-DCP bioavailability in
 718 soil (Zhou et al., 2013). ~~However, f~~ Following the addition of CNTs at 2000 mg kg^{-1} added after ^{14}C -
 719 2, 4-DCP spiking, mineralisation of ^{14}C -2, 4-DCP was significantly ($P < 0.05$) inhibited, which was
 720 attributed to a reduction in the aqueous phase concentration of ^{14}C -2, 4-DCP in soil solution by
 721 1/5 and 1/12 for SWCNTs and MWCNTs, respectively (Zhou et al., 2013). However, significant
 722 inhibitory effects on the degradation of ^{14}C -2, 4-DCP when pre-sorbed to CNTs occurred at a CNT
 723 concentration of 20 mg kg^{-1} .
 724 These results show that CNT interactions with contaminants within the soil environment ~~due~~
 725 ~~to aggregation therefore~~ reduced the number of available sorption sites, ~~as well as with their~~
 726 sorptive ability further reduced by CNM aggregate aggregation and interaction with soil
 727 components such as humic substances, DOM, peptone and TA, which potentially coat CNTs
 728 modifying surface polarity, reducing surface area and hence reducing HOC sorption capacity as
 729 discussed in Section 4.1 (Wang et al., 2008b; Cui et al., 2011; Zhou et al., 2013). As the adsorption

of ^{14}C -2, 4-DCP to CNTs was reversible, the bioaccessibility of 2,4-DCP was not reduced; however, it may be possible that the indigenous microorganisms were not able to mineralise desorbed ^{14}C -2, 4-DCP at the same rate of desorption due to the possible toxicity effects of CNTs on microbial activity (Zhou et al., 2013). CNTs are therefore potentially able to increase the persistence of organic pollutants within soil through reducing biodegradation, with greater effects observed for pre-adsorbed contaminants (Zhou et al., 2013). However, it is possible to speculate about the environmental relevance of the investigation, as a concentration of 2000 mg kg^{-1} is likely several orders of magnitude higher than could realistically be assumed to exist (outside of localised 'hot-spots') within the environment (Zhou et al., 2013).

Similar results were obtained by Cui et al. (2011). Sediments (20 g) were first amended with either biochar (100 mg), charcoal (20 mg) or SWCNTs (20 mg), then spiked with phenanthrene (0.50 mg kg^{-1}). The mineralisation of phenanthrene, who assessed the bioavailability of phenanthrene to microorganisms by *Mycobacterium vanbaalenii* PYR1 in sediments amended with either SWCNTs, biochar or charcoal, with mineralisation was inhibited by 40.3 ± 1.5 , 40.5 ± 2.6 and $29.5 \pm 3.5\%$ for biochar, charcoal or SWCNTs, respectively. to the greatest extent by SWCNTs. It was proposed that the larger surface area and pore volume of SWCNTs relative to the other sorbents was responsible for the observed results reduced phenanthrene mineralisation. However, following the coating of SWCNTs with either HAs, TAs or peptone, a reduction in phenanthrene sorption occurred due to reduced pore volumes and surface area, ultimately also reducing the extent to which sorption to SWCNTs reduced mineralisation (Cui et al., 2011).

In addition to the impact of soil types on the impact of CNMs on organic contaminant sorption, properties of the organic chemicals within soils are also influential in dictating their interaction with different types of CNMs. Towell et al. (2011) assessed the impact of fullerene soot (FS), SWCNTs and MWCNTs at 0, 0.05, 0.1 and 0.5% concentrations, on the HPCD extractability (proven as an indicator of PAH bioaccessibility to soil micro flora (Reid et al., 2000; Doick et al., 2005; Stokes et al., 2005; Rhodes et al., 2008b) and mineralisation of ^{14}C -

