

# 1 Investigating microbial transformations of soil organic matter: 2 Synthesizing knowledge from disparate fields to guide new experimentation

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## 9 **Abstract**

10 Discerning why some soil organic matter (SOM) leaves soil profiles relatively quickly while other  
11 compounds, especially at depth, can be retained for decades to millennia is challenging, for a  
12 multitude of reasons. Simultaneous with soil-specific advances, multiple other disciplines have  
13 enhanced their knowledge bases in ways potentially useful for future investigations of SOM decay.  
14 In this article, we highlight observations highly relevant for those investigating SOM decay and  
15 retention but often emanating from disparate fields and residing in literature seldom cited in SOM  
16 research. We focus on recent work in two key areas. First, we turn to experimental approaches  
17 using natural and artificial aquatic environments to investigate patterns of microbially-mediated OM  
18 transformations as environmental conditions change, and highlight how aquatic microbial responses  
19 to environmental change can reveal processes likely important to OM decay and retention in soils.  
20 Second, we emphasize the importance of establishing intrinsic patterns of decay kinetics for purified  
21 substrates commonly found in soils to develop baseline rates. These decay kinetics – which  
22 represent the upper limit of the reaction rates – can then be compared to substrate decay kinetics  
23 observed in natural samples, which integrate intrinsic decay reaction rates and edaphic factors  
24 essential to the site under study but absent in purified systems. That comparison permits the site-  
25 specific factors to be parsed from the fundamental decay kinetics, an important advance in our  
26 understanding of SOM decay (and thus persistence) in natural systems. We then suggest ways in  
27 which empirical observations from aquatic systems and purified enzyme-substrate reaction kinetics  
28 can be used to advance recent theoretical efforts in SOM-focused research. Finally, we suggest how  
29 the observations in aquatic and purified enzyme-substrate systems could be used to help unravel the  
30 puzzles presented by oft-observed patterns of SOM characteristics with depth, as one example of  
31 the many perplexing SOM-related problems.

32

## 33 **1 Introduction**

34 In spite of a multitude of studies exploring the drivers of soil organic matter (SOM) decay,  
35 investigators still struggle with a deceptively simple-sounding question: *Why does some SOM leave the*  
36 *soil profile relatively quickly, while other compounds, especially those at depth, appear to be retained on timescales*  
37 *ranging from the decadal to the millennial?* This question is important on a practical as well as academic  
38 level: understanding SOM retention over long time periods helps us predict soil fluxes of carbon  
39 (C) and thus Earth's atmospheric [CO<sub>2</sub>], as well as fundamental features of ecosystem metabolism.  
40 However, addressing this question is challenging for a multitude of reasons. Most of the  
41 biogeochemical tools employed by those investigating SOM decay capture data of a very integrated  
42 nature, as they are influenced by many processes. As a result, such data are difficult to interpret.  
43 Respired CO<sub>2</sub>, activity levels of exo-enzymes exuded by microbes, and changing availability of

44 dissolved organic carbon (DOC), for example, integrate fluxes driven by the metabolically active  
45 subset of the whole living microbial community in a soil sample, but how the active subset fits into  
46 the context of the greater community is not known. Furthermore, the organic substrates the active  
47 subset transforms into energy, biomass, exo-enzymes, or waste are typically of unknown identity.  
48 Of key interest for many scientists is how these fluxes (and hence the size of the pools those fluxes  
49 drain or augment) are modified with environmental factors such as temperature or moisture. Such  
50 knowledge remains elusive when we still struggle with attempts to measure and understand these  
51 processes in relatively stable environments. Further complicating our efforts, soil profiles are  
52 heterogeneous environments. Physical and chemical protection of SOM and microbial community  
53 composition varies across spatial scales ranging from the molecular to the continental (Schimel &  
54 Schaeffer 2012). Thus, one soil sample's SOM decay response to an environmental perturbation  
55 may not hold true for samples collected in close proximity, much less for different depths at the  
56 same location, or for soil types in distinct climate regimes.

57  
58 Concerns about SOM destabilization with climate change have generated increased urgency within  
59 the discipline in recent decades (Kirschbaum 1995, Bradford 2013, Billings and Ballantyne 2013).  
60 Soils-focused literature is now replete with papers empirically describing temperature, moisture or  
61 nutrient concentration effects on different SOM decay processes (e.g. Craine et al. 2010; Wagai et al.  
62 2013, Manzoni et al. 2012b, Tiemann and Billings 2011a, Moyano et al. 2013). From these and  
63 related efforts, we have gained an appreciation for the apparent relevance of the carbon (C) quality  
64 hypothesis, which states that slowly decomposing SOM is more sensitive, in a relative sense, to  
65 temperature changes than SOM that decays more quickly (Bosatta and Ågren 1999). However, this  
66 response is not evident in some soils (Laganiere et al. in review). We also have learned that historic  
67 conditions serve as a meaningful driver of contemporary biogeochemical responses to varying  
68 conditions in soils (Evans and Wallenstein 2012). We have appreciated the tremendous diversity of  
69 soil microbial communities and their rapidly varying composition as environmental conditions vary  
70 (Howe et al. 2014, Billings and Tiemann 2014). There is a growing recognition of an apparent lack  
71 of inherent recalcitrance of many SOM pools previously thought to be relatively stable, particularly  
72 those at depth (Fontaine et al. 2007, Schmidt et al. 2011), prompting considerations that temperature  
73 sensitivity may not vary with depth as much as previously thought. Recent modeling efforts,  
74 particularly those focusing on temperature and nutrient availability as drivers of microbial behavior,  
75 also have enhanced our ability to identify key factors important to SOM fate in a changing  
76 environment (e.g. Manzoni et al. 2012).

77  
78 Simultaneous with these soil-specific advances, other disciplines have enhanced their knowledge  
79 bases in ways potentially useful for future investigations of SOM decay. However, results of these  
80 efforts are reported in a widely-dispersed literature often not frequented by the SOM-focused  
81 community of scholars. For example, microbiologists have demonstrated that gene expression by  
82 heterotrophic bacteria in the oceans can exhibit diurnal fluctuations (Otterson et al. 2014). Such  
83 work highlights linkages between heterotrophic activity and short-term fluctuations in resource  
84 availability, a topic of central importance to OM decay. Though some of the principles of OM  
85 decay in ocean systems clearly are relevant to soils (Jiao et al. 2010), studies describing oceanic OM  
86 transformations are rarely cited in soil literature. Also rarely invoked by soil biogeochemists are  
87 laboratory experiments that study soil-relevant processes using reductionist approaches. For  
88 example, chemostat experiments are ideally suited to study fundamental physiological functioning of  
89 microbes and can provide empirical data relevant to recent advances in ecological stoichiometric  
90 theory (Elser et al. 2000, Manzoni et al. 2012a). However, the relative paucity of linkages across

91 disciplines exploring aquatic and terrestrial OM and microbiology makes it challenging to apply such  
92 results in a broader, ecological context.

93

94 In this article, we highlight observations highly relevant for those investigating SOM decay and  
95 retention but often emanating from disparate fields and residing in literature seldom cited in SOM  
96 research papers. We focus on recent work in two key areas. First, we turn to experimental  
97 approaches using natural and artificial aquatic environments to investigate patterns of microbially-  
98 mediated OM transformations as environmental conditions change. In 1997, John Hedges and John  
99 Oades made an elegant plea for investigators of OM decay in soils and aquatic environments to  
100 integrate their approaches and ideas to elucidate patterns and mechanisms common to both systems  
101 (Hedges and Oades 1997). We echo this call by highlighting how some of the microbial responses  
102 to environmental change in aquatic environments can reveal processes likely important to OM decay  
103 and retention in soils. Second, we emphasize the importance of establishing intrinsic patterns of  
104 decay kinetics for purified substrates commonly found in soils to develop baseline rates. These  
105 decay kinetics can then be compared to substrate decay kinetics observed in natural samples, which  
106 integrate intrinsic decay reaction rates and edaphic factors essential to the site under study but absent  
107 in purified systems. That comparison permits the site-specific factors to be parsed from the  
108 fundamental decay kinetics, an important advance in our understanding of SOM decay (and thus  
109 persistence) in natural systems. We then suggest ways in which empirical observations from aquatic  
110 systems and purified enzyme-substrate reaction kinetics can be used to advance recent theoretical  
111 efforts in SOM-focused research. Finally, we suggest how the observations in aquatic and purified  
112 enzyme-substrate systems could be used to help unravel the puzzles presented by oft-observed  
113 patterns of SOM characteristics with depth, as one example of the many perplexing SOM-related  
114 problems.

