

1 **Carbon nanomaterials in clean and contaminated soils: environmental implications and**
2 **applications**

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6 **Abstract**

7 The exceptional sorptive ability of carbon nanomaterials (CNMs) for hydrophobic organic
8 contaminants (HOCs) is driven by their characteristically large reactive surface areas and highly
9 hydrophobic nature. Given these properties, it is possible for CNMs to impact on the persistence,
10 mobility and bioavailability of contaminants within soils, either favourably through sorption and
11 sequestration, hence reducing their bioavailability, or unfavourably through increasing
12 contaminant dispersal. This review considers the complex and dynamic nature of both soil and
13 CNM physicochemical properties to determine their fate and behaviour, together with their
14 interaction with contaminants and the soil micro-flora. It is argued that assessment of CNMs
15 within soil should be conducted on a case-by-case basis, and further work to assess the long-term
16 stability and toxicity of sorbed contaminants, as well as the toxicity of CNMs themselves is
17 required before their sorptive abilities can be applied to remedy environmental issues.

18 **Key words:** Carbon nanoparticles; bioavailability; remediation; hydrophobic contaminants; soil

19 **1. Introduction**

20 With the continued up scaling of carbon nanomaterial (CNM) production (Nowack and
21 Bucheli, 2007) as well as the diverse array of consumer (Sharma and Ahuja, 2008), medical (Peretz
22 and Regev, 2012) and industrial applications in which they are increasingly becoming
23 incorporated, widespread environmental release of these physically and chemically unique
24 macromolecules has become inevitable (Köhler et al., 2008). Once released, soils are likely to be a
25 primary repository (Mueller and Nowack, 2008; Gottschalk et al., 2009), with the quantities
26 anticipated to increase on an annual basis (Gottschalk et al., 2009). In spite of this, studies
27 focused on CNMs within soils are scarce, and many areas of uncertainty remain. Understanding
28 the interactions between CNMs, soils and components therein is therefore an urgent and
29 essential aspect of any risk assessment process.

30 In their pristine form, CNMs are broadly characterised by their large reactive surface areas,
31 highly hydrophobic characteristics and high degree of bio-geochemical recalcitrance. They are
32 known to be toxic to various soil microbiota (Riding et al., 2012a; Riding et al., 2012b), and
33 possess a high affinity for the sorption of a range of hydrophobic organic compounds (HOCs), such
34 as polycyclic aromatic hydrocarbons (PAHs), and polychlorinated biphenyls (PCBs) (Pan and Xing,
35 2010). As both PAHs and PCBs are important classes of hydrophobic, toxic organic compounds,
36 which are both abundant and persistent in soils (Stokes et al., 2005), the potential for CNMs to
37 modify the availability and mobility of HOCs, either favourably through sorption and
38 sequestration, or unfavourably through increasing contaminant dispersal, is currently unknown.
39 Presently, there is only limited and occasionally contradictory information regarding the
40 implications of contaminants while sorbed to CNMs, as well as the fate and behaviour of CNMs in
41 uncontaminated soils. Exploring these issues in light of the emerging nature of CNMs as
42 xenobiotic soil components is therefore essential.

43 This review seeks to answer three key questions. (i) What factors influence the behaviour and
44 fate of CNMs within the soil environment? (ii) To what extent can CNMs influence the sorption,

45 desorption and mobility of contaminants in soils? (iii) What are the impacts of CNMs on soil
46 microorganisms and the biodegradation of contaminants in soils?

47

48 **2. Carbon nanomaterial diversity and detection**

49 Within the environment, some CNMs can occur naturally or have close naturally occurring
50 relatives due to various environmental events (Heymann et al., 1994; Chijiwa et al., 1999; Velasco-
51 Santos et al., 2003; Esquivel and Murr, 2004). However, concentrations occurring naturally are
52 likely to be relatively small (0.1 to 0.2 parts per million) (Heymann et al., 1994; Chijiwa et al.,
53 1999). Therefore, when referring to CNMs, this review explicitly focuses on those that are
54 anthropogenic in origin.

55 The properties of CNMs vary dramatically between the different methods of production,
56 functionalization status and cleaning/purification methods employed (Nowack and Bucheli, 2007).
57 Hence, determining their environmental behaviour is all the more challenging, and generalisation
58 of the characteristics of CNMs is not possible, with each type requiring careful characterisation
59 (Nowack and Bucheli, 2007). Of the many different forms of CNMs available, this review focuses
60 specifically on carbon nanotubes (CNTs) and C₆₀ fullerene, which are two of the most widely
61 utilised and investigated classes of CNMs (Mueller and Nowack, 2008; Gottschalk et al., 2009;
62 2010; Petersen and Henry, 2012).

63 To date, CNTs are arguably the most promising of all nanomaterials produced (Giles, 2006). In
64 their pristine form, CNTs are extremely hydrophobic and consist of graphene sheets rolled into
65 nanoscale diameter cylinders, the ends of which may contain spherical fullerene cappings (Mauter
66 and Elimelech, 2008). One single-rolled graphite sheet is called a single-walled carbon nanotube
67 (SWCNT), while several SWCNTs nested together in a concentric fashion comprise a multi-walled
68 carbon nanotube (MWCNT) (Pan and Xing, 2008). They consist of sp² carbon atom arrangements
69 in a fused benzene ring configuration, which results in exceptional physicochemical properties
70 and consequentially their incorporation into a vast array of composite materials (Liu et al., 1999;

71 Snow et al., 2005; Mauter and Elimelech, 2008; Almecija et al., 2009). An excellent and more
72 detailed discussion about the unique physicochemical properties of CNTs is provided by Mauter
73 and Elimelech (2008).

74 Fullerenes are spherically arranged carbon atoms resembling a geodesic dome. The size of the
75 fullerene dome can vary depending on the number and spherical configuration of carbon atoms.
76 C₆₀ Fullerene (Buckminster fullerene or Bucky Ball) has arguably the best defined physicochemical
77 parameters, produced in the largest quantities and has been the focus of most scientific
78 engagement (Campbell and Rohmund, 2000; Petersen and Henry, 2012). C₆₀ is comprised of a
79 spherical configuration of 5- and 6-carbon rings, consisting of 60 carbon atoms in total. It
80 commonly exists as nano-C₆₀ (*n*C₆₀) particles (regarded as the most environmentally relevant
81 form), which are crystalline structures containing 100-1000 C₆₀ molecules (Colvin, 2003; Sayes et
82 al., 2004). Presently, fullerenes have proposed applications in biology (Lucafò et al., 2012) and
83 electronic/optical devices as thin films combined with polymers (Richards et al., 2012).

84 Unlike most organic chemicals with well-defined structures, the diversity of particle sizes,
85 lengths, diameters, charges, surface areas, coatings, molecular weight, impurities and aggregation
86 states are not necessarily constant. These are often tailored to the intended end-use of the
87 particles and can be modified by the environmental compartments in which they reside, which
88 limits their detection and characterisation in soils and other complex environmental matrices
89 through chromatographic techniques (Petersen et al., 2011). A summary of methods used to
90 detect CNMs within soils and sediments is presented in Table 1.

Table 1. Recent methods used to detect CNMs in complex environmental matrices.

Author	Method of Detection	CNM Type Used	Matrix Characteristics	Results	Notable Advantages	Notable Disadvantages
Doudrick et al. (2012)	Separation of organic and elemental carbon using thermal optical transmittance/reflectance	SWCNTs and MWCNTs	Sediment		No interference from naturally occurring elemental carbon with CNTs that combusted at high temperatures	Interference from elemental carbon with CNTs that combusted at low temperatures
Plata et al. (2012)	Thermogravimetric analysis coupled with mass spectrometry	SWCNTs	Sediment	Detection limit of 100 $\mu\text{g g}^{-1}$	Capable of distinguishing between naturally occurring soot and manufactured CNMs	
Schierz et al. (2012)	Near-Infrared fluorescence spectroscopy	SWCNTs	Sediment	62 ng g^{-1}	Able to differentiate SWCNTs from naturally occurring soot	
Sobek and Bucheli (2009)	Chemothermal oxidation at 375 $^{\circ}\text{C}$	SWCNTs, MWCNTs	Clay (vertisol) & marine sediment	Highly variable detection of CNTs depending on CNT structure and complexity of matrix	Able to isolate CNTs from matrix	Unable to distinguish CNTs from naturally occurring soot

92 In addition, as the life cycles of CNM containing products are likely to vary greatly, the means
93 by which these materials enter the soil environment are also likely to be highly variable (Pan and
94 Xing, 2012). An excellent review of different CNM exposure scenarios for both humans and the
95 environment, is provided by Köhler et al. (2008). Further complicating their detection is the
96 emerging nature of manufactured CNMs as soil xenobiotic components, and hence their presently
97 low concentrations, together with their interaction with naturally occurring nanomaterials and
98 other environmental components, which leads to particles with sizes and compositions that
99 significantly differ from their native forms (Nowack and Bucheli, 2007; Darlington et al., 2009;
100 Chen et al., 2011). As such, careful consideration of multiple environmental variables is required
101 to determine their impact on CNM fate and behaviour.

102

103 **3. CNM behaviour and fate within the soil environment**

104 Once released into the soil, the fate and behaviour of CNMs is governed by their interactions
105 with various components within the environment. Derjaguin Landau Verwey Overbeek (DLVO)
106 interactions, such as electrostatic interactions and van der Waals (vdW) forces, and non-DLVO
107 interactions, such as hydrogen bonding and steric hindrance, ultimately determine the mobility,
108 aggregation and adhesion of CNMs within soils. These forces may operate in concert to various
109 extents, with the predominating force controlled by factors such as the properties and quantity of
110 soil organic matter (SOM), characteristics of inorganic matter, the type and quantity of clays,
111 together with the properties of CNMs themselves. Each of these factors are heavily influenced by
112 variables that are not necessarily constant over time, such as pH and ionic strength.

113 *3.1 The impact of soil organic matter*

114 Soil organic matter plays a substantial role in both the fate and behaviour of CNMs through
115 alterations in the dominance of the various DLVO and non-DLVO interactions. SOM (which
116 consists primarily of decomposed plant and animal remains (Lee et al., 1981)) is an all-

117 encompassing term describing organic matter (OM) dispersed ubiquitously throughout the soil
118 environment, and is composed of a heterogeneous mixture of lipids, carbohydrates, carboxylic
119 acids, humic substances, hydrophilic acids, proteins, carbohydrates, hydrocarbons and amino
120 acids. However, the mechanism by which SOM maintains CNMs stability in suspension is still
121 under investigation and debate (Dinesh et al., 2012). Specifically, the aim of this section is
122 therefore to provide an overview of recent investigations in which the manner of solid SOM,
123 dissolved organic matter (DOM), humic acid (HA) and tannic acid (TA) influence the behaviour of
124 CNMs.