phenanthrene, and HPCD extractability of ^{14}C -benzo[a]pyrene (^{14}C -B[a]P) in soils over an 80 d period. Soils were first amended with CNMs, and then spiked with the contaminant. At concentrations $\geq 0.05\%$ CNMs, ^{14}C -phenanthrene mineralisation was significantly inhibited, suggesting enhanced PAH sorption reduced the aqueous substrate available for microbial mineralisation (Towell et al., 2011). Differences were also apparent between CNM types, with SWCNTs generally resulting in greater mineralisation inhibition in relation to MWCNTs and FS (Towell et al., 2011). However, at a concentration of 0.5% CNMs, ^{14}C -phenanthrene was mineralised to a greater extent with SWCNT amendments than FS. This disparity was attributed to variation in rates of phenanthrene desorption from the solid to aqueous phase, as desorption hysteresis occurs more commonly with fullerenes than CNTs due to differences in aggregate structure and availability of sorption sites (Cheng et al., 2005; Yang and Xing, 2007; Towell et al., 2011). The HPCD extractability of ^{14}C -phenanthrene was significantly reduced as a result of CNM amendment in a concentration dependant manner due to increased numbers of sorption sites resulting in enhanced phenanthrene sorption (Towell et al., 2011). However, while the HPCD extractability of ^{14}C -B[a]P reduced with increasing concentrations of SWCNTs and MWCNTs, no significant concentration dependant differences were observed with FS (Towell et al., 2011). The ability of CNMs to sorb and hence modify the bioaccessibility of HOCs is therefore dependent on the differences in physicochemical properties of the PAH in relation to the properties of the CNM. However, the study did not consider possible differences in the toxicity CNMs between particle types when discussing variations in mineralisation trends.

When considering the fraction of contaminants sorbed to CNMs within these investigations, and the resulting reduced bioavailability, two schools of thought may be adopted; (i) over time the non-degradable, bound fraction may innocuously degrade (Gevao et al., 2000a), or (ii) the bound fraction is potentially re-mobilised over long time scales with potential environmental implications (Gevao et al., 2000b). This draws on the discussion by Semple et al. (2013), in which the significance of distinguishing between bioavailability and bioaccessibility is significant,

782 particularly when dealing with environmental ‘super sorbents’ such as CNMs with reference to
783 remediation of contaminated land and risk assessment. Semple et al. (2004) defined
784 bioavailability as ‘that which is freely available to cross an organism’s cellular membrane from the
785 medium the organism inhabits at a given time’, and is considered as a rate of substrate delivery to
786 cells. While bioaccessibility encompasses this fraction, it additionally extends to those which are
787 potentially available over time, but are currently chemically or physically removed from the
788 microorganism (Semple et al., 2004). In other words, it provides a definition of the total extent of
789 substrate that will be available to cells. Arguably, bioaccessibility is of relatively greater
790 importance when considering the fate and behaviour of CNM sorbed contaminants, due to the
791 larger temporal range and lack of implied immediacy. However, under some environmental
792 conditions, microbial colonisation of CNM agglomerates can occur, with potential implications for
793 the bioaccessibility of the bound contaminant fraction.

794 *5.4 Microbial sorption and biofilm formation*

795 While the toxicity of CNMs in soil is dependent on their bioaccessibility in addition to
796 retention of reactivity, if agglomerates of CNMs are present with a reduced cytotoxic nature, it is
797 conceivable that interstitial gaps in the agglomerate with mesopore dimensions will result in their
798 increased suitability for the sorption of microorganisms (Agnihotri et al., 2005; Upadhyayula and
799 Gadhamshetty, 2010). When this is related to the previous discussion of CNM contaminant
800 sorption and the implications for biodegradation, it is possible to re-consider the lack of
801 bioaccessibility of CNM sorbed contaminants reported in some studies, and consider their
802 potential to increase contaminant bioaccessibility in certain situations. Properties of particular
803 importance when considering CNMs for such applications include: (i) structures with high
804 porosities readily colonisable by microorganisms; (ii) potential ability to encourage biofilm
805 formation through offering a buffering capacity and (iii) the ability to adsorb high concentrations
806 of contaminants from bulk solution yet regulate the microbial biodegradation through desorption
807 (Abu-Salah et al., 1996).

808 Biofilms are groups of well-organised, adjoining cells encapsulated within a matrix of
809 insoluble, extracellular polymeric substances (EPS) (Morikawa, 2006). EPS encapsulation supports
810 cell substance and growth through the trapping, binding and dissemination of external nutrients
811 by charged polysaccharide groups (Cheng et al., 2007), and offers greater protection against
812 external stresses within the environment relative to those residing in a planktonic state (Pang et
813 al., 2005). Materials that allow a high degree of bacterial colonisation and possibly biofilm
814 formation are potentially suited to facilitating biodegradation (Upadhyayula and Gadhamshetty,
815 2010), which is typically most effective when microorganisms are in biofilm state relative to
816 planktonic, due to greater bioavailability, protection and adaptability to toxic conditions and
817 hence more rapid pollutant degradation (Singh and Cameotra, 2004; Singh et al., 2006).
818 Furthermore, bacterial colonisation may stabilise nanoparticle aggregates, as polysaccharides
819 such as those generated by bacteria, have been observed to significantly increase the aggregation
820 of C₆₀ fullerene, reducing particle mobility within the environment (Espinasse et al., 2007).