115

## 116 **2 Using well-mixed, natural and artificial systems to avoid challenges present in** 117 **soils**

118 One potential means of addressing some of the challenges in SOM research described above is to  
119 investigate the decay of organic substrates in the absence of soils. Much ocean and freshwater OM  
120 decay proceeds via the same fundamental processes present in soil, via microbially produced exo-  
121 enzymes, and can be restricted via some of the same processes as well. For example, aggregate  
122 formation can protect ocean OM from decay (Jiao et al. 2010) much as it does in soils (Six and  
123 Paustian 2013). As such, invoking knowledge derived from ocean and freshwater systems about the  
124 microbial processes relevant to aquatic OM decay, where substrate and enzymatic diffusion is far  
125 less limiting than in typical soil profiles, can provide valuable insight to the microbial processes  
126 driving SOM decay or retention.

127

128 Artificial aquatic systems in which environmental conditions and resident microbes can be strictly  
129 controlled are also useful for those investigating SOM decay and retention. Such systems represent  
130 conditions far removed from soil profiles, and at first glance appear foreign to SOM studies.  
131 Chemostats are well suited to support one, isolated microbial population (Monod 1950), in sharp  
132 contrast with the complex communities found in natural systems. Chemostats also typically present  
133 the microorganisms they support with a constant substrate supply, and are subjected to  
134 manipulation of just one environmental parameter (Ferenci 2008). As a result, we probably cannot  
135 consider absolute values of the size or composition of any resource pool or flux observed during  
136 such experiments as immediately comparable to those that would occur in soils. However, by  
137 largely relieving diffusional constraints on organic substrates, exo-enzymes, mineral nutrients, and

138 the microorganisms themselves, chemostat environments mitigate at least one concern present in  
139 soil research: that results are relevant only for one particular soil profile due to heterogeneous  
140 conditions. Furthermore, experiments in artificial aquatic environments can offer proof-of-concept  
141 for physiological responses of microbes to a varying environment (e.g. changing temperature or  
142 nutrient availability), and as such provide those who venture into natural soil environments with  
143 information about fundamental, baseline responses of microbes to changing conditions. That  
144 information, in turn, can provide a starting point for formulating predictions about how soil  
145 microorganisms may respond to environmental change.

146

147 By turning to natural and artificial aquatic systems for guidance, we do not mean to imply that  
148 diffusional constraints are not important. Indeed, they may be the prominent feature driving SOM  
149 decay in many soils (Dungait et al. 2012). However, by studying aquatic systems we gain insight to  
150 enzymatic and microbial responses to changing environmental conditions in relative isolation from  
151 such constraints, and that in turn allows us to assess the relative importance of the very constraints  
152 we have eliminated. In the following sections, we present advances from natural and artificial  
153 environments relevant to research on microbially-mediated SOM transformations, beginning with  
154 oceanic and lacustrine systems and then examining increasingly controlled environments.

155

## 156 **2.1 Natural aquatic systems as well-mixed environments in which to explore** 157 **drivers of C fluxes and microbial elemental composition**

158 Investigations of microbial transformations of OM in the oceans provide important information for  
159 those interested in understanding SOM dynamics. For example, organic geochemists working in the  
160 ocean have appreciated the role of the ‘microbial loop’ as a governing feature of ocean OM  
161 composition and availability for decades (Pomeroy 1974, Azam et al. 1983, Pomeroy et al. 2007).  
162 Work in ocean waters has demonstrated the importance of microbial byproducts as contributors to  
163 the ocean’s reservoirs of OM (Kawasaki and Benner 2006, Kaiser and Benner 2008) and, more  
164 specifically, to the ocean’s slow-turnover OM pools (Jiao et al. 2010). The call made by Hedges and  
165 Oades (1997) to integrate aquatic and terrestrial studies is slowly being heeded, as reflected in soils  
166 literature acknowledging the important role microorganisms appear to play as producers, not just  
167 consumers, of SOM (Simpson et al. 2007; Liang et al. 2010, Hobara et al. 2014), which has been  
168 elucidated in the ocean (Kawasaki and Benner 2006, Kaiser and Benner 2008, Jiao et al. 2010). The  
169 composition and transformations of aquatic C are increasingly being used to better understand the  
170 terrestrial systems whence some fraction of aquatic C is derived. Indeed, Battin et al.’s ‘boundless C  
171 cycle’ concept emphasizes the importance of aquatic C flows as essential to quantify if we wish to  
172 understand both terrestrial and aquatic C transformations (Battin et al. 2009), and yet more recent  
173 work highlights how OM composition in aquatic systems can help us understand both aquatic C  
174 fluxes and the terrestrial systems upstream (Marín-Spiotta et al. 2014).

175

176 The stoichiometry of resources and of microbial resource demand are both relevant to OM decay  
177 and retention because microbial stoichiometry governs the resources that can be used effectively and  
178 thus the stocks of OM (including microbial necromass) that are retained (Elser et al. 2000). Adding  
179 C to lake water, for example, can induce greater bacterial biomass and greater bacterial mass-specific  
180 uptake of phosphorus (P; Stets and Cotner 2008). However, this effect is attenuated when grazing  
181 by organisms in higher trophic levels limits the pool size of bacterial biomass (Stets and Cotner 2008).  
182 Thus, it seems important to investigate the extent to which soil food webs can provide a top-down  
183 limitation on the turnover of SOM after C additions. Knowledge of bacterial responses to C  
184 additions from the aquatic literature is also relevant to investigations of the distinctions between

185 bulk soil SOM transformations and those in the rhizosphere, where C availability tends to be higher  
186 (Cheng et al. 2014), and can help us understand both lateral and vertical patterns of nutrient demand  
187 in soils.

188  
189 Indeed, experiments in freshwater lakes also reveal that changes in bacterial stoichiometry with  
190 changing resource stoichiometry are dwarfed by the responses of biomass stoichiometry to changing  
191 growth rates (Makino et al. 2003). Stoichiometric plasticity of microorganisms, though  
192 acknowledged as a potentially important way in which microbes may respond to environmental  
193 change (Billings and Ballantyne 2013), is rarely incorporated into conceptual or quantitative models  
194 of SOM transformations, in stark contrast to the aquatic literature (e.g. Klausmeier et al. 2004). The  
195 degree to which organisms exhibit stoichiometric flexibility appears to vary widely (Geider and  
196 Laroche 2002), but in organisms exhibiting such plasticity, C:P can be many times more variable  
197 than C:N (Hessen et al. 2013). It is unknown how such variation may influence OM decay, whether  
198 in aquatic or soil environments, but because one or multiple resources ultimately limit growth and  
199 rates of decomposition, understanding the causes and consequences of microbial stoichiometry in  
200 soils is important for modeling SOM degradation and associated respiratory C loss.

201  
202 Aquatic scientists also have observed that increasing temperatures tend to result in increasing C:P  
203 and N:P of bacterial biomass (Cotner et al. 2006), and that some of these changes are driven by  
204 changes in community composition (Hall et al. 2008). Bacterial growth efficiency  
205 (production/(production+respiration); delGiorgio and Cole 1998) appears to decline with warming  
206 in aquatic systems (Hall and Cotner 2007) and to be lower in tropical compared to temperate lakes  
207 (Amado et al. 2013), though this warming response is not ubiquitous (delGiorgio and Cole 1998).  
208 Lower respiratory C losses at a particular temperature from bacteria sampled from warmer  
209 environments compared to those sampled from colder environments are congruent with microbial  
210 acclimation to temperature regimes (Hall and Cotner 2007). Currently, the efficiency with which soil  
211 microbes generate biomass relative to CO<sub>2</sub> (often referred to as C use efficiency, or CUE) is a key  
212 focus of SOM investigations, but aquatic literature suggests that variables like biomass pool size  
213 (driven by both bottom-up and top-down pressures, Amado et al. 2013) and biomass stoichiometry  
214 (C:N:P) should be included in soil-focused studies of microbial CUE.

## 215 216 **2.2 Chemostats as well-mixed, reductionist environments in which to explore** 217 **drivers of microbial elemental composition**

218 Chemostat experiments enable almost complete control over microbial growth dynamics, and thus  
219 are useful for exploring fundamental microbial responses to environmental variation. Scientists have  
220 used chemostats for decades to understand the determinants of microbial growth (Monod 1950,  
221 Droop 1974, Rhee and Gotham 1981) because microbial growth rate can be controlled via dilution  
222 rate (Table 1; Monod 1950, see Ferenci 2008 for discussion). Unfortunately we cannot know  
223 microbial growth rates in non-steady state conditions. However, the benefits of exploring microbial  
224 behaviors in continuous culture mode are great, given how difficult it is to know microbial growth  
225 rates in soils and their importance for understanding microbial responses to environmental cues.