125 Adsorption of molecular DOM onto CNMs occurs through either aromatic ring sorption or
126 binding of aliphatic chains via π - π or CH- π interactions, leaving the hydrophilic moieties exposed
127 (Lin and Xing, 2008). Consequentially, the surfaces of CNMs significantly change from a
128 hydrophobic, aromatic-like structure, to that of the organic, hydrophilic functional groups in DOM
129 (Zhang et al., 2011a), with potentially large implications for other DLVO and non-DLVO
130 interactions. In studying nC_{60} , Kwon (2012), found the type of DOM determined suspension
131 stability, with those containing long, hydrophobic carbon backbones readily adsorbing via vdW
132 interactions onto nC_{60} surfaces, promoting their stability. However, Zhang et al. (2011a) found
133 peat (diagenetically young SOM) in dissolved form (DOM), also prevented MWCNT aggregation
134 through both steric hindrance and electrostatic repulsion in sodium concentrations >4 mM or in
135 solutions of $pH \geq 4$ (Zhang et al., 2011a). Increasing ionic strength resulted in greater adsorption of
136 DOM onto MWCNTs (Hyung et al., 2006; Hyung and Kim, 2008; Zhang et al., 2011a) due to
137 reduced electrostatic repulsion between the DOM and the particle surface. As a result, cations
138 impairing electrostatic interactions at high ionic strengths in the presence of DOM may slightly
139 shift the relative importance of suspension mechanisms from electrostatic repulsion, in favour of
140 steric hindrance (Zhang et al., 2011a); broadly similar results have been identified with nC_{60} (Qu et
141 al., 2012). Ionic strength therefore primarily affects the balance between electrostatic repulsion
142 and steric hindrance mechanisms of particle suspension in the presence of DOM.

143 Typically, frequently occurring cations within the environment (K^+ , Na^+ , Ca^+ and Mg^{2+}) induce
144 aggregation and deposition in systems devoid of SOM through reductions in electrostatic
145 repulsion between particles, hence reducing CNM stability (Zhang et al., 2012b). The influence of
146 cations on the behaviour of CNMs is well illustrated by the extent to which physical straining
147 (filtering out) of nC_{60} occurs in saturated porous media. Zhang et al. (2012a) found that columns of
148 pure quartz resulted in very limited nanoparticle deposition even at low flow velocities, whereas a
149 heterogeneous sandy soil with low OM content and small, irregular and rough grains of sand,
150 significantly inhibited nC_{60} transport. With the addition of $CaCl_2$, greater deposition of nC_{60} was
151 observed in both sand and soil; however, significantly more straining occurred in the soil due to
152 the greater number of complexation sites for Ca^{2+} clay and OM fractions relative to sand (Zhang et
153 al., 2012a). For both the sand and soil columns, Ca^{2+} had a much larger effect on the transport of
154 nC_{60} than Na^+ at the same ionic strengths (Zhang et al., 2012a). This most likely occurred due to
155 efficient neutralisation of surface charges on both nC_{60} and sand and soil particles by Ca^{2+} relative
156 to Na^+ , which reduced the electrostatic repulsion (Kuznar and Elimelech, 2004; Zhang et al.,
157 2012a).

158 When considering the fate and behaviour of CNMs in soils, solid peat may have a different
159 impact to that of molecular DOM under environmentally relevant ionic conditions. This could be
160 caused by the alteration of particle phase distributions due to the direct sorption of CNMs, as well
161 as the possibility of DOM or cations being release from the soil particles themselves (Zhang et al.,
162 2011a). In the absence of sodium ions, Zhang et al. (2011a) found no adsorption of MWCNTs to
163 solid peat, indicating a limited affinity of DOM-MWCNT composites towards the solid phase
164 relative to the aqueous phase, possibly due to electrostatic repulsion and hydrophilicity of DOM
165 coated nanotubes. With the addition of Na^+ ions, the relative affinity between DOM-MWCNTs and
166 peat was increased due to reductions in the charge potential and subsequent increase in
167 interactions occurring through hydrophobic interactions and/or vdW attraction (Zhang et al.,
168 2011a). Zhang et al. (2011a) concluded that with increasing ionic strength (such as in

169 environments containing seawater or hard freshwater), an increased precipitation of MWCNTs
170 from the aqueous phase will occur, impeding their transport within the environment.

171 Consideration of the ζ -potential (diffuse surface charge) of CNMs in relation to the soil matrix
172 is important when considering the dynamics of smaller particles dispersal, transport and
173 deposition, for which charge forces are likely to be highly influential relative to larger particles or
174 agglomerates (Darlington et al., 2009). Its measure, in part, provides information on the likely
175 mobility, rates of interaction and aggregation status due to electrostatic forces generated by
176 charged surfaces (Hu et al., 2005; Jafar and Hamzeh, 2013). Pristine CNTs typically express limited
177 surface charge (Mohanty et al., 2007); however, Wang et al. (2008a) found that the ζ -potential of
178 CNTs with a HA coating was highly negative, which resulted in electrostatic repulsion between the
179 particles and hence stability when partitioned into the aqueous phase. They concluded that
180 mobility and environmental transport within typically negatively charged porous media, such as
181 certain types of soils was highly likely, with particles remaining stable over a wide range of ionic
182 strengths (Wang et al., 2008a). A reduction in pH to the point at which the CNTs had no charge
183 was identified as an effective means of causing CNTs to precipitate, through the destabilization of
184 the HA coatings (Wang et al., 2008a).

185 The properties of the humic substances determine the extent to which DLVO and non-DLVO
186 interactions influence particle behaviour. TA (Chibowski et al., 1998) and HA sorbed to CNTs
187 enhances stabilisation in water through reducing vdW forces between particles and increasing
188 steric repulsion (Terashima and Nagao, 2007; Ren et al., 2011). However, Qu et al. (2012)
189 identified that high molecular weight (HMW) HAs were more effective in promoting suspension
190 stability due to stronger steric repulsion than that of low molecular weight (LMW) HAs. Similarly,
191 HAs containing large quantities surfactive domains, such as those which are strongly hydrophilic
192 and lipophilic, promote the dispersal of CNTs in solutions, while those containing carbohydrates
193 and predominantly hydrophilic domains resulted in limited dispersal (Chappell et al., 2009).

194 The composition of SOM in relation to ionic strength and pH dictates the behaviour of CNMs
195 within soils. Presently, however, insufficient data regarding the relative impact of different SOM
196 fractions and combinations on DLVO and non-DLVO forces in soils is lacking, reducing an ability to
197 estimate how CNMs may behave based on analysis of soil OM content. Furthermore, in addition
198 to the organic fraction of soils and coating of CNMs, the role of the inorganic fraction in
199 determining particle behaviour must also be considered.

200 *3.2 The impact of soil inorganic matter*

201 In addition to the organic fraction, CNM stability in saturated soil/water suspensions is
202 strongly influenced by the impact of the inorganic fraction, and is largely neglected within the
203 present literature. Han et al. (2008) studied the impact of kaolinite and montmorillonite clay
204 minerals with particle sizes of around 2 μm on the stability of MWCNTs (18 mg l^{-1}) suspended in
205 three different surfactants (either cetyltrimethylammonium bromide (CTAB),
206 dodecylbenzenesulfonic acid, sodium salt (SDBS), or octyl-phenol-ethoxylate (TX100), each at 40
207 mg l^{-1}). The study showed that MWCNTs stabilised by CTAB, became deposited in the presence of
208 montmorillonite or kaolinite particles, which suggests MWCNTs may not move through soils or
209 sediments containing these minerals, or that the addition of montmorillonite or kaolinite could be
210 used to reduce their mobility and transport (Han et al., 2008). However, no investigation such as
211 soil column leach testing was performed to specifically measure the movement of MWCNTs, and
212 further direct measurements are required to verify the results in soils. Suspensions of CNTs in
213 SDBS were not affected by the presence of either montmorillonite or kaolinite, and TX100
214 suspensions were not altered by kaolinite, but destabilised partially in montmorillonite (Han et al.,
215 2008). The authors suggested that CNTs may be able to move through soils and sediments
216 containing these clay minerals if suspended using SBS or TX100. It was proposed that the
217 destabilisation of surfactant-dispersed MWCNTs occurs by two mechanisms; (i) removal of
218 surfactants from solution by clay minerals and (ii) clay minerals bridging between MWCNTs and
219 surfactants (Han et al., 2008).

220 Furthermore, the charge characteristics of soils can also influence the behaviour and fate of
221 CNMs. Broadly, all soils can be divided into two groups; permanent-charge (P-C) and variable-
222 charge (V-C) (Sollins et al., 1988). In P-C soils, the substitution of ions with lower valence for ions
223 with higher valence results in the alteration of crystal lattice structures within layer-silicate clays
224 (illite, smectite, chlorite and kaolin), and a permanent charge deficit, which persists irrespective of
225 variations in the composition of soil solutions and pH (Sollins et al., 1988). In V-C soils, protonation
226 and de-protonation of surface hydroxyl groups results in the positive charge and hence anion
227 exchange capacity (AEC); whereas deprotonation results in cation exchange capacity (CEC) (Sollins
228 et al., 1988). The structure of V-C soils is also modified in response to increasing pH, resulting in
229 increased repulsion and more limited aggregation (Sollins et al., 1988). Both P-C and V-C surfaces
230 are present in all soil types; however, only one charge system typically dominates, dictated largely
231 by soil mineralogy (Sollins et al., 1988). While V-C soils occur more frequently in tropical regions
232 due to the typical mineralogical composition which forms under humid, warm conditions, they do
233 not occur ubiquitously, and many areas with predominantly P-C characteristics occur (Sanchez,
234 1976; Sollins et al., 1988). Hence, while V-C soils represent a small fraction of global soil types,
235 interactions between nanoparticles and soils are likely to be much more dynamic relative to those
236 with a P-C. Despite this, almost all investigations have predominantly focused on P-C soils,
237 restricting the applicability of CNM fate and behaviour investigations.

238 The behaviour of CNMs in V-C soils has been assessed by Zhang et al. (2012b), who
239 investigated the stability of MWCNTs suspended in water with soil minerals kaolinite, smectite
240 and shale over a range of sodium concentrations. Without additional Na^+ , no significant difference
241 in the stability of MWCNTs between each of the soil minerals was observed; however, with
242 increasing ionic strength, the removal of MWCNTs from the aqueous phase followed the order
243 smectite > kaolinite > shale (weakest to largest MWCNT association). As ionic strength increased,
244 the MWCNT removal tendency for smectite and kaolinite was inversely correlated to the mineral
245 surface potential. However, the electrostatic potential of shale is higher than either kaolinite or

246 smectite, yet shale demonstrated the strongest sorption of MWCNTs (Zhang et al., 2012b). This
247 was attributed to the large, hydrophobic, organic content of shale, which is able to strongly sorb
248 MWCNTs. Hence, under these soil conditions, the transport of CNMs in soils is directly correlated
249 with mineral hydrophobicity, but inversely correlated with surface potential (Zhang et al., 2012b).
250 It is therefore imperative that studies of nanomaterial fate and behaviour in soils include detailed
251 information regarding soil mineralogy.