821 Upadhyayula and Gadhamshetty (2010) conducted hypothetical calculations to determine
822 the quantity of cells that an agglomerate of CNTs could potentially sorb. The dimensions of a
823 typical bacterium such as *Shewanella oneidensis* (*S. oneidensis*) are 2 µm in height with a radius of
824 0.5 µm, resulting in a surface area of 7.85 x10⁻¹² m². Assuming that 10% of the surface area of 0.1g
825 CNTs added to media was available for bacterial sorption, the CNTs would be able to sorb 3.18
826 E+13 *S. oneidensis* cells (Upadhyayula and Gadhamshetty, 2010). Furthermore, Upadhyayula et al.
827 (2009) confirmed that the adsorptive capacity of nanotubes for the bacterial strain *Bacillus subtilis*
828 to be 37 times greater than the capacity of activated carbon; however, this may vary depending
829 upon pore volumes and surface area, which are key determinants of immobilisation capacity
830 (Upadhyayula and Gadhamshetty, 2010). Given these parameters, it is conceivable that biofilms
831 could develop on CNM aggregates given sufficient pore volumes and diminished CNM reactivity.

832 When the potential for biofilm development on CNMs is considered in relation to their HOC
833 sorptive ability and aggregation within soils, it has been suggested that CNMs may be useful for

834 enhancing biodegradation of organic pollutants that cannot be easily concentrated. With CNM
835 aggregates behaving as an organic chemical collector and accumulator, biofilm development on
836 CNMs potentially increases the bioavailability/bioaccessibility of the contaminant (Yang et al.,
837 2006b). Given adequate reversibility of organic compound adsorption and limited desorption
838 hysteresis, sorption of bacterial cells to the surface of CNM aggregates may shorten the diffusion
839 distance, facilitating the utilisation of the sorbed organic compound by the bacteria. This is well
840 illustrated by Yan et al. (2004), who studied the removal efficiency of microcystins (MCs) toxins
841 from solution by *Ralstonia solanacearum* bacteria (Gram-negative cells which are able to readily
842 coalesce on fibrous material) immobilised as a biofilm on a nontoxic form of CNTs. Their results
843 showed that the removal efficiencies of MCs were 20% greater by CNT biological composites than
844 either CNTs or bacteria alone (Yan et al., 2004). The findings were explained through absorption
845 of large amounts of MCs and *R. solanacearum* by CNTs, ~~even when the concentration of MCs was~~
846 ~~highly diluted in water~~, resulting in a concerted biodegradation reaction (Yan et al., 2004). In a
847 similar investigation, Kanepalli and Donna (2006) used CNT-bacteria nanocomposites to assess the
848 bioremediation of highly persistent trichloroethylene (TCE) in ground-water. The study revealed
849 that TCE instantly sorbed to bacteria-nanocomposites, which was later released to bacteria that
850 were immobilised on the surface and metabolised.

851 Xia et al. (2013) studied the bioavailability and desorption (Tenax TA) of ¹⁴C phenanthrene
852 aged over 60 d with four different MWCNTs with varying surface areas in aqueous solution.
853 MWCNTs significantly ($P < 0.05$) reduced the mineralisation of phenanthrene in accordance with
854 their properties, with particles possessing larger specific surface areas together with large meso-
855 and micro-pore volumes resulting in the lowest mineralisation efficiencies. Bacteria were also
856 observed to colonise the surface of MWCNT aggregates, proportional to the quantity of
857 phenanthrene desorbed through Tenax TA extractions (Xia et al., 2013). Although slight changes
858 to the physical appearance of the bacteria were observed when sorbed to MWCNT aggregates,
859 potentially indicating a toxicity effect, the ability of the cells to metabolise phenanthrene sorbed

860 to low surface area particles may not have been significantly reduced (Xia et al., 2013). However,
861 the lack of a control sample in which the metabolism of cells under conditions devoid of CNMs
862 was assessed, limited the ability of the paper to determine the overall impact of MWCNT
863 aggregates on phenanthrene mineralisation.