226  
227 In recent years, chemostat studies have enjoyed a resurgence in popularity (e.g. Miller et al. 2013,  
228 Simonds et al. 2010), driven in part by investigations of bacterial responses to environmental change  
229 and associated patterns of gene expression (Ferenci 2008). For example, components of recent  
230 models of SOM transformations such as the stoichiometric constraints on substrates, enzymes, and  
231 microbial biomass (Moorhead et al. 2012, Manzoni et al. 2012a, Allison 2012, Ballantyne and Billings

232 in revision) are frequently investigated in chemostat studies. Though some models invoke plasticity  
233 of microbial stoichiometry as a potential response to environmental change, the extent to which  
234 biomass plasticity vs. homeostasis is realized, and under what conditions, remains unclear. While  
235 total soil microbial biomass C:N:P appears well-constrained to an average of 60:7:1 across multiple  
236 ecosystems and a wide range of nutrient availabilities (Cleveland and Liptzin 2007), studies  
237 manipulating soil nutrients demonstrate that meaningful shifts in microbial stoichiometry are  
238 sometimes realized (Tiemann and Billings 2011b). Where plastic biomass stoichiometry is observed,  
239 two key reasons make it difficult to understand the mechanisms underlying the phenomenon: 1) it is  
240 difficult to know if such shifts result from stoichiometric change in extant populations or from  
241 changing relative abundances of distinct populations, and 2) stoichiometric analyses of soil microbial  
242 biomass typically reflect total biomass, not just the active biomass (Table 1). Chemostats allow us to  
243 disentangle these competing mechanisms.

244  
245 In a chemostat, changes in biomass stoichiometry provide evidence that microbial stoichiometric  
246 plasticity can be a consequence of environmental change, a conclusion difficult to formulate using  
247 soil in which we do not know the identity nor the abundance of the active microbial players.  
248 Stoichiometric plasticity of microbes can vary to a much greater extent than what is typically  
249 observed in SOM literature. For example, *Pseudomonas fluorescens* biomass C:N:P showed variation  
250 from 52:8:1 to 163:25:1, depending on whether P was abundant or scarce relative to N  
251 (Chrzanowski and Kyle 1996). Chemostats also have revealed that some stoichiometric ratios (e.g.  
252 C:N) of actively metabolizing microorganisms can remain similar as nutrient availability changes,  
253 while others (e.g. N:P) vary only when a substrate stoichiometric threshold is surpassed.  
254 (Chrzanowski and Kyle 1996). It remains unclear if stoichiometric plasticity represents  
255 opportunistic uptake in response to changing nutrient availability, or if it is a reflection of a  
256 microbial population's inability to regulate uptake and/or excretion. Regardless of the mechanism,  
257 changing microbial stoichiometry can influence both resource demand and, given the generation of  
258 microbial necromass, SOM composition.

259  
260 Chemostats are also a key means of advancing our knowledge about microbial stoichiometry in  
261 different temperature regimes and at different growth rates. Chemostats inform us, with great  
262 clarity, that growth rate and in some circumstances temperature are key drivers of microbial  
263 stoichiometry. Growth rate appears to be a dominant driver of stoichiometric patterns in  
264 chemostat-raised organisms (Rhee and Gotham 1981, Klausmeier et al. 2004, Chrzanowski and  
265 Grover 2008), consistent with observations from lakes (Makino et al. 2003). Microbes growing at  
266 relatively fast rates tend to exhibit greater cellular P concentrations across a range of P availabilities,  
267 consistent with observations from natural waters (Elser et al. 2003) and the growth rate hypothesis  
268 (GRH), which states that C:P and N:P ratios reflect changing organismal allocation to ribosomal  
269 RNA, a P-rich molecule, as growth rate varies (Elser et al. 2000). Bacterial stoichiometry (C:P, N:P)  
270 also appears to vary with temperature in nutrient-limited (N, P) environments, perhaps due to  
271 greater investment in P-rich RNA at cooler temperatures (Cotner et al. 2006). Interestingly, the  
272 effects of temperature and growth rate on cellular P content may cancel each other when cell growth  
273 is not proceeding at the maximum rate as would be the case in batch culture (Cotner et al. 2006),  
274 highlighting the complexity of the interactions driving microbial stoichiometry.

### 275 276 **2.3 Chemostats as well-mixed, reductionist environments in which to explore C** 277 **fluxes**

278 Chemostats also allow us to study how the fate of C substrates changes with changing  
279 environmental conditions in a manner impossible in soils. A flurry of recent studies investigating  
280 microbial C flows with changing soil conditions highlights how microbial C fate dictates the  
281 magnitude of soil feedbacks to climate (Manzoni et al. 2012a, Wieder et al. 2013, Sinsabaugh et al.  
282 2013), but without knowing the rate at which soil microorganisms are growing and what limits their  
283 growth, we cannot know the fraction of C uptake allocated to growth vs. respired CO<sub>2</sub> (typically  
284 expressed as the CUE), and thus the gross CO<sub>2</sub> flux from soil. It follows that it is exceedingly  
285 difficult to assess how the propensity to generate biomass vs. CO<sub>2</sub> might change with environmental  
286 conditions (Table 1). Adding an isotopically labeled substrate can help us understand microbial  
287 uptake of a particular resource or suite of substrates (e.g. Ziegler et al. 2005, Li et al. 2012, Frey et al.  
288 2013), but we must interpret resultant data with the knowledge that we have perturbed the natural  
289 system, and that recycling of the isotopic label through the microbial biomass is likely to confound  
290 inferences from such studies as the temporal extent of sampling increases.

291  
292 Recently, Lehmeier et al. (in review) exploited the chemostat environment to investigate the  
293 consequences of changing temperature regime on C flux from OM substrate into microbial biomass,  
294 and into respired CO<sub>2</sub>. At a constant rate of growth, microorganisms experienced an increase in  
295 specific respiration rate and a corresponding decline in CUE with increasing temperature. This  
296 work substantiates inferences from other, soil-based studies that CUE declines with temperature  
297 (e.g. Frey et al. 2013). The CUE finding is critical for efforts to incorporate soil processes into Earth  
298 system models used to predict future atmospheric CO<sub>2</sub> concentrations (Wieder et al. 2013).

299  
300 Second, this study also highlighted strong isotopic fractionations among substrate, biomass, and  
301 respired CO<sub>2</sub> pools that vary with temperature (Lehmeier et al. in review). Apparent respiratory  
302 fractionation during fungal (Henn and Chapela 2000) and bacterial (Blair 1985) respiratory losses of  
303 CO<sub>2</sub> has been observed, but is difficult to interpret when microbial growth rate is not known and  
304 the system is not at steady state. Isotopic fractionation during CO<sub>2</sub>-generating respiratory fluxes is  
305 rarely considered in studies that use δ<sup>15</sup>C-CO<sub>2</sub> to infer mesocosm or ecosystem function, though the  
306 potential importance of this phenomenon in plant respiration across diverse scales has been noted  
307 (Pataki 2005). Because of difficulties knowing which active microbial population produced  
308 measured CO<sub>2</sub>, or the substrate from which it was derived, it is difficult to quantify isotopic  
309 fractionation effects among organic and inorganic C pools in soil-based studies. Lehmeier et al. (in  
310 review) demonstrate the importance of chemostat studies for avoiding these soil-based challenges  
311 and provide proof-of-concept for temperature dependence of a respiratory fractionation factor. In  
312 contrast to studies in which soil temperature is manipulated, chemostats demonstrate that isotopic  
313 variation in respired CO<sub>2</sub> can result even while holding constant substrate identity and availability,  
314 active microorganism identity, and microbial growth rate.

315  
316 Importantly, other chemostat studies have demonstrated that microbial growth rate itself, in  
317 isolation from other conditions such as temperature or nutrient availability, appears to influence  
318 specific respiration rates (Larsen et al. 1993, Payot et al. 1998, Kayser et al. 2005). This is consistent  
319 with the GRH (Elser et al. 2000). However, soil biogeochemists and microbial ecologists typically  
320 presume that a combination of resource availability and community composition determines the size  
321 and growth efficiency of a microbial community, which in turn influences the respiratory C efflux,  
322 and that changing environmental conditions (e.g. temperature) can induce changes in specific  
323 respiration rate. Chemostat studies, though, demonstrate that growth rate governs not only specific  
324 respiration (Kayser et al. 2005) but also the relative dominance of respiratory pathways that produce  
325 CO<sub>2</sub> (Nanchen et al. 2006). If growth rate is a driver of specific respiration in soil microbial

326 communities, these data suggest an important and underappreciated mechanism driving microbially-  
327 mediated soil C fluxes.