252 *3.3 The impact of CNM preparation methods, functional groups and UV exposure*

253 In addition to the influence of soil type and properties, the properties of CNMs themselves
254 vary greatly depending on an array of parameters. As commercial applications of CNMs will likely
255 employ surface functional groups and a variety of different preparation techniques, nanoparticle
256 properties and behaviour within the environment will become increasingly complex (Turco et al.,
257 2011). For example, the physicochemical properties of pristine nC_{60} , such as surface charge and
258 particle size, heavily depends on the method of preparation, with a corresponding impact upon
259 the stability of the nanomaterial (Chen and Elimelech, 2008; 2009; Isaacson et al., 2011). As
260 discussed previously, deposition and aggregation of CNMs in their pristine state is highly
261 susceptible to variations in soil water ionic strength; however, the exact extent of sensitivity is
262 known to vary significantly according to the method of preparation. For example, in an aqueous
263 solution, the deposition of nC_{60} occurs in NaCl at concentrations of 18 mM when prepared by
264 sonication (100 W probe, 30 min) (Qu et al., 2012) and 30 and 32 mM NaCl when prepared by
265 solvent exchange methods (Chen and Elimelech, 2008; Smith et al., 2008). However, most
266 investigations have been conducted in simple solutions of electrolytes using pristine nC_{60} , and in
267 complex environmental systems (Qu et al., 2012). As a result, the effects of cation valence on the
268 stability of CNMs may substantially differ to those in a laboratory setting.

269 Typically, agglomeration of CNMs in the presence of divalent (Ca^{2+}) cations occurs to a greater
270 extent than with monovalent (Na^+) cations. However, when exposed to solar irradiation, nC_{60} can
271 undergo surface oxidation and decomposition (Hou and Jafvert, 2008; 2009), with large

272 implications for environmental behaviour and fate (Qu et al., 2012). Following exposure to UV-A
273 (the largest component of UV in sunlight), the oxygen containing functional groups formed on
274 nC_{60} hindered aggregation in NaCl solutions due to their elevated hydrophilicity and negative
275 surface charge (Hou and Jafvert, 2009). Conversely, neutralisation of the negative surface charge
276 on oxidised nC_{60} due to interactions with Ca^{2+} ions when suspended in $CaCl_2$ can result in particle
277 agglomeration (Li and Liang, 2007). This potentially occurs due to the charge screening ability of
278 Ca^{2+} relative to Na^+ , which reduces the stability of colloids (Li and Liang, 2007). Qu et al. (2012)
279 expanded upon this work through studying the effects of UV-A exposure for either 20 h or 7 d on
280 the rate of deposition and the attachment efficiency of nC_{60} on to silica bead surfaces. The
281 stability of nC_{60} increased proportionally with increasing UV-A exposure time against aggregation
282 in solutions containing NaCl, which was attributed to the increase in surface oxidation and
283 hydrophilicity (Qu et al., 2012). Further, while the attachment efficiency of nC_{60} exposed to UV-A
284 for 7 d was at a maximum in NaCl concentrations of 250 mM, attachment decreased following an
285 increase in NaCl concentration to 300 mM. This indicates that stability of nC_{60} containing oxygen
286 surface functional groups was attributed to the hydration force and not DLVO forces, which was
287 more significant with the 7 d UV exposed nC_{60} than either the pristine or the 20 h UV due to the
288 greater hydrophilicity (Qu et al., 2012).

289 In other investigations, surface immobilisation of macromolecules, such as HAs at
290 environmentally relevant concentrations, has increased the solubility of C_{60} due to the effect of
291 steric hindrance caused by the sorbed SOM and a reduction in the hydrophobicity of the
292 nanoparticle surface, preventing re-aggregation and reducing attachment efficiency (Li et al.,
293 2009; Qu et al., 2012). However, Qu et al. (2012) found 7 d UV exposed nC_{60} had negligible surface
294 sorption of either HWM or LMW HAs due to the negative surface charge and elevated surface
295 hydrophilicity. Hence, DOM is likely to be less significant in determining the suspension stability of
296 irradiated nC_{60} (Qu et al., 2012). A similar relationship may occur due to the formation of oxygen
297 containing hydroxyl- and carboxyl-groups on MWCNTs due to surface oxidation, which can

298 promote colloidal stability and hydrophilicity of CNTs in addition to inducing alterations to surface
299 charge (Shieh et al., 2007; Smith et al., 2009). This is illustrated by Hu et al. (2005), in which
300 carboxylic acid groups as a result of nitric acid treatment of SWCNTs had high ζ -potentials (-28
301 mV) over a pH range of 2-10, indicating their moderate stability in water in contrast to pristine
302 CNTs (Hu et al., 2005). Reduced deposition of pristine nC_{60} occurred on silica glass beads coated in
303 HMW HA than LMW HA due to steric hindrance (Qu et al., 2012). The effect was more
304 pronounced at lower ionic strengths due to electrostatic repulsion between charge groups
305 resulting in a more stretched-out conformation of HA molecules (Qu et al., 2012). However, both
306 HMW and LMW HA coated beads facilitated the deposition of 7 d UV exposed nC_{60} , with reduced
307 sensitivity to changes in ionic strength as a result of reduced steric hindrance (due to the compact
308 conformation of HAs at high ionic strengths (~ 60 mM)), lower surface potential and increased
309 hydrogen bonding between the oxygen containing groups of the functionalised nC_{60} and nitrogen-
310 and oxygen-groups on the HA (Qu et al., 2012).

311 Comparatively determining the relative importance of CNM functionalization and ionic
312 strength on CNTs and nC_{60} behaviour in soils is difficult due to the myriad of different
313 experimental configurations. To overcome this, Jaisi and Elimelech (2009) used carboxyl-
314 functionalised SWCNTs and nC_{60} (radius of 51 nm) in natural soil columns containing 29% clay and
315 pore sizes of 22 μm , to determine the impact of ionic strength on particle transport and
316 deposition. As ionic strength increased (0.03 – 100 mM), the rate of SWCNT deposition within the
317 soil column also increased, with the observed effect more apparent with divalent cations (Ca^{2+})
318 than monovalent cations (K^+). Interestingly, while nC_{60} was highly sensitive to variations in ionic
319 strength, far lower deposition rates were observed. It was proposed that the structure and shape
320 of SWCNTs, in particular their large aspect ratio and bulky agglomerated states, in addition to soil
321 particle heterogeneity increased the straining effect and retention by the soil matrix (Jaisi and
322 Elimelech, 2009). Nanomaterial structural conformation is therefore a further consideration in the
323 relative extent to which CNMs will be dispersed and transported within the soil, with nC_{60} more

324 likely to experience leaching than SWCNTs under a variety of solution chemistries (Jaisi and
325 Elimelech, 2009) within negatively charged soil media.

326 Functionalization status is therefore a fundamental consideration to the behaviour of CNMs,
327 resulting in distinct characteristics, which significantly modify behaviour in relation to their un-
328 functionalised counterparts. However, key questions as to the behaviour of CNMs within the
329 environment remain unaddressed; for example, how does the repeated exposure of CNMs to
330 weathering cycles within the soil influence their fate and behaviour?

331

332 **4. CNM-contaminant sorption, desorption and mobility in soils**

333 The ability of natural colloids to assist in the transport of organic contaminants has been well
334 documented and reviewed (de Jonge et al., 2004; Sen and Khilar, 2006; Li et al., 2013). Typically,
335 hydrophobic compounds such as PCBs and PAHs have limited environmental mobility due to
336 strong sorption to SOM. Kan and Tomson (1990), however, demonstrated that high
337 concentrations of colloidal materials such as DOM may enhance the transport of hydrophobic
338 compounds such as phenanthrene and naphthalene by a factor of a thousand or greater, with
339 possible implications for the spread of contamination and groundwater quality (de Jonge et al.,
340 2004). Although CNMs may be tailored to suit specific requirements, their behaviour is not
341 necessarily different to colloids naturally occurring in the environment (Colvin, 2003; Lead and
342 Wilkinson, 2006). To determine the relevance of natural nanoparticle facilitated transport of
343 contaminants in porous media such as soils, Kretzschmar et al. (1999) identified four key factors
344 that will be used as a framework for this section:

- 345 1) Sufficiently high concentration of nanoparticles
- 346 2) Mobility of the nanoparticles carrying sorbed HOCs
- 347 3) Sorbate toxicity even when present in trace quantities
- 348 4) The ratio of sorption to desorption relative to the timescale of particle mobility

349 The sorption affinity of CNMs for common environmental contaminants such as PAHs, known
350 to pose significant risks to both the environment and human health due to their toxic properties
351 (Menzie et al., 1992; Shaw and Connell, 1994; Cebulska-Wasilewska et al., 2007), has been
352 reported as over three orders of magnitude greater than that of natural soil/sediments (Yang et
353 al., 2006b). The potential for these emerging materials to become widespread in the soil
354 environment, particularly those with a strongly hydrophobic nature and large reactive surface
355 area, such CNMs, raises questions and concerns about the environmental consequences of their
356 release (Pan and Xing, 2010).

357 *4.1 CNM contaminant sorption and desorption in soils*

358 Understanding the adsorption and desorption of HOCs to CNMs in soils is critical to the
359 environmental risk assessment processes, as well as determining their potential applications as
360 environmental adsorbents (Yang et al., 2006a). As the fundamentals of CNM-HOC sorption have
361 been extensively reviewed, the reader is referred to a review by Ren et al. (2011) for a
362 comprehensive overview. This section addresses the manner in which soils may alter the HOC
363 sorption/desorption properties of CNMs, focusing specifically on two conflicting effects; (i) CNM
364 dispersal by DOM (increasing the surface area and hence the number of adsorption sites (Hyung
365 et al., 2006; Lin and Xing, 2008)); versus (ii) the formation of CNM-DOM coatings (blocking and/or
366 competing for adsorption sites reducing the number available for organic contaminants (Chen et
367 al., 2008; Wang and Keller, 2009; Cui et al., 2011; Wang et al., 2011; Zhang et al., 2011c)). The
368 relative importance of these two phenomena are poorly understood in relation to their sorption
369 and desorption of organic contaminants (Zhang et al., 2011c; Pan and Xing, 2012), and are highly
370 dependent on the nanoparticle properties, and the nature of SOM and the sorbate (Wang et al.,
371 2009; Zhang et al., 2011c; Lerman et al., 2013).

372 In assessing the impact of OM on CNM sorption in the environment, further complications
373 arise as contaminants are able to sorb to both the CNM and CNM-OM coating (Wang et al.,
374 2008b). Hyung and Kim (2008) identified SOM adsorption to nanotubes was highly variable

375 depending on the type of SOM, occurring proportional to its aromatic carbon content. This has
376 implications for determining the ability of CNMs to sorb organic compounds, yet most
377 investigations fail to consider the role of different OM fractions in CNT-pollutant interactions
378 (Lerman et al., 2013).