864 Very little information is available on how CNMs act within soil matrices, especially in relation
865 to their adsorption to organic fractions, organic pollutants and their subsequent toxicity (Dinesh
866 et al., 2012). With an angelus sorbents such as black carbon (BC), elevated mineralisation of a
867 phenanthrene substrate has been observed as a direct result of BC addition to soil, which was
868 tentatively attributed to microbial sorption and utilisation of phenanthrene from the sorbed
869 phase (Rhodes et al., 2008a; Rhodes et al., 2012). Only one study has identified an increase in
870 contaminant mineralisation in soils following the addition of CNMs. Xia et al. (2010) studied
871 phenanthrene biodegradation and desorption characteristics (using XAD-2) in 21-40 day aged
872 MWCNT-amended soils relative to soils amended with wood char and black carbon. Following
873 each ageing interval, *Agrobacterium* (the degrading inoculum) was added to the soil, and the
874 contaminant degradation efficiency measured. After 28 and 40 days ageing, the degradation
875 efficiency in MWCNT-amended soils was 54.2% and 24.6%, respectively; wood char amended
876 73.5% and 25.1%, respectively and black carbon amended 83.8% and 38.3%, respectively. Thus a
877 reduction in bioavailability of contaminants sorbed to each of the sorbents with increasing soil
878 contact time is observed (Xia et al., 2010), together with the relatively low bioavailability of
879 contaminants sorbed to MWCNTs relative to other environmental sorbents. Desorption studies
880 identified similar residual concentrations of phenanthrene; however, during rapid stages of
881 degradation, desorption rates were found to under-predict the rate of degradation (Xia et al.,
882 2010). This potentially suggests that for each of the sorbents, phenanthrene was available to
883 bacteria either through the promotion of desorption or direct access (Xia et al., 2010).

884 Given the discussion above, it is possible to consider an additional factor to those proposed by
885 Kretzschmar et al. (1999) in Section 4, to determine the significance of contaminant facilitated

886 transport by CNMs. If the CNM sorbed contaminant is available to the cells through utilisation
887 from the sorbed phase, the importance of desorption of sorbed compounds from CNMs during
888 transport is reduced. It is therefore proposed that incorporation of a fifth factor, 'the
889 bioavailability and bioaccessibility of CNM sorbed contaminants to microorganisms from the solid
890 phase', may be appropriate, as inferring bioaccessibility through desorption investigations may
891 lead to incorrect assumptions. However, substantially more work is required to identify the exact
892 mechanism involved in these findings, and the specific conditions under which contaminant and
893 microbial sorption to CNMs could potentially result in toxicity from the CNM itself, from the
894 sorbed contaminant or both (Nowack and Bucheli, 2007). It is also possible that under some
895 environmental conditions, rapid desorption or excessive bioavailability of sorbed contaminants
896 may shock load sorbed bacteria and prove toxic (Upadhyayula and Gadhamshetty, 2010).
897 Biodegradation of contaminants sorbed to CNMs therefore still requires substantial investigation
898 into specific combinations of pollutants and microorganisms (Upadhyayula and Gadhamshetty,
899 2010), to determine whether the bioaccessibility of sorbed contaminants is either increased or
900 decreased, and if the addition of CNMs will increase the mobility of contaminants in the
901 environment. The general paucity of knowledge regarding the duration for which contaminants
902 will remain sorbed to CNMs requires addressing to determine the long-term stability of
903 contaminants sorbed to different nanoparticle types. Furthermore, the extent to which CNMs
904 influence the transformation residues of HOCs in soils such as bound residues formed during
905 organic pollution degradation in soil is unknown (Barriuso et al., 2008; Shan et al., 2011; Zhou et
906 al., 2013).