328

## 329 **2.4 Chemostats as well-mixed, reductionist environments in which to explore** 330 **microbial gene expression**

331 Chemostats present the ideal conditions for linking gene expression to biogeochemically relevant  
332 fluxes, which are transferrable to soils. Patterns of microbial gene expression are often considered  
333 the gold standard for understanding microbial community function in a multitude of environments  
334 (Otteeson et al. 2014, Ofek-Lalzar et al. 2014), and microbial gene expression in soils is obviously of  
335 great relevance to questions of SOM decay and soil microbial ecology more generally (Baldrian and  
336 Lopez-Mondejar 2014). However, as outlined by Schimel and Schaeffer (2012), using modern  
337 molecular tools to better understand SOM decay is challenging given the lack of specificity of decay-  
338 related genes; unlike processes like methanogenesis and methanotrophy or denitrification, SOM  
339 decay is governed by a relatively large number of genes residing in a greater diversity of organisms.  
340 Despite the seemingly daunting level of microbial genetic diversity, soil metagenomes can be mined  
341 for their annotated and functionally assigned genes, and then used to assess how potential metabolic  
342 pathways can shift with changes in the environment such as soil warming (Luo et al. 2014). New  
343 tools such as Functional Ontology Assignments for Metagenomes (FOAM, Prestat et al. 2014) are  
344 making it even easier to use metagenomic data to group microbial communities based on broadly  
345 categorized metabolic processes. This is an important step forward, as it has been recently  
346 demonstrated that even inclusion of coarse, physiologically defined functional groupings, e.g.  
347 oligotrophs versus copitrophs, can improve models of litter and SOM decay (Wieder et al. 2014).

348

349 Understanding and predicting microbial gene expression is challenging, in part because patterns of  
350 gene expression in soils are driven by both bacterial growth rates (Ferencsi 1999) and the identity of  
351 any limiting nutrient (Hua et al. 2004) (Table 1). Thus, changes we observe in soil transcriptomes  
352 with environmental conditions may not be the direct result of, for example, a temperature change,  
353 but instead may result from altered growth rates and/or changes in relative nutrient availability as  
354 induced by the change in temperature. These gaps in our knowledge can be filled through the use of  
355 chemostats. In a controlled, chemostat environment where nutrient availability is constant and  
356 growth rates can be monitored, researchers can measure gene expression in response to isolated  
357 environmental stressors such as osmotic potential or temperature changes. For example, in a  
358 controlled, chemostat-like system, Gulez et al. (2012) examined gene expression in relation to stress  
359 induced by manipulating matric potential. Hebley et al. (2014) used a chemostat approach to  
360 quantify changes in gene transcription and physiology of *Saccharomyces cerevisiae* during cyclic 12 to  
361 30°C shifts in daily temperature, and demonstrate the importance of microbial acclimation to  
362 temperature at these short timescales. These studies are of direct relevance to SOM-related  
363 investigations of the influence of soil water stress and temperature on SOM transformations. As we  
364 increase our understanding of the environmental controls on gene expression and transcription  
365 networks we can begin to understand how the snap-shot of whole community gene transcription  
366 represented by a soil metatranscriptome is linked to changes in the physiology of the community,  
367 and observed changes in soil processes such as SOM decay. These research avenues are critical for  
368 formulating and parameterizing SOM decay models, discussed in Section 3.

369

370 Both natural and artificial aquatic systems are increasingly viewed as relevant to soil studies (e.g.  
371 Marin-Spiotta et al. 2014; Lehmeier et al. in review), and we applaud such efforts. However, though  
372 sometimes used in conjunction with natural aquatic environments (Sterner et al. 2008), chemostats



373 are only just beginning to be explored in the context of soil-specific questions, and can provide  
374 knowledge about OM decay not feasible to obtain using natural soil profiles. In the next section, we  
375 explore another under-exploited concept relevant to SOM transformations – that of intrinsic *vs.*  
376 apparent exo-enzyme kinetics. Though different soils may exhibit different *apparent*  $E_a$ , it is difficult  
377 if not impossible to know the extent to which *intrinsic* properties of a soil's substrates *vs.* other, soil-  
378 specific features govern apparent  $E_a$ .

379

### 380 **3 Intrinsic decay rates as baseline values for comparison with observed patterns** 381 **of SOM decay**

382 Multiple studies explore apparent activation energies (apparent  $E_a$ ; in  $\text{KJ mol}^{-1}$ ) required for SOM  
383 decay to proceed, often in the context of investigating the temperature sensitivity of SOM decay.  
384 The  $E_a$  is one way to quantify the ease with which decay of compounds can proceed. A substrate  
385 with intrinsically higher  $E_a$  is more difficult to decay than one with lower  $E_a$  at a given temperature  
386 (Sierra 2013) and, accordingly, the C quality-temperature hypothesis suggests that OM more resistant  
387 to decay should exhibit higher relative temperature sensitivity (Bosatta and Ågren 1999; Davidson  
388 and Janssens 2006). Apparent  $E_a$  thus represents one means of quantifying more qualitative terms  
389 like 'recalcitrance' and 'quality' that are difficult to interpret (Kleber 2010, Kleber et al. 2010; Conant  
390 et al. 2011). Apparent  $E_a$  is clearly an important feature to consider when investigating soil  
391 feedbacks to climate, because in a warmer environment SOM exhibiting long residency times may  
392 exhibit greater relative increases in decay rates than SOM that decays more rapidly. However, it is  
393 difficult to interpret why one soil's apparent  $E_a$  may be different from another's, for we cannot  
394 know if the substrates undergoing decay possessed different intrinsic  $E_a$  of decay, or if soil-specific  
395 factors such as texture or the identity of the active microbial community drove apparent  $E_a$   
396 differences. Selecting ubiquitous substrates and some of the key biogeochemical reactions that  
397 induce their decay, and characterizing the kinetics of these reactions when isolated from other  
398 substrates and microbes themselves, represents an incremental movement towards addressing these  
399 questions. This approach will provide estimates of reaction rates and estimates of  $E_a$  that are as  
400 close to intrinsic values as is feasible if they are conducted when neither enzyme nor substrate is  
401 limiting.

402

403 It is important to consider the drivers of differences among potential and observed reaction rates,  
404 and apparent and intrinsic  $E_a$ , for a specific decay reaction when interpreting decay reaction rates  
405 and apparent  $E_a$  values derived from the soil environment. Recalling that the slope of an Arrhenius  
406 plot is considered the  $E_a$  of a reaction, we first must note that the line defining intrinsic  $E_a$  should, in  
407 theory, always be above (have a higher Y-intercept than) any line defining apparent  $E_a$ . This follows  
408 from the assumption that a decay reaction rate quantified in purified, abiotic solutions when neither  
409 enzyme nor substrate is limiting represents the upper limit for that reaction rate at that temperature.  
410 This is a difficult hypothesis to test, because the units in which purified enzyme-substrate reaction  
411 rates are expressed must necessarily be different from the typical units employed in studies of exo-  
412 enzyme reactions in soils and sediments (e.g. Sinsabaugh et al. 2012), but its logic is difficult to  
413 challenge.

414

415 In spite of the difficulties directly comparing the temperature sensitivities of pure enzyme-substrate  
416 kinetics and actual SOM decomposition, it is valuable to consider the multiple ways in which  
417 apparent  $E_a$  of decay reactions in soils exposed to different temperatures may vary relative to  
418 intrinsic  $E_a$  for those same reactions. Because the slope estimates ( $E_a$  in  $\text{KJ mol}^{-1}$ ) are independent  
419 of the reaction rate units, they can be compared and yield meaningful interpretations across samples.

420 In some soils, we may observe an apparent  $E_a$  greater than intrinsic  $E_a$  for a particular enzyme-  
421 substrate reaction (a steeper slope in an Arrhenius plot). However, it is feasible that some  
422 environmental samples may exhibit *lower* apparent  $E_a$  (a shallower slope), or *equivalent*  $E_a$  (parallel  
423 slope; note Y-intercepts for Arrhenius plots depicting apparent  $E_a$  will always be equal to or lower  
424 than those depicting intrinsic  $E_a$  as discussed above). A lower apparent  $E_a$  may occur if, for  
425 example, cooler temperatures promoted a competitive advantage for microbial populations that  
426 preferentially produce the exo-enzyme that catalyzes the reaction in question, boosting observed  
427 reaction rates to a greater extent than the direct influence of temperature on the purified reaction  
428 rate would predict. It remains unknown how changing temperature regimes may result in changing  
429 competitive advantages for different microbial groups, however. Alternatively, soil moisture may  
430 decrease with increasing temperature, constraining diffusion (Wang et al. 2014), or warming could  
431 affect plant inputs to soil in multiple ways (Flury and Gessner 2014). Either of these phenomena  
432 could alter microbial demand for substrates and thus modify exo-enzyme production, pushing  
433 observed reaction rates away from intrinsic reaction rates differentially across a temperature range.