379 Wang et al. (2008b) assessed the extent to which HAs and peptone altered the sorption of
380 phenanthrene, naphthalene or 1-naphthol on-to MWCNTs (outer diameter of 40 nm), by fitting
381 sorption data with Freundlich and Polanyi models. Their results showed that each type of DOM
382 resulted in nonlinear sorption isotherms to the MWCNTs, following the order peptone > HAs.
383 Although the inherent sorptive ability of HA for each of the contaminants was more limited than
384 that of pristine MWCNTs, HA coatings did not result in large changes to the sorption of any of the
385 contaminants, which is inconsistent with models indicating that “fouling” of sorption sites by
386 DOM will reduce contaminant sorption capacity (Carter and Weber, 1994; Wang et al., 2008b).
387 The authors proposed that either uneven coating of the MWCNT by HA occurred, or that the
388 anticipated sorption reduction as a result of polar moieties from the HA coating, was offset due to
389 the increase in O-containing moieties resulting in particle repulsion and dispersal, exposing new
390 sites available for HOC sorption. Contrastingly, peptone, due to the large quantity sorbed relative
391 to each of the other DOM fractions, resulted in the largest reduction in available sorption sites
392 (Wang et al., 2008b). Similar interactions as to the relative ability of different OM coatings to alter
393 CNM sorption have been identified by Cui et al. (2011) and Gai et al. (2011). Although direct
394 comparison of the studies is not possible due to the different particles used, Cui et al. (2011)
395 found HAs, TAs and peptone pre-interacted with SWCNTs resulting in the formation of polar
396 functional groups on the nanotube surface, reducing the area available for phenanthrene sorption
397 in the order of peptone > TAs > HAs. Similarly, Gai et al. (2011) identified a reduction in C₆₀
398 agglomerate sizes due to the dispersal effects of HA, increasing atrazine sorption due to dispersal
399 and re-arrangement, rather than interactions between the atrazine and HA (Gai et al., 2011).

400 Hence, it may be argued that the impact of DOM on CNM-HOC sorption is dependent on the type
401 of OM present and possibly also CNM type.

402 Within a soil environment, Li (2012), identified the sorption behaviour of naphthalene,
403 phenanthrene and fluorine in a sandy loam soil, silt loam soil and Ottawa sand was unaffected
404 following amendment of MWCNTs at concentrations of 2 mg g^{-1} . For each contaminant
405 investigated, sorption in both the MWCNT amended and unamended samples followed the same
406 order; silt loam > sandy loam > sand, indicating the sorptive ability was driven by the organic
407 carbon content (Li, 2012). Additionally, no difference between sorption isotherms of MWCNT
408 amended and unamended samples was apparent, indicating that MWCNTs held no influence over
409 the samples inherent sorption capacity (Li, 2012). Similarly, the order in which PAHs sorbed was
410 unaffected by the MWCNTs, occurring as anticipated according to the PAHs K_{ow} values (Li, 2012).
411 After 24 h hydroxypropyl- β -cyclodextrin (HPCD) desorption, no statistically significant differences
412 in the percentage desorbed were detected between nanotube amended and unamended
413 samples. Hence, the sorptive properties of MWCNTs in the environment may be similar to hard
414 carbon, and did not influence the sorption/desorption behaviour of PAHs (Li, 2012). When this is
415 related to Factor 4 proposed by Kretzschmar et al. (1999), in which the sorption to desorption
416 ratio over timescale of particle transport is considered, MWCNTs at 2 mg kg^{-1} concentration may
417 not be considered significant in determining the behaviour of some PAHs in soils over the
418 duration of their experiment. It may be, however, that Factor 1 was not met, and the lack of
419 MWCNT-influence on PAH behaviour was merely a result of an insufficient quantity added to the
420 soils, although a concentration of 2 mg kg^{-1} in environmentally relevant terms is likely to be
421 unrealistically high. Hence, while this study indicates the sorption of multiple contaminants in the
422 different soil types considered will not present an environmental concern in terms of pollutant
423 mobilisation at 2 mg kg^{-1} , it was not possible to consider the desorption of contaminants if
424 transport were to occur.

425 An excellent study by Towell et al. (2011), assessed the extent to which HPCD extraction of
426 HOCs with different physicochemical properties desorbed from soils amended with CNMs at
427 concentrations between 0.05% and 0.5% (substantially larger than that employed by Li (2012)). At
428 concentrations $\geq 0.05\%$, Towell et al. (2011) identified significantly less $^{14}\text{C-B[a]P}$ extracted from
429 CNM amended soils than $^{14}\text{C-phenanthrene}$ due to the high hydrophobicity and $\log K_{ow}$ value
430 reducing the ability of $^{14}\text{C-B[a]P}$ to partition into the aqueous phase. This was exacerbated by the
431 relatively HMW of $^{14}\text{C-B[a]P}$, which has been proven as a critical factor determining the bonding
432 energy between SWCNTs and PAHs (Debnath et al., 2008; Towell et al., 2011). In relation to Factor
433 4, the nature of the sorbate may therefore influence the extent of desorption, and therefore the
434 duration for which contaminants will remain sorbed. It may be proposed that CNM sorbed HMW
435 HOCs represent a greater risk of increased distance of transportation within the environment than
436 LMW HOCs.

437 While sorption of HOCs to CNMs in soils can occur, the extent of sorption and desorption is
438 dependent on the type of OM and concentration of CNMs. With a view to the manner in which
439 the properties outlined above potentially facilitate transportation of contaminants sorbed to
440 CNMs in soils, studies in which mobility has been directly investigated will also be discussed.

441 *4.2 CNM-HOC mobility*

442 Once sorbed to freely suspended CNMs within the soil matrix, the mobility of HOCs is
443 potentially increased; however, very few studies have focused on determining the impact of
444 CNMs on contaminant movement in soils. An overview of the basic principal of CNM facilitated
445 HOC transport is presented in Figure 1. Using column leach tests, Li (2012) examined the
446 behaviour of phenanthrene, fluorine, naphthalene and pyrene in a saturated sandy loam soil
447 amended with MWCNTs, functionalised MWCNTs (f-MWCNTs) and functionalised SWCNTs (f-
448 SWCNTs) at a concentration of 5 mg kg^{-1} . Significant retention of PAHs within the soil column was
449 observed, due to the strong sorption of contaminants by CNTs and their limited mobility within
450 the soil column (Li, 2012). In control soils and those amended with MWCNTs and f-MWCNTs,

451 retention of PAHs occurred in the order naphthalene < fluorine < phenanthrene < pyrene, with
452 hydrophobic interactions between the CNTs and PAHs cited as the predominant cause of the
453 observed pattern (Li, 2012). Contrastingly, retention of contaminants within soils amended with f-
454 SWCNTs occurred in the order of naphthalene > fluorine > phenanthrene > pyrene, the sorption of
455 which could not be accounted for by hydrophobic forces alone (Li, 2012). The trend was
456 negatively correlated to molecular size, indicating that larger sorbate molecules may have less
457 space for sorption due to the additional hydrophilic functional group (Yang et al., 2006b; Li, 2012).
458 It was concluded that leaching behaviours were determined by physical characteristics of both
459 CNTs and contaminants (Yang et al., 2006b; Li, 2012).

460 To determine the extent to which CNMs facilitated the movement of contaminants relative to
461 various types of DOM, Zhang et al. (2011b) used saturated, sandy soil columns contaminated with
462 either PCBs or phenanthrene to comparatively assess the mobilising ability of nC_{60} at 1.55 – 12.8
463 $mg\ l^{-1}$ relative to DOM at 10-11 $mg\ l^{-1}$. In this experiment, PCB (12.4 – 13.9 $\mu g\ l^{-1}$) or phenanthrene
464 (14.8 $\mu g\ l^{-1}$) was added to a sonicated suspension of nC_{60} (1.55 - 12.8 $mg\ l^{-1}$ in electrolyte solution
465 of 0.5 mM NaCl), and tumbled end-over-end (3 rpm) for 7 d to reach adsorption equilibrium. The
466 suspension was then added to the columns. The results showed that even the lowest
467 concentration of nC_{60} significantly enhanced the dispersal of both PCB, and phenanthrene;
468 whereas, columns containing only various types of DOM had no effect on contaminant transport
469 (Zhang et al., 2011b). The enhanced contaminant mobilisation ability of nC_{60} relative to naturally
470 occurring DOM was attributed to its unique porous structure and surface enthalpies of
471 interaction, which generate a large sorption affinity together with an irreversibly or slowly
472 desorbable fraction of adsorbed phenanthrene/PCBs (Hofmann and von der Kammer, 2009;
473 Zhang et al., 2011b; Wang et al., 2012a). CNMs may therefore be much more efficient at
474 enhancing the mobility of contaminants than natural colloidal materials.

475 Different processes of nC_{60} formation have also been identified as contributing to large
476 differences in their ability to alter the fate and transport of contaminants (Wang et al., 2012b).

477 Wang et al. (2012b) assessed nC_{60} samples prepared using either the standard solvent exchange
478 method, eight different types of SOM or surfactant modifications, or by the phase-transferring of
479 nC_{60} from a solution of toluene to either SOM or a surfactant (Wang et al., 2012b). Their results
480 indicated that while the mobility of nC_{60} was similar between each of the preparation methods,
481 the contaminant mobilising capability significantly differed. Relative to the unmodified nC_{60} ,
482 transport of PCBs through a saturated column of sandy soil increased by 42.2 – 227% with
483 surfactant modified nC_{60} , and by 233-370% with SOM-modified samples (Wang et al., 2012b). The
484 results were attributed to both increased adsorption affinities together with enhanced resistance
485 to desorption due to alterations to nC_{60} aggregation properties as a result of the SOM surfactant
486 (Wang et al., 2012b). During the process of aggregate formation, it is possible that a fraction of
487 SOM or another surfactant was intercalated within the C_{60} aggregates, significantly influencing the
488 porosity and geometry of the resulting nC_{60} aggregates, contributing to the enhanced desorption
489 resistance of PCBs (Wang et al., 2012b). With differing types of SOM and surfactants, variations in
490 the quantity and geometry of pores will occur, with the possibility that nC_{60} could be tailored to
491 specific physicochemical properties for use in *in situ* site remediation (Wang et al., 2012b). Hence,
492 the adsorption, desorption and transport of contaminants by nC_{60} will vary greatly depending on
493 the condition of its formation (Wang et al., 2012a).

494 Using a different approach, Hofmann and von der Kammer (2009) computer modelled the
495 extent to which CNMs could result in the movement of HOCs in soils under various scenario-based
496 conditions, to determine when relevant CNM transport of sorbed HOCs might occur. Worst-case
497 scenarios were adopted, assuming fully mobile CNMs within the porous medium, over a range of
498 realistic yet high CNM concentrations ($100 \text{ mg L}^{-1} - 1 \text{ g}^{-1}$) occurring in aggregate sizes of 10 – 100
499 mm. It was also assumed that CNMs were pre-equilibrated with the HOC at source and that
500 diffusion was the rate-limiting step for desorption (Hofmann and von der Kammer, 2009). From
501 this, it was possible to estimate the fraction of contaminants bound to CNMs at different
502 distances from the source over different time periods (days to years) using the Streamtube Model

503 for Advective and Reactive Transport (SMART) (Finkel et al., 1998), combined with the application
504 of retarded pore diffusion approximations (Bold et al., 2003) and combinations of two first-order
505 rate expressions (Cornelissen et al., 1997). The results showed that for aggregates of 100 nm, 2,
506 7, 40, 75, 82% of bound contaminants were transported > 1 m at nanoparticle concentrations of
507 0.1, 1, 10, 100, 1000 mg l⁻¹, respectively. Conversely, modelled transportation of contaminants
508 sorbed to aggregates of 10 nm in size were reduced to 0.1, 0.5, 3.6, 8% for the same respective
509 concentrations. Breakthrough of the 1 m modelled column did not occur in any of the considered
510 scenarios and all contaminants remained bound to the nanoparticle.