907

908 **6. Summary and conclusions**

909 The complex and dynamic nature of both soil environments and CNM physicochemical
910 properties generates enormous uncertainty in attempting to predict their behaviour and impact
911 on contaminant sorption, sequestration and transport as well as microbial interactions. This

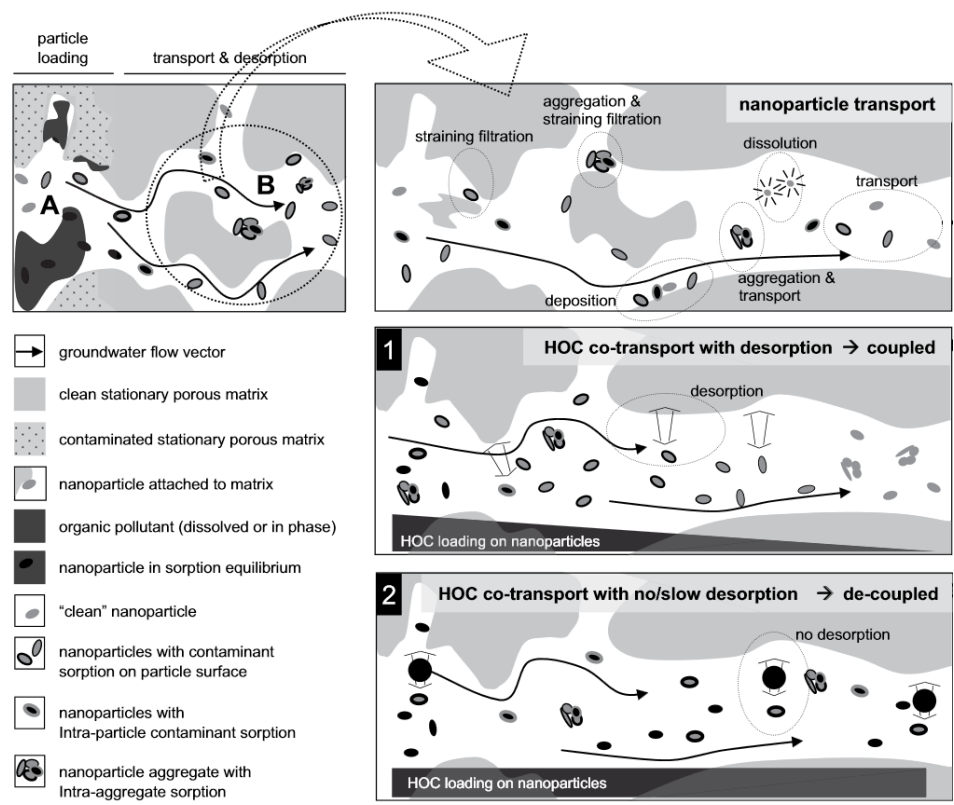
review argues that the fate and behaviour of CNMs in soils is influenced by multiple parameters such as the type and quantity of SOM, the type of clay particles present, the dominant charge characteristics of the matrix as dictated by the soil inorganic fraction, together with properties of the CNM, each of which is heavily influenced by pH and ionic strength. In addition, to a small extent, biological activity has been shown to modify carbon nanomaterial fate. However, presently ~~only limited no~~ research has been conducted into the manner in which each these ~~parameters factors~~ interact and collaboratively influence the fate and behaviour CNMs in real environmental scenarios, is available and significantly more research is required.

The extent to which CNMs are able to modify the behaviour of contaminants in soils and facilitate their transport is dependent on the CNM concentration, the properties of SOM, molecular weight of the HOC and interaction of the CNM with the HOC before the addition to soils. When present in sufficient concentrations, CNMs have the ability to facilitate the transport of co-existing contaminants such as PAHs to a greater extent than naturally occurring colloids such as DOM, the extent of which is dependent on the physicochemical properties of the contaminant, CNM functionalization status, aggregation size and method of preparation. Further work derived from experimental research is needed to address the lack of data relating to the transport of CNMs through soils of different properties. Additionally, CNM-HOC desorption kinetics within soils require defining, as this presently limits our understanding of the significance CNM facilitated transport.