434  
435 Lehmeier et al. (2013) determined reaction rates of  $\beta$ -D-cellobioside as catalyzed by  $\beta$ -glucosidase  
436 (BGase) and N-acetyl- $\beta$ -D-glucosamine (NAG) as catalyzed by  $\beta$ -N-acetyl glucosiminidase  
437 (NAGase) in purified (and therefore non-confounding, ideal conditions) at temperatures between 5  
438 °C and 25 °C and a pH of 6.5. These reactions are proxies for the cleaving of monomers from  
439 cellulose and chitin, respectively. Because they were conducted when neither enzyme nor substrate  
440 was limiting, the study provide  $E_a$  values of these compounds (31 KJ mol<sup>-1</sup> for BG/BGase, 41 KJ  
441 mol<sup>-1</sup> for NAG/NAGase), which are as close to intrinsic values as is feasible. Expanding on this  
442 study, Min et al. (2014) confirmed the values and explored how  $E_a$  of these reactions change when  
443 the pH was varied in a reasonable range for soil pH around the world. They report distinct pH  
444 optima for both BG/BGase (5.5-8.5) and NAG/NAGase (5.5-6.5), and a significant effect of pH on  
445 the temperature sensitivity of BGase but not NAGase (Fig. 1). Baseline, intrinsic properties of these  
446 reactions in multiple pH regimes helps us to develop biogeographically based predictions of the  
447 temperature response of cellulose and chitin decay.

448  
449 Such baseline values for intrinsic  $E_a$  only represent conditions in which neither enzyme nor substrate  
450 is limiting, a scenario that only sometimes is relevant to soils. However, baseline values are  
451 nonetheless essential for comparisons with estimates of apparent  $E_a$  of cellulose and chitin decay  
452 derived from soil samples. For example, estimates for apparent  $E_a$  of the BGase/BG reaction  
453 derived from diverse soils exhibit varying values compared to intrinsic  $E_a$  values assessed in purified  
454 conditions (Fig. 1A). Though some papers present apparent  $E_a$  values from soils for the  
455 NAGase/NAG reaction (e.g. German et al. 2012), it is difficult to find those that present units  
456 comparable among studies. The few that do (Fig. 1B) suggest meaningful variation in values (Fig.  
457 1B). If apparent  $E_a$  values are greater than intrinsic values, this suggests that soil-related factors  
458 confounding the intrinsic temperature response of the NAGase/NAG reaction become relatively  
459 more influential at lower temperatures. In contrast, soil-related factors confounding intrinsic  $E_a$  for  
460 the BGase/BG reaction appear to both increase and decrease apparent  $E_a$  relative to intrinsic values.  
461 Assessing  $E_a$  values at the actual soil pH, not at an arbitrary buffer pH, may offer important insights  
462 too. For instance, Barta et al. (2014) demonstrated the BGase/BG reaction can proceed in soils at  
463 pH 3.5. This is in apparent contrast to Min et al. (2014), where BGase/BG activity at pH lower than  
464 4.5 could not be detected in purified conditions. Reasons for this discrepancy remain unclear, but  
465 one possible explanation is microbial generation of distinct isozymes capable of inducing catalysis in  
466 low pH environments. This and related insights are impossible to generate without developing

467 baseline intrinsic  $E_a$  values. Similar work on a diversity of substrate-enzyme pairings will provide an  
468 important knowledge base for future SOM decay research.

469  
470 Values of intrinsic  $E_a$  of decay reported thus far suggest that the influence of temperature on exo-  
471 enzymes, even in isolation from all the other changes that temperature can impart on soils, is  
472 important for the relative availability of resources for microbial assimilation. Specifically, studies  
473 indicate how temperature alone can alter the relative availability of C and N liberated from  
474 substrates as they decay – the C:N flow ratio – if those substrates have distinct C:N ratios and  $E_a$  of  
475 decay (Billings and Ballantyne 2013). Exo-enzyme age, too, appears to interact with temperature to  
476 influence the relative availability of C and N released during decay reactions; the catalytic rate of  
477 exo-enzymes and the temperature at which the enzyme ages prior to catalyzing decay reactions can  
478 influence the decay rate of cellobioside and N-acetylglucosamine differently (S. Billings, unpublished  
479 data). The C:N flow ratio is important because it represents the return on microbial investments in  
480 exo-enzymes, and how that return on investment may change with temperature in ways that have  
481 nothing to do with microbial responses to temperature *per se*. Because changing relative availability  
482 of microbial resources may influence microbial stoichiometry (see Sections 2.1 and 2.2), and, in turn,  
483 decay of additional substrates, exploring additional drivers of changing C:N flow rates appears to be  
484 an important, complementary avenue of research.

#### 485 486 **4 Using experimental advances to enhance recent theoretical efforts to model** 487 **SOM decay**

488 Investigators have modeled SOM decay for decades. Though an exhaustive review of these  
489 advances is beyond the scope of this paper, we highlight recent advances and elucidate how these  
490 advances could benefit from some of the discoveries detailed above. Coarsely, models of SOM  
491 decay can be grouped into two categories: those that are spatially explicit, and those that implicitly  
492 treat the factors influencing SOM decay as spatially homogeneous. The first category comprises  
493 models such as reactive transport models, often invoked by engineers or hydrologists (Masse et al.  
494 2007; Scheibe et al. 2009), while the second category is more familiar to ecologists (Schimel and  
495 Weintraub 2002, Allison 2005; Allison et al. 2010; Davidson et al. 2012; Manzoni et al. 2012a;  
496 Moorhead et al. 2012, Moyano et al. 2013; Ballantyne and Billings in review). Recent work begins to  
497 merge both abiotic properties of soils and plastic vs. homeostatic microbes (Tang and Riley 2014),  
498 and some efforts have incorporated space into ecologically focused models by considering  
499 diffusional constraints on exo-enzymes within the soil matrix (Allison 2005; Allison et al. 2010;  
500 Manzoni et al. 2014). However, realistic physics of diffusion are rarely incorporated into models  
501 that explicitly consider microbes, and thus it is difficult to know if the temporal and spatial scales  
502 invoked for modeled diffusion are appropriate. Comparing substrate usage in chemostats or natural  
503 aquatic environments to that in soils can be valuable for discerning the influence of diffusion  
504 constraints on OM transformations, given minimal diffusion limitation in liquid environments  
505 relative to that in soils. However, empirical measurements of enzyme flow in soil (e.g. Vetter et al.  
506 1998) highlight how difficult it is to generate realistic enzyme movements in a diffusion-constraining  
507 matrix, and the challenges of integrating spatially distinct processes into ecologically focused process  
508 models. This category distinction is important because processes relevant to SOM decay occur at  
509 the fine scales typically envisioned by ecological modelers (Schimel and Schaeffer 2012), but key  
510 goals of the community are to predict SOM decay and associated CO<sub>2</sub> release at far coarser scales  
511 (e.g. Wieder et al. 2013). Thus at its core, projecting decomposition of SOM processes relevant at  
512 the Earth system scale is an exercise in accurate physiological and physical modeling combined with  
513 scaling approaches.

514  
515 Multiple modeling efforts have attempted to move us toward the goal of projecting large-scale SOM  
516 transformations from physiologically based models, and recent years have seen a proliferation of  
517 models describing SOM decay (Manzoni and Porporato 2009). Only rarely have investigators tried  
518 to estimate both model parameter values and the variance in those estimates from empirically  
519 derived data (Davidson et al. 2012), and quantitative results are difficult to apply across diverse soil  
520 types, ecosystems, and climate regimes. As a result, most of the insights provided by SOM decay  
521 models are qualitative. These models attempt to model SOM transformations by incorporating  
522 factors known or thought to govern SOM decay rates and associated CO<sub>2</sub> efflux, such as microbial  
523 growth rates, CUE, allocation of C to enzyme production, and C uptake rates (Allison et al. 2010;  
524 Allison 2012; Manzoni et al. 2014). However, many models assume fixed fractions of microbial C  
525 allocated to processes such as enzyme production and maintenance metabolism, contrasting with  
526 evidence from physiological experiments which indicate that allocation patterns shift with the  
527 interplay between microbial resource demand and availability (Larsson et al. 1993; Payot et al. 1998,  
528 Dauner et al. 2001; Dijkstra et al. 2011).

529  
530 The omission of microbial physiological plasticity in these and related models is unfortunate,  
531 because it is the fundamental microbial physiology that shapes C flow through microbial biomass  
532 and associated CUE (Billings and Ballantyne 2013). An important advance relates aggregate C fluxes  
533 through soil microbes to microbial CUE (Manzoni et al. 2012a), critical both because this term  
534 governs the propensity of SOC to remain in the soil profile vs. leaving as CO<sub>2</sub>, and because CUE is  
535 a ‘tunable’ parameter in multiple other models (e.g. Wieder et al. 2013). Importantly, though, CUE  
536 is not a parameter that microbes govern as an end goal; rather, CUE is a byproduct of the changing  
537 relative importance of anabolism and catabolism as metabolic resource demand and resource  
538 availability vary in response to environmental conditions. An important step forward will be to  
539 develop models that do not modify only CUE, but that reflect multiple changes in environmental  
540 conditions influencing microbial stoichiometry and metabolism, with CUE changing as a result.  
541 Chemostat data again become important for these modeling efforts, because they provide baseline  
542 values for biomass production and specific respiration rates under varying environmental conditions  
543 which, in turn, dictate CO<sub>2</sub> efflux from soils.