511 Parameterisation of the ratio of desorption to sorption and particle transportation is achieved
512 by the Damköhler number (Da) (Equation 1) (Jennings and Kirkner, 1984), and was employed to
513 simulate the HOC desorption from CNMs.

514 **Equation 1**

515 $Da_{NP} = \lambda s$

516 Where Da_{NP} = Damköhler number for the NP, λ = rate constants (first order) for the reaction in
517 s⁻¹, s = average residence time within the system, which may also be expressed as average flow
518 velocity (Hofmann and von der Kammer, 2009). A ratio of 0 identifies equilibrium between
519 particle-contaminant interactions, whereas 1 indicates a decoupled transport (i.e. the HOC in
520 solution will be transported independent of contaminants sorbed to the CNM) and < 0.01
521 indicates fully decoupled transport. In these instances, HOCs sorbed to CNMs will not desorb over
522 the transportation time frame, rather relocate within the media (Hofmann and von der Kammer,
523 2009). At Da numbers > 100, an equilibrium exists between the immobile porous media and
524 mobile CNM (Kretzschmar et al., 1999; Bold et al., 2003), resulting in limited nanoparticle
525 relocation of the contaminant (Hofmann and von der Kammer, 2009).

526 Hofmann and von der Kammer (2009) calculated Damköhler numbers for CNM aggregates of
527 different sizes and partitioning coefficients according to the rate constant data shown in Figure 2,

528 and based on different flow velocities of 1 m in 50 d (fast flow) – 1 m in 10 y (slow movement). It
529 was inferred that the CNM-contaminant transport mechanisms are strongly dependent on the
530 size of CNM agglomerates together with the distribution coefficients ($\log K_d$) (Hofmann and von
531 der Kammer, 2009). For example, Figure 2 shows contaminants sorbed to 1 mm aggregates at a
532 flow velocity of 1 m 50 d⁻¹ will not experience contaminant desorption until the $\log K_d$ of HOC-
533 CNMs is 8 m³ kg⁻¹, reaching equilibrium at $\log K_d$ 1 m³ kg⁻¹ (Hofmann and von der Kammer, 2009).
534 However, at a flow velocity of 1 m y⁻¹, decoupled transport will predominate for 1 mm aggregates
535 at a $\log K_d$ of 9 m³ kg⁻¹ reaching equilibrium at $\log K_d$ of 2 m³ kg⁻¹ (Hofmann and von der Kammer,
536 2009). It was concluded that under equilibrium sorption/desorption conditions, CNM mobility
537 resulted in negligible transport of sorbed contaminants (Hofmann and von der Kammer, 2009).
538 However, the mobility and concentration of CNMs becomes increasingly important in instances
539 with slow to very slow desorption (Hofmann and von der Kammer, 2009). While there are many
540 assumptions and simplifications associated with every modelling technique, the model identifies
541 scenarios in which transport and desorption of sorbed contaminant could potentially occur,
542 possibly providing useful guidelines for risk-assessment if applied on a case by case basis.
543 However, further work aimed specifically at validating the model against traditional column leach
544 tests in both V-C and P-C soils and additional desorption kinetics are urgent prerequisites.

545 From the above discussion, it can be concluded that each of the four factors identified by
546 Kretzschmar et al. (1999) for significant transport of contaminants by CNMs have been met.
547 However, more work examining the subsurface transport of CNMs through well-defined soils of
548 various types (such as clays, peats and silts) and CNMs with a variety of functional groups, sizes
549 and sorbed compounds in both saturated and unsaturated conditions are required (Jaisi and
550 Elimelech, 2009; Petersen et al., 2011). Of studies that are available, variation in experimental
551 conditions between the investigations renders comparisons of the efficiency of contaminant
552 mobility between CNM types tentative until standardised comparative testing is conducted.
553 Additionally, the molecular weights and sizes of CNMs may not be constant during their transport

554 within the soil environment, due to their physical, chemical or biological interaction with soil
555 components, which will likely influence their aggregation status, shape, surface charge (Pan and
556 Xing, 2012), and possibly also their ability to sorb and mobilise contaminants over long timescales.
557 Furthermore, definitive data of the desorption kinetics of HOCs from CNMs in soils are essential to
558 understanding their ability to transport contaminants (Ibaraki and Sudicky, 1995; Choi and Yavuz
559 Corapcioglu, 1997; Corapcioglu et al., 1999; Bold et al., 2003; Hofmann and von der Kammer,
560 2009), with slow desorption identified as a critical requirement (Roy and Dzombak, 1998). The
561 lack of experimentally derived desorption kinetic data from a range of soil types and conditions
562 makes determining the extent to which HOC sorption is strong enough, and desorption slow
563 enough, to allow CNMs to transport sorbed HOCs, and the associated implications of transport,
564 difficult to predict (Qu et al., 2012).

565

566 **5. CNM – microorganism interactions**

567 As soils represent one of the ultimate sinks for nanomaterials (Nowack and Bucheli, 2007),
568 terrestrial microorganisms, which are a large component of soils, may be significantly affected
569 (Navarro et al., 2008). The reader is directed to an excellent review by Holden et al. (2014), which
570 evaluates the possible exposure concentrations of anthropogenic nanomaterials in a range of
571 environmental compartments, and assesses their relevance. However, understanding the impact
572 of CNMs on the soil microbial community is a subject still in its infancy (Dinesh et al., 2012). The
573 extent to which CNMs interact with microflora will (in part) determine the extent of possible
574 disruptions to bio-geochemical processes within soils that they may cause (Neal, 2008). This
575 section discusses recent literature related to the modification of CNM fate and behaviour by
576 microbiota, the toxicity of CNMs in soils and the possible implications for the biodegradation of
577 contaminants.

578 *5.1 Biological modification of CNMs in soils*

579 The influence of microbial populations on the physical and chemical state of nanoparticles
580 must be considered when discussing the ultimate fate of nanomaterials (Aruguete and Hochella,
581 2010). Degradation of C₆₀ in aqueous solutions through photochemical processes have been
582 identified by numerous investigations (Section 3.3) (Hou and Jafvert, 2008; Lee et al., 2009; Li et
583 al., 2009), which may be an important step in both its breakdown and the activation of precursors
584 for subsequent biological interactions (Turco et al., 2011). While C₆₀ photochemical reactions at
585 the soil surface have not been studied, its oxidation and transformation to the more reactive
586 fullerenol (i.e. C₆₀-OH) has been observed in water and in the presence of oxygen (Turco et al.,
587 2011). Following the abiotic photochemical modification of C₆₀ through sunlight into fullerols,
588 white-rot fungi was able to attack and subsequently incorporate a small amount of fullerol carbon
589 into fungal biomass (lipids) after 32 weeks of decay (Schreiner et al., 2009). By contrast,
590 unmodified C₆₀ was recalcitrant to such attack (Schreiner et al., 2009); hence, following minor
591 surface alterations, biological interactions with C₆₀ were substantially altered, changing the fate of
592 the particle. Similarly, the potential for horseradish peroxidase to biodegrade CNTs is strongly
593 related to the presence of carboxyl groups on the nanotubes surface, which permitted enzyme
594 mediated oxidation relative to pristine CNTs (Allen et al., 2008; Allen et al., 2009). Furthermore,
595 Fenton's reagents oxidised carboxyl-functionalised SWCNTs (SWCNT-COOH) through the
596 formation of hydroxyl radicals (Allen et al., 2008; Allen et al., 2009). It has therefore been
597 suggested that both white and brown rot mediated fungal activity could modify surface
598 functionalised CNTs in a similar manner to fullerols (Turco et al., 2011).

599 Ultimately, Turco et al. (2011) suggested that the fate of C₆₀ in soil is potentially controlled by
600 the rate of abiotic alterations to the formation of more reactive precursors, as opposed to a
601 simple dose response, and the toxicity of UV-modified CNMs in soils has not yet been
602 investigated. If degradation of CNMs by fungi were to occur on a large scale in the natural
603 environment, their potential environmental risk would be significantly reduced; however, it is

604 unlikely to occur in sufficiently large quantities to efficiently reduce any possible burden of CNM
605 presence in soils.

606 *5.2 CNM toxicity to soil microorganisms*

607 The toxicity of CNMs is dependent upon the bioaccessibility of nanoparticles to bacteria, and
608 retention of some the nanoparticles reactivity (Neal, 2008). Currently, little literature is available
609 related to the toxicity of CNMs within soils (Dinesh et al., 2012). Hence, the discussion presented
610 here provides a theoretical estimation of the specific microbial communities that may be more
611 vulnerable to soil-borne CNMs, followed by an overview of recent CNM-amended soil toxicity
612 findings published within the literature.

613 Soil conditions will ultimately dictate the extent to which CNMs are able to interact with
614 terrestrial microflora. Based on the discussion earlier relating to the fate and behaviour of CNMs
615 in soils, in addition to information regarding cell properties (Mehmannavaz et al., 2001), it may be
616 possible to tentatively speculate as to the bioavailability or bioaccessibility of CNMs to different
617 microbial populations. When assessing nanotoxicity, consideration must be given to both the
618 likelihood of a nanoparticle coming into contact with microbial cells together with the initial
619 concentration added to soils, to provide an accurate means of estimating the particle availability
620 (Dinesh et al., 2012). A strong interplay exists between the dispersal status of nanoparticles and
621 their bioaccessibility to specific soil microbial populations (Turco et al., 2011). As bacteria
622 frequently adhere to surfaces in the soil environment, attached cells within biofilms constitute a
623 large proportion of the bacterial community in the subsurface environment (Neal, 2008). Neal
624 (2008) therefore proposed that the study of nanotoxicity towards biofilm communities is a more
625 appropriate measure of toxicity in environmental systems than planktonic cells. However, it is
626 conceivable that given appropriate DLVO and non-DLVO forces between CNMs, microorganisms
627 and the soil matrix, CNMs could also become available to planktonic cells. One example of which
628 may be that CNM-SOM coatings could result in easier access to the cell surface relative to
629 uncoated particles due to the similarities in solubility between the cell membrane and surfactant;

630 however, the coating itself may attenuate the toxicity due to a lack of physical contact between
631 the CNM and a microbial cell (Lubick, 2008). Further work into the conditions under which CNMs
632 will be available to different microbial communities in soils is needed.

633 The extent to which soils with different properties determine the toxicity of some CNMs was
634 directly investigated by Chung et al. (2011). The impact of MWCNTs at 50, 500 and 5000 μg^{-1} soil
635 on the activity of soil microorganisms in a sandy loam (pH 6.98, OC content 17.69 g kg^{-1} , CEC 13.51
636 ± 0.78) and loamy sand (pH 5.21, OC content 8.33 g kg^{-1} , CEC 9.05 ± 0.10) was considered. Based
637 on an analysis of the activity of enzymes involved with cycling carbon (1,4- β -glucosidase,
638 cellobiohydrolase, xylosidase), nitrogen (1,4- β -acetylglucosaminidase) and phosphatase together
639 with lower microbial biomass-nitrogen and carbon in soil, their results indicated that MWCNTs
640 exhibited antimicrobial properties within both soil types (Chung et al., 2011). As these findings are
641 consistent with culture studies outside of the soil environment, in which reduced microbial
642 activity was a result of membrane damage, physical piercing and oxidative stress (Kang et al.,
643 2007; Simon-Deckers et al., 2009), the authors assumed that these antimicrobial mechanisms of
644 action may be responsible, with their impact not attenuated by the different soil properties
645 investigated.