Finally, CNMs are undoubtedly efficient sorbents for a range of HOCs. However, while a reduction in bioaccessibility of contaminants in soils has been demonstrated (Towell et al., 2011), possibly indicating to uses as agents to land reduce bioaccessibility of contaminants, information regarding sorption stability together with their potential to increase contaminant mobilisation and other secondary effects are as yet too poorly developed to fully anticipate the possible environmental impact. To determine the behaviour of CNMs within soils, it is concluded that no one set of environmental or CNM characteristics can be viewed in isolation. Hence, given the

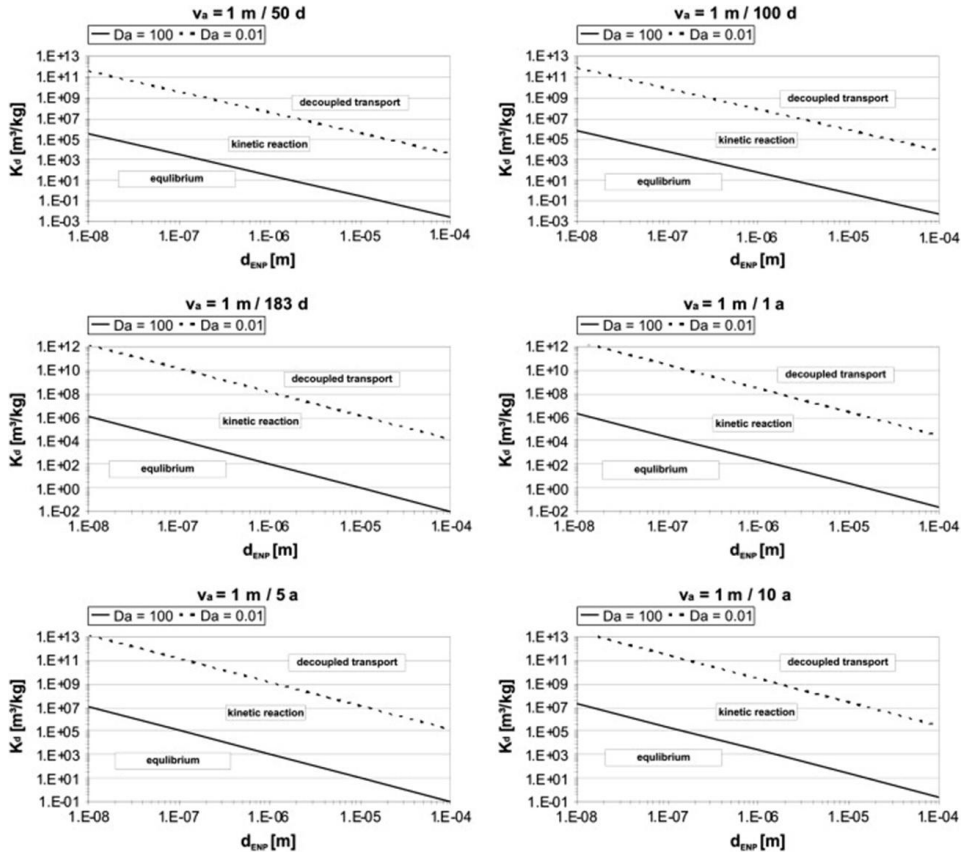
938 diverse array of variables, it is argued that risk-assessment of CNMs within the soil environment
939 should be conducted on a case-by-case basis. Detailed analysis of other environmental
940 compartments in which CNMs can potentially accumulate such as sediments, should also be
941 considered.

942



944 **Figure 1.** ‘Worst case scenario’ processes by which CNMs may facilitate the transport of HOCs.
945 Top left panel: (A) HOC equilibrates with CNM, and is (B) transported. Top right panel shows the
946 processes by which CNMs may be transported. The centre right panel (1) show the transport and
947 rapid desorption of HOCs from CNMs. Equilibrium is achieved between the liquid phase, CNM and
948 matrix. (2) shows slow desorption kinetics, with no desorption from the CNM (Hofmann and von
949 der Kammer, 2009). Re-printed with permission from Elsevier, © 2014.

950 **Figure 2**



951

952 **Figure 2.** Simulation of diffusion limited desorption using of pore water velocities (v_a) between 1
 953 m/50 d to 1 m/10m/y. The solid line represents the Damköhler number of 100 (representing
 954 equilibrium transport above which the HOC will equilibrate between the CNM and soil matrix),
 955 the dashed line indicates a Damköhler number of 0.01 (decoupled transport below which HOC
 956 desorption will not occur within the timeframe of transport). If Damköhler numbers are < 100 or
 957 > 0.01 , kinetics of sorption should be considered in transport models (Hofmann and von der
 958 Kammer, 2009). Re-printed with permission from Elsevier, © 2014.

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