544  
545 Developing a theoretical scaffolding on which we can build physiologically mechanistic models that  
546 ultimately can be made spatially explicit, and thus useful for modeling at the scale of the Earth  
547 system, will require two key advances. First, more physiological realism needs to be incorporated  
548 into our modeling frameworks. Enhancing the physiological realism of existing ecological models  
549 can take multiple forms. Regulatory-metabolic network models that reflect microbial decision  
550 making and metabolic constraints can be developed. Metabolic flux analysis can be an effective  
551 means of modeling *in situ* metabolic transformations in soils (e.g. Scheibe et al. 2009), but progress in  
552 this realm remains slow (but see Dijkstra et al. 2011). Interdisciplinary studies such as Tang et al.  
553 (2009), who highlight how <sup>13</sup>C and multiple ‘-omics’ fields can be effectively integrated, represent  
554 large strides towards the development of this field. Importantly, chemostats represent ideal  
555 experiments from which to build such models. Gene expression and proteomics measured in  
556 chemostats under constant conditions provide the best chance for matching expression and network  
557 state to putative C transformations. Additionally, parameter values for microbial substrate uptake,  
558 mass of C per unit dry mass of microbial biomass, dry weight per cell, enzyme deactivation rate, and  
559 the microbial biomass fraction of N and P (e.g. Allison 2012, Manzoni et al. 2014) are available for  
560 changing environmental conditions from chemostat studies (e.g. Chrzanowski and Kyle 1996,  
561 Chrzanowski and Grover 2008; Lehmeier et al. in review). Though the absolute values from

562 reductionist laboratory experiments may not be directly applied to soils, they are a great starting  
563 point for accurately parameterizing models. Values of  $E_a$  for SOM decay are typically treated as one  
564 aggregated value as a simplifying assumption (e.g. Allison et al. 2010), though we know this to be  
565 false. Estimates of intrinsic  $E_a$  values derived from purified, biogeochemically relevant enzymes  
566 (Lehmeier et al. 2013; Min et al. 2014) are analogous starting points for parameterizing decay  
567 kinetics, which result from regulatory-metabolic network driven allocation and feedback upon  
568 physiological state.

569  
570 Second, we must accurately average SOM transformations and heterotrophic respiration over  
571 heterogeneity in the soil matrix to extract responses at reasonable scales for Earth system modeling.  
572 This exercise of 'coarse graining' will enable modelers to identify characteristic scales associated with  
573 SOM transformations, and in the process improve our understanding of how edaphic and biological  
574 features interact in generalizable ways. Once characteristic scales have been identified, spatially  
575 explicit model dynamics can then be compared to those of non-spatial, ecological models. This will  
576 enable ecological model dynamics to be applied at appropriate scales with appropriate parameters.  
577 There are two approaches widely employed in other fields that could be used for coarse graining  
578 SOM dynamics. One is to start with individual dynamics, as in Masse et al. (2007), and then derive  
579 the dynamics of the aggregate, in this case the entire soil profile, from the individual level dynamics.  
580 Durrett and Levin (1994) refer to this as deriving a hydrodynamic limit because of the analogous  
581 derivation of Navier-Stokes equations from the mass transfer for individual parcels of liquid. From  
582 such limits, characteristic length scales can often be inferred. Another approach is to start again  
583 with individual-level dynamics, but with stochasticity, and then derive mean dynamics for a profile  
584 or site in terms of higher order moments. This gives rise to the problem of moment closure, but  
585 moment closure methods have been effectively applied to model the mean dynamics of spatially  
586 explicit ecological dynamics (Bolker and Pacala 1997). Successfully averaging over the heterogeneity  
587 we know exists in soils will allow us to capture the important governors of SOM transformations at  
588 scales relevant for Earth systems models. By initially considering the full extent of heterogeneity and  
589 then employing robust analytical methods to translate the consequences of that heterogeneity for  
590 dynamics at larger scales, i.e. whole soil profiles over reasonable spatial extents, we will obtain more  
591 realistic projections of SOM dynamics as well as more meaningful measures of confidence in those  
592 projections.

## 593 594 **5 Applying these concepts to the puzzles presented by changing SOM** 595 **characteristics with depth**

596 We can apply some of the empirical and theoretical concepts described above to help address the  
597 question we posed in the introduction: *Why does some SOM leave the soil profile relatively quickly, while*  
598 *other compounds, especially those at depth, appear to be retained on timescales ranging from the decadal to the*  
599 *millennial?* In recent years, the community of scholars focused on SOM transformations has become  
600 increasingly appreciative of the importance of relatively deep SOM. Indeed, investigators are  
601 establishing Critical Zone Observatories around the globe to investigate whole-ecosystem function  
602 down to bedrock (Jordan et al. 2001), and are developing an increasing appreciation of the  
603 importance of deep metabolic processes for ecosystem functioning (Richter and Billings 2015). It is  
604 difficult to define what is meant by 'deep SOM.' Absolute depths are arbitrary, and using the plant  
605 rooting zone as an indicator of 'shallow' horizons is challenging when we consider highly weathered  
606 profiles in which active plant roots can function tens of meters below the surface (Stone and Kalisz  
607 1991), surrounded by SOM we might otherwise consider to be 'deep.' However, general trends in  
608 SOM stability with depth are clear: with depth, SOM stability appears to increase, with mean

609 residence times of millennia not uncommon (Trumbore 2009, Schmidt et al. 2011, Fig. 2). In this  
610 section, we briefly describe some of the mysteries of deep SOM, and then depict how changes with  
611 depth in microbial characteristics, the C to N ratio of SOM, and temperature regime can be  
612 investigated using some of the ideas revealed by aquatic studies, and by advancing microbial models.  
613

614 We understand very little about what controls the persistence or decay of deep SOM in comparison  
615 with our understanding of more surficial processes (Schmidt et al. 2011), though an estimated 21-  
616 46% of global soil C stocks reside at depths > 100 cm (Jobbágy and Jackson 2000). Of course, it is  
617 not depth *per se* that governs SOM persistence or decay, but rather changes with depth in the relative  
618 dominance of variables that influence decomposition rates. The predominant state factors (Jenny  
619 1941) influencing SOM dynamics appear to change below surface horizons: climate becomes less  
620 dominant as an influence on SOM transformations with depth, and soil texture appears to assume a  
621 greater role (Jobbágy and Jackson 2000). In addition, the chemistry of deep SOM is quite different  
622 than shallower SOM, with lower C:N ratios, a higher abundance of lipids, polysaccharides and N-  
623 bearing compounds, enrichment in <sup>13</sup>C and <sup>15</sup>N, and a greater proportion of apparently slow-to-  
624 decay compounds of microbial origin (e.g. Ehleringer et al. 2000, Billings and Richter 2006, Fröberg  
625 et al. 2007, Rumpel and Kögel-Knabner 2011). These changes in SOM chemistry and abiotic  
626 conditions with depth also alter microbial communities, reducing microbial diversity and altering  
627 microbial community structure and function (Agnelli et al. 2004; Goberna et al. 2005; Fierer et al.  
628 2003; Will et al. 2010; Gabor et al. 2014; Eilers et al. 2012). Such changes are important not only  
629 because they affect SOM decay rates, but also SOM formation; the byproducts of microbial  
630 communities appear to comprise a meaningful fraction of OM reservoirs, ranging from 40 to 80%  
631 (Liang et al. 2010; Simpson et al. 2007), and can persist over long timescales (Voroney et al. 1989,  
632 Jiao et al. 2010, Six et al. 2006, Miltner et al. 2011, Liang et al. 2010, Grandy and Neff 2008, Simpson  
633 et al. 2007, Hobara et al. 2013). Given that some microbial decomposition byproducts can exhibit  
634 relatively slow decay rates and that compounds of microbial origin appear to be preferentially  
635 retained in pools of long-lived SOM, we might expect SOM persistence to increase with depth as the  
636 dominance of plant relative to microbial inputs decreases (Grandy and Neff 2008). Our growing  
637 appreciation of microbial contributions to SOM and the persistence of some of this material over  
638 relatively long timescales prompts calls for experiments designed to reveal how different microbial  
639 byproducts from distinct community compositions invite or resist decay (Throckmorton et al. 2012),  
640 and for investigations into the relative dominance of microbial vs. plant inputs to deep SOM  
641 reservoirs.  
642

643 Changes in the C:N of SOM and soil temperature regime with depth can be connected to the  
644 knowledge obtained from aquatic environments about microbial transformations of OM, particularly  
645 when we consider interactions between substrate stoichiometry and temperature. For example, the  
646 observation that the bioaccessibility of organic C (energy) can govern the ability of microbes to  
647 induce decay of slow-turnover SOM (Fontaine et al. 2007) is directly relevant to observations of  
648 substrate stoichiometry driving microbial biomass, and thus resource requirements, in natural and  
649 artificial aquatic environments. Furthermore, bacterial stoichiometry appears to vary in meaningful  
650 ways with temperature when nutrients are limiting (Cotner et al. 2006). We thus might predict that  
651 when energy (i.e. organic C) is more limiting, as is likely the case deep in a soil profile, where SOM  
652 C:N ratios and plant inputs are relatively low, temperature effects on microbial stoichiometry may be  
653 minimal. This prediction, if realized, has important implications for projecting the effect of  
654 temperature on deep SOM decay because it suggests that an increase in deep soil temperatures may  
655 not induce a large shift in the stoichiometry of resource demand of extant microbial populations,  
656 and that microbial responses to temperature will vary with substrate C:N, and thus with depth. The

657 observed importance of substrate and microbial C:P and N:P ratios as drivers of OM flow in  
658 chemostat studies (Chrzanowski and Kyle 1996) as temperature varies (Cotner et al. 2006) can also  
659 be applied to questions of SOM decay at depth, reminding us that the relative N vs. P limitation in  
660 terrestrial ecosystems likely will have an influence on each ecosystem's microbial response to  
661 temperature. Current models of SOM decay do not incorporate these ideas, but doing so will  
662 inform us about an important driver of SOM composition changes with depth: the composition of  
663 the material accessed by microbes and transformed into CO<sub>2</sub> and other, non-gaseous phase  
664 microbial byproducts.