646 Other investigations of nanotoxicity within soil using $n\text{C}_{60}$ have found more limited toxicity
647 effects. For example, Johansen et al. (2008) found microbial respiration and biomass to be
648 unaffected by pristine C_{60} agglomerates (50 nm – 50 μm -size) applied at concentrations of 0, 5, 25
649 and 50 mg kg^{-1} to dry, clay loam textured soil containing 2.5% OM and with a pH of 6.7. However,
650 polymerase chain reaction-denaturing gradient gel electrophoresis (PCR-DGGE) measurements of
651 the diversity and number of bacteria over a 14 d period showed that a three to four fold reduction
652 in rapidly growing bacteria occurred immediately following the addition of C_{60} (Johansen et al.,
653 2008). The authors proposed the results may have been observed as a direct consequence of
654 reactive oxygen species (ROS) formed by the C_{60} , which disrupted DNA and lipids within
655 membranes (Johansen et al., 2008). However, confirmation of ROS damage could not be acquired

656 due to the complexity of the soil environment (Dinesh et al., 2012), and a recent publication by
657 Chae et al. (2012) casts some doubt on the extent to which ROS are generated in the presence of
658 SOM. It may therefore be considered more likely that the observed alterations to the diversity
659 and number of bacteria may be an indirect result of a reduction in nutrient bioavailability due to
660 adsorption by C_{60} (Johansen et al., 2008).

661 In a similar investigation, Tong et al. (2007) assessed the role aggregation status plays in
662 determining nanotoxicity within soils. The impact of either nC_{60} at $1 \mu\text{g } C_{60} \text{ g}^{-1}$ soil, or $1000 \mu\text{g } C_{60} \text{ g}^{-1}$
663 soil in granular form on the function and structure of soil microbial community was assessed
664 (Tong et al., 2007). The silty clay loam soil (pH of 6.9, OM content 4%) was incubated with each of
665 the nanoparticle treatments for 180 d (Tong et al., 2007). Both C_{60} and nC_{60} resulted in limited
666 alteration to either the function or structure of microbial processes or communities (Tong et al.,
667 2007). These findings are similar to those of Johansen et al. (2008), and consistent with other
668 investigations in which the bioavailability and antibacterial activity of nC_{60} reportedly diminished
669 following sorption to soil, with the overall sorption capacity dictated by the soil OM content (Li et
670 al., 2008).

671 Despite differences in experimental setups between the studies by Johansen et al. (2008) and
672 Tong et al. (2007), from the data presented, it is not possible to rule out the bioaccessibility and
673 toxicity of C_{60} to a proportion of microbiota within soils. Although alterations to microbial
674 respiration as a result of fullerene addition to soil were not observed in either study, functional
675 substitution of specific impaired microorganisms may have occurred, masking any apparent
676 variation (Ekelund et al., 2003; Johansen et al., 2008). The studies presented here provide credible
677 insight into the possible toxicity of CNMs within the environment; however, insufficient data
678 comparatively analysing the impact of all CNMs on microbial populations within a range of well-
679 defined soil types is a major obstacle in determining their potential environmental impact.
680 Experimental work aimed at addressing the real world implications for particle toxicity to different
681 microbial communities, systematically testing the factors determining the behaviour and fate of

682 CNMs in soils highlighted previously, is required before firm conclusions can be drawn regarding
683 the impact of CNMs on soil microbial activity and structure. Specifically, the implications of abiotic
684 alterations and methods of CNM preparation on the bioaccessibility and toxicity to soil microbiota
685 have received little investigation.

686 *5.3 The bioavailability and bioaccessibility of CNM associated contaminants*

687 Sorption of contaminants is a fundamental mechanism in the regulation of organic
688 compound bioavailability (Lou et al., 2011). Given their strong sorptive capability, the addition of
689 CNMs to soil may result in the sequestration of organic contaminants, reducing their extractability
690 and bioaccessibility, operating in a similar manner to hard or black carbon (Chen et al., 2007).
691 However, the extent to which the processes identified in Section 4.1 impact upon the
692 bioaccessibility of contaminants and biodegradation have not received much research within soils.

693 The conditions under which CNMs enter the soil are also critical to determining their impact
694 upon contaminant bioaccessibility. Zhou et al. (2013) incubated ^{14}C -2,4-dichlorophenol (^{14}C -2, 4-
695 DCP) in a soil (sandy loam, pH 6.31, 2.5% OC, 46.7% clay, 37.9% silt, 15.4% sand) containing either
696 0, 2, 20 or 2000 mg kg⁻¹ SWCNTs or MWCNTs to determine the impact of carbon nanomaterials on
697 the mineralisation, degradation and distribution of ^{14}C -2-4-DCP in the soil. The impact of the order
698 in which the nanomaterials were added to the soil was also assessed, with nanomaterials added
699 either after spiking with ^{14}C -2-4-DCP, simulating disturbance of CNTs on pre-existing
700 contamination in soils, or CNMs as a mixture with ^{14}C -2, 4-DCP, simulating HOC degradation when
701 carried or accumulated (concentrated) by CNMs within the environment from other sources. The
702 CNMs were homogenised at using ultrasonication at 90 w, 20 Hz for 5 min in deionised water,
703 followed by shaking at 100 rpm for 1 day prior to use. The results showed that CNTs added at
704 concentrations ≤ 20 mg kg⁻¹ to soil after spiking with ^{14}C -2, 4-DCP resulted in no significant effects
705 on the time course of mineralisation, indicating that the activity of microorganisms was not
706 significantly influenced, nor did the desorption from CNTs reduce ^{14}C -2, 4-DCP bioavailability in
707 soil (Zhou et al., 2013). Following the addition of CNTs at 2000 mg kg⁻¹ added after ^{14}C -2, 4-DCP

708 spiking, mineralisation of ^{14}C -2, 4-DCP was significantly ($P < 0.05$) inhibited, which was attributed
709 to a reduction in the aqueous phase concentration of ^{14}C -2, 4-DCP in soil solution by 1/5 and 1/12
710 for SWCNTs and MWCNTs, respectively (Zhou et al., 2013). However, significant inhibitory effects
711 on the degradation of ^{14}C -2, 4-DCP when pre-sorbed to CNTs occurred at a CNT concentration of
712 20 mg kg^{-1} .

713 These results show that CNT interactions with contaminants within the soil environment
714 reduced the number of available sorption sites, with their sorptive ability further reduced by CNM
715 aggregation and interaction with soil components such as humic substances, DOM, peptone and
716 TA, which potentially coat CNTs modifying surface polarity, reducing surface area and hence
717 reducing HOC sorption capacity as discussed in Section 4.1 (Wang et al., 2008b; Cui et al., 2011;
718 Zhou et al., 2013). As the adsorption of ^{14}C -2, 4-DCP to CNTs was reversible, the bioaccessibility of
719 2,4-DCP was not reduced; however, it may be possible that the indigenous microorganisms were
720 not able to mineralise desorbed ^{14}C -2, 4-DCP at the same rate of desorption due to the possible
721 toxicity effects of CNTs on microbial activity (Zhou et al., 2013). CNTs are therefore potentially
722 able to increase the persistence of organic pollutants within soil through reducing biodegradation,
723 with greater effects observed for pre-adsorbed contaminants (Zhou et al., 2013). However, it is
724 possible to speculate about the environmental relevance of the investigation, as a concentration
725 of 2000 mg kg^{-1} is likely several orders of magnitude higher than could realistically be assumed to
726 exist (outside of localised 'hot-spots') within the environment (Zhou et al., 2013).

727 Similar results were obtained by Cui et al. (2011). Sediments (20 g) were first amended with
728 either biochar (100 mg), charcoal (20 mg) or SWCNTs (20 mg), then spiked with phenanthrene
729 (0.50 mg kg^{-1}). The mineralisation of phenanthrene by *Mycobacterium vanbaalenii* PYR1) was
730 inhibited by 40.3 ± 1.5 , 40.5 ± 2.6 and $29.5 \pm 3.5\%$ for biochar, charcoal or SWCNTs, respectively.
731 It was proposed that the larger surface area and pore volume of SWCNTs relative to the other
732 sorbents was responsible for the reduced phenanthrene mineralisation. However, following the
733 coating of SWCNTs with either HAs, TAs or peptone, a reduction in phenanthrene sorption

734 occurred due to reduced pore volumes and surface area, ultimately also reducing the extent to
735 which sorption to SWCNTs reduced mineralisation (Cui et al., 2011).

736 In addition to the impact of soil types on the impact of CNMs on organic contaminant
737 sorption, properties of the organic chemicals within soils are also influential in dictating their
738 interaction with different types of CNMs. Towell et al. (2011) assessed the impact of fullerene
739 soot (FS), SWCNTs and MWCNTs at 0, 0.05, 0.1 and 0.5% concentrations, on the HPCD
740 extractability (proven as an indicator of PAH bioaccessibility to soil micro flora (Reid et al., 2000;
741 Doick et al., 2005; Stokes et al., 2005; Rhodes et al., 2008b) and mineralisation of ¹⁴C-
742 phenanthrene, and HPCD extractability of ¹⁴C-benzo[*a*]pyrene (¹⁴C-B[*a*]P) in soils over an 80 d
743 period. Soils were first amended with CNMs, and then spiked with the contaminant. At
744 concentrations $\geq 0.05\%$ CNMs, ¹⁴C-phenanthrene mineralisation was significantly inhibited,
745 suggesting enhanced PAH sorption reduced the aqueous substrate available for microbial
746 mineralisation (Towell et al., 2011). Differences were also apparent between CNM types, with
747 SWCNTs generally resulting in greater mineralisation inhibition in relation to MWCNTs and FS
748 (Towell et al., 2011). However, at a concentration of 0.5% CNMs, ¹⁴C-phenanthrene was
749 mineralised to a greater extent with SWCNT amendments than FS. This disparity was attributed to
750 variation in rates of phenanthrene desorption from the solid to aqueous phase, as desorption
751 hysteresis occurs more commonly with fullerenes than CNTs due to differences in aggregate
752 structure and availability of sorption sites (Cheng et al., 2005; Yang and Xing, 2007; Towell et al.,
753 2011). The HPCD extractability of ¹⁴C-phenanthrene was significantly reduced as a result of CNM
754 amendment in a concentration dependant manner due to increased numbers of sorption sites
755 resulting in enhanced phenanthrene sorption (Towell et al., 2011). However, while the HPCD
756 extractability of ¹⁴C-B[*a*]P reduced with increasing concentrations of SWCNTs and MWCNTs, no
757 significant concentration dependant differences were observed with FS (Towell et al., 2011). The
758 ability of CNMs to sorb and hence modify the bioaccessibility of HOCs is therefore dependent on
759 the differences in physicochemical properties of the PAH in relation to the properties of the CNM.

760 However, the study did not consider possible differences in the toxicity CNMs between particle
761 types when discussing variations in mineralisation trends.