665  
666 We also can use purified enzyme-substrate reaction kinetics (Lehmeier et al. 2013, Min et al. 2014) to  
667 formulate additional research questions about increasing SOM persistence with depth, and how  
668 destabilization of deep SOM stocks may proceed in a warmer world. For example, pH optima for  
669 exo-enzymatic catalytic rates and well-characterized interactions between pH and  $E_a$  of decay for  
670 specific decay reactions (Min et al. 2014) are useful for predicting how these enzymatic-substrate  
671 reactions may proceed in different soil horizons, if we know how pH varies with depth in a soil of  
672 interest. We also can use changing C:N flow ratios as temperature varies (Lehmeier et al. 2013, Min  
673 et al. 2014) to predict how microbial resource availability may change with depth. We are far from  
674 knowing how C:N flow ratios change with temperature in natural environments at any depth, but we  
675 at least have a starting point derived from some biogeochemically relevant substrate-enzyme pairings  
676 investigated in these works. Examining how divergence from purified reaction kinetics changes with  
677 depth in substrate-enzyme reaction rates will provide insight to the varying degree to which physical  
678 and chemical protection in the soil matrix, as well as microbial adaptation to temperature, govern  
679 depth patterns of SOM decay and retention. This research approach will permit us to address a  
680 critical question for understanding deep SOM retention: do deep-profile environmental factors  
681 drive greater divergence from intrinsic reaction kinetics than in more shallow horizons, and if so,  
682 which ones?

683  
684 Finally, if a negative relationship between the  $E_a$  of decay and C:N ratio exists for many soil  
685 substrates, as has been hypothesized (Rumpel and Kögel-Knabner 2011, Billings and Ballantyne  
686 2013), we can use purified enzyme-substrate reaction kinetics to develop concepts of how  
687 microbially available C and N may change with depth through a soil profile in a warming  
688 climate. This is feasible given known trends in C:N and  $E_a$  of aggregated substrate decay, which  
689 decrease and increase with depth, respectively. It is also feasible to incorporate these concepts into  
690 current models of SOM decay:  $E_a$  of decay and C:N are key features of multiple models currently  
691 invoked in the literature. If the temperature sensitivity of decay is greater for many substrates at  
692 depth, and many of these substrates possess low C:N, enzyme kinetics suggest that the availability of  
693 C relative to N may decline with warming, particularly at depth. Microbes must respond to any such  
694 change in resource availability, and in so doing can shift community composition and resource  
695 allocation, which may influence necromass formation and retention over relatively long time periods  
696 (Throckmorton et al. 2012, Nemergut et al. 2014).

697  
698 Models also can take advantage of our existing knowledge of deep SOM characteristics such as low  
699 C:N ratios and apparently low energy yielding potential of deep SOC (Fig. 2). Deeper soils also are  
700 likely to exhibit preferential sorption of compounds to mineral surfaces (Schrumpf et al. 2013),  
701 generating organo-mineral complexes almost impervious to enzymatic attack (Schrumpf et al. 2013;  
702 Fontaine et al. 2007; Kögel-Knabner et al. 2008). This, combined with the well-processed nature of  
703 deep SOM molecules, results in deep SOM decay requiring a large energy investment by microbes to  
704 obtain resources from that decay. Because it is this energy limitation that may be largely responsible

705 for the apparent stability and persistence of deep SOM (Fontaine et al. 2007; Kuzyakov 2010; Wang  
706 et al. 2014), it would be fruitful to use potential energy supply to microbes in varying substrate  
707 landscapes as a key feature of microbial models. Studies in controlled aquatic environments where  
708 diffusion limitations are small can provide maximum values of energy made available upon decay for  
709 such models. Given recent advances in our understanding of linkages between iron reduction and  
710 the mobilization of organic C in soils (Buettner et al. 2014) and a growing understanding of redox  
711 features driving diffusive transport of metals (Fimmen et al. 2008), development of models that  
712 account for varying microbial access to SOM given changing forms of soil minerals and diffusive  
713 constraints appears to be another low-hanging fruit for the research community. These advances  
714 would help us understand how added energy sources can promote enhanced decay of deep SOM  
715 (Fontaine et al. 2007), a phenomenon that suggests old SOM is not necessarily intrinsically  
716 ‘recalcitrant’ (Kleber 2010, Kleber et al. 2010).

717

## 718 **6 Conclusions**

719 1. There has been some effort in the literature to link research that examines natural aquatic,  
720 sedimentary, and soil OM transformations (Hedges and Oades 1997, Billings et al. 2010, Marín-  
721 Spiotta et al. 2014). In spite of calls for integration, these disciplines have remained relatively  
722 distinct. We emphasize the great utility of employing knowledge from natural aquatic systems to  
723 better predict how SOM decay and retention will proceed in the future. Like soils, aquatic systems  
724 can reveal how both physical protection and microbially mediated processes govern OM  
725 transformations in changing environmental conditions. The concept of the microbial loop in the  
726 ocean (Pomeroy 1974, Azam et al. 1983, Pomeroy et al. 2007) and the observation that microbial  
727 byproducts form a great fraction of oceanic OM (Kawasaki and Benner 2006, Kaiser and Benner  
728 2008, Jiao et al. 2010) pushes soil scientists to test analogous hypotheses in terrestrial systems (Liang  
729 et al. 2010). We encourage further application of empirical observations in aquatic systems in  
730 terrestrial soils. In this way, we can develop the nascent concept of soil microbial communities  
731 functioning both as decomposers and generators of byproducts with potentially long residence  
732 times.

733

734 2. With the exception of a few investigators who work in both chemostats and natural aquatic  
735 environments (e.g. Elser 2003), literature describing chemostats is rarely invoked by SOM-focused  
736 investigators (Lehmeier et al. in review). Yet, chemostats have much to tell us about the influence of  
737 resource availability and temperature, for example, on microbial resource demand, resource  
738 allocation, and ultimately microbial growth. Understanding how C is taken up and transformed will  
739 help us understand the characteristics of substrates *not* accessed by microbes, and thus features of  
740 SOM that persists in soil profiles. This is especially relevant to questions of deep SOM, given the  
741 increase in SOM mean residence time deep in soil profiles. Chemostats also tell us that microbial  
742 growth rate has a direct influence on microbial stoichiometry and specific respiration rate, a  
743 phenomenon currently not appreciated by the modeling community. This, in turn, can govern  
744 CUE and resource demand – and thus the composition of substrates ‘left behind’ and thus retained  
745 in the profile. Chemostat experiments have great potential for understanding SOM dynamics across  
746 depth, precisely because they permit manipulation of the very environmental features known to vary  
747 with soil depth, such as resource stoichiometry,  $E_a$  of decay, and temperature.

748

749 3. Purified kinetics of biogeochemically relevant decay reactions provide baseline values to use in  
750 models of SOM decay, and differences among known biogeochemical reactions – their raw rates and  
751  $E_a$  derived from them – give us a sense of  $E_a$  values appropriate for model use. Developing



752 baseline, upper values for substrate–exo-enzyme reaction kinetics is another important avenue of  
753 research for those interested in OM decay and retention. Baseline values derived from purified  
754 reaction kinetics allow parsing of intrinsic responses to top-down drivers of decay such as  
755 temperature from other, soil specific factors that may change with the environment.

756  
757 4. There are important and underexplored avenues for modelers who focus on SOM  
758 transformations in response to changing climate, and within soil profiles across depth. For example,  
759 modelers who attempt to use soil physics and diffusive properties of enzymes and substrates to  
760 better predict OM transformations can expand their efforts to explicitly model shallow versus deep  
761 SOM. By altering diffusive parameters to better reflect the differences in relative abundances of  
762 macro – vs. micro – aggregate structure across soil depth, and the different degrees of tortuosity  
763 throughout a soil profile, we can gain a sense of the importance of these features as drivers of SOM  
764 protection at depth. Scaling approaches will be critical for extending profile-scale dynamics to scales  
765 relevant for Earth system models. Modelers also can use information from some natural aquatic  
766 environments and chemostats to better understand how microbial stoichiometry, resource access,  
767 elemental cell content, and specific respiration rates change with environmental conditions. Though  
768 absolute values of these parameters from chemostats are likely not appropriate for use in modeling  
769 soil profiles, chemostat values provide at least qualitative indications of how these parameters may  
770 change with environmental conditions, including those that vary with depth.

771

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Table 1. Parameters frequently of interest for empirical and theoretical investigations of SOM transformations (left column), typical challenges encountered when interpreting data derived from soil studies (middle column), and the benefits of employing chemostats (rows 1 through 3) and purified enzyme-substrate reactions (row 4, last column). Controlled environments where microbial populations and environmental conditions can be strictly monitored provide unique insights that can be used to develop hypotheses for soil-based studies or parameterize models of SOM transformations. See Sections 2 and 3 for detailed explanation of all table cells.

Soil parameter of interest	Challenges for soil based studies	Benefits of chemostat-based studies (rows 1-3) Benefits of purified, abiotic studies (row 4)
Carbon use efficiency (CUE)	<ul style="list-style-type: none"> <li>•Recycling of isotopic label through microbial biomass is likely across diverse timescales.</li> <li>•Growth rate is unknown.</li> </ul>	<ul style="list-style-type: none"> <li>•Growth rate is known.</li> <li>•Growth rate can be manipulated.</li> <li>•Isotopic fractionation can be quantified.</li> <li>•Fraction of dead cells is small.</li> </ul>
Microbial stoichiometric plasticity	<ul style="list-style-type: none"> <li>•Stoichiometric change may occur in extant populations, or from changing relative abundances of distinct populations.</li> <li>•Stoichiometric analyses of soil microbial biomass typically reflect total biomass, not just active biomass.</li> </ul>	<ul style="list-style-type: none"> <li>•The identity, pool size, and growth rates of the active microbes are all known.</li> </ul>
Environmental controls on gene expression	<ul style="list-style-type: none"> <li>•Metatranscriptomes or functional gene transcription are dependent on growth rates, nutrient availability, and environmental controls on transcription rates that are unknown.</li> </ul>	<ul style="list-style-type: none"> <li>•Growth rates are known, nutrient availability is constant, and gene expression can be monitored as individual environmental signals are manipulated.</li> </ul>
$E_a$ and associated temperature sensitivity of SOM decay	<ul style="list-style-type: none"> <li>•Differences among soils in apparent <math>E_a</math> may result from different microbial physiology, microbial community structure, or substrate availability, and not from inherent differences in substrate <math>E_a</math> of decay.</li> </ul>	<ul style="list-style-type: none"> <li>•Intrinsic kinetics of decay can be quantified in controlled conditions and under varying environmental parameters such as pH and temperature.</li> <li>•The C:N flow ratio can be computed as environmental conditions change, reflecting how C and N availability can change even in the absence of microbial adaptation.</li> </ul>

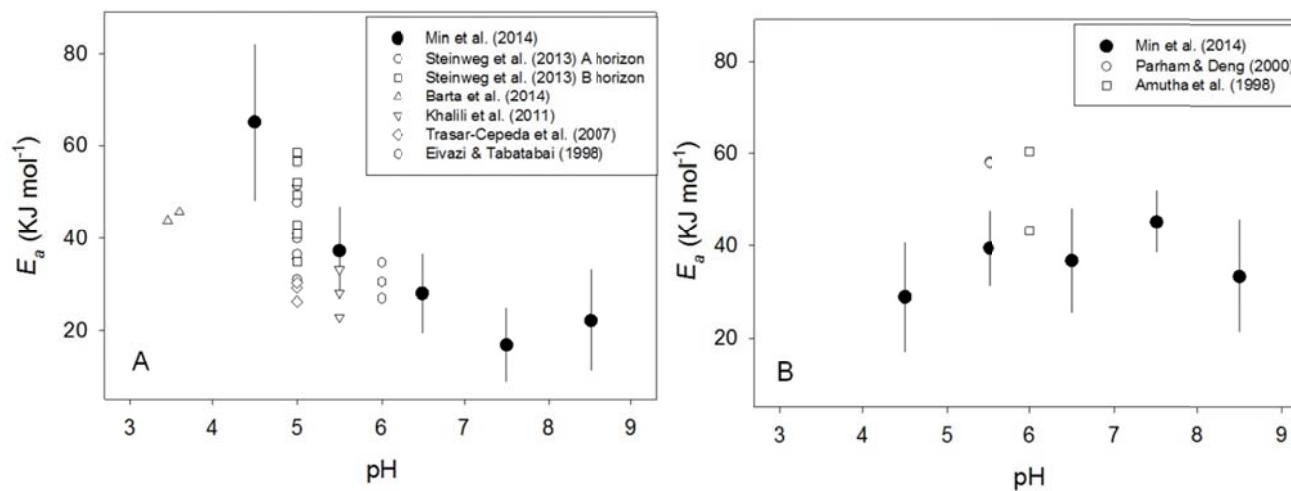


Figure 1: Estimates of intrinsic (closed symbols) and apparent (open symbols)  $E_a$  for the BGase/BG reaction (A) and the NAGase/NAG reaction (B). The literature values for apparent  $E_a$  are shown at the pH the reaction was actually observed, and does not necessarily correspond to the pH of the soils the samples were taken. See Section 3 for interpretation.

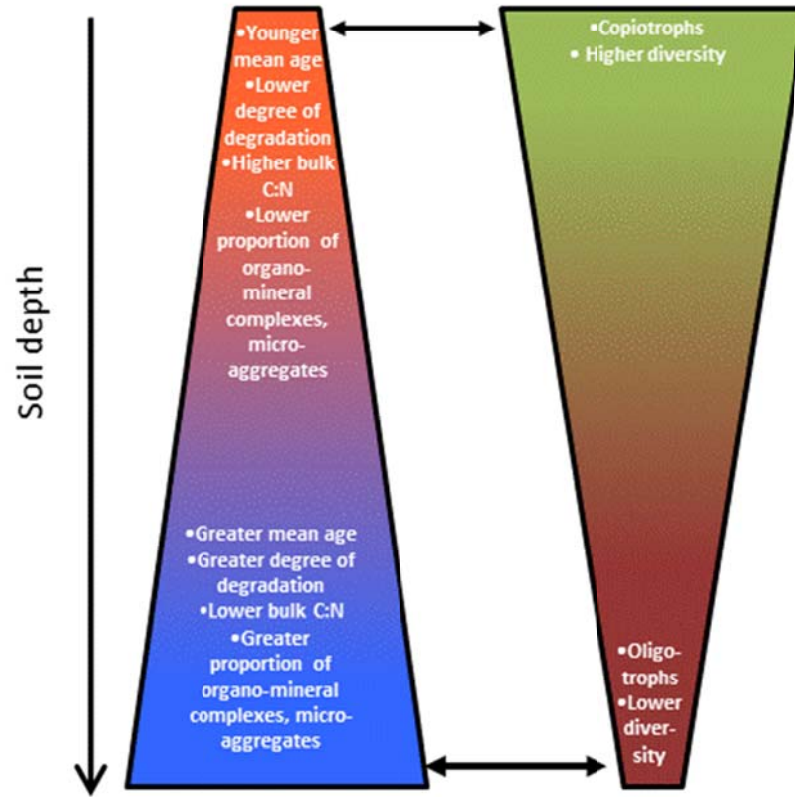




Figure 2. Depiction of parameters describing drivers of SOM decay and retention with depth. Salient physical and chemical features are described on the left, and microbial features on the right. Key features both resulting from and driving patterns of SOM decay are the mean age of SOM and its associated degree of degradation and C:N ratio, and the degree to which it forms organo-mineral complexes and micro- vs. macro-aggregates. All of these except bulk C:N are typically enhanced with depth. A greater mean residence time is often associated with a greater degree of microbial processing of that material, hence the greater degree of degradation. When coupled with the greater amount of organo-mineral complexes that form with depth, these features drive more energy intensive SOM decay at depth, increasing the activation energy ( $E_a$ ) of decay and associated temperature sensitivity of decay. In turn, these physical and chemical changes with depth govern the diversity, physiology, and functional guild of microbial groups in shallow vs. deep soil horizons. Thicker arrow at depth represents likely greater interaction strength in deep soil horizons among energy availability in substrates, temperature sensitivity and microbial physiology, given the generally greater  $E_a$  and lower energy available at depth. Importantly, the microbial community can serve as both an agent of decay and of production of SOM compounds with apparently long residence times; this concept has only recently been explored in the soils literature.