762 When considering the fraction of contaminants sorbed to CNMs within these investigations,
763 and the resulting reduced bioavailability, two schools of thought may be adopted; (i) over time
764 the non-degradable, bound fraction may innocuously degrade (Gevao et al., 2000a), or (ii) the
765 bound fraction is potentially re-mobilised over long time scales with potential environmental
766 implications (Gevao et al., 2000b). This draws on the discussion by Semple et al. (2013), in which
767 the significance of distinguishing between bioavailability and bioaccessibility is significant,
768 particularly when dealing with environmental ‘super sorbents’ such as CNMs with reference to
769 remediation of contaminated land and risk assessment. Semple et al. (2004) defined
770 bioavailability as ‘that which is freely available to cross an organism’s cellular membrane from the
771 medium the organism inhabits at a given time’, and is considered as a rate of substrate delivery to
772 cells. While bioaccessibility encompasses this fraction, it additionally extends to those which are
773 potentially available over time, but are currently chemically or physically removed from the
774 microorganism (Semple et al., 2004). In other words, it provides a definition of the total extent of
775 substrate that will be available to cells. Arguably, bioaccessibility is of relatively greater
776 importance when considering the fate and behaviour of CNM sorbed contaminants, due to the
777 larger temporal range and lack of implied immediacy. However, under some environmental
778 conditions, microbial colonisation of CNM agglomerates can occur, with potential implications for
779 the bioaccessibility of the bound contaminant fraction.

780 *5.4 Microbial sorption and biofilm formation*

781 While the toxicity of CNMs in soil is dependent on their bioaccessibility in addition to
782 retention of reactivity, if agglomerates of CNMs are present with a reduced cytotoxic nature, it is
783 conceivable that interstitial gaps in the agglomerate with mesopore dimensions will result in their
784 increased suitability for the sorption of microorganisms (Agnihotri et al., 2005; Upadhyayula and
785 Gadhamshetty, 2010). When this is related to the previous discussion of CNM contaminant

786 sorption and the implications for biodegradation, it is possible to re-consider the lack of
787 bioaccessibility of CNM sorbed contaminants reported in some studies, and consider their
788 potential to increase contaminant bioaccessibility in certain situations. Properties of particular
789 importance when considering CNMs for such applications include: (i) structures with high
790 porosities readily colonisable by microorganisms; (ii) potential ability to encourage biofilm
791 formation through offering a buffering capacity and (iii) the ability to adsorb high concentrations
792 of contaminants from bulk solution yet regulate the microbial biodegradation through desorption
793 (Abu-Salah et al., 1996).

794 Biofilms are groups of well-organised, adjoining cells encapsulated within a matrix of
795 insoluble, extracellular polymeric substances (EPS) (Morikawa, 2006). EPS encapsulation supports
796 cell substance and growth through the trapping, binding and dissemination of external nutrients
797 by charged polysaccharide groups (Cheng et al., 2007), and offers greater protection against
798 external stresses within the environment relative to those residing in a planktonic state (Pang et
799 al., 2005). Materials that allow a high degree of bacterial colonisation and possibly biofilm
800 formation are potentially suited to facilitating biodegradation (Upadhyayula and Gadhamshetty,
801 2010), which is typically most effective when microorganisms are in biofilm state relative to
802 planktonic, due to greater bioavailability, protection and adaptability to toxic conditions and
803 hence more rapid pollutant degradation (Singh and Cameotra, 2004; Singh et al., 2006).
804 Furthermore, bacterial colonisation may stabilise nanoparticle aggregates, as polysaccharides
805 such as those generated by bacteria, have been observed to significantly increase the aggregation
806 of C₆₀ fullerene, reducing particle mobility within the environment (Espinasse et al., 2007).

807 Upadhyayula and Gadhamshetty (2010) conducted hypothetical calculations to determine
808 the quantity of cells that an agglomerate of CNTs could potentially sorb. The dimensions of a
809 typical bacterium such as *Shewanella oneidensis* (*S. oneidensis*) are 2 µm in height with a radius of
810 0.5 µm, resulting in a surface area of $7.85 \times 10^{-12} \text{ m}^2$. Assuming that 10% of the surface area of 0.1g
811 CNTs added to media was available for bacterial sorption, the CNTs would be able to sorb 3.18

812 E+13 *S. oneidensis* cells (Upadhyayula and Gadhamshetty, 2010). Furthermore, Upadhyayula et al.
813 (2009) confirmed that the adsorptive capacity of nanotubes for the bacterial strain *Bacillus subtilis*
814 to be 37 times greater than the capacity of activated carbon; however, this may vary depending
815 upon pore volumes and surface area, which are key determinants of immobilisation capacity
816 (Upadhyayula and Gadhamshetty, 2010). Given these parameters, it is conceivable that biofilms
817 could develop on CNM aggregates given sufficient pore volumes and diminished CNM reactivity.

818 When the potential for biofilm development on CNMs is considered in relation to their HOC
819 sorptive ability and aggregation within soils, it has been suggested that CNMs may be useful for
820 enhancing biodegradation of organic pollutants that cannot be easily concentrated. With CNM
821 aggregates behaving as an organic chemical collector and accumulator, biofilm development on
822 CNMs potentially increases the bioavailability/bioaccessibility of the contaminant (Yang et al.,
823 2006b). Given adequate reversibility of organic compound adsorption and limited desorption
824 hysteresis, sorption of bacterial cells to the surface of CNM aggregates may shorten the diffusion
825 distance, facilitating the utilisation of the sorbed organic compound by the bacteria. This is well
826 illustrated by Yan et al. (2004), who studied the removal efficiency of microcystins (MCs) toxins
827 from solution by *Ralstonia solanacearum* bacteria (Gram-negative cells which are able to readily
828 coalesce on fibrous material) immobilised as a biofilm on a nontoxic form of CNTs. Their results
829 showed that the removal efficiencies of MCs were 20% greater by CNT biological composites than
830 either CNTs or bacteria alone (Yan et al., 2004). The findings were explained through absorption
831 of large amounts of MCs and *R. solanacearum* by CNTs, resulting in a concerted biodegradation
832 reaction (Yan et al., 2004). In a similar investigation, Kanepalli and Donna (2006) used CNT-
833 bacteria nanocomposites to assess the bioremediation of highly persistent trichloroethylene (TCE)
834 in groundwater. The study revealed that TCE instantly sorbed to bacteria-nanocomposites, which
835 was later released to bacteria that were immobilised on the surface and metabolised.

836 Xia et al. (2013) studied the bioavailability and desorption (Tenax TA) of ¹⁴C phenanthrene
837 aged over 60 d with four different MWCNTs with varying surface areas in aqueous solution.

838 MWCNTs significantly ($P<0.05$) reduced the mineralisation of phenanthrene in accordance with
839 their properties, with particles possessing larger specific surface areas together with large meso-
840 and micro-pore volumes resulting in the lowest mineralisation efficiencies. Bacteria were also
841 observed to colonise the surface of MWCNT aggregates, proportional to the quantity of
842 phenanthrene desorbed through Tenax TA extractions (Xia et al., 2013). Although slight changes
843 to the physical appearance of the bacteria were observed when sorbed to MWCNT aggregates,
844 potentially indicating a toxicity effect, the ability of the cells to metabolised phenanthrene sorbed
845 to low surface area particles may not have been significantly reduced (Xia et al., 2013). However,
846 the lack of a control sample in which the metabolism of cells under conditions devoid of CNMs
847 was assessed, limited the ability of the paper to determine the overall impact of MWCNT
848 aggregates on phenanthrene mineralisation.

849 Very little information is available on how CNMs act within soil matrices, especially in relation
850 to their adsorption to organic fractions, organic pollutants and their subsequent toxicity (Dinesh
851 et al., 2012). With an angelus sorbents such as black carbon (BC), elevated mineralisation of a
852 phenanthrene substrate has been observed as a direct result of BC addition to soil, which was
853 tentatively attributed to microbial sorption and utilisation of phenanthrene from the sorbed
854 phase (Rhodes et al., 2008a; Rhodes et al., 2012). Only one study has identified an increase in
855 contaminant mineralisation in soils following the addition of CNMs. Xia et al. (2010) studied
856 phenanthrene biodegradation and desorption characteristics (using XAD-2) in 21-40 day aged
857 MWCNT-amended soils relative to soils amended with wood char and black carbon. Following
858 each ageing interval, *Agrobacterium* (the degrading inoculum) was added to the soil, and the
859 contaminant degradation efficiency measured. After 28 and 40 days ageing, the degradation
860 efficiency in MWCNT-amended soils was 54.2% and 24.6%, respectively; wood char amended
861 73.5% and 25.1%, respectively and black carbon amended 83.8% and 38.3%, respectively. Thus a
862 reduction in bioavailability of contaminants sorbed to each of the sorbents with increasing soil
863 contact time is observed (Xia et al., 2010), together with the relatively low bioavailability of

864 contaminants sorbed to MWCNTs relative to other environmental sorbents. Desorption studies
865 identified similar residual concentrations of phenanthrene; however, during rapid stages of
866 degradation, desorption rates were found to under-predict the rate of degradation (Xia et al.,
867 2010). This potentially suggests that for each of the sorbents, phenanthrene was available to
868 bacteria either through the promotion of desorption or direct access (Xia et al., 2010).

869 Given the discussion above, it is possible to consider an additional factor to those proposed by
870 Kretzschmar et al. (1999) in Section 4, to determine the significance of contaminant facilitated
871 transport by CNMs. If the CNM sorbed contaminant is available to the cells through utilisation
872 from the sorbed phase, the importance of desorption of sorbed compounds from CNMs during
873 transport is reduced. It is therefore proposed that incorporation of a fifth factor, 'the
874 bioavailability and bioaccessibility of CNM sorbed contaminants to microorganisms from the solid
875 phase', may be appropriate, as inferring bioaccessibility through desorption investigations may
876 lead to incorrect assumptions. However, substantially more work is required to identify the exact
877 mechanism involved in these findings, and the specific conditions under which contaminant and
878 microbial sorption to CNMs could potentially result in toxicity from the CNM itself, from the
879 sorbed contaminant or both (Nowack and Bucheli, 2007). It is also possible that under some
880 environmental conditions, rapid desorption or excessive bioavailability of sorbed contaminants
881 may shock load sorbed bacteria and prove toxic (Upadhyayula and Gadhamshetty, 2010).
882 Biodegradation of contaminants sorbed to CNMs therefore still requires substantial investigation
883 into specific combinations of pollutants and microorganisms (Upadhyayula and Gadhamshetty,
884 2010), to determine whether the bioaccessibility of sorbed contaminants is either increased or
885 decreased, and if the addition of CNMs will increase the mobility of contaminants in the
886 environment. The general paucity of knowledge regarding the duration for which contaminants
887 will remain sorbed to CNMs requires addressing to determine the long-term stability of
888 contaminants sorbed to different nanoparticle types. Furthermore, the extent to which CNMs
889 influence the transformation residues of HOCs in soils such as bound residues formed during

890 organic pollution degradation in soil is unknown (Barriuso et al., 2008; Shan et al., 2011; Zhou et
891 al., 2013).

892

893 **6. Summary and conclusions**

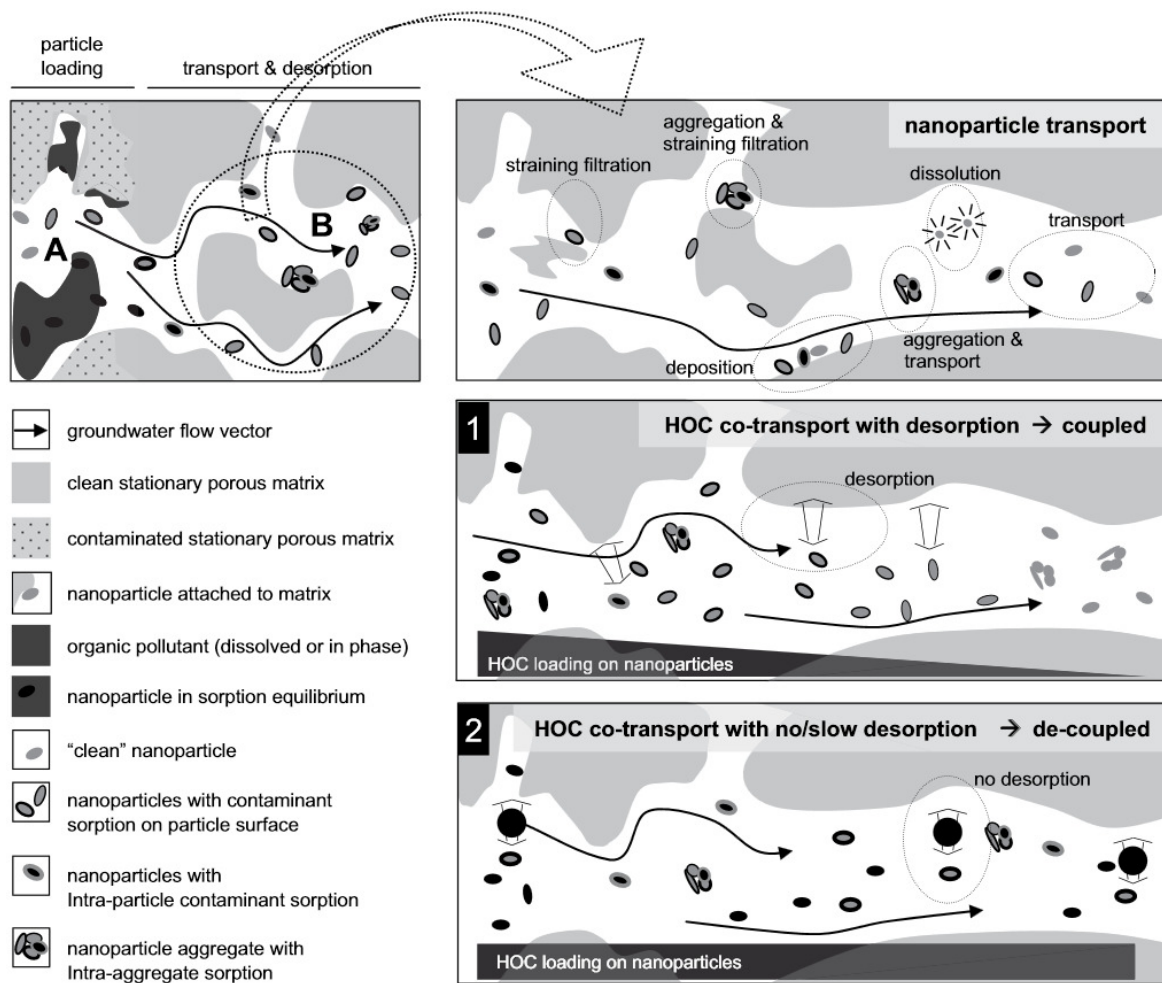
894 The complex and dynamic nature of both soil environments and CNM physicochemical
895 properties generates enormous uncertainty in attempting to predict their behaviour and impact
896 on contaminant sorption, sequestration and transport as well as microbial interactions. This
897 review argues that the fate and behaviour of CNMs in soils is influenced by multiple parameters
898 such as the type and quantity of SOM, the type of clay particles present, the dominant charge
899 characteristics of the matrix as dictated by the soil inorganic fraction, together with properties of
900 the CNM, each of which is heavily influenced by pH and ionic strength. In addition, to a small
901 extent, biological activity has been shown to modify carbon nanomaterial fate. However,
902 presently no research has been conducted into the manner in which each these factors interact
903 and collaboratively influence the fate and behaviour CNMs in real environmental scenarios, and
904 significantly more research is required.

905 The extent to which CNMs are able to modify the behaviour of contaminants in soils and
906 facilitate their transport is dependent on the CNM concentration, the properties of SOM,
907 molecular weight of the HOC and interaction of the CNM with the HOC before the addition to
908 soils. When present in sufficient concentrations, CNMs have the ability to facilitate the transport
909 of co-existing contaminants such as PAHs to a greater extent than naturally occurring colloids
910 such as DOM, the extent of which is dependent on the physicochemical properties of the
911 contaminant, CNM functionalization status, aggregation size and method of preparation. Further
912 work derived from experimental research is needed to address the lack of data relating to the
913 transport of CNMs through soils of different properties. Additionally, CNM-HOC desorption

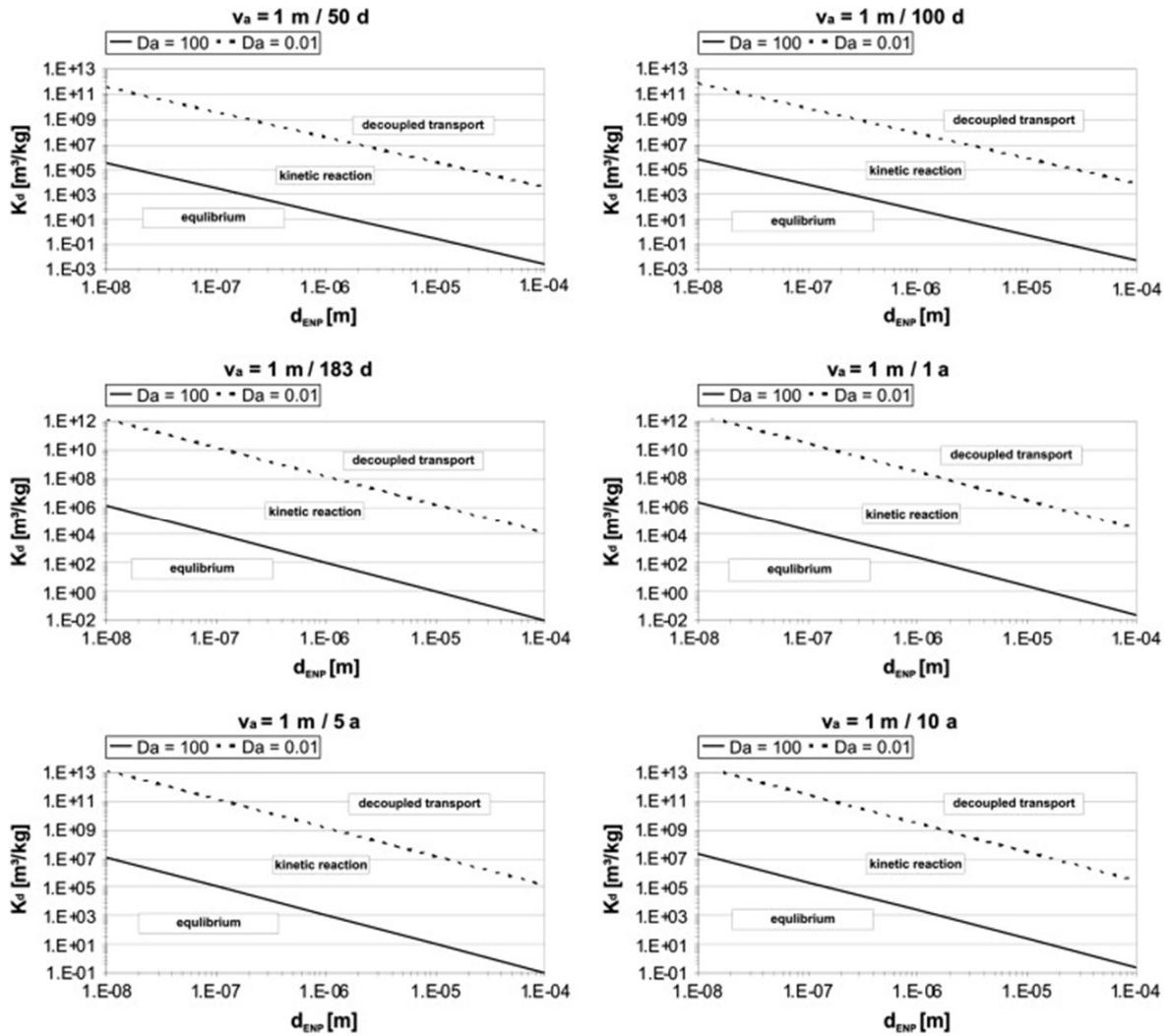
914 kinetics within soils require defining, as this presently limits our understanding of the significance
915 CNM facilitated transport.

916 Finally, CNMs are undoubtedly efficient sorbents for a range of HOCs. However, while a
917 reduction in bioaccessibility of contaminants in soils has been demonstrated (Towell et al., 2011),
918 possibly indicating to uses as agents to land reduce bioaccessibility of contaminants, information
919 regarding sorption stability together with their potential to increase contaminant mobilisation
920 and other secondary effects are as yet too poorly developed to fully anticipate the possible
921 environmental impact. To determine the behaviour of CNMs within soils, it is concluded that no
922 one set of environmental or CNM characteristics can be viewed in isolation. Hence, given the
923 diverse array of variables, it is argued that risk-assessment of CNMs within the soil environment
924 should be conducted on a case-by-case basis. Detailed analysis of other environmental
925 compartments in which CNMs can potentially accumulate such as sediments, should also be
926 considered.

927



929 **Figure 1.** 'Worst case scenario' processes by which CNMs may facilitate the transport of HOCs.
 930 Top left panel: (A) HOC equilibrates with CNM, and is (B) transported. Top right panel shows the
 931 processes by which CNMs may be transported. The centre right panel (1) show the transport and
 932 rapid desorption of HOCs from CNMs. Equilibrium is achieved between the liquid phase, CNM and
 933 matrix. (2) shows slow desorption kinetics, with no desorption from the CNM (Hofmann and von
 934 der Kammer, 2009). Re-printed with permission from Elsevier, © 2014.



936

937 **Figure 2.** Simulation of diffusion limited desorption using of pore water velocities (v_a) between 1
 938 m/50 d to 1 m/10m/y. The solid line represents the Damköhler number of 100 (representing
 939 equilibrium transport above which the HOC will equilibrate between the CNM and soil matrix),
 940 the dashed line indicates a Damköhler number of 0.01 (decoupled transport below which HOC
 941 desorption will not occur within the timeframe of transport). If Damköhler numbers are < 100 or
 942 >0.01, kinetics of sorption should be considered in transport models (Hofmann and von der
 943 Kammer, 2009). Re-printed with permission from Elsevier, © 2014.

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